



December 18, 2019

Brent Schoeb, Vice President, Corporate Partnership
Forty Niners Stadium Management Company, LLC
4900 Marie P. De Bartolo Way
Santa Clara, California 95054

SUBJECT: Statement of Economic Interest Form 700 Filing

Dear Mr. Schoeb:

Based upon recent disclosure that you are executing contracts on behalf of the Stadium Authority; the Stadium Authority has determined that you are a "Consultant" under the Stadium Authority's Conflict of Interest Code (see attached). Therefore, you are required to file a Statement of Economic Interest Form 700.

Every elected official and public employee who makes or influences governmental decisions is required to submit a Statement of Economic Interest, also known as the Form 700. The Form 700 provides transparency and ensures accountability in two ways:

1. It provides necessary information to the public about an official's personal financial interests to ensure that officials are making decisions in the best interest of the public and not enhancing their personal finances.
2. It serves as a reminder to the public official of potential conflicts of interest so the official can abstain from making or participating in governmental decisions that are deemed conflicts of interest

An individual must file the Form 700 within 30 days of assuming office and an annual statement for each calendar year of the contract. At the conclusion of services, a leaving office statement is required. The Political Reform Act requires certain public agency officials and employees to file economic disclosure forms (Form 700) and abstain from making or participating in governmental decisions which have a reasonably foreseeable material effect on an economic interest.

Under separate cover you will receive an email from my staff, Sophia Smith who will provide you with a link to file electronically. You will be filing an assuming office as of March 28, 2012

Mr. Schoeb, Vice President, Corporate Partnership
Re: Statement of Economic Interest Form 700 Filing
December 18, 2019
Page 2 of 2

and provide full disclosure of all investments, business positions, and interests in real property held on the date of assuming office and income received during the 12 months immediately preceding assuming office.

Your filing position is "Consultant" and the department your filing under is the Stadium Authority Executive Director. Please let me know if you have any questions about your requirements.

Cordially



Nora Pimentel,
Stadium Authority Secretary

CC: Deanna Santana, Executive Director
Brian Doyle, Stadium Authority Counsel

Attachment



February 11, 2020

Mr. Jim Mercurio, Executive Vice President
Forty Niners Stadium Management Company, LLC
4900 Marie P. De Bartolo Way
Santa Clara, California 95054

SUBJECT: Statement of Economic Interest Form 700 Filing- 2nd Notification

Dear Mr. Mercurio:

In a letter dated December 24, 2019 the Stadium Authority notified you of its determination that you are a "Consultant" under the Stadium Authority's Conflict of Interest Code (see attached) and that therefore you are required to file a Statement of Economic Interest Form 700 within 30 days of assuming office and an annual statement for each calendar year of the contract.

Please be advised that any current efforts to resign from boards or withdraw from financial activity will not absolve you from the requirement to report and disclose a past financial interest on a Form 700 applicable to the reporting period in which the original financial interest existed.

As of today, the City has not received your outstanding statements, please file them by February 21, 2020. If you do not file all the required statements by that date, I will make a referral to the appropriate agencies for enforcement.

Please file the years that you would have been executing contracts on behalf of the Stadium authority. I forwarded pdfs of Form 700 for the filing years of 2012 through 2018. Please let me know if you need me to resubmit.

The annual filing for 2020 is coming up on April 1, 2020. You will receive an email 30 days in advance of the April 1 filing deadline and will be able to file electronically.

The Form 700 provides transparency and ensures accountability in two ways:

1. It provides necessary information to the public about an official's personal financial interests to ensure that officials are making decisions in the best interest of the public and not enhancing their personal finances.

Mr. Mercurio, Executive Vice President

Re: Statement of Economic Interest Form 700 Filing-2nd Notification

February 11, 2020

Page 2 of 2

2. It serves as a reminder to the public official of potential conflicts of interest so the official can abstain from making or participating in governmental decisions that are deemed conflicts of interest

The Political Reform Act requires certain public agency officials and employees to file economic disclosure forms (Form 700) and abstain from making or participating in governmental decisions which have a reasonably foreseeable material effect on an economic interest.

Your filing position is "Consultant" and the department your filing under is the Stadium Authority Executive Director. Please let me know if you have any questions about your requirements.

Cordially



Nora Pimentel,

Stadium Authority Secretary

CC: Deanna Santana, Executive Director
Brian Doyle, Stadium Authority Counsel

Attachment

RESOLUTION NO. 18-1 (STADIUM AUTHORITY)

**A RESOLUTION OF THE SANTA CLARA STADIUM AUTHORITY
AMENDING THE CONFLICT OF INTEREST CODE FOR
DESIGNATED POSITIONS AS REQUIRED BY THE POLITICAL
REFORM ACT AND REGULATIONS OF THE FAIR POLITICAL
PRACTICES COMMISSION**

BE IT RESOLVED BY THE SANTA CLARA STADIUM AUTHORITY AS FOLLOWS:

WHEREAS, the Political Reform Act requires certain public agency officials and employees to file economic disclosure forms ("Form 700") and abstain from making or participating in governmental decisions which have a reasonably foreseeable material effect on an economic interest;

WHEREAS, the Political Reform Act requires the City and its various authorities to adopt a local conflict of interest code that enumerates specific official and employee positions other than those specified in Government Code § 87200 which involve making or participating in making decisions which have a reasonably foreseeable material effect on an economic interest, and to designate for each position the types of investments, business positions, interests in real property and sources of income which are reportable based on the scope of the decision-making authority of the position;

WHEREAS, the Stadium Authority has adopted a Conflict of Interest Code in compliance with the provisions of the Political Reform Act, Government Code §§ 81000 et seq.; and,

WHEREAS, the Stadium Authority has reviewed and considered administratively suggested changes to the listing of designated positions of Authority officials, along with assigned disclosure categories, in the Conflict of Interest Code.

**NOW THEREFORE, BE IT FURTHER RESOLVED BY THE SANTA CLARA STADIUM AUTHORITY
AS FOLLOWS:**

1. That the City of Santa Clara Conflict of Interest Code, attached hereto and incorporated herein by reference, is hereby adopted and includes the following:

(a) The Political Reform Act, Government Code Sections 81000 et seq., requires state and local government agencies to adopt and promulgate Conflict of Interest Codes. The Fair Political Practices Commission has adopted a regulation, 2 Cal. Code of Regs. Section 18730, which

contains the terms of a standard Conflict of Interest Code, which can be incorporated by reference and which may be amended by the Fair Political Practices Commission to conform to amendments in the Political Reform Act after public notice and hearings. Therefore, the terms of 2 Cal. Code of Regs. Section 18730 and any amendments to it duly adopted by the Fair Political Practices Commission are hereby incorporated by reference and, along with the attached Appendix A in which officials and employees are designated and Appendix B in which disclosure categories are set forth, constitute the Conflict of Interest Code of the City of Santa Clara Stadium Authority, which is considered the agency within the purview of this code. The Conflict of Interest Code so adopted amends and replaces any Conflict of Interest Code previously in effect to conform to this newly adopted code.

(b) Designated officials, employees, and consultants shall file statements of economic interests with the City Clerk, who shall be and perform the duties of filing officer for the City of Santa Clara and its authorities.

3. Effective date. This resolution shall become effective immediately.

I HEREBY CERTIFY THE FOREGOING TO BE A TRUE COPY OF A RESOLUTION PASSED AND ADOPTED BY THE SANTA CLARA STADIUM AUTHORITY, AT A REGULAR MEETING THEREOF HELD ON THE 27th DAY OF FEBRUARY 2018, BY THE FOLLOWING VOTE:

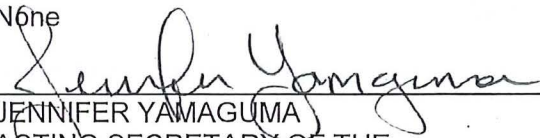
AYES: BOARD MEMBERS: Caserta, Davis, Mahan, and Watanabe and Mayor Gillmor

NOES: BOARD MEMBERS: None

ABSENT: BOARD MEMBERS: Kolstad and O'Neill

ABSTAINED: BOARD MEMBERS: None

ATTEST:



JENNIFER YAMAGUMA
ACTING SECRETARY OF THE
STADIUM AUTHORITY
SANTA CLARA STADIUM AUTHORITY

Attachments incorporated by reference:
1. Conflict of Interest Code with Appendices A and B



**City of
Santa Clara**
The Center of What's Possible

CITY OF SANTA CLARA CONFLICT OF INTEREST CODE

SECTION 1: CONFLICT OF INTEREST CODE - ADOPTED

The Political Reform Act, Government Code Sections 81000 et seq., requires state and local government agencies to adopt and promulgate Conflict of Interest Codes. The Fair Political Practices Commission has adopted a regulation, 2 Cal. Code of Regs. Section 18730, which contains the terms of a standard Conflict of Interest Code, which can be incorporated by reference and which may be amended by the Fair Political Practices Commission to conform to amendments in the Political Reform Act after public notice and hearings. Therefore, the terms of 2 Cal. Code of Regs. Section 18730 and any amendments to it duly adopted by the Fair Political Practices Commission are hereby incorporated by reference and, along with the attached Appendix A in which officials and employees are designated and Appendix B in which disclosure categories are set forth, constitute the Conflict of Interest Code of the City of Santa Clara, which is considered the agency within the purview of this code. The Conflict of Interest Code of the City of Santa Clara so adopted amends and replaces any Conflict of Interest Code of the City of Santa Clara previously in effect to conform to this newly adopted code.

SECTION 2: CONFLICT OF INTEREST CODE - STATEMENTS

Designated employees shall file statements of economic interests with the City Clerk, who shall be and perform the duties of filing officer for the City of Santa Clara.

SECTION 3: SAVINGS CLAUSE

Any change provided for in this conflict of interest code shall not affect or excuse any offense or act committed or done or omission or any penalty or forfeiture incurred or accruing under any other conflict of interest code; nor shall it affect any prosecution, suit, or proceeding pending or any judgment rendered in connection with any other conflict of interest code.

Appendix A: On file in City Clerk's Office

Appendix B: Attached

**APPENDIX A TO CONFLICT OF INTEREST CODE
CITY OF SANTA CLARA
DESIGNATED POSITIONS REQUIRED TO FILE**

Department	Position	Categories
Bayshore North Project Enhancement Authority	Acting Executive Director	1
Bayshore North Project Enhancement Authority	Acting Treasurer	1
Bayshore North Project Enhancement Authority	Authority Counsel	1
Bayshore North Project Enhancement Authority	Board Member	1
Bayshore North Project Enhancement Authority	Executive Director	1
Bayshore North Project Enhancement Authority	Treasurer	1
City Attorney's Office	Assistant City Attorney	1
City Attorney's Office	City Attorney	1
City Attorney's Office	Consultant	1
City Attorney's Office	Deputy City Attorney	1
City Attorney's Office	Executive Assistant to City Attorney	1
City Attorney's Office	Senior Deputy City Attorney	1
City Clerk's Office	Assistant City Clerk	1
City Clerk's Office	City Clerk/Auditor	1
City Clerk's Office	Consultant	1
City Clerk's Office	Management Analyst	1
City Manager's Office	Assistant City Manager	1
City Manager's Office	Chief Operating Officer	1
City Manager's Office	City Manager	1
City Manager's Office	Community Relations Manager	1
City Manager's Office	Consultant	1
City Manager's Office	Deputy City Manager	1
City Manager's Office	Executive Assistant to City Manager	1
City Manager's Office	Management Analyst	1
City Manager's Office	Assistant to the City Manager	1
City Manager's Office	Chief Stadium Authority Officer	1
Civil Service Commission	Commissioner	1
Department of Community Development	Assistant Planner I	1
Department of Community Development	Assistant Planner II	1
Department of Community Development	Associate Planner	1
Department of Community Development	Building Official	1
Department of Community Development	Code Enforcement Technician	1
Department of Community Development	Combination Inspector	1
Department of Community Development	Consultant	1
Department of Community Development	Development Review Officer	1
Department of Community Development	Director of Community Development	1
Department of Community Development	Housing & Community Services Division Manager	1
Department of Community Development	Housing Inspector	1

**APPENDIX A TO CONFLICT OF INTEREST CODE
CITY OF SANTA CLARA
DESIGNATED POSITIONS REQUIRED TO FILE**

Department	Position	Categories
Department of Community Development	Inspection Manager	1
Department of Community Development	Management Analyst	1
Department of Community Development	Plan Review Manager	1
Department of Community Development	Planning Manager	1
Department of Community Development	Plans Examiner	1
Department of Community Development	Principal Planner	1
Department of Community Development	Senior Inspector	1
Department of Community Development	Senior Inspector (Building)	1
Department of Community Development	Senior Inspector (Electrical)	1
Department of Community Development	Senior Permit Technician	1
Department of Community Development	Senior Plans Examiner	1
Department of Community Development	Staff Analyst I	1
Department of Community Development	Staff Analyst II	1
Department of Electric Utility	Assistant Director of Electric Utility/Energy Distribution	1
Department of Electric Utility	Assistant Director of Electric Utility/Planning & Strategic Services	1
Department of Electric Utility	Business Analyst/Fiber	4,8
Department of Electric Utility	Business Analyst/Public Benefits	4,8
Department of Electric Utility	Consultant	1
Department of Electric Utility	Chief Electric Utility Officer	1
Department of Electric Utility	Chief Operating Officer	
Department of Electric Utility	Electric Division Manager	1
Department of Electric Utility	Electric Program Manager	4,8
Department of Electric Utility	Electric Utility Network Administrator	4
Department of Electric Utility	Key Customer Representative	4,8
Department of Electric Utility	Power Account Clerk I	1
Department of Electric Utility	Power Account Clerk III	1
Department of Electric Utility	Power Contract Specialist	1
Department of Electric Utility	Power System Scheduler Trader	1
Department of Electric Utility	Power Trader	1
Department of Electric Utility	Principal Electric Utility Engineer	1
Department of Electric Utility	Principal Engineering Aide	4,8
Department of Electric Utility	Principal Power Analyst	1
Department of Electric Utility	Principal Utility Information Systems Manager	4
Department of Electric Utility	Resource Analyst II	4
Department of Electric Utility	Risk Control Analyst	1
Department of Electric Utility	Senior Key Customer Representative	4,8
Department of Electric Utility	Senior Power System Scheduler Trader	1
Department of Electric Utility	Sr. Business Analyst	4,8
Department of Electric Utility	Sr. Electric Division Manager	1
Department of Finance	Accounting Division Manager	1
Department of Finance	Acting Director of Finance	1
Department of Finance	Assistant Director of Finance	1

**APPENDIX A TO CONFLICT OF INTEREST CODE
CITY OF SANTA CLARA
DESIGNATED POSITIONS REQUIRED TO FILE**

Department	Position	Categories
Department of Finance	Business Analyst	1
Department of Finance	Budget and Treasury Division Manager	1
Department of Finance	Buyer	1
Department of Finance	Chief Storekeeper	2
Department of Finance	Director of Finance	1
Department of Finance	Management Analyst	1
Department of Finance	Municipal Services Division Manager	1
Department of Finance	Principal Accountant	1
Department of Finance	Principal Financial Analyst	1
Department of Finance	Purchasing Division Manager	1
Department of Human Resources	Assistant Director of Human Resources/EEO Officer	1
Department of Human Resources	Consultant	1
Department of Human Resources	Director of Human Resources/MERO	1
Department of Human Resources	Human Resources Division Manager	1
Department of Human Resources	Management Analyst	1
Department of Information Technology	Dir. Of Information Technology/Chief Information Officer	1
Department of Information Technology	Information Technology Services Manager	1
Department of Information Technology	Management Analyst	1
Department of Information Technology	Senior Information Technology Services Manager	1
Department of Parks & Recreation	Cemetery Operations Manager	2
Department of Parks & Recreation	Deputy Parks & Recreation Director	1
Department of Parks & Recreation	Management Analyst	1
Department of Parks & Recreation	Parks & Recreation Director	1
Department of Parks & Recreation	Parks Construction, Maintenance & Repair Supervisor	4
Department of Parks & Recreation	Recreation Manager	1
Department of Public Works	Assistant Public Works Director/City Engineer	1
Department of Public Works	Code Enforcement Officer	1
Department of Public Works	Building Maintenance Manager	2
Department of Public Works	Code Enforcement Technician	1
Department of Public Works	Compliance Manager	1
Department of Public Works	Consultant	1
Department of Public Works	Deputy Public Works Director	1
Department of Public Works	Director of Public Works	1
Department of Public Works	Environmental Program Manager	1
Department of Public Works	Fleet Manager	1
Department of Public Works	Materials Testing Technician	2
Department of Public Works	Principal Engineer	2
Department of Public Works	Principal Planner	1
Department of Public Works	Public Works Inspector	2

**APPENDIX A TO CONFLICT OF INTEREST CODE
CITY OF SANTA CLARA
DESIGNATED POSITIONS REQUIRED TO FILE**

Department	Position	Categories
Department of Public Works	Senior Engineer, Civil	2
Department of Public Works	Staff Analyst I - Environmental Programs	1
Department of Public Works	Traffic Engineer	2
Department of Water & Sewer Utilities	Assistant Director of Water & Sewer Utilities	1
Department of Water & Sewer Utilities	Assistant Water and Sewer Superintendent	1
Department of Water & Sewer Utilities	Code Enforcement Technician	1
Department of Water & Sewer Utilities	Compliance Manager	1
Department of Water & Sewer Utilities	Director of Water & Sewer Utilities	1
Department of Water & Sewer Utilities	Management Analyst	1
Department of Water & Sewer Utilities	Principal Engineer - Water	1
Department of Water & Sewer Utilities	Utility Business Systems Manager	1
Department of Water & Sewer Utilities	Water and Sewer Superintendent	1
Fire Department	Assistant Fire Marshal	1
Fire Department	Assistant Training Officer	2
Fire Department	Battalion Chief - Suppression	2
Fire Department	Battalion Chief - Training	2
Fire Department	Battalion Chief in EMS	2
Fire Department	Deputy Fire Chief	1
Fire Department	Deputy Fire Marshal	1
Fire Department	Deputy Fire Marshal - Hazardous Materials	1
Fire Department	Emergency Service Coordinator	2
Fire Department	Fire Chief	1
Fire Department	Fire Inspection Aide	2
Fire Department	Fire Marshal I/II/III	1
Fire Department	Fire Prevention Specialist	2
Fire Department	Fire Prevention Specialist I	2
Fire Department	Fire Prevention Specialist II	2
Housing Authority	Acting Treasurer	1
Housing Authority	Authority Counsel	1
Housing Authority	Board Member	1
Housing Authority	Secretary and Executive Director	1
Housing Authority	Treasurer	1
Housing Rehabilitation Loan Committee	Chair	1
Housing Rehabilitation Loan Committee	Committee Member	1
Industrial Development Authority	Board Member	1
Joint Financing Authority	Acting Chief Financial Officer	1
Joint Financing Authority	Chief Financial Officer	1
Joint Financing Authority	City Attorney	1
Joint Financing Authority	Director	1
Joint Financing Authority	Executive Director	1
Library Department	Assistant City Librarian	1

**APPENDIX A TO CONFLICT OF INTEREST CODE
CITY OF SANTA CLARA
DESIGNATED POSITIONS REQUIRED TO FILE**

Department	Position	Categories
Library Department	City Librarian	1
Library Department	Library Circulation Supervisor	4
Library Department	Library Division Manager - Support Services	1
Library Department	Library Program Coordinator - Branch Manager	4
Library Department	Library Program Coordinator - Reference	4
Library Department	Library Program Coordinator - Technical Services	4
Library Department	Library Program Coordinator - Technology	4
Library Department	Library Program Coordinator - Youth Services	4
Library Department	Library Technology Assistant	4
Library Department	Literacy Program Supervisor	4
Mayor and City Council	Council Member	1
Mayor and City Council	Mayor	1
Mayor and Council Office	Executive Assistant to Mayor & City Council	1
Oversight Board for Successor Agency to the City of Santa Clara Redevelopment Agency	Acting Board Member	1
Oversight Board for Successor Agency to the City of Santa Clara Redevelopment Agency	Board Member	1
Oversight Board for Successor Agency to the City of Santa Clara Redevelopment Agency	Board Member - Alternate	1
Oversight Board for Successor Agency to the City of Santa Clara Redevelopment Agency	Chair	1
Planning Commission	Commissioner	1
Police Department	Assistant Police Chief	1
Police Department	Communications Operations Manager	1
Police Department	Management Analyst	1
Police Department	Police Captain	3
Police Department	Police Chief	1
Police Department	Police Officer - P.A.L. Director	1
Police Department	Police Records Manager	1
Police Department	Staff Analyst I	1
Public Facilities Financing Corporation	Acting Director of Finance	1
Public Facilities Financing Corporation	Director	1
Public Facilities Financing Corporation	Director of Finance	1
Public Facilities Financing Corporation	Executive Director	1
Public Facilities Financing Corporation	General Counsel	1
Sports and Open Space Authority	Acting Treasurer	1
Sports and Open Space Authority	Board Member	1
Sports and Open Space Authority	Contract Administrator	1

**APPENDIX A TO CONFLICT OF INTEREST CODE
CITY OF SANTA CLARA
DESIGNATED POSITIONS REQUIRED TO FILE**

Department	Position	Categories
Sports and Open Space Authority	General Counsel	1
Sports and Open Space Authority	Treasurer	1
Stadium Authority	Acting Stadium Authority Finance Director, Treasurer and Auditor	1
Stadium Authority	Board Member	1
Stadium Authority	Executive Director	1
Stadium Authority	Stadium Authority Counsel	1
Stadium Authority	Consultant	1
Stadium Authority	Stadium Authority Finance Director, Treasurer and Auditor	1

"Consultant" means an individual who, pursuant to a contract with a state or local government agency:

(A) Makes a governmental decision whether to:

1. Approve a rate, rule, or regulation;
2. Adopt or enforce a law;
3. Issue, deny, suspend, or revoke any permit, license, application, certificate, approval, order, or similar authorization or entitlement;
4. Authorize the agency to enter into, modify, or renew a contract provided it is the type of contract that requires agency approval;
5. Grant agency approval to a contract that requires agency approval and to which the agency is a party, or to the specifications for such a contract;
6. Grant agency approval to a plan, design, report, study, or similar item;
7. Adopt, or grant agency approval of, policies, standards, or guidelines for the agency, or for any subdivision thereof; or

(B) Serves in a staff capacity with the agency and in that capacity participates in making a governmental decision as defined in Regulation 18702.2 or performs the same or substantially all the same duties for the agency that would otherwise be performed by an individual holding a position specified in the agency's Conflict of Interest Code under Government Code Section 87302.

APPENDIX B

MASTER LIST OF DISCLOSURE CATEGORIES
SPECIFICATION SHEET
REPORTABLE INVESTMENTS, INTERESTS IN REAL PROPERTY AND
INCOME

Disclosure
Category

1.	All investments and business positions in business entities, sources of income and interests in real property.
2.	Investments and business positions in business entities, and all sources of income.
3.	Interests in real property.
4.	Investments and business positions in business entities, and sources of income from entities providing supplies, services, equipment or machinery of the type used by the designated employee's unit.
5.	Investments and business positions in, and income from entities which are book outlets, vendors or providers of business services.
6.	Investments and business positions in business entities and income from sources engaged in construction, building, or material supply.
7.	Investments and business positions in business entities and income from sources which supply or manufacture solar energy systems.
8.	Investments and business positions in business entities and income from sources engaged in construction or development.
9.	Investments and business positions in, and income from sources engaged in the construction of public works projects.
10.	Investments and business positions in business entities and income from construction companies involved in progress payments.
11.	Investments and business positions in, and income from construction firms involved in construction projects subject to acceptance by the City Council.
12.	Investments and business positions in business entities and income from business entities of the type to provide bids, supplies, vehicles and equipment.
13.	Investments and business positions in, and income from entities which provide training, services or facilities of the type utilized by the City.
14.	Investments and business positions in business entities and sources of income which provide services and supplies of the type used in emergency services coordination and training activities.
15.	Investments and business positions in, and income from, Union Pension Funds that may be affected by the outcome of negotiations involving monetary settlements and employer-employee memorandum.
16.	Investments and business positions in, and income from entities providing medical services or facilities of the type used by the City.

17.	Investments and business positions in, and income from business entities engaged in providing eye examinations and eye glasses, including but not limited to opticians, ophthalmologists, etc.
18.	Investments and business positions in and income from business entities supplying or manufacturing electronic equipment, supplies or services of the type utilized by the employee's unit.
19.	Investments and business positions in, and income from business entities providing supplies, services, equipment or machinery of the type used by the City.
20.	Investments and business positions in, and income from employment agencies or entities which provide employment or pre-employment services. Services include, but are not limited to, testing, training, consulting, job classification studies and salary surveys.
21.	Investments and business positions in, and income from, business entities which are of the type to provide any of the various types of employee insurance coverage and/or actuarial services.
22.	Investments and business positions in business entities, and income from sources which supply or manufacture firefighting equipment or supplies.



February 11, 2020

Al Guido, President
Forty Niners Stadium Management Company, LLC
4900 Marie P. De Bartolo Way
Santa Clara, California 95054

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Mr. Guido, President

Re: Statement of Economic Interest Form 700 Filing-2nd Notification

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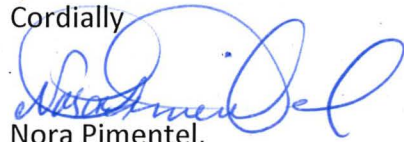
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Nora Pimentel,

Stadium Authority Secretary

CC: Deanna Santana, Executive Director
Brian Doyle, Stadium Authority Counsel

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WHEREAS, the Political Reform Act requires the City and its various authorities to adopt a local conflict of interest code that enumerates specific official and employee positions other than those specified in Government Code § 87200 which involve making or participating in making decisions which have a reasonably foreseeable material effect on an economic interest, and to designate for each position the types of investments, business positions, interests in real property and sources of income which are reportable based on the scope of the decision-making authority of the position;

WHEREAS, the Stadium Authority has adopted a Conflict of Interest Code in compliance with the provisions of the Political Reform Act, Government Code §§ 81000 et seq.; and,

WHEREAS, the Stadium Authority has reviewed and considered administratively suggested changes to the listing of designated positions of Authority officials, along with assigned disclosure categories, in the Conflict of Interest Code.

**NOW THEREFORE, BE IT FURTHER RESOLVED BY THE SANTA CLARA STADIUM AUTHORITY
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(b) Designated officials, employees, and consultants shall file statements of economic interests with the City Clerk, who shall be and perform the duties of filing officer for the City of Santa Clara and its authorities.

3. Effective date. This resolution shall become effective immediately.

I HEREBY CERTIFY THE FOREGOING TO BE A TRUE COPY OF A RESOLUTION PASSED AND ADOPTED BY THE SANTA CLARA STADIUM AUTHORITY, AT A REGULAR MEETING THEREOF HELD ON THE 27th DAY OF FEBRUARY 2018, BY THE FOLLOWING VOTE:

AYES: BOARD MEMBERS: Caserta, Davis, Mahan, and Watanabe and Mayor Gillmor

NOES: BOARD MEMBERS: None

ABSENT: BOARD MEMBERS: Kolstad and O'Neill

ABSTAINED: BOARD MEMBERS: None

ATTEST:



JENNIFER YAMAGUMA
ACTING SECRETARY OF THE
STADIUM AUTHORITY
SANTA CLARA STADIUM AUTHORITY

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**City of
Santa Clara**
The Center of What's Possible

CITY OF SANTA CLARA CONFLICT OF INTEREST CODE

SECTION 1: CONFLICT OF INTEREST CODE - ADOPTED

The Political Reform Act, Government Code Sections 81000 et seq., requires state and local government agencies to adopt and promulgate Conflict of Interest Codes. The Fair Political Practices Commission has adopted a regulation, 2 Cal. Code of Regs. Section 18730, which contains the terms of a standard Conflict of Interest Code, which can be incorporated by reference and which may be amended by the Fair Political Practices Commission to conform to amendments in the Political Reform Act after public notice and hearings. Therefore, the terms of 2 Cal. Code of Regs. Section 18730 and any amendments to it duly adopted by the Fair Political Practices Commission are hereby incorporated by reference and, along with the attached Appendix A in which officials and employees are designated and Appendix B in which disclosure categories are set forth, constitute the Conflict of Interest Code of the City of Santa Clara, which is considered the agency within the purview of this code. The Conflict of Interest Code of the City of Santa Clara so adopted amends and replaces any Conflict of Interest Code of the City of Santa Clara previously in effect to conform to this newly adopted code.

SECTION 2: CONFLICT OF INTEREST CODE - STATEMENTS

Designated employees shall file statements of economic interests with the City Clerk, who shall be and perform the duties of filing officer for the City of Santa Clara.

SECTION 3: SAVINGS CLAUSE

Any change provided for in this conflict of interest code shall not affect or excuse any offense or act committed or done or omission or any penalty or forfeiture incurred or accruing under any other conflict of interest code; nor shall it affect any prosecution, suit, or proceeding pending or any judgment rendered in connection with any other conflict of interest code.

Appendix A: On file in City Clerk's Office

Appendix B: Attached

**APPENDIX A TO CONFLICT OF INTEREST CODE
CITY OF SANTA CLARA
DESIGNATED POSITIONS REQUIRED TO FILE**

Department	Position	Categories
Bayshore North Project Enhancement Authority	Acting Executive Director	1
Bayshore North Project Enhancement Authority	Acting Treasurer	1
Bayshore North Project Enhancement Authority	Authority Counsel	1
Bayshore North Project Enhancement Authority	Board Member	1
Bayshore North Project Enhancement Authority	Executive Director	1
Bayshore North Project Enhancement Authority	Treasurer	1
City Attorney's Office	Assistant City Attorney	1
City Attorney's Office	City Attorney	1
City Attorney's Office	Consultant	1
City Attorney's Office	Deputy City Attorney	1
City Attorney's Office	Executive Assistant to City Attorney	1
City Attorney's Office	Senior Deputy City Attorney	1
City Clerk's Office	Assistant City Clerk	1
City Clerk's Office	City Clerk/Auditor	1
City Clerk's Office	Consultant	1
City Clerk's Office	Management Analyst	1
City Manager's Office	Assistant City Manager	1
City Manager's Office	Chief Operating Officer	1
City Manager's Office	City Manager	1
City Manager's Office	Community Relations Manager	1
City Manager's Office	Consultant	1
City Manager's Office	Deputy City Manager	1
City Manager's Office	Executive Assistant to City Manager	1
City Manager's Office	Management Analyst	1
City Manager's Office	Assistant to the City Manager	1
City Manager's Office	Chief Stadium Authority Officer	1
Civil Service Commission	Commissioner	1
Department of Community Development	Assistant Planner I	1
Department of Community Development	Assistant Planner II	1
Department of Community Development	Associate Planner	1
Department of Community Development	Building Official	1
Department of Community Development	Code Enforcement Technician	1
Department of Community Development	Combination Inspector	1
Department of Community Development	Consultant	1
Department of Community Development	Development Review Officer	1
Department of Community Development	Director of Community Development	1
Department of Community Development	Housing & Community Services Division Manager	1
Department of Community Development	Housing Inspector	1

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Department	Position	Categories
Department of Community Development	Inspection Manager	1
Department of Community Development	Management Analyst	1
Department of Community Development	Plan Review Manager	1
Department of Community Development	Planning Manager	1
Department of Community Development	Plans Examiner	1
Department of Community Development	Principal Planner	1
Department of Community Development	Senior Inspector	1
Department of Community Development	Senior Inspector (Building)	1
Department of Community Development	Senior Inspector (Electrical)	1
Department of Community Development	Senior Permit Technician	1
Department of Community Development	Senior Plans Examiner	1
Department of Community Development	Staff Analyst I	1
Department of Community Development	Staff Analyst II	1
Department of Electric Utility	Assistant Director of Electric Utility/Energy Distribution	1
Department of Electric Utility	Assistant Director of Electric Utility/Planning & Strategic Services	1
Department of Electric Utility	Business Analyst/Fiber	4,8
Department of Electric Utility	Business Analyst/Public Benefits	4,8
Department of Electric Utility	Consultant	1
Department of Electric Utility	Chief Electric Utility Officer	1
Department of Electric Utility	Chief Operating Officer	
Department of Electric Utility	Electric Division Manager	1
Department of Electric Utility	Electric Program Manager	4,8
Department of Electric Utility	Electric Utility Network Administrator	4
Department of Electric Utility	Key Customer Representative	4,8
Department of Electric Utility	Power Account Clerk I	1
Department of Electric Utility	Power Account Clerk III	1
Department of Electric Utility	Power Contract Specialist	1
Department of Electric Utility	Power System Scheduler Trader	1
Department of Electric Utility	Power Trader	1
Department of Electric Utility	Principal Electric Utility Engineer	1
Department of Electric Utility	Principal Engineering Aide	4,8
Department of Electric Utility	Principal Power Analyst	1
Department of Electric Utility	Principal Utility Information Systems Manager	4
Department of Electric Utility	Resource Analyst II	4
Department of Electric Utility	Risk Control Analyst	1
Department of Electric Utility	Senior Key Customer Representative	4,8
Department of Electric Utility	Senior Power System Scheduler Trader	1
Department of Electric Utility	Sr. Business Analyst	4,8
Department of Electric Utility	Sr. Electric Division Manager	1
Department of Finance	Accounting Division Manager	1
Department of Finance	Acting Director of Finance	1
Department of Finance	Assistant Director of Finance	1

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Department	Position	Categories
Department of Finance	Business Analyst	1
Department of Finance	Budget and Treasury Division Manager	1
Department of Finance	Buyer	1
Department of Finance	Chief Storekeeper	2
Department of Finance	Director of Finance	1
Department of Finance	Management Analyst	1
Department of Finance	Municipal Services Division Manager	1
Department of Finance	Principal Accountant	1
Department of Finance	Principal Financial Analyst	1
Department of Finance	Purchasing Division Manager	1
Department of Human Resources	Assistant Director of Human Resources/EEO Officer	1
Department of Human Resources	Consultant	1
Department of Human Resources	Director of Human Resources/MERO	1
Department of Human Resources	Human Resources Division Manager	1
Department of Human Resources	Management Analyst	1
Department of Information Technology	Dir. Of Information Technology/Chief Information Officer	1
Department of Information Technology	Information Technology Services Manager	1
Department of Information Technology	Management Analyst	1
Department of Information Technology	Senior Information Technology Services Manager	1
Department of Parks & Recreation	Cemetery Operations Manager	2
Department of Parks & Recreation	Deputy Parks & Recreation Director	1
Department of Parks & Recreation	Management Analyst	1
Department of Parks & Recreation	Parks & Recreation Director	1
Department of Parks & Recreation	Parks Construction, Maintenance & Repair Supervisor	4
Department of Parks & Recreation	Recreation Manager	1
Department of Public Works	Assistant Public Works Director/City Engineer	1
Department of Public Works	Code Enforcement Officer	1
Department of Public Works	Building Maintenance Manager	2
Department of Public Works	Code Enforcement Technician	1
Department of Public Works	Compliance Manager	1
Department of Public Works	Consultant	1
Department of Public Works	Deputy Public Works Director	1
Department of Public Works	Director of Public Works	1
Department of Public Works	Environmental Program Manager	1
Department of Public Works	Fleet Manager	1
Department of Public Works	Materials Testing Technician	2
Department of Public Works	Principal Engineer	2
Department of Public Works	Principal Planner	1
Department of Public Works	Public Works Inspector	2

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Department	Position	Categories
Department of Public Works	Senior Engineer, Civil	2
Department of Public Works	Staff Analyst I - Environmental Programs	1
Department of Public Works	Traffic Engineer	2
Department of Water & Sewer Utilities	Assistant Director of Water & Sewer Utilities	1
Department of Water & Sewer Utilities	Assistant Water and Sewer Superintendent	1
Department of Water & Sewer Utilities	Code Enforcement Technician	1
Department of Water & Sewer Utilities	Compliance Manager	1
Department of Water & Sewer Utilities	Director of Water & Sewer Utilities	1
Department of Water & Sewer Utilities	Management Analyst	1
Department of Water & Sewer Utilities	Principal Engineer - Water	1
Department of Water & Sewer Utilities	Utility Business Systems Manager	1
Department of Water & Sewer Utilities	Water and Sewer Superintendent	1
Fire Department	Assistant Fire Marshal	1
Fire Department	Assistant Training Officer	2
Fire Department	Battalion Chief - Suppression	2
Fire Department	Battalion Chief - Training	2
Fire Department	Battalion Chief in EMS	2
Fire Department	Deputy Fire Chief	1
Fire Department	Deputy Fire Marshal	1
Fire Department	Deputy Fire Marshal - Hazardous Materials	1
Fire Department	Emergency Service Coordinator	2
Fire Department	Fire Chief	1
Fire Department	Fire Inspection Aide	2
Fire Department	Fire Marshal I/II/III	1
Fire Department	Fire Prevention Specialist	2
Fire Department	Fire Prevention Specialist I	2
Fire Department	Fire Prevention Specialist II	2
Housing Authority	Acting Treasurer	1
Housing Authority	Authority Counsel	1
Housing Authority	Board Member	1
Housing Authority	Secretary and Executive Director	1
Housing Authority	Treasurer	1
Housing Rehabilitation Loan Committee	Chair	1
Housing Rehabilitation Loan Committee	Committee Member	1
Industrial Development Authority	Board Member	1
Joint Financing Authority	Acting Chief Financial Officer	1
Joint Financing Authority	Chief Financial Officer	1
Joint Financing Authority	City Attorney	1
Joint Financing Authority	Director	1
Joint Financing Authority	Executive Director	1
Library Department	Assistant City Librarian	1

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DESIGNATED POSITIONS REQUIRED TO FILE**

Department	Position	Categories
Library Department	City Librarian	1
Library Department	Library Circulation Supervisor	4
Library Department	Library Division Manager - Support Services	1
Library Department	Library Program Coordinator - Branch Manager	4
Library Department	Library Program Coordinator - Reference	4
Library Department	Library Program Coordinator - Technical Services	4
Library Department	Library Program Coordinator - Technology	4
Library Department	Library Program Coordinator - Youth Services	4
Library Department	Library Technology Assistant	4
Library Department	Literacy Program Supervisor	4
Mayor and City Council	Council Member	1
Mayor and City Council	Mayor	1
Mayor and Council Office	Executive Assistant to Mayor & City Council	1
Oversight Board for Successor Agency to the City of Santa Clara Redevelopment Agency	Acting Board Member	1
Oversight Board for Successor Agency to the City of Santa Clara Redevelopment Agency	Board Member	1
Oversight Board for Successor Agency to the City of Santa Clara Redevelopment Agency	Board Member - Alternate	1
Oversight Board for Successor Agency to the City of Santa Clara Redevelopment Agency	Chair	1
Planning Commission	Commissioner	1
Police Department	Assistant Police Chief	1
Police Department	Communications Operations Manager	1
Police Department	Management Analyst	1
Police Department	Police Captain	3
Police Department	Police Chief	1
Police Department	Police Officer - P.A.L. Director	1
Police Department	Police Records Manager	1
Police Department	Staff Analyst I	1
Public Facilities Financing Corporation	Acting Director of Finance	1
Public Facilities Financing Corporation	Director	1
Public Facilities Financing Corporation	Director of Finance	1
Public Facilities Financing Corporation	Executive Director	1
Public Facilities Financing Corporation	General Counsel	1
Sports and Open Space Authority	Acting Treasurer	1
Sports and Open Space Authority	Board Member	1
Sports and Open Space Authority	Contract Administrator	1

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Department	Position	Categories
Sports and Open Space Authority	General Counsel	1
Sports and Open Space Authority	Treasurer	1
Stadium Authority	Acting Stadium Authority Finance Director, Treasurer and Auditor	1
Stadium Authority	Board Member	1
Stadium Authority	Executive Director	1
Stadium Authority	Stadium Authority Counsel	1
Stadium Authority	Consultant	1
Stadium Authority	Stadium Authority Finance Director, Treasurer and Auditor	1

"Consultant" means an individual who, pursuant to a contract with a state or local government agency:

(A) Makes a governmental decision whether to:

1. Approve a rate, rule, or regulation;
2. Adopt or enforce a law;
3. Issue, deny, suspend, or revoke any permit, license, application, certificate, approval, order, or similar authorization or entitlement;
4. Authorize the agency to enter into, modify, or renew a contract provided it is the type of contract that requires agency approval;
5. Grant agency approval to a contract that requires agency approval and to which the agency is a party, or to the specifications for such a contract;
6. Grant agency approval to a plan, design, report, study, or similar item;
7. Adopt, or grant agency approval of, policies, standards, or guidelines for the agency, or for any subdivision thereof; or

(B) Serves in a staff capacity with the agency and in that capacity participates in making a governmental decision as defined in Regulation 18702.2 or performs the same or substantially all the same duties for the agency that would otherwise be performed by an individual holding a position specified in the agency's Conflict of Interest Code under Government Code Section 87302.

APPENDIX B

**MASTER LIST OF DISCLOSURE CATEGORIES
SPECIFICATION SHEET
REPORTABLE INVESTMENTS, INTERESTS IN REAL PROPERTY AND
INCOME**

Disclosure
Category

1.	All investments and business positions in business entities, sources of income and interests in real property.
2.	Investments and business positions in business entities, and all sources of income.
3.	Interests in real property.
4.	Investments and business positions in business entities, and sources of income from entities providing supplies, services, equipment or machinery of the type used by the designated employee's unit.
5.	Investments and business positions in, and income from entities which are book outlets, vendors or providers of business services.
6.	Investments and business positions in business entities and income from sources engaged in construction, building, or material supply.
7.	Investments and business positions in business entities and income from sources which supply or manufacture solar energy systems.
8.	Investments and business positions in business entities and income from sources engaged in construction or development.
9.	Investments and business positions in, and income from sources engaged in the construction of public works projects.
10.	Investments and business positions in business entities and income from construction companies involved in progress payments.
11.	Investments and business positions in, and income from construction firms involved in construction projects subject to acceptance by the City Council.
12.	Investments and business positions in business entities and income from business entities of the type to provide bids, supplies, vehicles and equipment.
13.	Investments and business positions in, and income from entities which provide training, services or facilities of the type utilized by the City.
14.	Investments and business positions in business entities and sources of income which provide services and supplies of the type used in emergency services coordination and training activities.
15.	Investments and business positions in, and income from, Union Pension Funds that may be affected by the outcome of negotiations involving monetary settlements and employer-employee memorandum.
16.	Investments and business positions in, and income from entities providing medical services or facilities of the type used by the City.

17.	Investments and business positions in, and income from business entities engaged in providing eye examinations and eye glasses, including but not limited to opticians, ophthalmologists, etc.
18.	Investments and business positions in and income from business entities supplying or manufacturing electronic equipment, supplies or services of the type utilized by the employee's unit.
19.	Investments and business positions in, and income from business entities providing supplies, services, equipment or machinery of the type used by the City.
20.	Investments and business positions in, and income from employment agencies or entities which provide employment or pre-employment services. Services include, but are not limited to, testing, training, consulting, job classification studies and salary surveys.
21.	Investments and business positions in, and income from, business entities which are of the type to provide any of the various types of employee insurance coverage and/or actuarial services.
22.	Investments and business positions in business entities, and income from sources which supply or manufacture firefighting equipment or supplies.