



FY2020/21 and FY2021/22

Proposed Biennial Capital Improvement Program Budget



**City of
Santa Clara**
The Center of What's Possible

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City of Santa Clara

The Center of What's Possible

May 1, 2020

Honorable Mayor, City Council and Residents of Santa Clara,

I am pleased to present the Proposed Biennial Capital Budget for fiscal years (FY) 2020/21 and 2021/22 and Five-Year Capital Improvement Program (CIP) as well as the proposed amendments to the 2020/21 Adopted Operating Budget. This continues the biennial budget process that alternates between an operating and capital budget. This year's budget focuses on our capital infrastructure, supporting the facilities that serve our residents and community.

This budget is being presented during a time of unprecedented uncertainty and while both a global public health and economic crisis take place. While the focus of this budget is on capital improvements, there are operating budget adjustments that are necessary to address the projected drop in revenues associated with COVID-19. The global pandemic has suddenly altered the trajectory of the economy and the long-lasting impacts are yet to be fully known, but already they have been significant for residents and the economy. We must continue to plan for the impacts to our City: high-level budget balancing strategies are outlined in this transmittal letter and additional detailed budget actions will be brought forward during FY 2020/21 to implement those strategies.

The Biennial Capital Budget totals \$284.7 million and the Five-Year CIP totals \$514.5 million, supporting infrastructure improvements throughout the City. Of this CIP amount, \$369.5 million (72%) funds capital projects for the City's utilities and there is sufficient funding in the associated utility funds to support these projects. The other projects total \$145.0 million and fund improvements to the parks, trails, libraries, transportation system, storm system, convention center and other City facilities. There are significant funding gaps in these areas and unfunded projects identified in this budget total approximately \$300 million over the next five years. This funding gap does not fully represent all the unmet/deferred infrastructure needs throughout the City. Beyond the five-year period, the unmet needs total approximately \$1 billion. Long term funding strategies are needed to meet these infrastructure needs as the General Fund does not have sufficient funding to cover these capital costs.

Following is an overview of the total FY 2020/21 budget, the biennial capital budget and CIP, and the operating budget. The Proposed Budget will be discussed at Study Sessions on May 12, 2020 and June 9, 2020 and will be brought forward for final approval on June 23, 2020.

TOTAL BUDGET OVERVIEW

The FY 2020/21 Proposed Budget totals \$972.1 million. This budget figure includes adjustments for transfers, contributions and reserves to avoid double counting of the same funds (e.g. internal service funds are excluded). The FY 2020/21 Proposed Budget is below the FY 2019/20 Adopted Budget of \$1.18 billion primarily because the carryover of funds from FY 2019/20 to FY 2020/21 has not yet been factored into the budget. The table below summarizes the total adopted budgets by fund group.

City of Santa Clara Annual Budget		
Fund Type	FY 2019/20 Adopted Budget	FY 2020/21 Proposed Budget
General Fund	262,904,910	285,758,755
Special Revenue Funds	29,248,422	20,474,387
Enterprise Funds	652,028,906	691,971,182
Internal Service Funds	35,767,831	37,533,057
Debt/Other	74,536,674	17,254,022
Less (Transfers, Contributions, and Reserves)	(209,783,877)	(228,281,944)
Subtotal Operating Budget	844,702,866	824,709,459
Capital Funds	339,560,757	147,360,699
Total Budget	1,184,263,623	972,070,158

Summary of Budgeted Positions

The 2020/21 Proposed Budget include a net addition of 19.75 positions from the 2019/20 Adopted Budget. This includes 1.0 position that was previously included in FY 2020/21 as part of the FY 2019/20 and FY 2020/21 Adopted Biennial Operating Budget. In addition, 17 non-General Funded positions were approved by the City Council/Stadium Authority Board during the course of the fiscal year. As of writing this transmittal letter, Related Santa Clara has advised the City of Santa Clara that it will not be funding the 8 FTEs that were added as of July 1, 2020. An additional 1.75 positions are included at no additional cost in this Proposed Budget. These positions are detailed in the FY 2020/21 Operating Budget Amendment section of this document. The following table summarizes changes in the number of budgeted positions from the FY 2019/20 Adopted Budget.

Summary of Budget Position Changes (All Funds)	
FY 2019/20 Adopted Budget Positions	1,132.75
FY 2020/21 Adopted Budget Position	1.00
Base Budget Changes (previously approved by Council)	17.00
FY 2020/21 Proposed Net Additional Positions	1.75
FY 2020/21 Proposed Budget Positions	1,151.50

Guiding Framework

The capital projects are aligned to the following key Council Strategic Pillars that were adopted by the City Council in 2019.

Strategic Pillar	CIP Projects	%
Promote and Enhance Economic, Housing, and Transportation Development	\$11.4 M	2.2%
Deliver and Enhance High Quality Efficient Services and Infrastructure	\$471.5 M	91.6%
Enhance Community Sports, Recreational and Arts Assets	\$19.1 M	3.7%
Enhance Community Engagement and Transparency	\$0	0.0%
Ensure Compliance with Measure J and Manage Levi's Stadium	\$0	0.0%
Manage Strategically Our Workforce Capacity and Resources	\$1.3 M	0.3%
Promote Sustainability and Environmental Protection	\$11.2 M	2.2%
Total	\$514.5 M	100.0%

On January 31, 2020, the City Council reviewed and adopted FY 2020/21 Budget Principles. These Budget Principles provide a framework for budget review and development; ensuring fiscal stability by considering both the short and long-term impacts of any funding decisions. The Budget Principles can be found in the ***Budget and Fiscal Policies*** section of this document.

CAPITAL BUDGET OVERVIEW

The CIP guides the City in the planning, scheduling, and budgeting of capital investments within each of the twelve theme areas. The FY 2020/21 and FY 2021/22 Biennial Capital Budget and CIP is a carefully balanced budget that reflects the City Council priorities and continues strategic investments in the City's infrastructure. The Proposed Biennial Capital Budget totals \$284.7 and the CIP totals \$514.5 million over the five-year period.

A Fresh Look

Consistent with the City Council pillar to enhance transparency, this capital budget is restructured to provide the Council and public with a better representation of City funds and spending. In this document, we present enhanced budget and fiscal policies, comprehensive budgetary financial statements, capital overviews by theme with alignment to our Council priorities, and summaries of this information through new key graphs and charts. Similar to the changes to the Biennial Operating Budget last year, this reset continues the broader effort to set a foundation for long-term financial sustainability and future growth of our organization.

Capital Project Overview by Theme

The Biennial Capital Budget and CIP is broken down by theme as follows:

Theme	2020/21 Budget	2021/22 Budget	Five-Year CIP
Administrative Facilities	1,745,000	335,000	2,080,000
Community Facilities	1,006,880	0	1,006,880
Convention Center	0	0	0
Electric Utility	57,997,602	59,163,653	191,510,247
Other Community Projects	5,726,797	5,512,432	31,740,238
Parks and Trails	4,764,800	11,914,248	24,834,748
Sewer Utility	41,370,152	34,002,842	148,499,214
Solid Waste	882,000	623,700	3,570,225
Storm Drain	4,190,000	339,000	4,829,000
Technology and Equipment	2,341,401	1,464,310	7,146,995
Transportation	22,176,067	17,650,313	73,373,210
Water and Recycled Water Utilities	5,160,000	6,335,000	25,923,029
TOTAL	147,360,699	137,340,498	514,513,786

Administrative Facilities

The Administrative Facilities theme includes projects to preserve the function and operational efficiency of City facilities such as the Triton Museum, Morse Mansion, City Hall and other historic buildings. These capital investments will provide renovations and improvements to include paint, plumbing and electrical fixtures, and roof repairs to ensure safe and appropriate facilities for the public and City employees.

Some of the major projects in the Administrative Facilities are detailed below.

Stationary Standby Generators

Many of the City's stationary emergency standby generators have reached their useful life cycles and need major repairs. This project will replace standby generators throughout the City to improve reliability and comply with regulatory requirements.



Civic Center Campus Renovation

This project provides funds for remodeling and redesigning of several key City offices to improve organization and efficiency.

Community Facilities

The Community Facilities theme includes projects to enhance the functionality and sustainability of many important city-owned facilities such as the replacement of a roof at the Bowers Park Building.

Some of the major projects in the Community Facilities theme are detailed below.

Electronic Access for Meeting Rooms

This project will allow citizens remote access to park buildings addressing the growing demands for program, meeting and event space as our population grows and to ensure the efficiency by reducing staff and user time required to gain access to non-staffed facilities.

Bowers Roof Replacement Project

The Bowers Park Building is used for various city recreational programs, meetings and events. Due to the critical condition of the roof that is beyond its useful life and needs replacement, the facility has been temporarily closed. The proposed budget for this project will include funding to replace the roof and repair any internal damage caused by the condition of the roof.

Convention Center



The Convention Center's CIP is managed by Spectra and is comprised of projects focused on the maintenance, repair, renovation or replacement of existing systems and infrastructure including, but not limited to HVAC, façade and aesthetic improvements, and technological advancements.

The projects funded in FY 2019/20 include HVAC upgrades, security system replacement, roof assessment and repair (glass pyramid), replacement of terrace area tiles, digital signage upgrades, and interior painting, carpet, door and facility lighting replacement. These projects are currently in various stages of development, implementation and procurement and funding expected to be carried over to FY 2020/21 to complete the projects. No additional funding is programmed in the Proposed CIP.

It should be noted that the projects included for the Convention Center were examined prior to the COVID-19 pandemic. As such, these projects may need to be reevaluated or reprioritized upon the reopening of the facility, depending on requirements of the local health official to conduct public events.

Electric Utility

The Electric Utility theme includes projects that serve to maintain and upgrade electric generation, transmission, and distribution facilities and equipment to meet the mission of the Electric Department, doing business as Silicon Valley Power (SVP), to be a progressive, service-oriented utility, which offers reliable and competitively priced services for the benefit of the City and its customers.

Some of the major projects in the Electric Utility theme are detailed below.

Serra Substation Rebuild

Serra Substation has aging equipment and is nearing the end of service life. Major equipment needing replacement includes switchgear and transformers that were installed in 1958 and 1975 respectively. In 2018, the switchgear experienced a major internal fault and has one transformer bank which does not meet SVP's current standards. Work includes replacing an existing single 16 MVA bank and switchgear with two (2), twenty (20) MVA banks, new switchgear, and control room. Construction began in March 2020 and is expected to be completed in April 2021. Total project cost, all years, is approximately \$20 million.

Silicon Valley Power Utility Center

This project involves the feasibility, planning, California Environmental Quality Act (CEQA) permitting of a new utility administration center that will be capable of housing SVP and Water & Sewer Utilities Department at the City's Civic Center campus site. The City has engaged an architecture firm for the initial study phase to develop a Civic Center Concept Plan and utility center project. Upon completion of this initial phase, the schematic design is expected to be completed in May 2021. The Utility Center has a conceptual cost of \$137 million in 2019 construction dollars and currently has available budget of approximately \$18 million.

Electric Vehicle (EV) Charging

A project added in FY2019/20, SVP's EV Charging infrastructure will support the City's conversion to clean energy and prepare for future installations in accordance with California standards. This project will design, build, replace seven (7) existing chargers at one (1) location, install eighteen (18) new chargers at seven (7) locations, and expand infrastructure to meet future needs. Total project cost is approximately \$4 million.



Other Community Projects

The projects in the Other Community Projects theme include those that do not easily fit within one of the other CIP categories such as the Downtown Master Plan and the Related Santa Clara Project. The goal of projects in this category is to explore opportunities, through public-private partnerships and community engagement that would guide the growth of the City by maximizing the overall economic benefit of future land uses and create a more vibrant community. While these projects are underway, it is important to note that changes may be required as we continue to learn about the long-term impacts related to community gatherings, engagement, and regulations. These projects are both sensitive to new community requirements and an economic downturn: therefore, flexibility will be required.

Some of the major projects in the Other Community Projects theme are detailed below.

Downtown Master Plan

The City of Santa Clara is in the process of planning for the revitalization of our Downtown by developing a Precise Plan that will provide guidance for new development within a portion of the Downtown Focus Area. Following an initial community engagement effort, three land use scenarios/conceptual plans have been developed. Staff is proposing funding of \$100,000 for additional review and testing of the land use/design options, a larger context study to understand compatibility and competition from other large development projects, and an El Camino Real intersection study to understand multi modal connectivity from regional transit to downtown. This work will focus on market feasibility, retail strategy and connectivity.

Related Santa Clara Project

The Related Santa Clara Project is envisioned to be an approximately 240-acre, multi-phased urban city development located in the heart of the Silicon Valley. The project will create a new center of activity for the community and region, providing significant mixed-use development, retail offerings, office buildings, hotel rooms, and rental residential dwellings at an urban scale, as well as accessible outdoor spaces and new infrastructure to support the development.

Public Works Capital Projects Management Services Allocation

The Public Works Capital Management Services Allocation is for the Public Works Department to recoup the staff time and non-personnel costs utilized for general project management, design, engineering and inspection services for the City's CIP projects. These projects are planned and built for a variety of public purposes, such as transportation, stormwater management and parks.

Parks and Trails

The projects in the Parks and Trails theme address the City Council's adopted goal to "enhance community sports and recreational assets" by providing measurably improved play value in inclusionary, environmentally sustainable, age-friendly Parks & Recreation facilities for all residents. It also includes Trails projects by providing City facilities that serve a dual purpose of transportation (biking and walking) and recreation.

Major projects in the Parks & Recreation theme are detailed below.

Park Playground Rehabilitation

A total of seven playgrounds are scheduled to be rehabilitated in the CIP, including the Magical Bridge All Inclusive playground at Central Park and the neighborhood playgrounds at Montague, Westwood Oaks, Warburton, Rotary, Maywood and Earl Carmichael parks. The City will work with a landscape designer to design new play spaces, incorporating community input.

Community Park North- Phase I

Per the Developer Agreement, a 34.9-acre parcel, formerly part of the City's golf course, will be developed into Santa Clara's second community park. This project is funded from Developer Contribution in the amount \$5 million, of which \$500,000 is for design and engineering and \$4.5 million for construction of Phase I improvements. Phase I will include community outreach, schematic design and design

development, and program planning. Phase I improvements will be determined after the community outreach process and it is anticipated that these improvements in total will exceed the current funding level.

Creek Trail Network Expansion Masterplan

In 2013, the City completed the Feasibility Study for the City's Creek Trail Network Expansion. The Study analyzed the feasibility of expanding the off-street network into the Calabazas Creek, Saratoga Creek and the San Francisco Public Utilities Commission's (SFPUC) Hetch Hetchy right-of-way (within Santa Clara city limits) areas. Based on the 2013 effort, the City has hired a consultant to advance the Feasibility Study to a Master Plan. The Master Plan includes data collection, stakeholder coordination, preliminary engineering for the trail alignments and crossings, trail standards, public outreach and preparation of the necessary environmental documents. This project does not include the construction of the expanded trail network.

Saratoga Creek Trail (Homeridge Park to Central Park)

This project will construct a pedestrian and bicycle trail between Homeridge Park, Bill Wilson, Jr. Park, and Central Park. Improvements include a paved trail adjacent to Saratoga Creek, undercrossing beneath Homestead Road and Kiely Boulevard, and a pedestrian bridge over Saratoga Creek adjacent to Kiely Boulevard.

Annual Creek Trail Rehabilitation Program

This new project funds pavement preventative maintenance and rehabilitation of San Tomas Aquino Creek Trail and Guadalupe River Trail to extend the useful life of the City's trail system assets.

Sewer Utility

The capital projects in the Sewer Utility theme support the maintenance, rehabilitation, or repair of the City's sanitary sewer collection system which collects and conveys wastewater to the jointly owned San Jose-Santa Clara Regional Wastewater Facility (RWF) for treatment and disposal of approximately 13-14 million gallons of sanitary sewage per day. The Sewer Utility maintains sewer pump stations and collaborates with the Department of Public Works in the operation and maintenance of the Storm pump stations.

Some of the major projects in the Sewer Utility theme are detailed below.

San José-Santa Clara Regional Wastewater Facility

This project funds the City of Santa Clara's share of the 30-year CIP at the San José-Santa Clara Regional Wastewater Facility (RWF). The City owns the RWF jointly with the City of San José. Santa Clara has input on the CIP expenditures and budget through staff level collaboration with San José and Council involvement with the Treatment Plant Advisory Committee (TPAC). San José staff operates and maintains the wastewater collection system according to an agreement.



Sanitary Sewer Capacity Improvements

This project funds the design and construction of sanitary sewer infrastructure based on capacity deficiencies that were identified in the 2016 Sanitary Sewer Master Plan Update. This project is intended to provide sanitary sewer system conveyance capacity and reliable infrastructure to meet current capacity needs and any future increased capacity needs caused by development or any other factors.

Sanitary Sewer System Improvements

This project funds repairs to the sanitary sewer system that were identified by cleaning and CCTV inspections of the sewer collection system.

Solid Waste

The project in the Solid Waste category provides for monitoring, data collection, reporting, repairs and maintenance at the City's closed landfill. These efforts are performed as required by the Regional Water Quality Control Board, Bay Area Air Quality Management District, CalRecycle, and Santa Clara County Department of Environmental Health.

Storm Drain

The projects in the Storm Drain category maintain and improve the storm drain infrastructure, including the pipe conveyance system, pump and lift stations, manholes, catch basins, outfalls, retention basins, trash capture devices, and drainage swales. The CIP also includes work related to compliance with the Municipal Regional Stormwater National Pollutant Discharge Elimination System Permit and management of stormwater runoff and collection.

Major projects in the Storm Drain theme are detailed below.

Laurelwood Pump Station Rehabilitation

The Laurelwood Storm Drain Pump Station was built in 1986 and collects drainage from approximately 308 acres. There have not been any major improvements to the station since it was constructed. Based on the station condition assessment report, the project includes a major rehabilitation of the pump station's mechanical, electrical, plumbing, and structural system.

Westside Retention Basin Pump Replacement Project

The existing pumps at the station are at their end of life and need to be replaced. Scope of work consists of replacing deteriorated pumps, motors, associated piping, and wiring. Completion of the project is critical to provide ongoing and reliable stormwater conveyance and management for the Westside Retention Basin that has the largest drainage area of 854 acres.

Lafayette Street Underpass at Subway Pump Station



There is constant ponding of groundwater on Lafayette Street at the underpass located next to the Lafayette Street Subway Pump Station. The scope of work for the first phase of this project consists of evaluation of the existing site condition, which includes geotechnical boring and testing, structural analysis, and preparing schematic design plans and report.

Storm Drain Slide Gate Rehabilitation

The City currently has 22 storm drain pump and lift stations that are maintained regularly to clear debris, trash, and other solids. Routine maintenance is made difficult when continuous water flows into the stations and maintenance staff does not have the ability to stop incoming flow. Existing slide gates used to control storm water coming into the stations need to be replaced or additional slide gates need to be installed to help manage flows.

Technology and Equipment

The capital projects in the Technology and Equipment category serve to increase efficiency, security, and functionality throughout the City.

Some of the major projects in the Technology and Equipment program are detailed below.

Protective Equipment Replacement

The Fire Department requires personal protective equipment and clothing for its firefighters. This project funds the regular replacement of this type of equipment and clothing once it reaches the end of its useful life.

Agenda and Document Management Systems

This project upgrades the City's records system and agenda system that have been in place since 2003 and 2007, respectively. The upgrade will increase functionality and reliability for the City. This project was first initiated in 2016 and it is anticipated that this project will be fully implemented in 2021.

Permit Information System

This project provides funding for the permit tracking system to automate permitting and workflow, allowing other departments and the public to access information online, on the City network or mobile devices. This system is anticipated to improve permit streamlining and coordination for both staff and applicants, improve integration and technology including mobile solutions, and provide online information for applicants. City business processes will be redesigned to take advantage of the functionality of the new system.

Replace Network Equipment

This project provides funding to replace the City's network equipment that is at the end of its useful life.

Utility Management Information System (UMIS) Enhancements

The Utility Management Information System (UMIS) is a centralized billing system serving the City's utility customers. This system upgrade will introduce a new customer self-service portal, replace the current phone recording system, and upgrade the enterprise contact center.

Transportation

The projects in the Transportation theme design, construct, and maintain local transportation facilities. Transportation facilities include public streets, sidewalks, curb/gutters, handicap ramps, bicycle facilities, street intersections, crosswalks, traffic operations devices, traffic signals and equipment, traffic and street signage and pavement markings, and landscaped areas.

Some of the major projects in the Transportation program are detailed below.

Public Right-of-Way ADA Improvements (Settlement Agreement)

The City entered into a settlement agreement based on litigation requiring the City to perform improvements in the public right-of-way to remove barriers to accessibility under the Americans with Disabilities Act (ADA). The improvements include removal of concrete sidewalks, repair of asphalt, curb ramps and pedestrian street crossings.

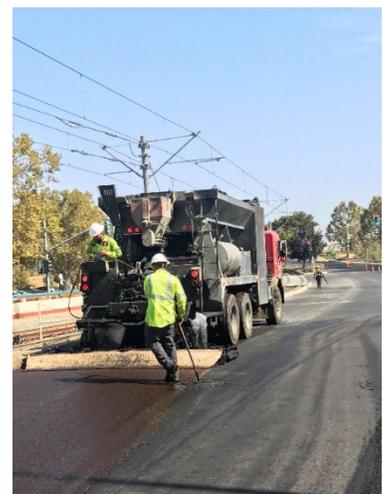
The City is also preparing an Americans with Disabilities Act (ADA) Transition Plan for public buildings, parks, and public rights-of-way. The resulting plan will identify barriers to accessibility, methods for improving access, establish a timeline and costs for removing ADA barriers, identify the person responsible for title II compliance, and involve people with disabilities in the preparation of the plan. The plan will provide a strategic approach and tools for continued access improvements as the City implements its ADA Self-Evaluation and Transition Plan in the coming years.

Annual Street Maintenance and Pavement Rehabilitation

This project provides funding for pavement maintenance required to maintain the City's transportation infrastructure.

Pedestrian and Bicycle Enhancement Facilities

In conjunction with the recently approved Santa Clara Bicycle Plan 2018 and Santa Clara Pedestrian Master Plan, this project serves as the local funding match to be utilized by the City to obtain local, state, and federal grants to complete the priority bicycle and pedestrian projects identified by the City. This project is funded by Traffic Mitigation Fees as identified in the Traffic Impact Fee update approved by City Council in 2018.



Uncontrolled Crosswalks Improvement Project

This project is designed to develop a program and address some of the approximately 200 uncontrolled crosswalks in Santa Clara. This partially funded project provides funding for the study, prioritization, and improvement of some uncontrolled crosswalks in Santa Clara.

Water and Recycled Water Utilities

The capital projects in the Water and Recycled Water Utilities theme support the design, construction, distribution, metering, quality monitoring and system maintenance for both potable and recycled water. The Water Utility operates and maintains approximately 27,000 service connection points, 335 miles of distribution mains, seven storage tanks totaling 28.8 MG, twenty-one active groundwater wells, and three booster stations. The recycled water system distributes highly treated wastewater for non-potable uses. Currently, there are over 280 service connections and 33 miles of distribution pipelines. Recycled water accounts for 19.9% of total water sales.

Some of the major projects in the Water Utility are detailed below.

Distribution System Replacement/Restoration

This project funds the construction, replacement, rehabilitation or relocation of City water mains, backflow preventers, hydrants, meters and related appurtenances not funded by developer contributions. The Department analyzes the frequency and location of water main breaks, and includes information about the age of water infrastructure, to target water main replacements around the City each year.



Asset Management Program

This project funds the implementation of a formal, comprehensive Asset Management Program for the City's Water and Sewer Utilities, which includes risk and resiliency assessments, hydraulic modeling, and a rate study for the water and sewer systems. This formalized Asset Management Program will provide for the efficient management of the water and sewer infrastructure as well as to manage risk to the systems and ensure resiliency into the future.

SCADA Improvements

This project funds improvements and upgrades to the existing Supervisory Council and Data Acquisition (SCADA) system. This system, when fully upgraded, will allow for the real time collection of data regarding the operation of the water, sewer, and storm systems, including alarms to warn of dangerous, or soon to be dangerous conditions, and the ability to control the operation of certain assets remotely.

New & Replacement Wells

This project funds the study of the feasibility of two new replacement groundwater wells as well as the associated design, engineering, and construction management services. The aim is to replace groundwater wells that are no longer serviceable and construct new wells to maintain the adequacy of water supply and the diversity of the City's water portfolio into the future.

Tank Rehabilitation

This project funds the construction, rehabilitation, design and inspection of City water tanks, including site improvements, electrical systems upgrades. This project includes the site improvements to ensure regulatory compliance, interior and exterior coating replacement, new cathodic protection, ventilation systems, and various other instrumentation and functionality improvements.

The major project in the Water Recycling Program is detailed below.

Recycled Water System Mains and Services

This project funds the installation/upgrade/extension of recycled water services and mains for incoming developments as financially and practically feasible. Recycled water supports the broadening of the City's water portfolio and the public's reliance on potable water for non-potable uses (such as irrigation, industrial processing, cooling towers, and toilet flushing), and supports the City's overall sustainability strategy.



Unfunded Projects

The funding available to support capital projects is not sufficient to meet the infrastructure needs in many areas. The chart below identifies unfunded projects of approximately \$300 million that were itemized as part of this CIP process.

Theme	Unfunded Projects
Administrative Facilities	\$57.4 M
Community Facilities	\$20.6 M
Convention Center	\$11.9 M
Parks and Trails	\$104.5 M
Storm Drain	\$23.8 M
Technology and Equipment	\$5.2 M
Transportation	\$76.0 M
TOTAL	\$299.4 M

The unfunded projects identified in this report represent only a portion of the unmet/deferred infrastructure needs in the City. There is close to \$1.0 billion of identified capital needs.



- Parks Assessment (December 2017-Kitchell Report) identified \$100 million of parks grounds and building needs (\$156 million with escalation). This did not include the cost for renovations to the swim center.
- 2015 Storm Drain Master Plan identified \$343 million in projects (2018 dollars), including almost \$68 million in high priority projects
- Transportation infrastructure (e.g., traffic signal infrastructure replacement (\$50 million), uncontrolled crosswalks (\$50 million), Bicycle Plan (\$40 million), Creek Trail Master Plan (\$50 million), and pavement (\$9 million annually beyond CIP)
- Public Buildings (New City Hall (\$236 million – \$300 million), existing City Hall (\$39 million); fire stations, historic buildings, corporation yard, ADA plan
- Expand capacity (e.g., library)

To address these needs, a capital revenue source is needed. This could include a bond measure and/or a parcel tax measure in the future.

Operating Budget Impacts of Capital Projects

The table below summarizes the additional annual resources required to operate and maintain new facilities coming on-line during the out years of the CIP. The 2020/21 Proposed Budget includes operating and maintenance funds for facilities.

Theme	2021/22	2022/23	2023/24	2024/25
Convention Center	6,450	6,950	6,950	7,450
Electric Utility	262,000	298,100	334,400	370,900
Parks and Trails	9,685	9,980	10,078	10,280
Storm Drain	94,735	96,630	98,563	100,534
Technology and Equipment	118,700	118,700	118,700	20,200
TOTAL	491,570	530,360	568,691	509,364

In addition, for certain parks projects, the City requires funding for construction, operation and maintenance for a minimum of 40 years from developer-dedicated parkland or creation of a special district.

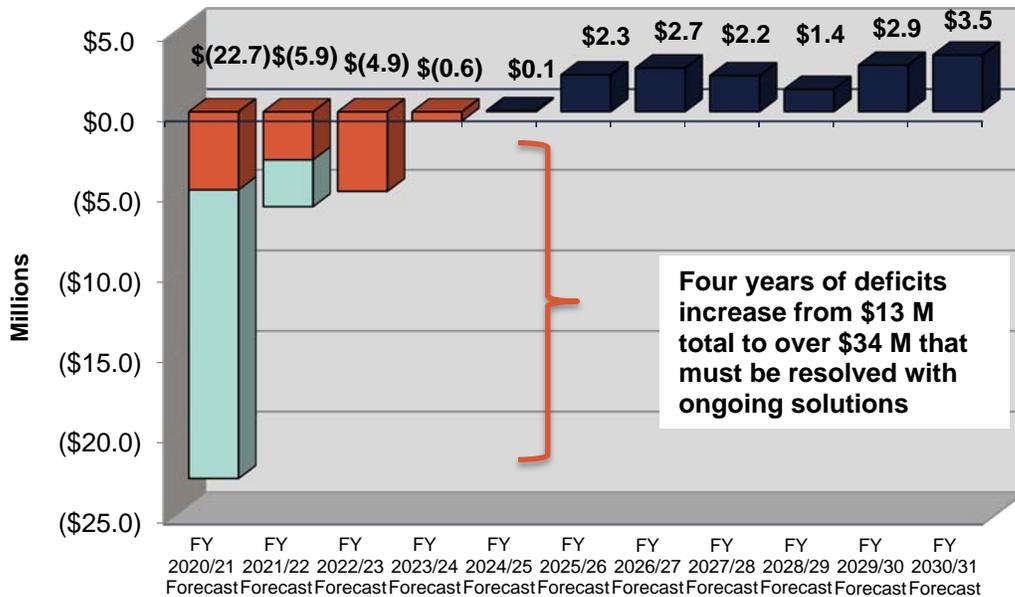
OPERATING BUDGET OVERVIEW

Ten-Year Financial Forecast and Economic Outlook

In January 2020, a 10-Year General Fund Forecast was prepared comparing anticipated General Fund revenues and expenditures to provide policy makers and the public an updated assessment of the City’s financial health. At that time, the Forecast was built on the assumption that economic growth would remain positive in all years of the forecast, but growth would be slightly slower in the first few years. With this lower economic growth modeled through 2022/23, shortfalls totaling \$13.3 million over the first four years has grown to over \$34 million over the next four years due to impacts from the COVID-19 pandemic. This deficit must be solved with ongoing solutions and, given that our resources were already comparably lower than other agencies, reductions will have a significant impact to service levels.

For example, for comparison only and to characterize the magnitude of the shortfall, without any revenue and/or expenditure saving solutions, the ongoing shortfall that we face in FY 2020/21 is roughly the equivalent of our complete Parks and Recreation Department, half of our Fire Department, or one-third of our Police Department. Deficits of this size will require strategic and careful planning; this strategy is detailed further in the next few pages of this transmittal letter.

April 2020 General Fund Forecast Update



While a moderate economic slowdown/recession was identified as a risk factor in the January Forecast, the significant change in the economic environment as a result of COVID-19 was not foreseen. With the pandemic, economic conditions have worsened in a very short period of time and many economists are now projecting one of the worst recessions in generations. In its Global Economic Outlook – Coronavirus Crisis Update on April 2, 2020, Fitch Ratings now assumes a deep global recession as its baseline forecast. According to Brian Coulton, Fitch’s chief economist, “The forecast fall in global GDP for the year as a whole is on par with the global financial crisis but the immediate hit to activity and jobs in the first half of the year will be worse. He also indicated that “Our baseline forecast does not see GDP reverting to its pre-virus levels until late 2021 in the US and Europe”.¹

The City’s General Fund revenues will be significantly impacted immediately and over the longer term based on the declining economic situation. The decline in revenues is projected to result in a General Fund shortfall of approximately \$10 million in FY 2019/20 and \$22.7 million in FY 2020/21. The chart below details the anticipated change in the FY 2020/21 shortfall. It should be noted that these projections are based on a return to a reduced level of economic activity after the shelter-in-place order is eased. It is difficult to fully know the ongoing impact at this time. We believe the shortfall represented below could be worse and is directly connected to the duration and magnitude of the shelter-in-place, as well as how re-opening the local economy is implemented over time.

¹ <https://www.fitchratings.com/research/sovereigns/deep-global-recession-in-2020-as-coronavirus-crisis-escalates-02-04-2020>



Revenue Category	Changed Assumptions	FY 2020/21 \$ Impact*
January 2020 Forecast		(\$4.8 M)
Transient Occupancy Tax	Based on 25% decline in FY 2019/20 is carried ongoing	(\$6.8 M)
Sales Tax	Based on 10% drop ongoing consistent with prior recessions	(\$5.6 M)
Property Tax	Lower supplemental (related to property resales) and excess ERAF	(\$1.9 M)
Interest	Based on lower interest rates	(\$1.8 M)
Charges for Services	Lower revenues from classes	(\$0.9 M)
Documentary Transfer Tax	Based on lower real estate sales and prices	(\$0.4 M)
Other Net Changes	Various upward and downward adjustments	(\$0.5 M)
Subtotal Projected Impact		(\$17.9 M)
Revised General Fund Shortfall		(\$22.7 M)

Amendments to the FY 2020/21 Adopted Operating Budget

The City Council approved the FY 2019/20 and 2020/21 Biennial Operating Budget on June 25, 2019. While this year’s budget is focused on the capital budget, several budget amendments to the FY 2020/21 Adopted Operating Budget are necessary to reflect the updated General Fund revenue estimates, address the General Fund shortfall, make adjustments to account for updated labor and non-personnel costs in the General Fund and other funds, and make adjustments to revenues in other funds.

The cost control measures recently implemented to address the decline in revenues, and the General Fund budget balancing strategy are summarized below. The detailed amendments to the FY 2020/21 Operating Budget by fund can be found in the **Appendices** section of this document.

Cost Control Measures

Several cost savings/budget balancing measures were implemented in FY 2019/20 to generate current year savings as well as ongoing savings that will be used in FY 2020/21 and in the development of the FY 2021/22 and FY 2022/23 Biennial Operating Budget. These actions include a hiring freeze and controls

around overtime, as-needed staff, marketing, travel, IT and vehicle/fleet purchases. In the current year, the goal will be to generate \$5 million to \$10 million in General Fund savings to help offset anticipated revenue reductions resulting from COVID-19.

General Fund Budget Balancing Strategy

The following is the high-level strategy that will be used to balance the FY 2020/21 budget. The plan is to address the shortfall with a combination of the following:

- Ongoing expenditure reductions
- One-time savings from cost control measures
- Potential ballot measures and other revenue generating actions
- Potential labor negotiations (subject to meet and confer)

The plan is to address at minimum one-half of the ongoing projected shortfall with ongoing expenditure reductions. Staff will begin working with departments during the summer with the goal of identifying specific ongoing reduction proposals that would achieve this shortfall target. We would bring this forward for Council consideration in September 2020, with a subsequent check-in in December 2020 should revenue measures be placed on the November 2020 ballot. Additional ongoing reductions may be required in the event of an unsuccessful ballot measure. The ongoing reductions will require a careful analysis of all staffing and non-personnel costs to identify reductions with a target range of \$8 million to \$12 million. With personnel costs representing over 70% of the General Fund budget, staffing expenditure reductions will be necessary to close the budget gap. It is important to note that some retirement costs are non-discretionary, making it more challenging to meet this reduction target.

One-time expenditure savings from the continuation of cost control measures implemented in April 2020 will also be available to address a portion of the projected budget shortfall in FY 2020/21. Anticipated savings of \$7 million to \$10 million will be targeted to be generated from departments next fiscal year. Because the use of one-time savings does not address the ongoing shortfall, it is considered a bridge strategy that allows time to develop ongoing solutions as well as determine the ongoing impact from the significant economic downturn associated with COVID-19. Combined with the anticipated ongoing expenditure reductions, a total of \$15 million to \$22 million are expected to be generated from reduced costs.

Other balancing actions will be pursued to close the gap. These may include an increase to the Transient Occupancy Tax by up to four percent (from 9.5% to 13.5%), other revenue generating actions such as increased fees to bring revenues closer to cost recovery, and expenditure reductions from labor negotiations. Any tax measure will require voter approval and reductions associated with labor negotiations are subject to meet and confer. The goal will be to address a portion of the ongoing shortfall with these strategies to reduce the ongoing impact on service levels and staffing.

To the extent that the measures above do not generate sufficient savings in FY 2020/21, we are proposing that the Budget Stabilization Reserve be used to address any remaining shortfall. This one-time funding source does not address a structural shortfall. Additionally, based on the level of use, it may require the City Council to change its policy of maintaining this reserve at the level of 25% of the General Fund.

The table below outlines the budget balancing strategy.

General Fund Savings Category	FY 2020/21 Budget Balancing
Ongoing Expenditure Reductions	
<u>Personnel Savings (e.g., vacancies, overtime)</u> We will evaluate all positions funded by the General Fund, with particular attention on those that are vacant, for elimination. This will require an evaluation of service levels and service delivery methods to identify potential reductions.	\$6 M - \$8 M
<u>Materials, Services, Supplies</u> We will evaluate all non-personnel expenses, including all contractual services, and identify budget reductions.	\$1 M - \$2 M
<u>Transfers to/from Other Funds/Interfund Services</u> Departments will evaluate all transfers to and from special funds as well as the General Fund support for interfund services.	\$1 M - \$2 M
Total	\$8 M - \$12 M
FY 2020/21 One-Time Savings	
<u>Cost Control Measures</u> Several cost control measures were implemented in April 2020 to generate savings to address the drop in General Fund revenues. These measures, including a hiring freeze and controls around overtime, as-needed staff, marketing, travel, IT and vehicle purchases, will remain in place in FY 2020/21 in an effort to create additional one-time savings.	\$7 M - \$10 M
Total Ongoing and One-Time Expenditure Reductions	\$15 M – 22 M
Other Potential Ongoing Balancing Actions	
<u>Potential Transient Occupancy Tax</u> If the City Council places a November 2020 measure on the ballot and voters approve it, an increase in the Transient Occupancy Tax from 9.5% to 13.5% will generate \$7 million ongoing, with a delayed effective date of Spring 2021.	\$7 M ongoing
<u>New or Increased Revenues</u> Actions to increase existing revenues or identify new revenues will be analyzed. This may include a review of fees for service where we are significantly below cost recovery.	TBD
<u>Labor Negotiations</u> Any labor negotiation actions would be subject to meet and confer with the City's labor unions. Savings will be required in order to prevent additional service reductions and/or layoffs.	TBD



General Fund Savings Category	FY 2020/21 Budget Balancing
<p><u>Service Reductions/Service Delivery Evaluation</u> We will evaluate programs and services that may need to be reduced that prioritize our essential services. These impacts may include the following (in no particular order):</p> <ul style="list-style-type: none"> - Reduced parks and recreation programming - Fewer library hours and/or programming - Fire Department paramedic response delivery - Fire Department apparatus “brown-outs” - Police Department minimum staffing resource requirements - Longer planning and code enforcement response times - Reduced internal service (finance, human resources, information technology, fleet) department expenditures - Fewer community events - Reduced outside funding group contributions - Reduced or deferred capital infrastructure maintenance - Reduced level of service from the City Clerk, City Attorney, City Auditor, and City Manager 	TBD
Total Other Potential Ongoing Balancing Actions	TBD
Use of Budget Stabilization Reserve	
<p><u>Budget Stabilization Reserve</u> This reserve will be used to address any remaining budget shortfall.</p>	TBD

As an interim strategy, only because we are required to submit a balanced budget for July 1, we are proposing that the City Council use \$22.7 million from the Budget Stabilization Reserve to bring the General Fund into balance in the adopted FY 2020/21 General Fund budget. This will be insufficient to resolve an ongoing budget deficit as previously described and will require the City Council to amend or approve an exception to the Council Policy requirement to maintain a 25% balance in the Budget Stabilization Reserve. Detailed ongoing budget reductions by department will be brought forward during FY 2020/21 in September for City Council consideration.

City Council Priorities

As part of the January 2020 City Council Priority Setting Session, 23 items were identified for staff to review and provide additional analysis as part of the budget process. These priorities were approved at the February 25, 2020 City Council meeting. However, it should be noted that these priorities were developed to prioritize investments during a time of economic growth. While many of these priorities are important to our community, our focus has quickly shifted to maintaining the level of service that our residents currently receive and in the context of a global pandemic and economic crisis. No doubt, our priorities and strategies must pivot from a growth strategy to maintaining service level strategy. As such, and given the magnitude and challenges of the upcoming deficits, these priorities have been put on hold by staff while we have refocused on essential services related to COVID-19. The City has already implemented numerous factors, as previously described, to generate savings in the current and future fiscal year to tackle the deficits.

These include a hiring freeze across the organization; reducing marketing, technology, travel and training expenditures; reviewing our current purchase orders, encumbrances and, carryover projects. While these measures will help generate savings, we know that additional measures that threaten the service levels currently funded will need to be considered to close the budget gap. These choices will be difficult, and staff will bring forward budget reductions in September 2020, and a check-in during December 2020 after the results of any potential ballot measures are known and when we have a better understanding of the ongoing economic situation in the midst of the COVID-19 pandemic.

A comprehensive staffing capacity study was included in prior City Council direction to evaluate the programs and services that our departments deliver. The focus of study will need to shift from a foundation of growing the organization where it needs additional capacity to absorb growth, to a context of how we can preserve programs and minimize future layoffs. Staff is examining a phased approach with departments evaluating proposals that generate ongoing budget savings with minimal to no impact. For proposals that result in reduced community services or events, these impacts will need to be prioritized and considered with Council input and continued community engagement. At that time, consultants may be used to carefully evaluate and balance these measures, while minimizing the impact to our essential services. This review will take time to navigate a careful review of the services that are critical to our City.

On the other hand, the Council directive to evaluate our information technology service system should be accelerated as the greater use of technology for remote workplace service has provided for the continuity of several services. We, now more than ever, need to understand in greater detail the costs and benefits, or where we can achieve greater leverage, for how we use technology in the workplace and carry forward modern practices to maintain service levels in the COVID-19 era.

The refocus of our capacity study, coupled with our information technology service system evaluation, will provide a great opportunity to make informed decisions.

Stadium Authority

The Stadium Authority exists as a public body, separate and distinct from the City, and is established to provide for development and operation of Levi's Stadium. It is structured so that the City will not be liable for debts or obligations of the Stadium Authority. The Stadium Authority's stand-alone Fiscal Year 2020/21 Operating, Debt and Capital Budget was adopted by the Stadium Authority Board on March 24, 2020 and can be found at <https://www.santaclaraca.gov/our-city/santa-clara-stadium-authority/financial-reports#Stadium-Budget>. This budget reflects the revenues and expenditures related to the support of the Stadium; expenditures related to the Stadium Authority can also be found in the **General Fund Non-Departmental** section of this document. Given the recent public discussion of not allowing attendance at stadiums for NFL and non-NFL events through 2020 or late 2021, staff is separately examining the budget impacts resulting from longer-term fiscal impacts.

SUMMARY

The City of Santa Clara takes pride in providing excellent services to the community and will continue to work to address the current budget challenges in ways that minimize impacts to the community to the extent possible. This budget presents a strategic spending plan for capital improvements with the funding available and also rebalances the FY 2020/21 Operating Budget during this uncertain and fiscally challenging time.



Staff plans to bring forward additional specific budget balancing actions in the upcoming months to address the ongoing budget shortfalls. This will allow time to better understand the impacts from COVID-19 on the local economy and develop a package of ongoing expenditure reductions and revenue solutions.

The Proposed Fiscal Year 2020/21 and 2021/22 Biennial Capital Budget is consistent with the City's mission and appropriates the resources to address the highest priority capital infrastructure needs. There remains, however, significant unfunded capital infrastructure needs for which there are no ongoing funding sources. This is an ongoing challenge and bond measures or other revenue sources will be needed in the future to address those needs.

City departments worked together as a team with my Office and the Finance Department to prepare this Proposed Budget for consideration by the City Council and the community. The hard work and dedication of City's Department Heads and employees are greatly appreciated through this current process and will be critical as we continue to bring forward balancing actions next year.

In closing, I want to thank the City Council for our continued strong partnership in advancing the City's strategic priorities in a fiscally responsible manner. This continued focus and discipline will be necessary as we face the challenges in the coming year.

Respectfully submitted,

A handwritten signature in blue ink that reads "Deanna J. Santana".

Deanna J. Santana
City Manager

A handwritten signature in blue ink that reads "Kenn Lee".

Kenn Lee
Director of Finance

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SANTA CLARA AT A GLANCE

The City of Santa Clara (City) has always reflected the progressive, bellwether nature of California. It is one of the oldest cities in the state, an agricultural powerhouse in the 1800s, and the birthplace of many of the technology innovations that created Silicon Valley in the 1900s. Today, it maintains its leading-edge status as a community that is nationally recognized for its livability and a city that has a sustainable, bright future powered by the private investment of billions of dollars in new development and growth opportunities.

History

Santa Clara was incorporated in 1852 as a Charter City with a Council/Manager form of government although it existed as a community for hundreds of years prior to that as a village for Ohlone Indians and their ancestors. European explorers came to the area in the mid-1700s and settled it as a military and religious outpost. It is called the “Mission City” in reference to the Mission Santa Clara de Asis, which opened in 1777 as one of 21 Spanish missions established by Franciscan padres along El Camino Real in California.



Size

Santa Clara encompasses 19.3 square miles in the heart of Northern California’s Santa Clara County, also known as Silicon Valley, in recognition of the region’s leadership in technology innovations that changed the way the world lives, works, learns and plays. It is part of the burgeoning South Bay metropolitan area that includes the City of San José, the 10th largest city in the U.S., and the wider nine county San Francisco Bay Area that is the fastest growing region in the state.

Population¹



The 2019 population of the City of Santa Clara is estimated at 128,717 and is one of the most diverse in the nation with over 42% of residents born outside the U.S. Over half of the population is non-Caucasian. The City’s residents are also highly skilled and educated, with 58% of adults holding a bachelor’s degree or higher. The median age is 33.9 years and the median household income is reported at \$116,257.

Business^{1,2}

More than 12,508 licensed businesses are registered to conduct business in City of Santa Clara, ranging from entrepreneurial start-ups, to longstanding family owned firms, to the international headquarters of Fortune 500 corporations. Together these Santa Clara businesses represent an employment base of over 106,000. Almost half the land in the City of Santa Clara is zoned for commercial use and millions of square feet of new office and retail projects are under development or in planning stages, greatly expanding the City's capacity to accommodate businesses that want to start, relocate or expand in a high energy, dynamic environment. Major employers within the City are Applied Materials Inc., Intel Corporation, California’s Great America and Advanced Micro Devices Inc.



City Services

Santa Clara is a full-service city with its own police and fire departments and energy, water and sewer utilities. Other core services include a nationally ranked library, 259 acres of developed parkland and 95 acres of undeveloped parkland, award winning services and recreational programs for seniors and youth, neighborhood beautification, free citywide outdoor Wi-Fi, special events and support of history and art museums and other cultural and performing arts. In 2019, the City of Santa Clara was named the 6th Safest City in America and the 13th affordable and livable of mid-sized cities in America because of its outstanding quality of life and opportunities for individual and business success.



Housing¹



There are 44,079 households in the City and housing stock continues to expand through new transit-oriented developments that offer lifestyle alternatives to the City’s traditional single-family neighborhoods and carefully preserved historic homes. The City is in the midst of a metropolitan area that is one of the highest priced housing markets in America. The median price to purchase a single-family home is \$1,227,000 and to rent a one-bedroom apartment is \$2,275. Santa Clara has invested millions of dollars in affordable housing projects that provide 1,479 units for low income seniors, families, homeless and disabled residents.

Transportation

In addition to its own 240 miles of City-owned roads and streets, Santa Clara is crisscrossed by State Highway 101 and two regional expressways. Public transit services in the City include buses, light rail, Caltrain and Amtrak. An extension of BART into the South Bay will terminate in Santa Clara, and the Norman Y. Mineta San José International Airport is on the border of the City. Santa Clara has also placed a priority on providing bike lanes and completing the San Tomas Aquino/Saratoga Creek Trail that will link with other trails in the South Bay.

Education¹



Public schools serving residents of Santa Clara are under the authority of independent school districts, but the City works closely with them to provide quality educational opportunities for grades K-12 and students attending Mission College for a two-year degree or professional development. Santa Clara University, the oldest institution of higher learning in California, is in the historic Downtown Quad area of the City and enrolls 5,493 undergraduate students and 3,071 graduate students.



Infrastructure¹

Each year, the City makes significant investments in maintaining, expanding, and improving civic infrastructure for the benefit of residents and businesses. It offers the lowest combined water, sewer and electric rates in the nine Bay Area counties. Through the utility’s Santa Clara Green Power program, the City of Santa Clara ranks 5th in the nation by the Environmental Protection Agency (EPA) in the Green Power Community Rankings Based on Green Power Usage where GPCs are towns, villages, cities, counties, or tribal governments in which the local government, businesses, and residents collectively use green power. Over the past few years, the City’s water storage and delivery system has been upgraded and it is one of the most successful purveyors of recycled water at about one billion gallons each year. The City is a partner in the San José-Santa Clara Regional Wastewater Facility and is investing \$300 million over the next 30 years to update the aging facility and expand capacity.



Economic Development

The City of Santa Clara welcomes business, and that strategic attitude is paying off with billions of dollars in private investment currently under construction or in the pipeline. As these projects come online, the City’s economic base is broadened and diversified, ensuring greater fiscal stability in the future as well as increased revenues to the City’s budget to replace the loss of Redevelopment Agency funding.



Development projects approved over the past fiscal year include office space, residential, affordable housing, retail, and mixed-use developments. Some of the largest projects include: Related Santa Clara, a new multi-phased, mixed-use development of up to 9.16 million gross square feet of office buildings, retail and entertainment facilities, residential units, hotel rooms, surface and structured parking facilities; Scott Boulevard office project with construction of a new six-story, 237,107 square foot office building, two-story 13,643 square foot amenity building, four-level parking structure and associated site improvements on a 5.8 acre site at the northeast corner of Scott Boulevard and Garrett Drive; and Catalina II Residential Development Project, a 1.7 acre project

site on the north side of El Camino Real adjacent Civic Center Park to construct 39 for-sale townhomes units. With the Council approval of the Tasman East Specific Plan, five developments were approved on Calle de Luna and Calle del Mundo with more than 1,500 residential units in several multi-story buildings, 191 assisted living units in a 20-story building, 150 multi-family units in an 8-story building and a 347-unit apartment building and more than 25,000 square feet of retail spaces. More information on new development projects can be found on the City’s website SantaClaraCA.gov/business-development under the “Development Projects” link.

¹ California Department of Finance Demographic Research Unit; May 2019 City Population Table
US Census QuickFacts 2014-2018
HDL 2019-2020 Property Data, The City of Santa Clara, Property Tax Reports Addendum
Real Estate Report by Silicon Valley Real Estate Sales & Marketing - April 2020
Apartment List –April 2020
Environmental Protection Agency – Green Power Communities January 27, 2020
Santa Clara University 2018-2019 Summary of Enrollment, Office of the Registrar
² Reference USA database, Infogroup Inc.
Muni Services Sales Tax Digest Summary
America’s Labor Market Information System (ALMIS) Employer Database, 2020 1st Edition





Community Profile¹

Geography

Persons per Square Mile

Santa Clara: 6,991
County: 1,498



Land in Square Miles

Santa Clara: 19.3
County: 1,304

Population

Santa Clara **County**



128,717

1,954,286

Age

Santa Clara **County**

Median Age
33.9 years



Median Age
37.0 years

Age 18-64:
66%

Age 18-64:
62%

Foreign Born



Santa Clara: 42%
County: 39%

Median Family Income

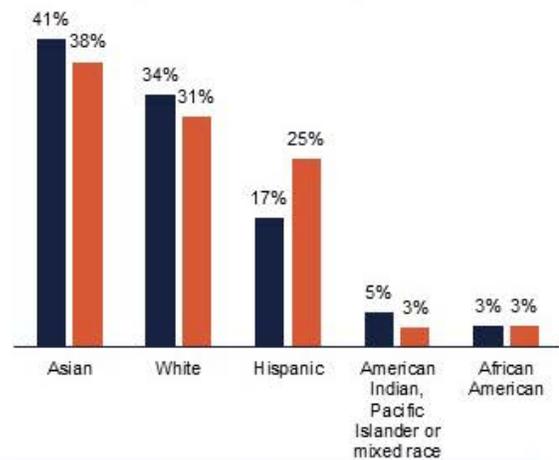
Santa Clara
\$116,257



County
\$116,178

Diversity

■ City of Santa Clara ■ County of Santa Clara



Education

High School Graduate or Higher

Santa Clara **County**
92% 88%

Bachelor's Degree or Higher

Santa Clara **County**
58% 51%



Labor Market

Labor Force

Santa Clara: 72,100
County: 1,057,600

Unemployment Rate

Santa Clara: 2.3%
County: 2.6%



¹US Census QuickFacts 2013-2017; CA EDD – Labor Market Info, March 2020; CA Dept. of Finance Demographic Research Unit, May 2019 City Population Table; 2014-2018 American Community Survey

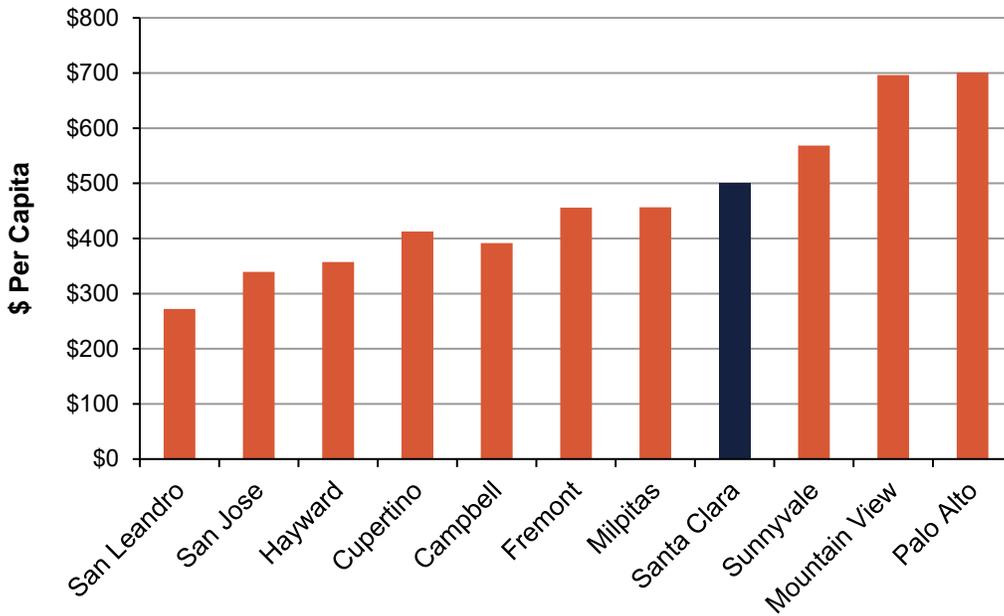


SANTA CLARA COMPARISON TO OTHER LOCAL CITIES IN THE LOCAL REGION

The following graphs compare FY 2019/20 per capita revenues from key sources and total budgeted expenditures for FY 2019/20 in the City of Santa Clara to other cities in the local region. Sales tax and property tax categories are included as they are the top two revenue sources for the City. Transient Occupancy Tax rates for surrounding jurisdictions within Santa Clara County are also included.

Cities' budgets in relation to their respective population and personnel, defined as Full Time Equivalent (FTE), are examined. Expenditures are from all funds for operations and capital improvement projects. Additionally, Cities' Administration, defined as Council and its direct day-to-day support staff, including offices of City Manager, City Attorney, City Clerk¹ and City Auditor¹, budgeted expenditures and personnel are also quantified.

FY 2019/20 Property Tax - Per Capita

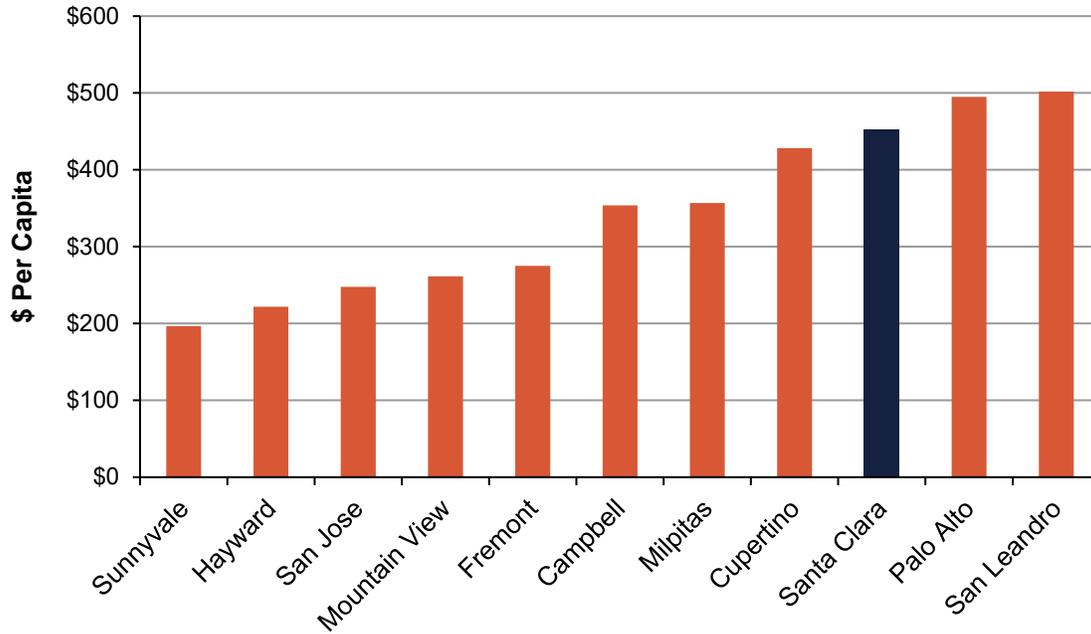


Source: Each city FY 2019/2020 Adopted Budget

¹City Clerk and City Auditor are not their own independent departments consistently across jurisdictions in the local region.



FY 2019/20 Sales Tax - Per Capita



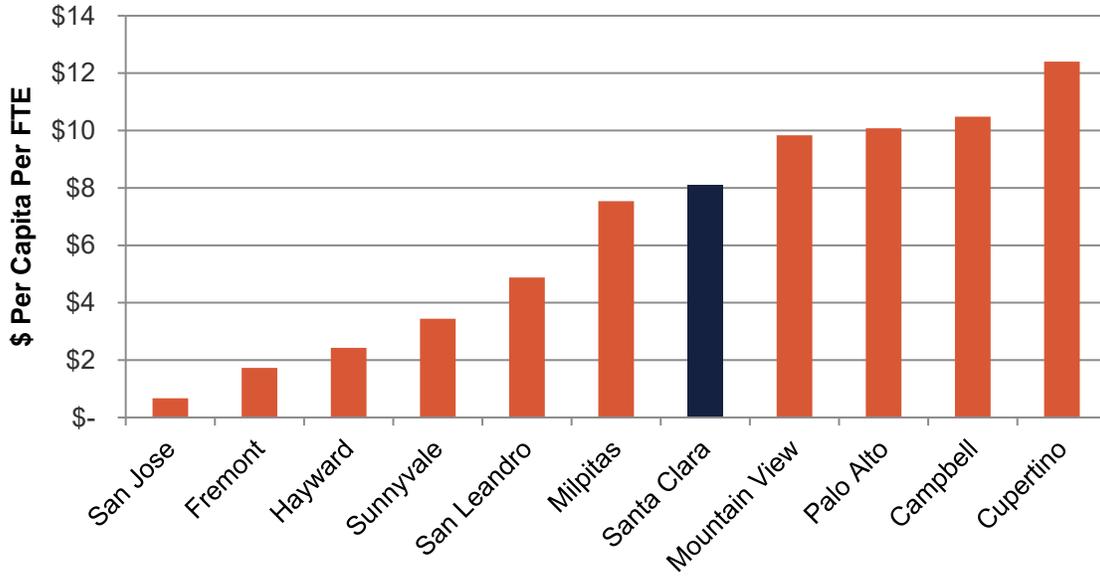
Source: Each city FY 2019/2020 Adopted Budget

Transient Occupancy Tax by City

City	Rate
Gilroy	9.0%
Santa Clara (w/o CFD)	9.5%
Saratoga	10.0%
Fremont	10.0%
Mountain View	10.0%
San Jose	10.0%
Morgan Hill	11.0%
Los Altos	12.0%
Campbell	12.0%
Cupertino	12.0%
Los Gatos	12.0%
Sunnyvale	12.5%
Milpitas	14.0%
Palo Alto	15.5%

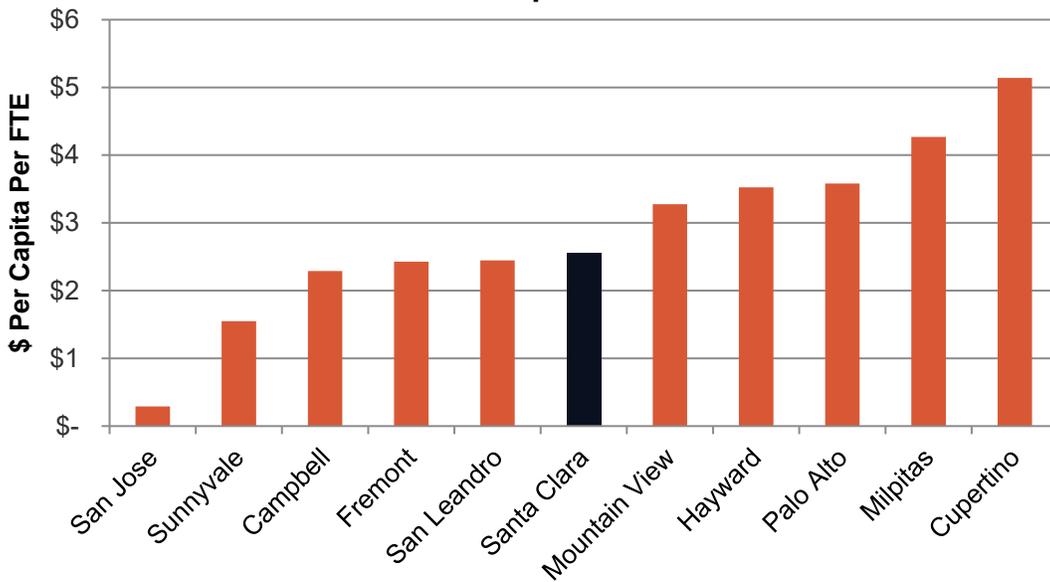


2019/20 Budget Per Capita - Per FTE



Source: Each city FY 2019/20 Adopted Budget

FY 2019/20 City Administration Budget Per Capita - Per FTE



Source: Each city FY 2019/20 Adopted Budget



Roster of City Council and Commission Members

City Council

Mayor	Lisa M. Gillmor
Councilmember District 1	Kathy Watanabe
Councilmember District 2	Raj Chahal
Councilmember District 3	Karen Hardy
Councilmember District 4	Teresa O’Neill
Councilmember District 5	Vacant
Councilmember District 6	Debi Davis

Board of Library Trustees

Leonne Broughman, Jan Hintermeister, Joshua Briefman, Stephen Ricossa, Debbie Tryforos

Civil Service Commission

Mario Bouza, Willie D. Brown Jr., John Casey, Franklin J. Felizardo, Carolyn McAllister

Cultural Commission

Harbir K. Bhatia, Candida Diaz, Debra von Huene, Jonathan Marinaro, Niha Mathur, Louis Samara, Teresa Sulcer

Historical and Landmarks Commission

Kathleen Romano, Michael Celso, Megan Swartzwelder, Stephen Estes, Patricia Leung, J.L. Standifer, Ana Vargas-Smith

Housing Rehabilitation Loan Committee

Darius Brown, Carmen Pascual, Tahir Naim

Parks and Recreation Commission

Burt Field, George Guerra, Andrew Knaack, Roseann Alderete LaCoursiere, Joe Martinez, Kevan Michael Walke, Eversley Forte

Planning Commission

Anthony Becker, Nancy A. Biagini, Yuki Ikezi, Sudhanshu Jain, Steve Kelly, Lance Saleme, Priya Cherukuru

Salary Setting Commission

Pilar Arquero, Marjorie Banko, MV Kumar, John Sontag, David B. Stealey

Senior Advisory Commission

Wanda Buck, Grant L. McCauley, Carolyn Seeger, Nancy Toledo, Helen E. Narciso, Judy Hubbard, Ana Segovia

Youth Commission

Aarav Gupta, Ahmad Ismail, Jasmine Kelly-Tanti, Vincent Kloes, Adrienne Krivokapic-Zhou, Colin Lim, Kayla Phan, Raksha Sen, Siya Sharma, Kavya Sriram, Meera Suresh, Smrithi Suresh, Natasha Yen, Sanjana Yerramaneni, Amy Zuo

As of April 7, 2020



Executive Management Team

City Manager
Deanna J. Santana

City Attorney

Brian Doyle

City Clerk

Hosam Haggag

Assistant City Manager

Ruth Shikada

Assistant City Manager

Cynthia Bojorquez

Fire Chief

Ruben Torres

Director of Finance

Kenn Lee

Director of Information Technology

Gaurav Garg

Chief Electric Utility Officer

Manuel Pineda

Director of Public Works

Craig Mobeck

City Auditor

Linh Lam

Assistant City Manager

Nadine Nader

Assistant City Manager

Manuel Pineda

Director of Communications

Lenka Wright

Chief of Police

Pat Nikolai

Director of Human Resources

Aracely Azevedo

Director of Parks and Recreation

James Teixeira

City Librarian

Hilary Keith

Director of Water and Sewer Utilities

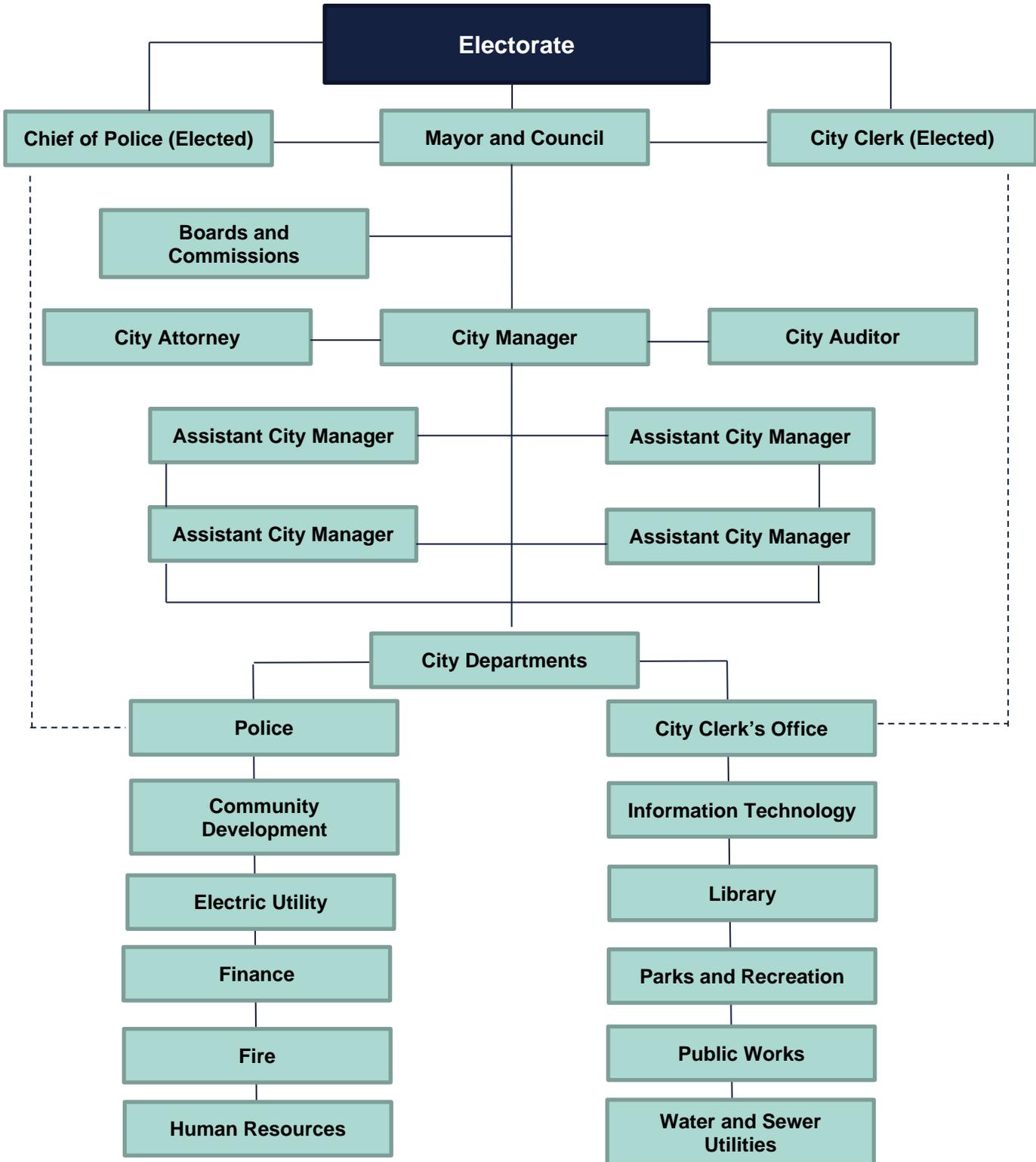
Gary Welling

Director of Community Development

Andrew Crabtree



CITY ORGANIZATION CHART





City Organization by Department/Division/Program

Mayor and City Council Offices

Mayor and City Council

1611 – City Council Program

City Attorney’s Office

City Attorney

2411 – Program Administration

2412 – Program Litigation

City Clerk’s Office

Elected City Clerk

2314 – Elections

Assistant City Clerk

2311 – Council/Administration Support

2312 – Public Information/Legislation

Records Management

2313 – Political Reform Act

City Auditor’s Office

City Auditor

3352 – City Auditor Administration

3353 – City Auditor Services

City Manager’s Office

City Council Support

1021 – Policy Support for Decision Making

1022 – Intergovernmental Relations and
Advocacy

Leadership and Management Services

1031 – Day-to-Day Operations

1032 – Strategic Planning

1033 – Community Outreach and
Engagement

Community Development Department

Building

5532 – Plan Review and Permit Services

5533 – Field Inspection

5534 – Housing Inspection

Housing and Community Services

5542 – Federal State Grant

5543 – Neighborhood Conservation and
Improvement Program

5544 – Community Development

5545 – Affordable Housing

5546 – Housing Authority

5547 – City Affordable Housing

5548 – Community Development Housing
Successor

Planning

5522 – Development Review

5523 – Advanced Planning

5524 – Historical Preservation

5525 – Code Enforcement



Electric Utility Department

Administrative Services

1316 – Administrative Services

Business Services

1311 – Rates and Budget

1324 – Electric Compliance

1358 – Risk Management

Customer Development and Project Management

1313 – Key Accounts

1317 – Fiber Enterprise

1361 – SVP Engineering

Resource Planning and Engagement

1312 – Public Benefits

1315 – Green Power Program

1319 – SVP Electric Vehicle Program

1325 – Greenhouse Gas Program

1356 – Resource Management

Revenue and Resources

1321 – Revenues and Resources Costs

Utility Operations

1351 – SVP System Support

1362 – Power System Controls

1371 – Communications & Meter Technical Support

1372 – Substation Maintenance

1376 – Transmission & Distribution

1377 – Generation

Finance Department

Accounting

3322 – General Accounting

Administrative Services

3362 – Citywide Fiscal Planning

Budget

3315 – Budget and Financial Analysis

Municipal Services

3332 – Utility Billing Services

3333 – Revenue Receipting/Cashiering

3334 – Business Certificate

3335 – Field Services

3336 – Administration

3337 – Contact Center/Communication

Purchasing

3341 – Warehouse

3343 – Purchasing

3344 – Mail Services

Fire Department

Incremental EMS

7861 – Incremental EMS – Paramedic Services

Prevention/Hazardous Materials

7832 – Prevention and Hazardous Materials

7833 – Certified United Program Agency (CUPA)

Protection

7821 – Protection – Administration

7822 – Protection – Emergency Response

7823 – Office of Emergency Services

Training

7841 – Training – Fire

Human Resources Department

Employee Benefits and Records

2514 – Records – Compensation

2515 – HR Workers' Compensation & Safety

Recruitment, Classification and Staff

Development

2521 – Selection – Classification

2525 – Recruitment, Staff Development and Labor Relations



Information Technology Department

Contract Services

1931 – Contract Services

Enterprise Services

1911 – Application Services

1912 – IT Web Services

1913 – GIS Services

Infrastructure and Support

1921 – Infrastructure and Support

Telecommunication Services

1941 – IT Telecommunication Services

Library Department

Administration

1221 – Administration

1263 – Literacy Grants

Adult Services

1234 – Read Santa Clara

1241 – Reference and Adult Collections

1244 – Local History

Branch Services

1233 – Mission Library

1235 – Northside Branch

1236 – Bookmobile and Mobile Library Services

Customer Services

1245 – Customer Services

Facilities

1271 – Facilities

Technical and Technology Services

1251 – Technical Services

1272 – Technology

Youth Services

1231 – Youth Services

1232 – Library – Young Adult

Parks and Recreation Department

Administration

1121 – Administration

1122 – Park Development

1123 – Park Projects

1171 – Citywide Special Events

Cemetery

0125 – Cemetery Perpetual Care

0131 – Cemetery Endowment Care

1162 – Cemetery – Maintenance of Grounds

1163 – Cemetery – Maintenance of Buildings

1164 – Cemetery – Operations

Parks

1132 – Parks

1133 – Pools

1134 – Parks – Buildings

1135 – Parks – Operations

Recreation

1117 – Program Operations

1141 – Health and Wellness

1142 – Recreation – Administration

1143 – Youth Activity Center and Programs

1144 – Senior Center & Therapeutic Recreation Programs

1145 – Community Recreation Center and Programs

1146 – Recreation Facilities

1147 – Aquatics

1148 – Sports and Athletics

1149 – Teen Center Activities and Programs

1151 – Community Activities

Senior Nutrition Program

1112 – Senior Nutrition Program



Police Department

Administrative Services

- 7742 – Administration
- 7744 – Professional Stand
- 7745 – Department Support
- 7746 – Community Services Police
- 7747 – 911 Dispatch/Communications
- 7752 – Police Grants

Communication Acquisitions

- 7781 – Communication Equipment
Amortization

Field Operations

- 7722 – General Patrol
- 7723 – Traffic
- 7724 – Emergency Response/Temporary
Holding Facility

Investigations

- 7732 – General Investigation
- 7733 – Special Enforcement Team
- 7734 – Records

Special Operations

- 7761 – Special Operations General
- 7764 – Special Operations – Specialized
Teams
- 7765 – Special Operations – Reserves

Department of Public Works

Engineering – Administration

- 4411 – Administration – General Services
- 4412 – Administration – Developer Projects
- 4413 – Administration – Capital
Improvement Projects

Engineering – Design

- 4441 – Design – General Services
- 4442 – Design – Developer Projects
- 4443 – Design – Capital Improvement
Projects

Engineering – Field Services

- 4461 – Field Services – General Services
- 4462 – Field Services – Developer Projects
- 4463 – Field Services – Capital
Improvement Projects

Engineering – Land and Property

Development

- 4451 – Land and Property Development –
General Services

Department of Public Works

- 4452 – Land and Property Development –
Development Support

Engineering – Traffic

- 4431 – Traffic – General Services
- 4432 – Traffic – Developer Projects
- 4433 – Traffic – Capital Improvement
Projects
- 4434 – Traffic Signal Management
- 4435 – Traffic Striping and Signing

Facility Services

- 2222 – Maintenance Repair
- 2223 – Janitorial
- 2961 – Convention Center Maintenance
District

Fleet Management

- 2111 – Fleet Acquisitions
- 2123 – Fleet Operations

Streets

- 2911 – Street Maintenance
- 2921 – Storm System Maintenance
- 2924 – Non-Point Source
- 2931 – Garbage Collection
- 2932 – Clean Green Collection
- 2933 – Clean Up Campaign
- 2934 – Residential Recycling
- 2935 – Street Sweeping
- 2936 – Household Hazardous Waste
- 2941 – Parking District Maintenance
- 2951 – Landscape Maintenance
- 2952 – Street Tree Program
- 2971 – Traffic Maintenance



Water and Sewer Utilities Department

Recycled Water Program

- 1522 – System Maintenance
- 1525 – South Bay Water Recycling
Maintenance

Sewer

- 1511 – System Administration
- 1512 – System Maintenance
- 1514 – Operations
- 1515 – San José-Santa Clara Water
Pollution Control Plant
- 1516 – Storm Pump Maintenance

Solar Utility

- 1532 – Solar – System Maintenance

Water Construction, Maintenance, Operations

- 1422 – Water System Maintenance
- 1423 – Water Construction
- 1424 – Water System Operations

Water Engineering

- 1411 – Administrative Design
- 1412 – Water Quality
- 1413 – Water Resources

Non-Departmental

Citywide Programs

- 3611 – Citywide Programs

Citywide Strategic Programs & Initiatives

- 3631 – Citywide Strategic Programs &
Initiatives

Stadium Operations

- 3621 – Stadium – General Administration
- 3622 – Stadium – Police
- 3623 – Stadium – Fire
- 3624 – Stadium – Public Works



BUDGET AND FISCAL POLICIES

We present the relevant policies and practices that define specifically the way the City manages its budget, reserves, interfund loans, investments and debt with the goal of long-term fiscal sustainability. The City Council reviews and approves budgetary policies as part of the annual budget process. Investment and debt policy statements are referenced in this section; however, reviewed and approved by the City Council under separate cover.

Appropriation Control

The City Council is responsible for approving the appropriation of fiscal resources to cover estimated expenditures for each fiscal year. Expenditures are appropriated in each fund to departments, offices, and agencies for various goods, services, and capital projects described in the budget. The legal appropriation control is established at the department level in each fund. For select funds where expenditures are not allocated to a specific department, the appropriation control is established at the fund level. For capital funds, the appropriation control is at the project level. Transfers of funding between budgetary funds require City Council appropriation and approval. City Council approval is required for a budget amendment during the fiscal year which may include the use of reserves or fund balances, and approval of appropriations of grant monies. Per Article XIII, Section 1305 of the City Charter, appropriations lapse at the end of each fiscal year; therefore, unencumbered funds allocated for specific projects, donations, and grants require City Council appropriation for use in the following fiscal year.

Budgetary transfers between accounts or expenditure category may be done through Finance Department or City Manager's Office approval as long as they are conducted within the legal appropriation control limit set by the City Council.

Balanced Budget

The City Council considers General Fund budget decisions with long-term implications based on information from the Ten-Year Financial Forecast. One-time sources are used to cover one-time uses. The budget is structurally balanced when forecasted ongoing sources cover ongoing uses. Budgets shall be structurally balanced to the extent possible. Reserves should be considered to balance a budget only in the context of a plan to return to a structural balanced budget.

Budget Monitoring and Reporting

Financial reports on actual performance in relation to budget are prepared by the Finance Department through monthly financial statements. These reports are prepared and presented to the City Council, per City Charter requirements (Section 802) the City Manager shall be required to keep the City Council advised of the financial condition and future needs of the City. City Departments are responsible for reviewing these monthly financial reports and identifying potential budget problems and recommending corrections through budget amendments.



Long Term Financial Forecast

The Finance Department prepares a Ten-Year General Fund Financial Forecast which is incorporated into the budget planning process and presented to the City Council annually. This forecast is updated annually and considers current and future economic conditions, revenue projections, and spending scenarios based on the latest available assumptions. Capital improvement plans are created and published on a five-year basis to provide a long-term plan of the City's capital funding plan.

Municipal Fees and Charges

User fees are reviewed and adjusted for on an annual basis with the goal of maximizing cost recovery. The City Council may consider and approve any fee that is below 100% cost recovery, requiring a General Fund subsidy if it is in the public's best interest. User fees are adopted by the City Council annually through the Municipal Fee Schedule. Utility fees and certain other fees and assessments can also be approved separate from the Municipal Fee Schedule. Parks and Recreation fees are established by the Parks and Recreation Director and published in the Activity Guide.

Capital Planning

The City Council reviews and adopts a two-year Capital Improvement Program Budget which includes a five-year capital improvement program. Projects included in the capital improvement program are to be consistent with the City's General Plan. Per State Government Code Section 65401, the Planning Commission reviews the capital improvement program for conformance to the City's General Plan and proposes recommended considerations for the City Council. Funding sources are identified for all projects included in the capital improvement plan.

The Capital Improvement Program (CIP) is submitted by City departments and reviewed by the City Manager's Office, the Finance Department, and the Public Works Department. The review process considers City priorities and identifies the most urgent projects for capital funding by program area, master plans or needs assessments which identify the most critical projects for repair and replacement.

Department of Public Works staff reviews project estimates and evaluates the current bidding environment. Project contingency reserves are established based on the type of project and the project estimate type (engineering or preliminary estimates). Operating and maintenance costs are identified for planning purposes when projects are completed and come online.

General Fund Reserves

The City Council allocates available resources to General Fund contingency reserves through various reserves designated for emergency use or restricted future uses. Restrictions are established by policy, or through legally segregated development-related fee reserves collected from users.

- Budget Stabilization Reserve (formerly the Working Capital Reserve) – is used as an allocation for weathering economic downturns, emergency financial crisis or disaster situations. The



reserve target is equal to the cost of the City's General Fund operations for three months (90-day working capital reserve).

- Capital Projects Reserve – is used to support the City's CIP. The minimum target is \$5.0 million with a goal of having sufficient funds to fund capital projects included in the City's biennial capital budget and five-year CIP.
- Land Sale Reserve – this reserve allocates proceeds from the sale of City-owned land.
- Building Inspection Reserve – allocates user fees in the Community Development Department's Building Inspection Division. The funds from this reserve are used to fund inspection services or projects directly related to the Building Inspection Division activities. Funding can only be used to support user fee related activities when annual revenues are insufficient to cover annual expenditures. Individual user fees are included and approved under separate cover as part of the Municipal Fee Schedule.
- Advanced Planning Fee Reserve – this reserve allocates a portion of user fees for the update and amendment of the City's General Plan. Individual user fees are included and approved under separate cover as part of the Municipal Fee Schedule.

Utility Funds Reserves

The City conducts regular cost of service studies to evaluate rates and charges for each utility with projected needs and expenditures. The May 2018 water and sewer cost of service study included recommendations to establish a reserve policy in order to cover shortfalls in operating revenues, maintain strong bond ratings, cover day-to-day operating costs, and ease the burden on ratepayers associated with large rate increases. The following reserves in the water, sewer and recycled water utilities funds address those recommendations:

- Operations and Maintenance Reserve – this reserve is used to cover day-to-day expenses, address unforeseen cost increases or revenue shortfalls, and protect against emergency financial crises or disaster situations. The reserve target is equal to the cost of the individual utility's operations for three months (90-day working capital reserve).
- Construction Reserve – this reserve is used to support each utility's CIP or fund unforeseen and unbudgeted capital costs. The target minimum goal is a balance of the following year's planned capital improvement program.
- Rate Stabilization Reserve – this reserve is used to absorb short-term revenue shortfalls and is designed to stabilize utility rates and avoid wide swings in rates charged to utility customers over time. The target minimum goal is a balance of 10% of each utility's projected current year rate payer revenue.

In addition to the reserves cited above, the City's Electric Utility Fund has established rate stabilization and cost reduction reserves. These reserves are intended to stabilize electric utility rates and set aside as a buffer for unforeseen expenditures or revenue shortfalls.

Other Reserves

The City may include additional reserves set aside for specific purposes based on legal, policy, or budgetary purposes. Some of these include reserves for historical preservation, pension costs, vehicle



or fleet replacement, workers' compensation costs, or to fund potential future general liability claims against the City.

- Pension Stabilization Reserve – this reserve sets aside funds to address the City's pension unfunded accrued liability. The targeted annual funding contribution is 1% of the City's unfunded pension liability.

Interfund Loans and Advances

Interfund loans are loans from one City fund to another City fund for a specific purpose, with a requirement for repayment. Interfund loans should be short-term in nature and shall not be used to solve ongoing structural budget deficits. The department managing the borrowing fund should complete the interfund loan agreement. The agreement should include the amount requested, loan period, description of the loan and repayment terms. Interest shall accrue at the City's pooled investment rate at the time of the loan approval. A summary of outstanding interfund loans are also included in the City's Comprehensive Annual Financial Report. In addition, advances from the General Fund have been approved by the City Council that may be paid back by other revenue sources.

Investment Policy

The City undertakes investment related activities that are made with prudence. On an annual basis, the City formalizes these activities in the Investment Policy Statement which is adopted by a resolution of the City Council (<https://www.santaclaraca.gov/home/showdocument?id=66753>). The policy is to invest public funds, including bond proceeds, reserves and other special City funds, in a manner which will provide the highest investment return with the maximum security while meeting the daily cash flow demands of the City and conforming to all state and local statutes governing the investment of public funds.

The City of Santa Clara Charter gives the Director of Finance the authority and responsibility to deposit and invest all City funds. It authorizes the Director of Finance to invest the City's idle cash in allowable investment vehicles with a maximum remaining maturity of five years at the time of purchase. The City Council may grant express authority either specifically or as part of an approved investment program to invest in vehicles with remaining maturity that exceeds the five-year restriction. Authority must be given to the Director of Finance at least three months prior to the investment. California Government Code also allows the City to invest in the same investment vehicles as authorized by the City Council. The Director of Finance establishes written depository and investment policy procedures for the operation of the investment program consistent with the City Investment Policy, establishes a process of independent review by an external auditor and provides monthly investment reports to the City Council.

Debt Policy

The City believes that debt is an equitable means of financing projects and represents an important source of meeting fiscal responsibilities. The City obtains and maintains long-term debt for large capital improvement projects. The Finance Department manages the City's debt with prudence, diligence, and



attention to prevailing economic conditions and applicable laws. It manages issuance of debt, administers debt proceeds, prepares ongoing disclosure, handles debt and tax compliance, and makes debt service payments. City departments with debt-financed capital programs coordinate with the Finance Department in implementing and handling debt related transactions. Semiannual updates are prepared by the Finance Department on outstanding debt for the City of Santa Clara, its Agencies and Corporations. This report is presented as a standard management practice that provides a valuable overview of the current status of the City's long-term debt obligations. The current City Council approved debt policy can be found online at: (<http://santaclaraca.gov/home/showdocument?id=63748>).

Donation Policy

Donations may be offered in the form of cash, real or personal property. "Designated" donations are donations where the donor specifies intended use by a particular City department, location, or purpose. "Undesignated" donations are given to the City as a whole, for an unspecified use. Donations of any kind which might be perceived or interpreted as an attempt to influence actions of the City Council or City Administration will not be accepted. All donations are presented to the City Manager for compliance with the policy. As part of the annual budget process, for anticipated monetary donations, staff will bring forward for Council consideration a balanced appropriation for revenue and expenditures to facilitate the acceptance of donations throughout the fiscal year. Donations valued at \$100,000 or greater require City Council acceptance and appropriation of funds. Donations valued less than \$100,000 may be accepted with the monetary donations available to departments for expenditure as part of the adoption of the annual budget. A report of all donations received by the City is presented to City Council as part of the Monthly Financial Report. The appropriation of anticipated donations can be found in each respective City department and corresponding Source and Use of Funds Statement in this document.

Community Grants Policy

The budget includes an allocation of \$100,000 for Community Grants to offset the cost of City fees in support of the Council approved Community Grants Policy. Annually, and subject to availability of funds, the City Council shall establish grant appropriations as part of the approval of the budget. Community grants, subject to availability of funds, shall not exceed \$10,000 per applicant, per year. To receive grant funds, grant applications must be submitted at least 90 days before the planned event/activity being funded, regardless of the form of the grant, and will be evaluated by the City Manager's Office on a case-by-case and "first come-first served" basis, throughout the fiscal year. Applicants are encouraged to submit their applications at the beginning of the fiscal year, for events or activities occurring at any time during that fiscal year, to maximize opportunity for availability of funds. The City Manager's Office shall approve or deny an applicant's request based upon eligibility criteria, and subject to funding availability as approved by the City Council through the adoption of the annual budget. Grants for community events shall not be provided for waiver of or reimbursement for already discounted permit fees. Grants for attendance at youth state, national, or international competitions or performances shall be limited to costs of registration, hotel, transportation and food for participants and coaches/chaperones only. Due to short notice to advance to state, national, or international competitions, applicants shall submit an application within one week of advancing to such competitions.



In all cases, the City reserves the right to reject any and all applications in the event the City Manager's Office identifies a potential conflict of interest or the appearance of a conflict of interest. Submission of an application in no way obligates the City to award a grant and the City reserves the right to reject any or all applications, wholly or in part, at any time, without penalty.

Stadium Authority Policy

The Stadium Authority exists as a public body, separate and distinct from the City, and is established to provide for development and operation of Levi's Stadium. It is structured so that the City will not be liable for debts or obligations of the Authority. The governing board duties and the fiscal policies that govern the Stadium Authority are included in the stand-alone Operating, Debt, and Capital Budget that is adopted by the Stadium Authority Board. This can be found at <https://www.santaclaraca.gov/our-city/santa-clara-stadium-authority>. This budget reflects the revenues and expenditures related to the support of the Stadium and can also be found in the General Fund Non-Department section of this document titled Stadium Operations.

Policy Development

Staff has established this Budget and Fiscal Policies section in an effort to improve governance, transparency, and establish policies to govern the budget. These policies will be reviewed annually and enhanced as capacity allows.



OUTSTANDING LOANS AND ADVANCES

Interfund loans are loans from one City fund to another City fund for a specific purpose, with a requirement for repayment. A detailed listing of outstanding loans and advances is included in the following table:

Receiving Fund	Originating Fund	Loan/Advance Details (Payback Source, Term, Interest Rate)	Outstanding Amount (as of June 30, 2019)
Parks and Recreation Capital Fund	General Fund (May 22, 2018, RTC 18-124) Loan for the Reed Street – Grant Street Sports Park Project from the Land Sale Reserve	This loan bears interest based on the City’s weighted average portfolio rate. This loan will be repaid in annual installments from Mitigation Fee Act revenue (25% of the revenue is allocated for loan repayment) until the loan is paid in full.	10,130,273
Cemetery Fund	General Fund	This advance bears no interest and will be repaid when funds become available. The advance is a long-term subsidy of operations pending mausoleum project funding in future years which is expected to generate additional revenues.	5,663,976
Santa Clara Golf and Tennis Club Fund	General Fund	This advance bears no interest and will be repaid in annual installments after completion of capital improvements from income generated by these capital improvements.	4,224,133
Total Interfund Loans and Advances			20,018,382



CAPITAL BUDGET GUIDE

The following information is presented to help the reader understand the way the City allocates budgets and accounts for the capital improvement programs (CIP) of the City. Explanations are presented in two categories: Budget Book Details and Fund Accounting.

UNDERSTANDING THE CAPITAL BUDGET

Budget Definition

The budget of the City is a detailed operating and capital plan that identifies estimated costs and program benefits in relation to estimated revenues. The budget is prepared in accordance with Generally Accepted Accounting Principles (GAAP), except encumbrances which are recognized as expenditures when legal contracts or commitments are entered into. The budget for governmental funds has been prepared on a modified accrual basis, recognizing revenue when they are measurable and available to be used to finance expenditures in the fiscal year. Expenditures are recognized when they occur, regardless of when cash is received or disbursed. The budgets for proprietary funds are prepared on a full accrual basis, recognizing revenue and expenditure activity for the fiscal year for which the activity occurred. The budgetary accounting basis and the consolidated annual financial reports include the reconciliation between GAAP modified and/or full accrual accounting for the financial reports and the budgetary basis budget. All of the year-end financial reports are kept on the basis of modified or full accrual accounting. The budget includes the adopted services to be provided during the fiscal year and the associated appropriations to cover the costs of the adopted programs, projects, services and activities. These are funded by the estimated revenue and/or fund balance available to finance the adopted service levels.

Budget Process

The budget process is the mechanism through which policy decisions are made, implemented and controlled. The City Charter requires that the City establish a budgetary system for general operations and prohibits expending funds for which there is no legal appropriation. The City is required to adopt an annual budget on or before June 30 for the ensuing fiscal year that begins July 1. The City uses a biennial budget process that alternates between an operating and capital budget. In a year when the biennial capital budget is brought forward, adjustments to the Year 2 operating budget appropriations will also be presented to the City Council. In addition, all capital and operating source and use statements are presented every year in the budget for adoption. This year, the biennial capital budget is being brought forward.

The procedures to establish the capital budget are as follows:

1. During the July/August timeframe, departments review their existing projects and evaluate the potential need for any new projects to be established in the upcoming fiscal year. As part of this review, departments complete a project worksheet, describing the project scope for existing and



new projects. These are then submitted to the Department of Public Works (DPW) and Finance Department for review in August.

2. In early October, the City Manager's Office, along with the Finance Department, coordinate a citywide presentation to kick-off the budget process. This presentation gives departments a better understanding of what is expected in their final capital submissions as well as project priority criteria.
3. After receiving all project worksheets in August, the DPW begins their review, working with the respective departments to refine details and scope. This allows for DPW to develop accurate budget estimates for each project. These project estimates are submitted back to departments in late October.
4. Once Departments receive their project estimates, all capital requests are reviewed to determine which project requests will be submitted for final approval. These requests are reviewed by a Project Review Committee (PRC), that consists of representatives from the City Manager's Office, the Finance Department, the DPW, and other selected line departments. These final project requests are due to the Finance Department in November.
5. In December, Departments meet with the PRC to review their respective project requests. Following these meetings, Departments coordinate with the Finance Department to resolve follow-up items or outstanding issues. During this time, Department requests to amend the adopted operating budget are due to the Finance Department for evaluation. The Finance Department meets with the City Manager's Office in January to review all finalized project requests as well as operating requests.
6. At the end of January, there is a City Council operating and strategic priority setting retreat. Any recommendations that come forward during this retreat are incorporated into service level change requests. During this retreat, the Ten-Year General Fund Financial Forecast is also presented to City Council for approval.
7. Following the January meeting between the City Manager's Office and the Finance Department, decisions are finalized based on the items presented, balancing each fund's budget and incorporating City Council priorities.
8. The City Manager submits to the City Council a proposed capital budget for the two following fiscal years, as well as any operating adjustments for the following fiscal year, commencing July 1. Submission to City Council is at least thirty-five (35) days prior to the beginning of each fiscal year.
9. Public hearings are conducted to obtain City Councilmembers' and residents' comments. Copies of the proposed budget shall be available for inspection by the public in the office of the City Clerk at least ten days prior to these hearings.
10. The budget is legally enacted through passage of a minute order.



From the effective date of the budget, the amounts stated therein as proposed expenditures/expenses, become appropriations to the applicable funds. In order to amend the budget during the year, departments must submit a Report to Council, explaining the need for the change and budget implications. Upon review and approval of the City Council, the budget may be amended. For the CIP Budget, the legal level of budgetary control is at the project level.

In addition to the biennial Capital Improvement Program Budget, every other year an operating budget is adopted. For the Operating Budget, the legal level of budgetary control is at the fund and department level. For funds that do not have an associated department, the legal level of budgetary control is at the fund level.

Budget Book Details

The Capital Improvement Program Budget includes a **City Manager's Transmittal Letter** that provides an overview of the budget and a discussion of short- and long-term issues facing the City, the alignment of the budget to City Council priorities and strategic initiatives, highlights of capital investments, the General Fund Forecast and fiscal condition of the City, and operating budget adjustments.

The **City Profile and Organization Chart** section provides basic facts about the City, a detailed roster of elected officials including Boards and Commissions, the City's executive team, comparison of key revenue and expenditure data to other local cities and the City organization chart.

The **Budget and Fiscal Policies** section provides detailed policy framework driving the development of the budget, including a glossary and acronym index. The **Budget Summary** details the total City budget across all funds, with adjusting entries reconciling to a total net City budget.

Financial Summaries and Graphs are included detailing expected revenue and expenditures across all CIP programs. **Summary of Budgeted Positions by Department** details the City's budgeted positions and reconciles changes throughout the year.

The **Statement of Sources and Uses of Funds** section details revenues, expenditures and fund balance position including current year estimated revenue and expenditures, two-adopted budget years, along with the five-year CIP plan. This section is organized by accounting fund type detailed later in this section. All capital and operating funds are presented in the budget.

The **Capital Improvement Program** sections is a new presentation from previous years. While in previous years the capital budget has been categorized by fund, this year's capital budget is now presented by theme: Administrative Facilities, Community Facilities, Convention Center, Electric Utility, Other Community Facilities, Parks and Trails, Sewer Utility, Solid Waste, Storm Drains, Technology and Equipment, Transportation, and Water and Recycled Water Utilities.

Each CIP theme section contains a narrative, detailing each theme's objectives, project prioritization, major project highlights and accomplishments, financing sources, operating impacts, and a summary of the theme's unfunded needs. Following the narrative is a summary of all funded project costs within



the theme. Additional information including project scope/description for each of these projects is included in the funded project pages. Each funded project page includes the five-year funding breakout by expenditure category, which is described in more detail below. After the funded project pages is a summary of all unfunded projects, which presents the unfunded amount for each fiscal year in the five-year CIP budget.

The **Appendices** section includes summary and detailed information on the proposed amendments to the adopted operating budget by fund.

Categories of Expenditures

The categories of expenditures for the capital and operating funds include the following:

Construction – Includes all costs associated with the building/improving/replacing a structure/infrastructure

Contingency/Misc. – Includes an allowance for unknown risks associated with a project; these items are generally developed using a standard percentage of the entire project or contract amount and are used to cover any unforeseen items that may come up during construction

Engineering – Includes the design, planning and management of projects involving construction; this can include developing a master plan for infrastructure or refining project scopes to determine accurate construction costs

Equipment – Consists of any machinery, structures, materials, supplies or systems that are associated or used during the development and construction of a project

Salaries – Includes regular salaries, overtime pay, vacation pay, holiday, separation payouts and premium pays such as out-of-class pay, night differential pay, hazard pay, evidence tech pay, and paramedic pay. These costs are primarily driven by the number of positions budgeted within the program.

Benefits – Includes Medicare, social security, health allocation, other post-employment benefits (OPEB), and CalPERS retirement costs. Other benefits such as Voluntary Employee Beneficiary Association (VEBA), dental, life insurance, uniform allowance, meal allowance, employee assistance program, auto allowance, mobile phone allowance and professional development are included, if applicable.

Materials/Services/Supplies – Includes all expenditure items that the department has direct control over such as contract costs, supplies, equipment purchases and utility charges.

Resource and Production – Consists mainly of costs related to the purchasing or generating of electricity, water, or recycled water as well as disposing of solid waste matter and sewage effluent for the respective City utilities.



Interfund Services – Includes two types of expenses. The first type is for charges that one department or fund charges to another for services provided, which includes charges calculated by the Indirect Cost Allocation Plan. The Indirect Cost Allocation Plan includes costs that are typically termed "citywide overhead". Most of these costs are those expenditures which provide support services or oversight to another department or fund citywide. These costs are allocated based on an allocation factor, such as employee count or budgeted expenditures, which is used as the basis for distributing costs to departments or funds receiving the support or benefit. Examples of such expenditures that are allocated are for services provided by the City Manager's Office, City Attorney's Office, Finance and Human Resources. These service costs are allocated to other departments or funds in the Full Cost Allocation Plan. This plan is prepared by a third-party consultant every two or three years and include modest increases between updates. The second type of allocated charge is citywide in nature, funded through the City's internal service funds. Examples of these costs are citywide liability insurance costs and information technology costs that are apportioned to departments or funds in the City.

Capital Outlay – Includes small capital expense purchases not budgeted within the Capital Improvement Projects budget. Most individual capital purchases with a cost of less than \$5,000 are expensed in this category.

Transfers to Other Funds – Includes all money moving to other funds. Transfers can be between the operating and capital improvement program budget to provide funding for capital projects or between different operating funds.

Debt Service – Funding provided to pay for the City's Debt Service obligations.

Types of Capital Projects

The Capital Improvement Program Budget categorizes projects as **ongoing** or **distinct**.

Ongoing – the project does not have an identifiable completion date and project is needed indefinitely (i.e. maintenance of land or pavement)

Distinct – the project is one-time in nature and funding will be required for a predetermined amount of time (i.e. the building of a structure)



Strategic Pillars

The following are the strategic pillars established by the City Council to provide a framework for this budget document. In each department section, service level changes and performance and workload measures aligned to one of the Council-approved pillars below:



Enhance Community Engagement and Transparency



Deliver and Enhance High Quality Efficient Services and Infrastructure



Manage Strategically Our Workforce Capacity and Resources



Promote and Enhance Economic, Housing and Transportation Development



Promote Sustainability and Environmental Protection



Enhance Community Sports, Recreational and Art Assets



Ensure Compliance with Measure J and Manage Levi's Stadium



Capital Budget Reader's Guide

The Capital Improvement Project Budget document is organized by theme. As discussed earlier in this section, each theme is comprised of a **Theme Overview**, followed by a **Project Cost Summary**, a **Funded Project** section, and **Unfunded Projects Summary**. Please see below for an example of a funded project page and an unfunded projects summary page.

FUNDED | ANNUAL CREEK TRAIL REHABILITATION PROGRAM

Project Number:	1203	Theme / Category:	Parks and Trails
Year Initiated:	2018	Project Manager:	Vincent Luchessi
Planned Completion Year:	Ongoing	Department:	Public Works
Project Type:	Ongoing	Fund:	533 - Streets and Highways
Strategic Pillar		02 - Deliver and Enhance High Quality Efficient Services and Infrastructure	
Location	Various locations along creek trails		

Project Description In 2019, the City Council adopted the Bicycle Plan Update 2018. Policy 2.C.1 of the Bicycle Masterplan states: "Develop a trail pavement management plan by 2020 and request funding through the capital budget process to perform necessary pavement maintenance" in order to enhance standard operating practices for bicycle facility maintenance. The trail pavements were inspected by a pavement management consultant in 2019 and a pavement management plan was developed. This project performs pavement preventative maintenance and rehabilitation for the San Tomas Aquino Creek Trail (STACT) and Guadalupe River Trail, including application of seals, removal and replacement of failed asphalt, repair of miscellaneous amenities, and replacing worn-out striping and markings. Preventative maintenance and repair of failed pavements extends the useful life of the City's trail system assets.

	(1)	(2)	(3)	(3)	(4)	(4)	(4)	(5)
Funding Sources	All Prior Actuals	2019/20	2020/21	2021/22	2022/23	2023/24	2024/25	Total
Total Sources	\$200,000	\$100,000	\$360,000	\$580,000	-	-	-	\$1,240,000

	(1)	(2)	(3)	(3)	(4)	(4)	(4)	(5)
Expenditures	All Prior Actuals	2019/20	2020/21	2021/22	2022/23	2023/24	2024/25	Total
Construction	-	\$300,000	\$300,000	\$490,000	-	-	-	\$1,090,000
Engineering	-	-	\$60,000	\$90,000	-	-	-	\$150,000
Total Expenditures	-	\$300,000	\$360,000	\$580,000	-	-	-	\$1,240,000

- (1) All Prior Actuals reflect the cumulative actual sources and expenditures since project inception.
- (2) Project sources and expenditures for 2019/20 include carryover from the prior year.
- (3) Project sources and expenditures for appropriation for Year 1 and Year 2 of the current biennial CIP budget.
- (4) Project sources and expenditures for appropriation for next three years following the current biennial CIP budget.
- (5) Total sources and expenditures of all prior year actuals, current and future year budgets.



UNFUNDED PROJECTS | PARKS AND TRAILS

NEW - 321 - Central Park Arbor Center Area Improvements					
FY 2020/21	FY 2021/22	FY 2022/23	FY 2023/24	FY 2024/25	Five-Year CIP Total
\$2,933,800	-	-	-	-	\$2,933,800

In 2018, the City’s Facility Condition Assessment Report (Kitchell), identified Central Park Arbor Center as “poor” and recommended for renewal. This project will replace the restroom, improve parking lot pathways, lighting, and landscaping. In 2019, the City was awarded a County of Santa Clara All Inclusive Playground Grant, and the Central Park Master Plan identifies the Arbor Center Area as a first year project. The restroom and pavilion parking lot will be used by the playground. Additional improvements around the new facility will be needed. Funds will be needed for restroom, parking lot and pathway improvements. Project will be undertaken as funding becomes available.

Note: Amount under any year reflects the unfunded amount for the project.



FUND ACCOUNTING

The accounts of the City are organized and operated on the basis of funds, each of which is considered a separate accounting entity. Government resources are allocated to and accounted for in individual funds based upon the purposes for which the resources are to be spent. A general description of each follows:

Governmental Fund Types

Governmental funds are those through which most governmental functions of the City are financed. The following are the City's Governmental Fund Types:

General Fund - Used to account for the general operations of the City.

Special Revenue Funds - Used to account for the proceeds of specific revenue sources that are legally restricted to expenditure for specific purposes. Examples of Special Revenue Funds include the Downtown Parking Maintenance District Fund and the Housing Authority Fund.

Debt Service Funds - Used to account for the accumulation of financial resources to be used for the payment of principal and interest on General Government Operating and Capital long-term obligations, which are not accounted for in proprietary funds. These funds consist of the General 2010 Lease Agreement that refunded the 1997 Certificates of Participation ("COPS") issued for the Police Administration building and the 2013 COPS issued for the Central Park Library building.

Capital Projects Funds - Used to account for financial resources to be used for the acquisition or construction of General Government major capital facilities. Capital projects funds are organized by the following fund groups: Enterprise, Streets and Highways, General Government, and Authority funds.

Proprietary Fund Types

Proprietary funds are used to account for the City's ongoing organizations and activities which are similar to those often found in the private sector. Proprietary Fund Types include Enterprise Funds and Internal Service Funds and are described as follows:

Enterprise Funds - Used to account for operations (a) that are financed and operated in a manner similar to private business enterprises where the intent of the governing body is that the costs and expenses, including depreciation, of providing goods or services to the general public on a continuing basis be financed or recovered primarily through user charges; or (b) where the governing body has decided that periodic determination of revenues earned, expenses incurred, and/or net income is appropriate for capital maintenance, public policy, management control, accountability or other purposes. Examples of Enterprise Funds include the Electric Utility Fund and the Water Utility Fund.



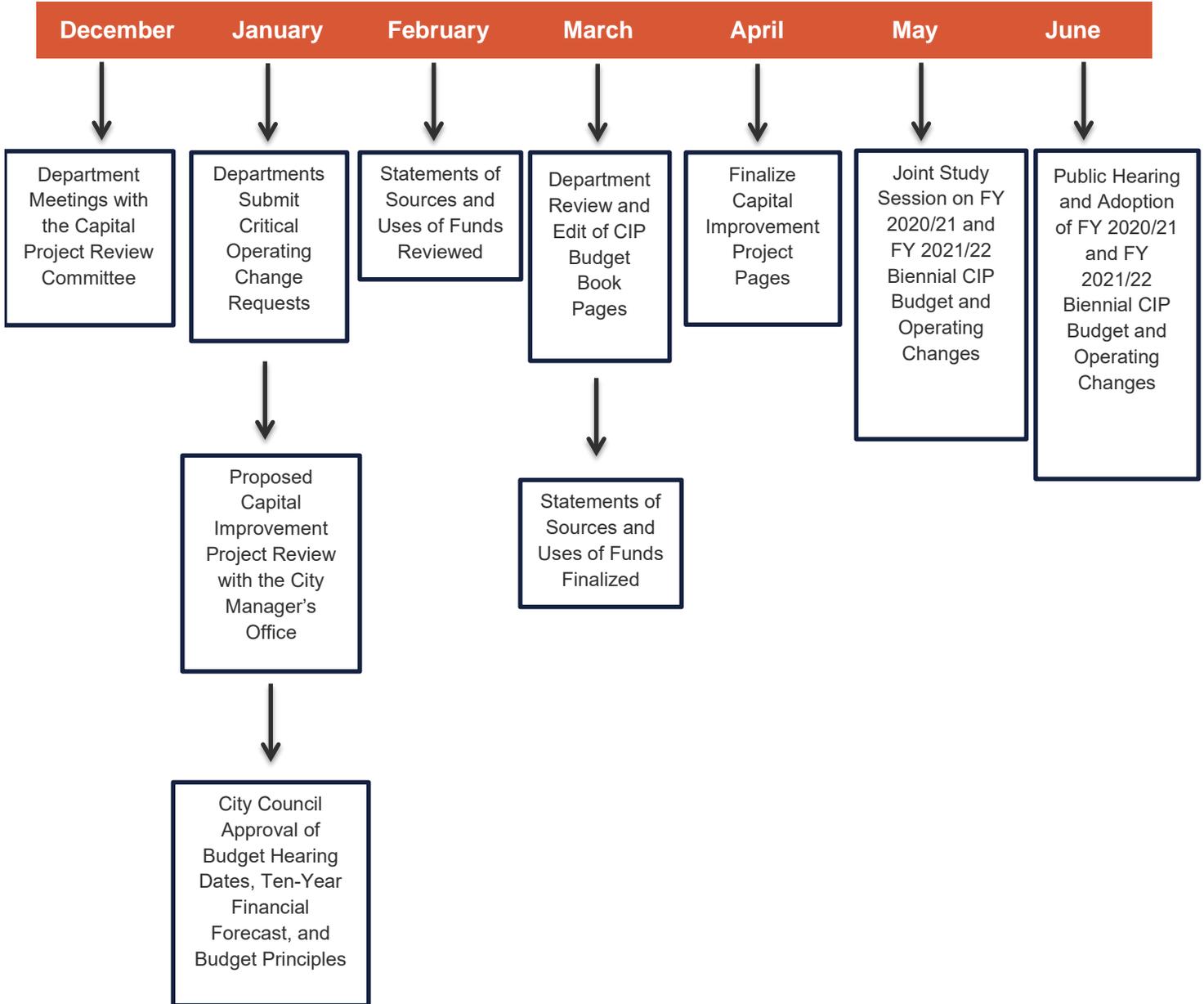
Internal Service Funds - Used to account for the financing of goods, services or facilities provided by one department or agency to other departments or agencies of the City, or to other governments, on a cost-reimbursement basis. Services provided include vehicle replacement, vehicle maintenance and operations, information technology, communications equipment, public works capital projects management, special liability insurance claims, workers' compensation insurance and claims, and unemployment insurance. Examples of Internal Service Funds include the Special Liability Fund and Workers' Compensation Fund.

This budget document includes statements of sources and uses for all of the City's funds, categorized by the type of fund as described above. The financial statements for the capital project funds display the FY 2019/20 Estimate, the FY 2020/21 through FY 2024/25 Proposed, and the Five-Year CIP Total.

The operating budget funds are comprised of the General, Special Revenue, Debt Service, Enterprise, and Internal Service Funds. The financial statements for this group of funds includes the FY 2018/19 actuals, FY 2019/20 Amended Budget, FY 2019/20 Estimate, FY 2020/21 Adopted Budget, and FY 2020/21 Amended Budget. The FY 2019/20 Amended Budget is the adopted budget in addition to any budget amendments that were approved by City Council throughout the fiscal year, while the Estimate column shows what the expected revenue and expenditures will be for FY 2019/20. The FY 2020/21 Amended Budget column reflects amendments recommended to the FY 2020/21 Adopted Budget that are described in the Appendices section of this document.



BUDGET CALENDAR





BUDGET PRINCIPLES FOR FY 2020/21

(Approved by the City Council on January 31, 2020)

1. Make decisions within the context of the City's Code of Ethics and Values, especially being Fiscally Responsible, Communicative, and Service-Oriented.
2. Consider budget decisions with long-term implications taken into account using data from the Ten-Year Financial Forecast.
3. Maximize service delivery within existing resources by balancing ongoing expenditure needs with ongoing revenues to avoid negative impacts on future budgets and maintain the City's high standards of fiscal integrity and financial management.
4. Focus on projects and services that benefit the community as a whole.
5. Pursue economic development objectives and strategies to foster new public and private investment within Santa Clara, and to create employment opportunities.
6. Balance between compensation adjustments to retain and attract employees and funding for positions.
7. Use one-time unrestricted revenues (e.g., annual General Fund surplus) for one-time uses such as increasing reserves, funding capital or Information Technology projects, paying off debt, and/or paying off unfunded pension or other post-employment benefits liabilities.
8. In accordance with Council policy, continue to maintain the General Fund Budget Stabilization Reserve balance at or above the policy level of 25% of adopted budget expenditures for the long-term fiscal health of the City.
9. Inform and communicate clearly and broadly to residents, businesses and employees regarding the City's fiscal position and budget; schedule hearings to promote active participation in the City Council's budget deliberations.
10. With limited exceptions, establish fees based on full cost recovery where individuals/businesses rather than the community at-large are benefitting from City services. This preserves limited unrestricted resources for providing services that benefit the community as a whole.
11. Focus on business process redesign in order to improve employee productivity and the quality, flexibility, and cost-effectiveness of service delivery (e.g., streamlining, simplifying, reorganizing functions, and reallocating resources).
12. Explore expanding existing revenue sources and/or adding new revenue sources.



13. Engage employees to contribute new and innovative ideas during the department budget development process.
14. Use the General Plan as a primary long-term fiscal planning tool and link ability to provide City services to development policy decisions.



GLOSSARY

The following explanations of glossary and terms are presented to aid in understanding the information included in this document:

Abatement - A complete or partial cancellation of a levy imposed by a government and usually applied to tax levies, special assessments and service charges.

Accounting System - The total structure of records and procedures which discover, record, classify, summarize and report information on the results of operations and the financial position of a government or any of its funds, fund types, balanced account groups, or organizational components.

Accrual Basis of Accounting - A method of accounting that recognizes the financial effect of transactions, events, and interfund activities when they occur, regardless of the timing of related cash flows.

Adopted Budget - The annual City budget as approved by City Council on or before June 30 for the fiscal year beginning July 1. This adopted budget establishes the legal authority for the expenditure of funds. This formal action by the City Council sets the spending path for the year.

Agency Fund – To account for assets held by the City as an agent for individuals, private organizations, other governments, and/or other funds.

Allocation - To divide or share out financial resources or expenditures for a specific purpose to particular funds or departments.

American Recovery and Reinvestment Act (ARRA) - An act established by the federal government in February 2009 whose intent is to create and save jobs, spur economic activity and focus on long term growth through the funding of various projects and initiatives.

Appropriation - A legal authorization granted by the City Council to make expenditures and to incur obligations for specific purposes. For purposes of the Capital Improvement Program (CIP) budget, appropriations are automatically renewed, for the life of the project, unless altered or revoked. For the operating budget, appropriations lapse at the end of the fiscal year to the extent they have not been expended or encumbered.

Appropriations Limit - The California State Constitution limits a city's appropriations growth rate to two factors: changes in population, and either the change in California per capita income or the change in the local assessment roll due to non-residential new construction.

Assessed Valuation - A valuation set upon real estate or other property by a government as a basis for levying taxes. In California, the assessed valuation subject to ad valorem tax levy is governed by Proposition 13 and AB8 (1978).



Assets - A probable future economic benefit obtained or controlled by a particular entity as a result of past transactions or events. This includes financial resources such as cash, receivables, inventory and plant and equipment, net of depreciation.

Audit - A formal examination of the City's accounts by an independent audit firm to determine whether the City's financial statements fairly present the City's financial position and results of operations in conformity with Generally Accepted Accounting Principles.

Authorized Positions - Regular positions authorized in the budget to be employed during the fiscal year.

Balanced Budget - The budget for a fund is balanced when total budgeted resources, including revenues, transfers in from other funds, and available fund balance from the previous year, meets or exceeds total budgeted uses of resources, including expenses and transfers out to other funds.

Base Budget - The ongoing expense level necessary to maintain service levels previously approved by the City Council.

Basis of Accounting - The timing of recognition, that is, when the effects of transactions or events are recognized for financial reporting or budgeting purposes. The three bases of accounting for governmental agencies are: (1) cash basis (when cash is received or paid), (2) accrual basis (when the underlying transaction or event takes place), and (3) modified accrual basis (revenues are recognized in the accounting period in which they become available and measurable and expenditures are recognized in the accounting period in which the fund liability occurred).

Beginning Fund Balance - The amount of prior year's unappropriated funds used to finance appropriated expenses in the current budget year.

Biennial Budget - A consolidated budget document presented on a biennial basis that includes the City's Operating Budget and CIP Budget. The Operating and Capital Budgets are presented in alternating years to the City Council for approval.

Bond - A city may raise capital by issuing a written promise to pay a specified sum of money, called the face value or principal amount, at a specified date or dates in the future, together with periodic interest at a specific rate.

Bond Rating - An evaluation of a bond issuer's credit quality and perceived ability to pay the principal and interest on time and in full. Three agencies regularly review city bonds and generate bond ratings: Moody's Investors Service, Standard and Poor's and Fitch Ratings.

Budget - A plan of financial operation embodying an estimate of proposed expenditures for a given period and the estimated financial resources available to finance them. Used without any modifier, the term usually indicates a financial plan for a single fiscal year.



Budgetary Control - The control or management of a government or enterprise in accordance with an approved budget for the purpose of keeping expenses within the limitations of authorized appropriations.

Budget Public Hearing - A public meeting at which any member of the community may appear and be heard regarding any item in the proposed budget as presented by the City Manager to the City Council.

Budget Transmittal Letter - A general discussion of the budget as presented in writing by the City Manager to the City Council. The message contains an explanation of principal budget items and summaries found in the budget.

Capital Asset - Land, buildings, equipment, improvements to buildings, and infrastructure (i.e., roads, bridges and other immovable assets). The City's policy is to capitalize equipment with a cost exceeding \$5,000 and building, improvements and infrastructure with costs exceeding \$20,000. A capital asset is defined as an asset with a useful life extending beyond a single accounting period.

Capital Improvement - A permanent addition to the City's assets, including the design, construction or purchase of land, buildings, or facilities, or major renovations of same.

Capital Improvement Program (CIP) Budget - A plan of annual appropriation for capital improvements and various kinds of major facility maintenance. These projects are often multi-year in length, which requires funding beyond the two-year period in the biennial budget.

Capital Outlay - A budget category which includes all equipment having a unit cost of \$1,000 or more, and an estimated useful life of over one year or capital improvements costing less than a certain dollar amount. Capital Outlay is budgeted in the operating budget in the Other Operating Expenditure Category.

Charges for Services - Fees and charges levied by City departments for services rendered (example: utility charges to customers, recreation program fees, engineering fees, etc.).

Contingency - A budgetary reserve set aside for emergency or unanticipated expenditures, revenue shortfalls, and/or unknown expenditures.

Certificates of Participation (COPs) - This financing technique provides long-term financing through a lease, installment sale agreement or loan agreement. Certificates of Participation (COPs) allow the public to purchase participation in a stream of lease payments, installment payments or loan payments relating to the acquisition or construction of specific equipment, land or facilities. The lending agreement is secured by a lease on the acquired asset or other assets of the City.



Comprehensive Annual Financial Report (CAFR) - The official annual report of the City's financial condition at the conclusion of the fiscal year, June 30. The report is prepared to conform to Generally Accepted Accounting Principles (GAAP) for governmental units and provides a quantitative look at the operating success, financial health, and compliance of the City's reporting units.

Community Development Block Grant (CDBG) - Provides eligible metropolitan cities and urban counties with annual direct grants to revitalize neighborhoods; expand affordable housing and economic opportunities; and/or improve community facilities and services, principally to benefit low- and moderate-income persons.

Community Facilities District (CFD) - A special district that can issue debt for the planning, design, acquisition, construction, and/or operation of public facilities, as well as provide public services. Special tax assessments levied by the district are used to repay the debt. A CFD can be formed only if approved by the majority of affected property owners.

Consumer Price Index (CPI) - A statistical measure of a weighted average of prices of a specified set of goods and services purchased by wage earners in urban areas.

Debt - Obligations of the City to repay, with or without interest, in installments and/or at a later date, some amount of money utilized resulting from the borrowing of money or from the purchase of goods and services. Debts of governments include bonds, notes and COPs.

Debt Financing - Issuance of bonds and other debt instruments to finance municipal improvements and services.

Debt Service - Payment of the principal and interest on an obligation resulting from the issuance of bonds, COPs or other debt instruments.

Deficit - An excess of expenditures or expenses over revenue (resources).

Department - An organizational unit comprised of divisions and/or programs. It is the basic unit of service responsibility encompassing a broad mandate of related activities. Department directors generally report directly to the City Manager's Office, for instance, Fire and Finance.

Depreciation - An allocation of the cost of fixed assets (buildings, plant or equipment) over the estimated useful life of the asset.

Designation - A portion of fund equity set aside by Council Action for a specific purpose.



Dissolution Act - Also known as Redevelopment Dissolution Act; on December 29, 2011 the California Supreme Court found the Dissolution Act (ABx1 26) constitutional in the California Redevelopment Association vs. Matosantos case. The Act continued the suspension and prohibition of most redevelopment activities in effect since late June 2011; dissolved RDAs as of February 1, 2012; created successor agencies and oversight boards; and established roles for the County-Auditor Controller, the Department of Finance and State Controller's Office in the dissolution process and satisfaction of enforceable obligations of former RDAs.

Division - An organizational unit within a City department. For instance, Fire Protection, Fire Prevention, and Fire Training.

Encumbrance - Obligations in the form of purchase orders, contracts or salary commitments which are chargeable to an appropriation and for which a part of the appropriation is reserved. They cease to be encumbrances when paid.

Enterprise Fund - Used to account for operations: a) that are financed and operated in a manner similar to private business enterprises where the intent of the governing body is that the costs and expenses, including depreciation or capital replacement, of providing goods or services to the general public on a continuing basis be financed or recovered primarily through user charges; or b) where the governing body has decided that periodic determination of revenues earned, expenses incurred, and/or net income is appropriate for capital maintenance, public policy, management control, accountability, or other purposes.

Environmental Impact Report (EIR) - An assessment of the likely influence a project might have on the environment.

Equity - The net assets of a fund (i.e. the assets less the liabilities on a fund balance sheet).

Expenditure - Actual cash disbursements for the cost of goods delivered or services rendered to the City in a Governmental Fund.

Expenditure Object Category (Expenditure Category) - Expenditure categories are a group of similar expenditure objects.

Expense - The cost incurred from providing goods or services related to the City's operations in Proprietary Funds.

Fee - The payment for direct receipt of a public service by the party who benefits from the service.

Fiscal Year - The time period designated by the City signifying the beginning and ending period for recording financial transactions. Consistent with all cities and counties in the State of California, the City of Santa Clara has specified July 1 to June 30 as its fiscal year.



Franchise - A special privilege granted by a government, permitting the continued use of public property, such as city streets and usually involving the elements of a monopoly or regulation, for example cable TV, gas, refuse, and others.

Full-Time Equivalent - Refers to one full-time equivalent position, which equals 40 hours per week, 52 weeks per year.

Fund - An independent fiscal and accounting entity with a self-balancing set of accounts recording its assets, liabilities, fund equity, revenues and expenditures or expenses and other changes in residual fund equity or balances, segregated for the purpose of carrying on specific activities or attaining certain objectives in accordance with special regulations, restrictions, limitations or public policy.

Fund Balance - The amount of financial resources immediately available for use. Generally, this represents the difference between unrestricted current assets over current liabilities.

Fund Type - A category into which funds with similar characteristics are grouped. The fund types used in the City budget are General, Special Revenue, Capital Projects, Enterprise, Internal Service and Debt Service funds.

Gas Tax – State tax received from gasoline sales utilized solely for street related purposes, such as new construction, rehabilitation or maintenance.

General Fund - The primary fund of the City used to account for all revenues and expenditures of the City not accounted for in another fund. Examples of departmental operations accounted for in the General Fund include the City Council, Police and Fire Departments, Library, Parks and Recreation, and others.

Generally Accepted Accounting Principles (GAAP) - Uniform minimum standards and guidelines for financial accounting and reporting that govern the form and content of the financial statements of an entity. GAAP encompass the conventions, rules and procedures necessary to define accepted accounting practice at a particular time. GAAP provide a standard by which to measure financial presentations. The primary authoritative body on the application of GAAP to State and local governments is the GASB (Governmental Accounting Standards Board).

Governmental Accounting - The composite activity of analyzing, recording, summarizing, reporting, and interpreting the financial transactions of governments.

Governmental Accounting Standards Board (GASB) - The authoritative accounting and financial reporting standard-setting body for government entities.

Governmental Fund - A fund type to account for tax-supported activities. There are four different types of governmental funds: the General Fund, Special Revenue Funds, Debt Service Funds and Capital Projects Funds.



Grant - Contributions of cash or other assets from another government entity to be used or expended for a specific purpose, activity or facility. An example is the Community Development Block Grant given by the federal government.

HOME Program – Federal housing assistance program that provides funds to low- and very low-income families for both rental units and privately-owned dwellings.

Housing Authority - The City of Santa Clara Housing Authority was established by Resolution 11-7827 on February 22, 2011 to ensure the provision of safe and sanitary housing for persons of low income.

Housing and Urban Development (HUD) - The Federal agency whose mission is to increase home ownership, support community development and increase access to affordable housing free from discrimination.

Indirect Cost Allocation Plan - The City uses an indirect cost allocation plan to ensure that enterprises and certain special revenue supported operations pay for themselves and are not subsidized by City taxpayers. General Fund supported central services costs such as payroll, accounting, data processing, personnel, city management and facilities maintenance are allocated to those funds benefiting from these services based on statistical data reflecting use of these support services.

Infrastructure - Facilities on which the continuance and growth of a community depend on such as roads, water lines, sewers, public buildings, parks and airports.

Interest and Rent - Interest income on investments and rental income received on property owned by the City.

Interfund Services - Services provided by one fund within the City for the benefit of another fund for which the fund that benefits is charged a fee (i.e., payroll services for the Electric Utility Department).

Interfund Transfers - With Council approval, resources may be transferred from one City fund to another. The purpose of the majority of transfers is to reimburse a fund that has made expenditures on behalf of another fund. Less often, a transfer may be made to open or close a fund.

Intergovernmental - Revenue received from other government entities (i.e., grants).

Internal Service Funds - These funds account for special activities and services performed by a designated department for other departments in the City on a cost reimbursement basis.

Legal Debt Limit - Per section 6.07 of the City Charter, bonded indebtedness of the City may not exceed 10% of the total assessed valuation of property within the City, exclusive of any indebtedness incurred for the purpose of water supply, sewers or storm drains.



Levi's Stadium - The stadium is located at 4900 Marie P. DeBartolo Way, Santa Clara and is the home of the San Francisco 49ers professional football team. It has a permanent seating capacity of approximately 68,500 seats with expansion to approximately 75,000 seats for larger events, such as an NFL Super Bowl. The stadium was built based on the City of Santa Clara approved Measure J, the Santa Clara Stadium Taxpayer Protection and Economic Progress Act, on June 8, 2010.

Levy - An amount of taxes, special assessments or service charges imposed by a government for the support of government activities.

Liability - Probable future sacrifices of economic benefits arising from present obligations of a particular entity to transfer assets or provide services to other entities in the future as a result of past transactions or events. That is, a financial obligation or claim of financial resources of a specific fund to be liquidated at a future date.

Long-term Debt - Debt with a maturity of more than one year after the date of issue.

Mission - The overriding purpose of the department, division, or program.

Modified Accrual Basis of Accounting - Revenues are recognized in the accounting period in which they become available and measurable; expenditures are recognized in the accounting period in which the fund liability is incurred (if measurable), except for unmatured interest on general long-term debt and certain similar accrued obligations, which should be recognized when due.

Municipal Code - A compilation of City Council approved ordinances currently in effect. The Code defines City policy in various categories, for example, Civil Service rules, traffic regulations, sanitation and health standards, building regulations and planning and zoning regulations.

Object Category - See Expenditure Object Category.

Operating Budget - The portion of the budget that pertains to the City's daily operations and activities engaged in to provide services to the community. The operating budget contains appropriations for such expenditures as personnel (salaries, wages and benefits), supplies and materials, utilities, travel and fuel.

Ordinance - A formal legislative enactment by City Council. It has the full force and effect of law within City boundaries unless pre-empted by a higher form of law (State or federal). An ordinance has a higher legal standing than a resolution. Revenue raising measures, such as the imposition of taxes, special assessments and service charges are some examples of actions that would require the enactment of an ordinance.

Other Financing Sources - Changes in residual fund equity or balances not arising from revenues or expenditures/expenses. Includes governmental fund general long-term debt proceeds, amounts equal to the present value of minimum lease payments arising from capital leases, proceeds from the sale of general fixed assets and operating transfers in.



Performance Measures - This is a non-financial measurement of activity such as number of meters read, number of bills sent, number of customer service calls handled and response time to emergency calls. Performance-based budgeting incorporates performance measures into the budget process.

Program - A program is a specific service or activity that falls under departmental divisions. Programs provide for a lower level of detail regarding a Department's function. For instance, the Administration and Emergency Response programs are under the Fire Protection Division.

Property Tax - An ad valorem (based on value) tax on real property and tangible personal property levied by the local government on the property located within the City's jurisdiction. Property tax is determined by two factors: the assessed value of the property and the tax rate for the area in which the property is located.

Public Facilities Financing Corporation (PFFC) - The City of Santa Clara Public Facilities Financing Corporation (PFFC) was formed in 1997 for the purpose of issuing COPs to provide financing for the construction of major City facilities. Members of the City Council are also members of the PFFC Board. Debt service on the COPs is secured by lease payments made by the City's General Fund to the PFFC for the use of the constructed facilities for public purposes. In accordance with lease agreements, the PFFC assigns lease payments received from the City to the trustee for payment to the certificate holders.

Redevelopment Dissolution Act - See Dissolution Act.

Reimbursement - Repayments of amounts remitted on behalf of another party, or interfund transactions that constitute reimbursements to a fund for expenditures or expenses initially made from it but properly applied to another fund.

Reserve - An account used to earmark a portion of fund balance to indicate that it is not available for expenditure or legally segregated for a specific future use.

Resolution - A special or temporary legislative order of the City Council/Agency/Authority. If it is not in conflict with any higher form of law, such as a state statute or constitutional provision, it has the full force and effect of law within the boundaries of the City. A resolution requires less legal formality and has a lower legal status than an ordinance.

Revenue - Resources received by the government available for use in supporting services including such items as taxes, fees, permits, licenses, grants and interest.



Risk Management - An organized attempt to protect a government's assets against accidental loss in the most economical method. The City is exposed to various risks of losses related to torts, errors and omissions, general liability, injuries to employees and unemployment claims. Claims, expenditures and liabilities are reported when it is probable that a loss has occurred and the amount of that loss can be reasonably estimated using actuarial methods or other estimating techniques. These losses include an estimate of claims that have been incurred but not reported.

Sales Tax - A tax imposed by the government on retailers at the point of sale for the privilege of selling tangible personal property. It is usually calculated as a percentage of the selling price and collected by the retailer from the consumer. The rate in the City of Santa Clara is 9.0%.

Silicon Valley Power (SVP) - The City's Electric Utility Department provides electricity to City residents and businesses under the name Silicon Valley Power.

Special Assessment – A compulsory levy made against certain properties to defray part or all of the cost of a specific improvement or service deemed to primarily benefit those properties.

Special Revenue Fund – A fund in which revenue collected is restricted by the City, State or federal government as to how the city might spend its resources.

Sports and Open Space Authority (SOSA) – The City of Santa Clara Sports and Open Space Authority (SOSA) was created by the City Council in 1974 for the acquisition and development of open space within the City. The members of the City Council are also members of SOSA's Board of Directors and, as such, are authorized to transact business and exercise power to purchase, lease or otherwise obtain and dispose of real and personal property, to acquire, construct, maintain, repair, manage and operate real and personal property, including leasing to private operators for commercial purposes, surplus space which is not economical to use for open space planning.

Stadium Authority – The Stadium Authority was established by the City Council in 2011 to provide for the development and operation of Levi's Stadium. The Stadium Authority's governing board is the seven members of the City Council. The Stadium Authority will own, develop, construct, operate and maintain the Stadium, and have all powers granted to it by the City. The Stadium Authority is a separate and distinct legal entity, and the City is not liable for the debts or obligations of the Stadium Authority.

Strategic Pillar – The City Council adopted seven focus areas for City operations: 1. Promote and Enhance Economic, Housing and Transportation Development; 2. Deliver and Enhance High Quality Efficient Services and Infrastructure; 3. Enhance Community Sports, Recreational and Arts Assets; 4. Enhance Community Engagement and Transparency; 5. Ensure Compliance with Measure J and Manage Levi's Stadium; 6. Manage Strategically our Workforce Capacity and Resources; and 7. Promote Sustainability and Environmental Protection.



Successor Agency to the Former Redevelopment Agency of the City of Santa Clara – Pursuant to State legislation ABx1 26, the “Dissolution Act,” the Redevelopment Agency (RDA) of the City of Santa Clara was dissolved effective February 1, 2012. The City has elected to become the Successor Agency for the RDA non-housing functions, responsible for paying off the former Redevelopment Agency’s existing debts, disposing of the former Redevelopment Agency’s properties and assets to help pay off debts, returning revenues to the local government entities that receive property taxes, and winding down the affairs of the former Redevelopment Agency. The City has also elected to retain the former Redevelopment Agency’s housing functions, including retaining all of the housing assets, rights, power, duties, obligations and functions previously performed by the Redevelopment Agency in administering its Low and Moderate Income Housing Fund.

Subsidy – A grant by a government entity to another government entity to pay all or a portion of an activity of the government deemed advantageous to the public.

Surplus – An excess of revenue (resources) over expenditures or expenses.

Taxes – Compulsory charges levied by a government for the purpose of financing services performed for the common benefit. The term does not include specific charges made against particular persons or property for permanent benefits such as special assessments. Neither does the term include charges for services rendered only to those paying such charges as, for example, water service charges.

Ten-Year Financial Plan – A strategic planning document showing the estimated results of operations and capital improvement project requirements over the next ten years. This plan is reviewed and accepted by Council and no appropriations result from that acceptance.

Theme – The capital budget is aligned to twelve categories (Administrative Facilities, Community Facilities, Convention Center, Electric Utility, Other Community Projects, Parks and Trails, Sewer Utility, Solid Waste, Storm Drains, Technology and Equipment, Transportation, and Water and Recycled Water Utilities).

Tourism Improvement District (TID) – The Santa Clara Tourism Improvement District was established in 2004 as a marketing revenue supplement to assist the Santa Clara Convention and Visitors Bureau (CVB) with marketing the City of Santa Clara to hotel and convention center groups and visitors. The activities to be provided to the district will be funded by the levy of assessments.

Transient Occupancy Tax (TOT) – A locally controlled tax imposed on travelers who stay in temporary lodging facilities for stays thirty days or less. The rate in the City of Santa Clara is currently 9.5%.

Trust Fund – Used to account for assets held by the City in a trustee capacity.

User Charges – The payment of a fee for direct receipt of a public service by the party benefiting from the service.



ACRONYMS

AB	Assembly Bill
ADA	American with Disabilities Act
AMH	Automatic Handling System
AIPG	All Inclusive Playground Grant
ARRA	American Recovery and Reinvestment Act
ASAI	Average System Availability Index
BAREC	Bay Area Research Extension Center
BLS	Basic Life Support
BMP	Below Market Price
BNPEA	Bayshore North Project Enhancement Authority
BSR	Budget Stabilization Reserve
CAFR	Comprehensive Audited Financial Report
CAHF	City Affordable Housing Fund
CalOES	State of California Office of Emergency Services
CalPERS	California Public Employees' Retirement System
CCTV	Closed-Circuit Television
CDBG	Community Development Block Grant
CEQA	California Environmental Quality Act
CFD	Community Facilities District
CIP	Capital Improvement Program
CLT	Contribution In-Lieu of Tax
CMMS	Computerized Maintenance Management System
CNG	Compressed Natural Gas
COLA	Cost of Living Adjustment
COP	Certificates of Participation
COPS	Citizens' Option for Public Safety
CPI	Consumer Price Index
CPR/AED	Cardiopulmonary Resuscitation/Automated External Defibrillator
CPRS	California Parks and Recreation Society



CRC	Community Recreation Center
CSMFO	California Society of Municipal Finance Officers
CVB	Convention-Visitors Bureau
DA	Development Agreement
DDA	Disposition and Development Agreement
DTSC	Department of Toxic Substances Control
DVR	Donald Von Raesfeld Power Plant
EEO	Equal Employment Opportunity
EIR	Environmental Impact Report
EMS	Emergency Medical Services
EMT	Emergency Medical Technician
EOC	Emergency Operations Center
EOL	End of Life
EOPS	Enforceable Obligation Payment Schedule
ERAF	Educational Revenue Augmentation Fund
FEMA	Federal Emergency Management Agency
FERC	Federal Energy Regulatory Commission
FF&E	Furniture, Fixtures and Equipment
FHRMS	Finance Human Resources Management System
FMIS	Finance Management Information System
FOG	Fats, Oils, and Grease
FPPC	Fair Political Practices Commission
FTE	Full Time Equivalent (Employee)
FTHB	First Time Homebuyer
FY	Fiscal year
GAAP	Generally Accepted Accounting Practices
GASB	Governmental Accounting Standards Board
GDP	Gross Domestic Product
GIS	Geographical Information Systems
GFGC	General Fund General Contingency
GFOA	Government Finance Officers Association



GPS	Global Positioning System
GSI	Green Stormwater Infrastructure
GWh	Giga Watt Hour
HA	Housing Authority
HIPPA	Health Insurance Portability and Accountability Act
HOME	Home Investment Partnerships Act
HR	Human Resources
HMG	Hazard Mitigation Grant
HMI	Human Mechanical Interface
HUD	Housing and Urban Development
HVAC	Heating, Ventilation, and Air Conditioning
IBEW	International Brotherhood of Electric Workers
IT	Information Technology
ISC/CRC	International Swim Center/Community Recreation Center
JPA	Joint Power Agreement
kWh	Kilo Watt Hour
LED	Light Emitting Diodes
LEED	Leadership in Energy and Environmental Design
LF	Linear Feet
LPD	Land, Property and Development
LLEBG	Local Law Enforcement Block Grants Program
m:s	minutes: seconds
MCC	Motor Control Center
MOU	Memorandum of Understanding
MRP	Municipal Regional Stormwater National Pollutant Discharge Elimination Permit
Muni	Municipal
N/A	Not Applicable
NCIP	Neighborhood Conservation and Improvement Program
NCPA	Northern California Power Agency
NEPA	National Environmental Policy Act
O&M	Operations and Maintenance



OBAG	One Bay Area Grant
OSHA	Occupational Safety and Health Administration
OTS	California Office Traffic Safety
PBC	Public Benefits Charge
PCA	Property Condition Assessment
PEMHCA	Public Employees' Medical and Hospital Care Act (California)
PEPRA	Public Employees' Pension Reform Act of 2013
PERS	Public Employees' Retirement System
PG&E	Pacific Gas and Electric
PLC	Programmable Logic Control
POP	Problem Oriented Policing
RDA	Redevelopment Agency
RMRA	Roadway Repair and Accountability Act
RMRP	Retiree Medical Reimbursement Program
ROPS	Recognized Obligation Payment Schedule
RWF	Regional Wastewater Facility
SA	Successor Agency
SAIDI	System Average Interruption Duration Index
SB	Senate Bill
SCADA	Supervisory Council and Data Acquisition
SCAT	Specialized Crime Action Team
SCPD NSU	Santa Clara Police Department - Nuisance Suppression Unit
SCSA	Santa Clara Stadium Authority
SDPS	Storm Drain Pump Station
SFPUC	San Francisco Public Utilities Commission
SFM	State Fire Marshal
SOSA	Sports and Open Space Authority
SRT	Special Response Team
STACT	San Tomas Aquino Creek Trail
STEM	Science, Technology, Engineering and Math
SVACA	Silicon Valley Animal Control Authority



SVP	Silicon Valley Power (City owned Electric Utility)
SWRCB	State Water Resources Control Board
TBRA	Tenant-Based Rental Assistance
TDA	Transportation Development Act
TDM	Traffic Demand Management
TMP	Transportation Management Program
TOT	Transient Occupancy Tax
TPAC	Treatment Plant Advisory Committee
UMIS	Utility Management Information System
Uncl	Unclassified Employee
VoIP	Voice Over Internet Protocol
VLF	Vehicle License Fee
WiFi	Wireless Fidelity Communication Technology
WPCP	Water Pollution Control Plant



BUDGET SUMMARY | SOURCES

	FY 2019/20 Adopted	FY 2020/21 Adopted	FY 2020/21 Amended	Year-on-Year Change	Change %
Revenue Sources:					
Property Tax	64,438,315	68,873,348	66,982,000	2,543,685	3.9%
Sales Tax	58,200,400	56,795,276	55,600,000	(2,600,400)	(4.5%)
Transient Occupancy Tax	23,002,500	23,807,588	17,625,000	(5,377,500)	(23.4%)
Franchise Tax	4,408,151	4,522,910	4,738,000	329,849	7.5%
Gas Tax	5,296,500	4,150,000	4,400,000	(896,500)	(16.9%)
Other Taxes	2,081,374	2,152,316	1,323,400	(757,974)	(36.4%)
Planning Fees	6,003,000	6,213,106	7,600,000	1,597,000	26.6%
Other Fees	8,926,551	9,220,048	11,519,264	2,592,713	29.0%
Licenses and Permits	9,529,945	9,838,177	10,977,295	1,447,350	15.2%
Fines and Penalties	1,689,225	1,719,472	1,570,000	(119,225)	(7.1%)
Rents and Leases	14,384,827	10,793,445	12,566,046	(1,818,781)	(12.6%)
Electric Utility	473,473,410	499,907,457	472,604,941	(868,469)	(0.2%)
Electric Special Revenues	33,498,647	35,929,751	34,457,035	958,388	2.9%
Water Utility	51,273,621	55,065,669	55,065,496	3,791,875	7.4%
Sewer Utility	45,274,057	48,895,100	48,390,852	3,116,795	6.9%
Water Recycling Utility	6,274,200	6,587,910	6,587,910	313,710	5.0%
Solid Waste Services	27,596,703	28,693,892	30,782,000	3,185,297	11.5%
Storm Drain Fees	1,447,000	1,447,000	1,460,000	13,000	0.9%
Miscellaneous Charges for Services	6,673,146	6,986,525	10,742,506	4,069,360	61.0%
Grant Revenue	7,805,344	1,632,201	1,522,162	(6,283,182)	(80.5%)
Housing Related	2,183,273	2,134,111	3,181,969	998,696	45.7%
Other Agencies	3,192,294	3,191,948	4,215,814	1,023,520	32.1%
Other Revenues	15,548,171	2,645,492	26,621,727	11,073,556	71.2%
State Revenues	917,000	917,000	1,076,000	159,000	17.3%
Traffic Mitigation	899,500	1,531,500	1,731,500	832,000	92.5%
Interest Income	14,285,030	15,851,192	11,798,080	(2,486,950)	(17.4%)
Reimbursements	6,310,200	6,394,216	6,394,216	84,016	1.3%
Developer Contributions	14,100,000	20,850,000	24,836,212	10,736,212	76.1%
Sale of Land or Property	15,700,000	0	0	(15,700,000)	(100.0%)
Bond Proceeds	50,000,000	0	0	(50,000,000)	(100.0%)
Interdepartmental Revenue	79,651,368	82,698,677	83,888,206	4,236,838	5.3%
Transfers In	139,217,942	182,611,142	132,259,912	(6,958,030)	(5.0%)
Capital Revenue Carryover	26,337,597	0	0	(26,337,597)	(100.0%)
Less Transfers In and Interfund Revenues**	(245,206,907)	(265,309,819)	(238,060,324)	7,146,583	(2.9%)
Total Revenue	974,412,384	936,746,650	914,457,219	(59,955,165)	(6.2%)
Capital Improvement Program Carryover	209,851,239	0	0	(209,851,239)	(100.0%)
Use of Reserves	0	55,566,318	57,612,939	57,612,939	100.0%
Total Sources***	1,184,263,623	992,312,968	972,070,158	(212,193,465)	(17.9%)



BUDGET SUMMARY | USES

	FY 2019/20 Adopted	FY 2020/21 Adopted	FY 2020/21 Amended	Year-on-Year Change	Change %
Expenditures:					
Operating Budget:					
City Council	894,953	950,157	834,241	(60,712)	(6.8%)
City Attorney's Office	2,260,512	2,604,084	2,666,125	405,613	17.9%
City Clerk's Office	1,389,880	1,691,450	2,070,555	680,675	49.0%
City Auditor	1,237,543	1,268,234	1,240,346	2,803	0.2%
City Manager's Office	6,554,276	6,449,482	6,125,034	(429,242)	(6.5%)
Community Development Department	20,826,195	20,141,067	28,406,095	7,579,900	36.4%
Electric Utilities Department	513,072,053	575,405,417	512,340,772	(731,281)	(0.1%)
Finance Department	14,482,188	15,115,629	16,216,074	1,733,886	12.0%
Fire Department	52,783,063	55,017,314	58,731,539	5,948,476	11.3%
Human Resources Department	4,409,195	4,475,581	4,477,933	68,738	1.6%
Information Technology Department	13,514,370	13,252,509	13,248,605	(265,765)	(2.0%)
Library Department	11,338,291	11,817,396	11,964,848	626,557	5.5%
Parks and Recreation Department	25,181,643	24,796,218	24,512,017	(669,626)	(2.7%)
Police Department	73,890,599	77,593,819	78,569,925	4,679,326	6.3%
Public Works Department	74,823,593	74,954,231	77,533,963	2,710,370	3.6%
Water and Sewer Utilities Department	91,181,939	132,797,346	127,401,846	36,219,907	39.7%
Non-Departmental [^]	47,137,910	30,728,620	57,752,555	10,614,645	22.5%
Internal Services	9,271,866	9,551,952	11,644,908	2,373,042	25.6%
Debt Service	74,536,674	26,857,773	17,254,022	(57,282,652)	(76.9%)
Housing and Urban Development Loan	15,700,000	0	0	(15,700,000)	(100.0%)
Less Transfers Out and Interfund Expenses**	(212,649,495)	(238,997,086)	(228,281,944)	(15,632,449)	7.4%
Total Operating Budget	841,837,248	846,471,193	824,709,459	(17,127,789)	(2.0%)
Capital Improvement Program Budget:					
Total Capital Improvement Program	338,756,831	165,549,297	147,525,554	(191,231,277)	(56.5%)
Public Works Management Services	3,723,666	3,763,943	3,821,799	98,133	2.6%
Less Transfers Out	(2,919,740)	(23,471,465)	(3,986,654)	(1,066,914)	36.5%
Total Capital Improvement Program Budget***	339,560,757	145,841,775	147,360,699	(192,200,058)	(56.6%)
Total Expenditures***	1,181,398,005	992,312,968	972,070,158	(209,327,847)	(17.7%)
Contribution to Reserves	2,865,618	0	0	(2,865,618)	(100.0%)
Total Proposed Budget	1,184,263,623	992,312,968	972,070,158	(212,193,465)	(17.9%)

* Excludes Sports and Open Space Authority, and Stadium Authority operating budgets

** Excludes internal services fund operating budget, non-City Convention Center activities, and all transfers

*** Excludes Capital Improvement Program Carryover in FY 2020/21 Adopted and Amended budgets; included in FY 2019/20 Adopted Budget

[^] Includes Convention Center operating budget



BUDGET SUMMARY | REVENUE SUMMARY

Fund Type	FY 2019/20 Adopted	FY 2020/21 Adopted	FY 2020/21 Amended	Year-on-Year Change	Change %
General Fund					
General Fund (001)	251,951,688	257,268,380	247,940,776	(4,010,912)	(1.6%)
Total General Fund	251,951,688	257,268,380	247,940,776	(4,010,912)	(1.6%)
Special Revenue Funds					
Certified Access Specialist (CASp) Certification and Training Fund (220)	69,150	44,995	44,995	(24,155)	(34.9%)
City Affordable Housing Fund (165)	696,703	697,228	781,703	85,000	12.2%
Community Facilities District No. 2019-1 (Lawrence Station) Fund (027)	344,374	354,706	123,400	(220,974)	(64.2%)
Convention Center Maintenance District Fund (026)	1,698,118	1,850,949	1,850,949	152,831	9.0%
Downtown Parking Maintenance Fund (025)	160,183	165,717	169,771	9,588	6.0%
Endowment Care Fund (077)	110,000	110,000	108,000	(2,000)	(1.8%)
Gas Tax Fund (121)	3,246,500	2,100,000	2,500,000	(746,500)	(23.0%)
Housing and Urban Development Fund (562)	2,626,117	2,494,812	3,296,131	670,014	25.5%
Housing Authority Fund (164)	260,000	260,350	285,000	25,000	9.6%
Housing Successor Agency Fund (169)	12,031,000	382,750	531,000	(11,500,000)	(95.6%)
Library Operating Grant Trust Fund (112)	47,500	47,500	47,500	0	0.0%
Parks and Recreation Operating Grant Trust Fund (111)	147,984	147,984	147,984	0	0.0%
Perpetual Care Fund (076)	500	500	500	0	0.0%
Public Donations Fund (067)	270,104	0	0	(270,104)	(100.0%)
Public, Educational, and Governmental Fee Fund (221)	1,239,457	0	150,000	(1,089,457)	(87.9%)
Road Maintenance and Rehabilitation (SB1) Fund (122)	2,050,000	2,050,000	2,025,000	(25,000)	(1.2%)
Traffic Mitigation Fund (123)	899,500	1,531,500	1,681,500	782,000	86.9%
Total Special Revenue Funds	25,897,190	12,238,991	13,743,433	(12,153,757)	(46.9%)
Enterprise Funds					
Cemetery Fund (093)	1,369,140	1,440,566	1,390,269	21,129	1.5%
Convention Center Enterprise Fund (860)	19,634,540	0	21,912,206	2,277,666	11.6%
Electric Operating Grant Trust Fund (191)	33,498,647	35,929,751	34,457,035	958,388	2.9%
Electric Utility Fund (091)	499,753,898	524,175,995	492,548,336	(7,205,562)	(1.4%)



BUDGET SUMMARY | REVENUE SUMMARY

Fund Type	FY 2019/20 Adopted	FY 2020/21 Adopted	FY 2020/21 Amended	Year-on-Year Change	Change %
Enterprise Funds					
Sewer Utility Fund (094)	44,169,500	68,864,975	45,495,100	1,325,600	3.0%
Solid Waste Fund (096)	28,033,703	29,130,892	31,219,000	3,185,297	11.4%
Water Recycling Fund (097)	6,769,200	7,087,260	7,064,710	295,510	4.4%
Water Utility Fund (092)	53,411,144	57,263,887	57,220,287	3,809,143	7.1%
Total Enterprise Funds	686,639,772	723,893,326	691,306,943	4,667,171	0.7%
Internal Service Funds					
Communication Acquisitions Fund (048)	400,000	400,000	536,853	136,853	34.2%
Fleet Operations Fund (053)	5,077,437	5,228,695	5,164,721	87,284	1.7%
Information Technology Services Fund (045)	13,314,370	13,252,509	13,248,605	(65,765)	(0.5%)
Public Works Capital Projects Management Fund (044)	3,723,666	3,763,943	3,821,799	98,133	2.6%
Special Liability Insurance Fund (082)	4,399,866	4,531,862	6,001,170	1,601,304	36.4%
Vehicle Replacement Fund (050)	3,795,935	3,501,727	3,458,289	(337,646)	(8.9%)
Workers' Compensation Fund (081)	4,803,000	4,947,090	5,572,738	769,738	16.0%
Total Internal Service Funds	35,514,274	35,625,826	37,804,175	2,289,901	6.4%
Capital Improvement Program Funds					
Cemetery Capital Fund (593)	0	0	300,000	300,000	100.0%
Electric Utility Capital Fund (591)	45,540,691	70,015,000	47,872,602	2,331,911	5.1%
Fire Department Capital Fund (536)	679,982	185,366	290,796	(389,186)	(57.2%)
General Government Capital Fund (539)	2,000,083	10,658,968	4,098,669	2,098,586	104.9%
Library Department Capital Fund (537)	10,633	0	8,699	(1,934)	(18.2%)
Parks and Recreation Capital Fund (532)	2,328,475	270,000	14,121,698	11,793,223	506.5%
Public Buildings Capital Fund (538)	3,947,571	676,834	1,737,751	(2,209,820)	(56.0%)
Recycled Water Capital Fund (597)	50,000	2,550,000	550,000	500,000	1000.0%
Related Capital Fund (540)	0	0	162,062	162,062	100.0%
Sewer Utility Capital Fund (594)	56,529,057	38,845,957	42,114,351	(14,414,706)	(25.5%)
Solid Waste Capital Fund (596)	490,000	510,000	745,459	255,459	52.1%
Storm Drain Capital Fund (535)	6,397,506	4,549,892	5,949,447	(448,059)	(7.0%)
Street Lighting Capital Fund (534)	50,000	100,000	125,000	75,000	150.0%
Streets and Highways Capital Fund (533)	31,205,717	10,476,047	21,677,828	(9,527,889)	(30.5%)
Water Utility Capital Fund (592)	6,430,000	7,125,000	4,610,000	(1,820,000)	(28.3%)
Total Capital Improvement Program Funds	155,659,715	145,963,064	144,364,362	(11,295,353)	(7.3%)



BUDGET SUMMARY | REVENUE SUMMARY

Fund Type	FY 2019/20 Adopted	FY 2020/21 Adopted	FY 2020/21 Amended	Year-on-Year Change	Change %
Debt Service					
Electric Utility (491)	9,800,357	19,866,481	13,419,211	3,618,854	36.9%
Public Facilities Financing Co (431)	1,718,672	2,505,844	2,505,844	787,172	45.8%
Sewer Utility (494)	52,438,223	4,694,557	1,432,799	(51,005,424)	(97.3%)
Total Debt Service	63,957,252	27,066,882	17,357,854	(46,599,398)	(72.9%)
Grand Total Revenues	1,219,619,891	1,202,056,469	1,152,517,543	(67,102,348)	(5.5%)
Less Transfers In and Interfund Revenues**	(245,207,507)	(265,309,819)	(238,060,324)	7,147,183	(2.9%)
Net Total Revenues	974,412,384	936,746,650	914,457,219	(59,955,165)	(6.2%)

** Excludes internal services fund operating budget, non-City Convention Center activities, and all transfers



BUDGET SUMMARY | EXPENDITURES SUMMARY

Fund Type	FY 2019/20 Adopted	FY 2020/21 Adopted	FY 2020/21 Amended	Year-on-Year Change	Change %
General Fund					
General Fund (001)	262,904,910	269,399,009	285,758,755	22,853,845	8.7%
Total General Fund	262,904,910	269,399,009	285,758,755	22,853,845	8.7%
Special Revenue Funds					
Certified Access Specialist (CASp) Certification and Training Fund (220)	44,995	44,995	44,995	0	0.0%
City Affordable Housing Fund (165)	1,520,735	1,537,416	1,556,772	36,037	2.4%
Community Activities Fund (084)	156,700	0	0	(156,700)	(100.0%)
Community Facilities District No. 2019-1 (Lawrence Station) Fund (027)	275,499	283,765	98,720	(176,779)	(64.2%)
Convention Center Maintenance District Fund (026)	1,721,810	1,875,680	1,861,380	139,570	8.1%
Downtown Parking Maintenance Fund (025)	168,853	174,660	152,762	(16,091)	(9.5%)
Endowment Care Fund (077)	20,000	20,000	18,000	(2,000)	(10.0%)
Engineering Operating Grant Trust Fund (144)	0	0	49,621	49,621	100.0%
Gas Tax Fund (121)	4,254,548	2,073,457	2,567,856	(1,686,692)	(39.6%)
Housing and Urban Development Fund (562)	3,846,296	2,494,812	3,296,131	(550,165)	(14.3%)
Housing Authority Fund (164)	546,623	544,875	552,222	5,599	1.0%
Housing Successor Agency Fund (169)	12,592,389	946,158	5,964,944	(6,627,445)	(52.6%)
Library Operating Grant Trust Fund (112)	27,500	47,500	59,000	31,500	114.5%
Parks and Recreation Operating Grant Trust Fund (111)	147,984	147,984	147,984	0	0.0%
Perpetual Care Fund (076)	500	500	500	0	0.0%
Public Donations (067)	270,104	0	0	(270,104)	(100.0%)
Public, Educational, and Governmental Fee Fund (221)	200,000	0	0	(200,000)	(100.0%)
Recreation Programs Operations Fund (041)	504,386	0	0	(504,386)	(100.0%)
Road Maintenance and Rehabilitation (SB1) Fund (122)	2,050,000	2,050,000	2,100,000	50,000	2.4%
Traffic Mitigation Fund (123)	899,500	1,531,500	2,003,500	1,104,000	122.7%
Total Special Revenue Funds	29,248,422	13,773,302	20,474,387	(8,774,035)	(30.0%)
Enterprise Funds					
Cemetery Fund (093)	1,401,792	1,473,341	1,415,694	13,902	1.0%
Convention Center Enterprise Fund (860)	18,254,306	576,911	19,357,548	1,103,242	6.0%
Electric Operating Grant Trust Fund (191)	33,157,385	35,675,622	34,339,731	1,182,346	3.6%
Electric Utility Fund (091)	479,914,668	539,729,795	478,001,041	(1,913,627)	(0.4%)



BUDGET SUMMARY | EXPENDITURES SUMMARY

Fund Type	FY 2019/20 Adopted	FY 2020/21 Adopted	FY 2020/21 Amended	Year-on-Year Change	Change %
Enterprise Funds					
Sewer Utility Fund (094)	32,866,093	67,649,567	67,554,521	34,688,428	105.5%
Solid Waste Fund (096)	28,118,816	29,918,572	31,455,322	3,336,506	11.9%
Water Recycling Fund (097)	5,399,013	8,420,283	6,417,938	1,018,925	18.9%
Water Utility Fund (092)	52,916,833	56,727,496	53,429,387	512,554	1.0%
Total Enterprise Funds	652,028,906	740,171,587	691,971,182	39,942,276	6.1%
Internal Service Funds					
Communication Acquisitions Fund (048)	493,320	532,654	536,853	43,533	8.8%
Fleet Operations Fund (053)	5,065,609	5,170,050	5,271,715	206,106	4.1%
Information Technology Services Fund (045)	13,314,370	13,252,509	13,248,605	(65,765)	(0.5%)
Public Works Capital Projects Management Fund (044)	3,723,666	3,763,943	3,835,476	111,810	3.0%
Special Liability Insurance Fund (082)	4,399,866	4,531,862	6,001,170	1,601,304	36.4%
Unemployment Insurance Fund (087)	69,000	71,000	71,000	2,000	2.9%
Vehicle Replacement Fund (050)	3,899,000	2,995,500	2,995,500	(903,500)	(23.2%)
Workers' Compensation Fund (081)	4,803,000	4,947,090	5,572,738	769,738	16.0%
Total Internal Service Funds	35,767,831	35,264,608	37,533,057	1,765,226	4.9%
Capital Improvement Program Funds***					
Cemetery Capital Fund (593)	0	0	300,000	300,000	100.0%
Electric Utility Capital Fund (591)	138,608,599	69,685,000	57,872,602	(80,735,997)	(58.2%)
Fire Department Capital Fund (536)	1,220,367	185,366	290,796	(929,571)	(76.2%)
General Government Capital Fund (539)	15,067,990	10,708,968	4,098,669	(10,969,321)	(72.8%)
Library Department Capital Fund (537)	233,673	0	8,699	(224,974)	(96.3%)
Parks and Recreation Capital Fund (532)	11,290,186	1,087,410	8,284,212	(3,005,974)	(26.6%)
Public Buildings Capital Fund (538)	11,157,787	676,834	1,737,751	(9,420,036)	(84.4%)
Recycled Water Capital Fund (597)	50,000	2,550,000	550,000	500,000	1000.0%
Related Capital Fund (540)	0	0	162,062	162,062	100.0%
Sewer Utility Capital Fund (594)	82,548,839	61,636,258	42,114,351	(40,434,488)	(49.0%)
Solid Waste Capital Fund (596)	762,922	510,000	882,000	119,078	15.6%
Storm Drain Capital Fund (535)	8,607,430	4,572,357	5,950,447	(2,656,983)	(30.9%)
Street Lighting Capital Fund (534)	5,977,148	100,000	125,000	(5,852,148)	(97.9%)
Streets and Highways Capital Fund (533)	46,144,596	10,476,047	24,360,764	(21,783,832)	(47.2%)
Water Utility Capital Fund (592)	20,810,960	7,125,000	4,610,000	(16,200,960)	(77.8%)
Total Capital Improvement Program Funds	342,480,497	169,313,240	151,347,353	(191,133,144)	(55.8%)



BUDGET SUMMARY | EXPENDITURES SUMMARY

Fund Type	FY 2019/20 Adopted	FY 2020/21 Adopted	FY 2020/21 Amended	Year-on-Year Change	Change %
Debt Service					
Electric Utility (491)	19,592,398	19,657,372	13,315,379	(6,277,019)	(32.0%)
Public Facilities Financing Co (431)	2,506,053	2,505,844	2,505,844	(209)	(0.0%)
Sewer Utility (494)	52,438,223	4,694,557	1,432,799	(51,005,424)	(97.3%)
Total Debt Service	74,536,674	26,857,773	17,254,022	(57,282,652)	(76.9%)
Grand Total of Expenditures by Fund	1,396,967,240	1,254,779,519	1,204,338,756	(192,628,484)	(13.8%)
Less Transfers Out, Interfund Expenses and Contribution to Reserves**	(212,703,617)	(262,466,551)	(232,268,598)	(19,564,981)	9.2%
Net Total Expenditures	1,184,263,623	992,312,968	972,070,158	(212,193,465)	(17.9%)

** Excludes internal services fund operating budget, non-City Convention Center activities, and all transfers

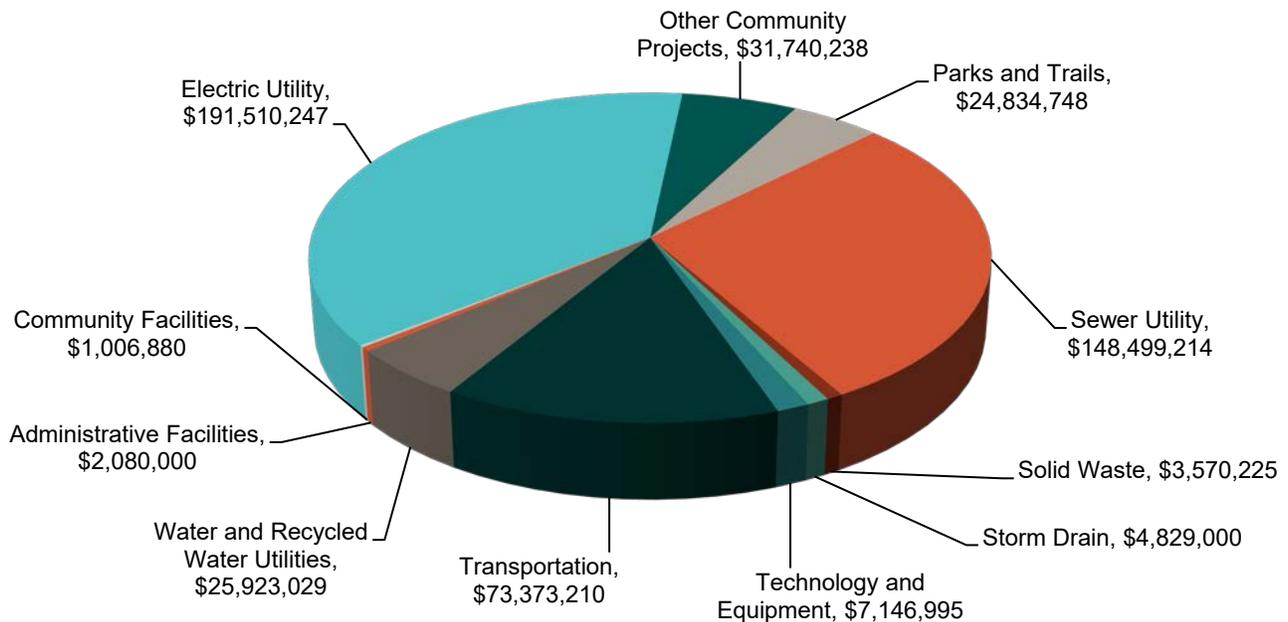
*** Excludes Capital Improvement Program Carryover in FY 2020/21 Adopted and Amended budgets; included in FY 2019/20 Adopted Budget



CAPITAL IMPROVEMENT PROGRAM BUDGET BY THEME

Theme	FY 2020/21 Proposed	FY 2021/22 Proposed	FY 2022/23 Proposed	FY 2023/24 Proposed	FY 2024/25 Proposed	Five-Year CIP Total
Administrative Facilities	1,745,000	335,000	0	0	0	2,080,000
Community Facilities	1,006,880	0	0	0	0	1,006,880
Electric Utility	57,997,602	59,163,653	30,472,677	23,867,992	20,008,323	191,510,247
Other Community Projects	5,726,797	5,512,432	6,524,042	6,888,429	7,088,538	31,740,238
Parks and Trails	4,764,800	11,914,248	3,185,700	230,000	4,740,000	24,834,748
Sewer Utility	41,370,152	34,002,842	39,499,789	11,973,417	21,653,014	148,499,214
Solid Waste	882,000	623,700	654,885	687,629	722,011	3,570,225
Storm Drain	4,190,000	339,000	0	300,000	0	4,829,000
Technology and Equipment	2,341,401	1,464,310	1,122,536	1,109,374	1,109,374	7,146,995
Transportation	22,176,067	17,650,313	11,351,721	11,092,867	11,102,242	73,373,210
Water and Recycled Water Utilities	5,160,000	6,335,000	6,410,000	4,007,875	4,010,154	25,923,029
Five-Year CIP Total	147,360,699	137,340,498	99,221,350	60,157,583	70,433,656	514,513,786

Proposed Five-Year CIP





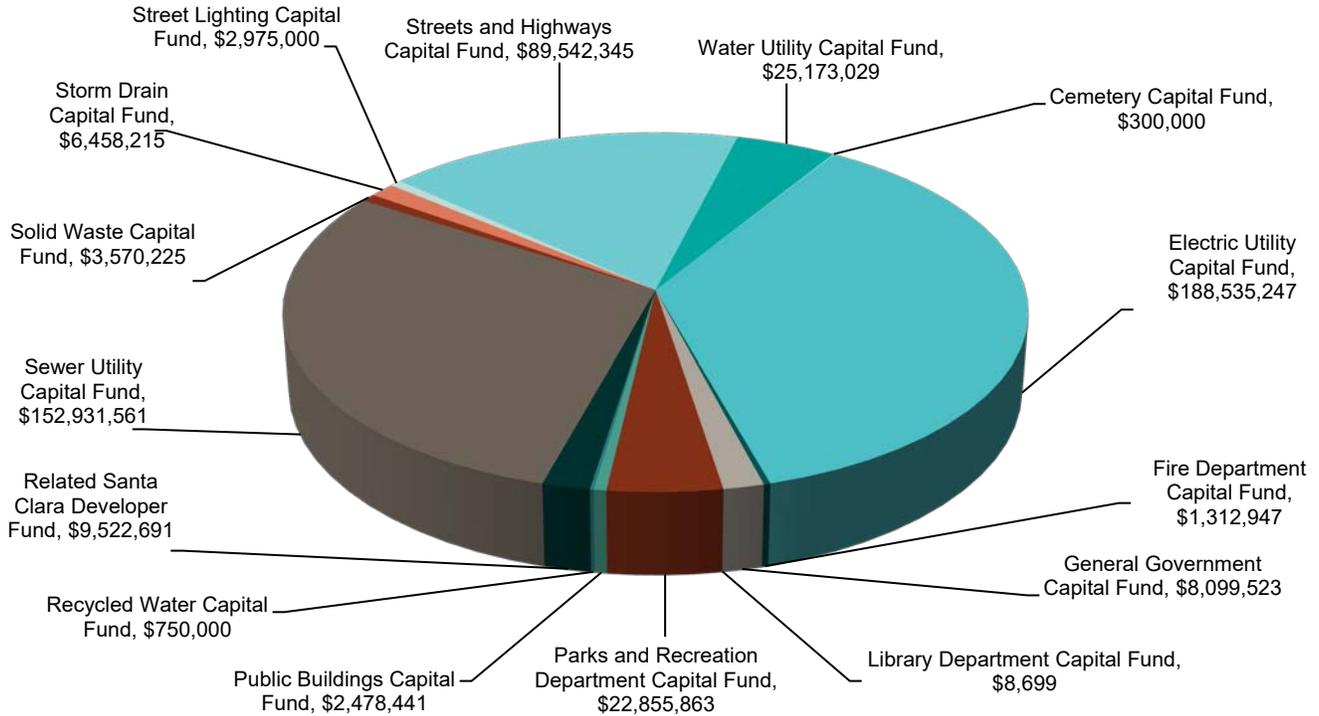
CAPITAL IMPROVEMENT PROGRAM BUDGET BY FUND

	FY 2020/21 Proposed	FY 2021/22 Proposed	FY 2022/23 Proposed	FY 2023/24 Proposed	FY 2024/25 Proposed	Five-Year CIP Total
Fund						
Cemetery Capital Fund	300,000	0	0	0	0	300,000
Electric Utility Capital Fund	57,872,602	56,538,653	30,397,677	23,792,992	19,933,323	188,535,247
Fire Department Capital Fund	290,796	293,238	251,602	238,582	238,729	1,312,947
General Government Capital Fund	4,098,669	1,260,736	912,104	913,373	914,641	8,099,523
Library Department Capital Fund	8,699	0	0	0	0	8,699
Parks and Recreation Department Capital Fund	5,758,558	6,749,505	3,892,990	960,985	5,493,825	22,855,863
Public Buildings Capital Fund	1,737,751	392,815	112,231	115,999	119,645	2,478,441
Recycled Water Capital Fund	550,000	50,000	50,000	50,000	50,000	750,000
Related Santa Clara Developer Fund	162,062	1,655,852	2,501,585	2,568,949	2,634,243	9,522,691
Sewer Utility Capital Fund	42,114,351	34,792,500	40,328,667	12,992,352	22,703,691	152,931,561
Solid Waste Capital Fund	882,000	623,700	654,885	687,629	722,011	3,570,225
Storm Drain Capital Fund	4,489,447	653,551	327,582	638,544	349,091	6,458,215
Street Lighting Capital Fund	125,000	2,625,000	75,000	75,000	75,000	2,975,000
Streets and Highways Capital Fund	24,360,764	25,419,948	13,357,027	13,165,303	13,239,303	89,542,345
Water Utility Capital Fund	4,610,000	6,285,000	6,360,000	3,957,875	3,960,154	25,173,029
Five-Year CIP Total	147,360,699	137,340,498	99,221,350	60,157,583	70,433,656	514,513,786



CAPITAL IMPROVEMENT PROGRAM BUDGET BY FUND

Proposed Five-Year CIP





CAPITAL IMPROVEMENT PROGRAM UNFUNDED LIST

	Five-Year Unfunded Total
Administrative Facilities	
ADA Transition Plan Implementation	7,350,000
City Hall HVAC Rehabilitation Project	5,200,000
City Hall Security & Key System Upgrade	250,000
Diesel Exhaust Removal Systems	200,000
Emergency Operations Center Capital Refurbishment	40,000
Fall Safety Building Improvements	200,000
Fire Station 5 Replacement	13,012,865
Fire Stations 1, 7, 9 and Admin Building Renovation Study	15,270,000
Hazardous Material Management for City Properties	150,000
Morse Mansion Maintenance & Repair	2,250,000
Public Building Parking Lot Improvements	250,000
Rehabilitate Facilities Storage Building	100,000
Repair to Historic Buildings	300,000
Repairs-Modifications to City Buildings	805,126
Stationary Standby Generators	10,271,000
Street Corporation Yard Renovation	250,000
Training Tower Stair Repair	434,108
Triton Museum Repair and Modifications	1,055,000
Administrative Facilities Unfunded Subtotal	57,388,099
Community Facilities	
Central Park Library Lighting Repair and Upgrade	238,000
Library Expansion	20,000,000
Library Materials Sorter Upgrade Northside Branch	212,000
Repurchase Cemetery Property	170,000
Community Facilities Unfunded Subtotal	20,620,000
Convention Center	
Convention Center Condition Assessment Repairs	6,585,100
Convention Center Garage Condition Assessment Repairs	5,350,000
Convention Center Unfunded Subtotal	11,935,100
Parks and Trails	
Annual Creek Trail Rehabilitation Program	330,000
Central Park Arbor Center Area Improvements	2,933,800
Central Park Master Plan - Aquatic Center, Community Recreation Center and Gymnasium	90,000,000



CAPITAL IMPROVEMENT PROGRAM UNFUNDED LIST

	Five-Year Unfunded Total
Parks and Trails	
Central Park Master Plan - Saratoga Creek Trail (West)	2,126,700
Hetch-Hetchy Trail Phase 1	720,000
Mary Gomez Park Playground Rehabilitation	2,446,900
Maywood Park Playground Rehabilitation	493,700
Park Improvements	519,000
Rotary Park Playground Rehabilitation	826,900
San Tomas Aquino Creek Trail Underpass	4,074,082
Parks and Trails Unfunded Subtotal	104,471,082
Storm Drain	
SDPS Motor and Control Maintenance, Repair, and Replacement	625,000
Storm Drain Pump Station Facility Maintenance & Repair	187,209
Storm Drain Pump Station Outfall Reconstruction Program	300,000
Storm Drain Repairs and Maintenance	400,000
Storm Drain System Improvements	18,400,000
Storm Water Retention Basin Remediation	3,894,618
Storm Drain Unfunded Subtotal	23,806,827
Technology and Equipment	
Broadband Community System General Government Network (GGNET)	100,000
Computer Replacement Program	1,952,959
Consolidate and Upgrade Servers	600,000
Cyber Security Risk Mitigation	1,505,000
Replace Network Equipment	990,000
Technology and Equipment Unfunded Subtotal	5,147,959
Transportation	
Annexed Neighborhood Street Improvements	5,000,000
Annual Curb Ramp Installation	416,800
Annual Street Maintenance and Rehabilitation Program	44,996,852
Benton Bike Lane Project (San Tomas-Dunford)	1,357,083
Bicycle Route Wayfinding - Phase I	300,000
El Camino Real Bike Lane Project	5,557,382
Lick Mill Pedestrian Beacons Upgrade	450,000
MCB/GAP Intersection Improvement Project	6,230,000
Public Alley Pavement Maintenance and Rehabilitation	1,935,000



CAPITAL IMPROVEMENT PROGRAM UNFUNDED LIST

	Five-Year Unfunded Total
Transportation	
Public Right-of-Way Landscaping Improvement	100,000
Safe Routes to School	708,250
Traffic Engineering Consultant Support	1,000,000
Uncontrolled Crosswalks Improvements Project	7,648,379
Vision Zero Plan	300,000
Transportation Unfunded Subtotal	75,999,746
Five-Year Unfunded Total	299,368,813



CAPITAL IMPROVEMENT PROGRAM OPERATING IMPACTS

	FY 2020/21 Proposed	FY 2021/22 Proposed	FY 2022/23 Proposed	FY 2023/24 Proposed	FY 2024/25 Proposed
Convention Center					
Convention Center Condition Assessment Repairs	0	6,450	6,950	6,950	7,450
Convention Center Operating Impacts Subtotal	0	6,450	6,950	6,950	7,450
Electric Utility					
Electric Vehicle Charging	30,000	60,000	90,000	120,000	150,000
Network and Cybersecurity Infrastructure	17,000	202,000	208,100	214,400	220,900
Electric Utility Operating Impacts Subtotal	47,000	262,000	298,100	334,400	370,900
Parks and Trails					
Fairway Glen Restroom	0	9,685	9,980	10,078	10,280
Parks and Trails Operating Impacts Subtotal	0	9,685	9,980	10,078	10,280
Storm Drain					
Urban Runoff Pollution Prevention Program	92,877	94,735	96,630	98,563	100,534
Storm Drain Operating Impacts Subtotal	92,877	94,735	96,630	98,563	100,534
Technology and Equipment					
Permit Information System	0	118,700	118,700	118,700	20,200
Technology and Equipment Operating Impacts Subtotal	0	118,700	118,700	118,700	20,200
Five-Year Operating Impacts Total	139,877	491,570	530,360	568,691	509,364



CAPITAL IMPROVEMENT PROGRAM BUDGET BY EXPENDITURE CATEGORY

	FY 2020/21 Proposed	FY 2021/22 Proposed	FY 2022/23 Proposed	FY 2023/24 Proposed	FY 2024/25 Proposed	Five-Year CIP Total
Expenditure Category						
Construction	102,922,228	90,267,038	78,166,845	43,432,149	53,458,994	368,247,254
Contingencies/Miscellaneous	3,823,983	2,502,646	2,168,440	905,000	560,513	9,960,582
EIR	50,000	0	0	0	0	50,000
Engineering	14,063,338	7,754,788	5,389,245	3,237,365	3,473,572	33,918,308
Engineering Management Services	3,821,799	4,013,644	4,179,521	4,319,480	4,454,295	20,788,739
Equipment	13,657,201	25,966,381	2,137,536	849,374	849,374	43,459,866
Salaries	5,267,150	6,836,001	7,179,763	7,414,215	7,636,908	34,334,037
Technology	1,955,000	0	0	0	0	1,955,000
Land	1,800,000	0	0	0	0	1,800,000
Five-Year CIP Total	147,360,699	137,340,498	99,221,350	60,157,583	70,433,656	514,513,786



BUDGETED POSITIONS OVERVIEW AND TREND

This Proposed Budget incorporates the addition of 19.75 positions from the 2019/20 Adopted Budget. The additions include the following: 1.0 City Attorney's Office position that was approved in the FY 2019/20 and FY 2020/21 Biennial Operating Budget for implementation in FY 2020/21; 15.0 non-General Funded positions that were approved by the City Council during the FY 2019/20 fiscal year to support Electric Utility billing (7.0 positions) and the Related project (8.0 positions); 2.0 positions approved as part of the FY 2020/21 Stadium Authority Budget; and 1.75 positions recommended in the FY 2020/21 Proposed Budget at no additional cost. It should also be noted that at the time of this proposed budget, Related Santa Clara has advised the City of Santa Clara that it will not be funding the 8 positions that were added as of July 1, 2020. The total proposed FTE position count in FY 2020/21 is 1,151.50.

In alignment with the City Council's Strategic Priorities, the staffing changes reflect the City's continued effort to manage the organization's evolving operational needs with the goal of delivering outstanding services to the Santa Clara community. The position changes are summarized below.

FY 2019/20 and FY 2020/21 Biennial Budget and FY 2019/20 City Council Approved Changes

The addition of 16.0 positions was approved in FY 2019/20 as described below:

- **City Attorney's Office:** As part of the Adopted Biennial Operating Budget for FY 2019/20 and FY 2020/21, Council approved the addition of 1.0 Assistant City Attorney effective in FY 2020/21. In February 2020, the Council approved to expediate the creation of this position in FY 2019/20 to provide additional support and to elevate the position from Assistant City Attorney to Chief Assistant City Attorney.
- **Electric Utility Billing Support:** In November 2019, 7.0 positions were added in the Finance and Electric Utility departments to address critical operational gaps related to the City's utility billing systems. Over the past several years, the city's electric utility began the roll out of automated meters that transmit usage data through wireless transmitters to systems that interface daily data to the City's Utility Billing System. During this roll out in spring 2019, it was determined that there were insufficient transmitter devices located throughout the City to fully transmit usage data from a customer in order to issue a utility bill. As a result, backlogs have increased, and overtime and temporary staff has been used to address the delayed billing. As such, 5.0 positions were added to the Finance Department to address the backlog, provide sufficient supervision and manage the City's utility billing systems. In the Electric Utility Department, 1.0 Meter Data Analyst position was added to ensure enough resources are allocated to research data issues that arise from the transmission of a customer's usage information to the billing system. In addition, a review of the Electric Utility procurement staffing has necessitated a need for 1.0 additional Contracts Manager in the Finance Department to focus on procurements and ensure contract compliance.
- **Related Santa Clara:** In November 2019, the City Council approved the addition of 8.0 net new positions to various departments to support the Related Santa Clara Project which would be funded by the developer. Of the added positions, 7.0 are assigned to review plans, manage third party contracts and oversee the work and payment of permit fees. The remaining 1.0 position is a Deputy City Manager (Priority Project Manager) that was added to act as a facilitator for all project approvals and as an intermediary between the City and the Developer for the processing of project approvals. Due to the



uncertain economic impact of COVID-19, position funding will be phased in based on need and is subject to change.

- Staffing Reallocation: In April 2020, 1.0 Public Records Manager position was reallocated from Non-Departmental to the City Clerk’s Office. Furthermore, the position was reclassified to a Deputy City Clerk position in order to provide more flexibility with responsibilities that will be assumed by the incumbent.

FY 2020/21 Stadium Authority Budget

- In March 2020, 1.0 Management Analyst and 1.0 Accounting Technician II were added as part of the Stadium budget to provide day-to-day financial support of the Stadium Authority including an increase in data information requests from ManagementCo.

FY 2020/21 Recommended Changes

The proposed changes to the FY 2020/21 positions are highlighted below:

- Community Development: The addition of .75 Office Specialist II position in the Community Development Department is proposed to increase operational efficiencies, enhance retention and assist with day-to-day activities. This is accomplished by a net-zero shift of resources from as-needed and intern staffing and supplies.
- Non-Departmental: The addition of 1.0 Assistant to the City Manager position in Non-Departmental is recommended to oversee and ensure the City’s compliance with the American with Disabilities Act (ADA) and prevailing wage laws. This position would also provide support to Stadium related activities. The cost of the position is offset by capital funding as well as position savings in the City Manager’s Office.
- Staffing Reallocation: Actions are proposed to reallocate 1.0 Emergency Services Coordinator from the Fire Department to Non-Departmental and reclassify the position to 1.0 Assistant to the City Manager. This change better aligns the function of an Emergency Services Coordinator to serve as the lead in a city-wide capacity. In addition, staff recommends reallocating 1.0 Office Records Specialist from the Mayor and City Council Offices to City Clerk’s Office to support and address administrative gaps.

SUMMARY OF BUDGETED POSITIONS BY DEPARTMENT/OFFICE

	FY 2017/18 Adopted	FY 2018/19 Adopted	FY 2019/20 Adopted	FY 2020/21 Amended	FY 2020/21 Change
Positions by Department/Office					
Mayor and City Council Offices*	11.00	10.00	10.00	9.00	(1.00)
City Attorney's Office	6.00	7.00	7.00	8.00	1.00
City Auditor's Office	0.00	0.00	3.33	3.33	0.00
City Clerk's Office	7.00	6.00	5.00	7.00	2.00
City Manager's Office	14.00	13.00	13.00	13.00	0.00
Community Development Department	64.00	65.00	66.00	68.75	2.75
Electric Utility Department	186.00	189.00	197.00	198.00	1.00
Finance Department	61.00	61.00	61.42	69.42	8.00
Fire Department	167.50	167.25	167.25	168.25	1.00
Human Resources Department	15.00	15.00	15.75	15.75	0.00
Information Technology Department	7.00	7.00	7.00	7.00	0.00
Library Department	45.50	46.75	46.75	46.75	0.00
Parks and Recreation Department	80.75	80.75	82.75	82.75	0.00
Police Department	239.00	239.00	239.00	239.00	0.00
Department of Public Works	128.50	127.50	128.50	131.50	3.00
Water and Sewer Utilities Department	73.00	73.00	73.00	73.00	0.00
Non-Departmental	0.00	4.00	9.00	11.00	2.00
Total Budgeted FTE by Department/Office	1,105.25	1,111.25	1,131.75	1,151.50	19.75

*Mayor and City Councilmember positions shown as 7.0 Full-Time Equivalent (Budgeted Positions)



SUMMARY OF BUDGETED POSITIONS BY FUND

	FY 2017/18 Adopted	FY 2018/19 Adopted	FY 2019/20 Adopted	FY 2020/21 Amended	FY 2020/21 Change
Positions by Fund					
General Fund (001)*	819.40	822.35	805.84	815.59	9.75**
Special Revenue Funds					
Housing and Urban Development (562)	0.00	0.00	2.47	2.47	0.00
City Affordable Housing (165)	0.00	0.00	1.71	1.71	0.00
Housing Successor (169)	0.00	0.00	1.61	1.61	0.00
Downtown Parking Maintenance District (025)	0.50	0.50	0.50	0.50	0.00
Housing Authority (164)	0.00	0.00	0.43	0.43	0.00
Convention Center Maintenance District (026)	0.10	0.10	0.10	0.10	0.00
Subtotal Special Revenue Funds	0.60	0.60	6.82	6.82	0.00
Enterprise Funds					
Electric Utility (091, 191)	186.00	189.00	197.00	182.00	(15.00)
Water Utility (092)	47.25	46.75	47.40	47.25	(0.15)
Sewer Utility (094)	22.15	22.65	22.20	22.45	0.25
Solid Waste (096)	5.50	5.55	6.65	6.65	0.00
Cemetery (093)	5.00	5.00	5.00	5.00	0.00
Recycled Water (097)	3.60	3.60	3.40	3.30	(0.10)
Subtotal Enterprise Funds	269.50	272.55	281.65	266.65	(15.00)
Internal Service Funds					
Fleet Management (053)	15.75	15.75	15.75	15.75	0.00
Public Works Capital Projects Management (044)	0.00	0.00	14.69	14.69	0.00
Information Technology Services (045)	0.00	0.00	7.00	7.00	0.00
Subtotal Internal Service Funds	15.75	15.75	37.44	37.44	0.00
Capital Funds					
Electric Utility (591)	0.00	0.00	0.00	16.00	16.00
Related Santa Clara Developer (540)	0.00	0.00	0.00	9.00	9.00
Subtotal Capital Funds	0.00	0.00	0.00	25.00	25.00
Total Budgeted FTE by Fund	1,105.25	1,111.25	1,131.75	1,151.50	19.75

*Mayor and City Councilmember positions shown as 7.0 Full-Time Equivalents (Budgeted Positions)

**Of the 9.75 positions, 8.75 added in the General Fund are cost neutral as these positions are funded through the Electric Utility Department, the Stadium's Budget or cost savings. 1.0 position was previously included in FY 2020/21 as part of the FY 2019/20 and FY 2020/21 Adopted Biennial Operating Budget.



TEN-YEAR GENERAL FUND FINANCIAL FORECAST

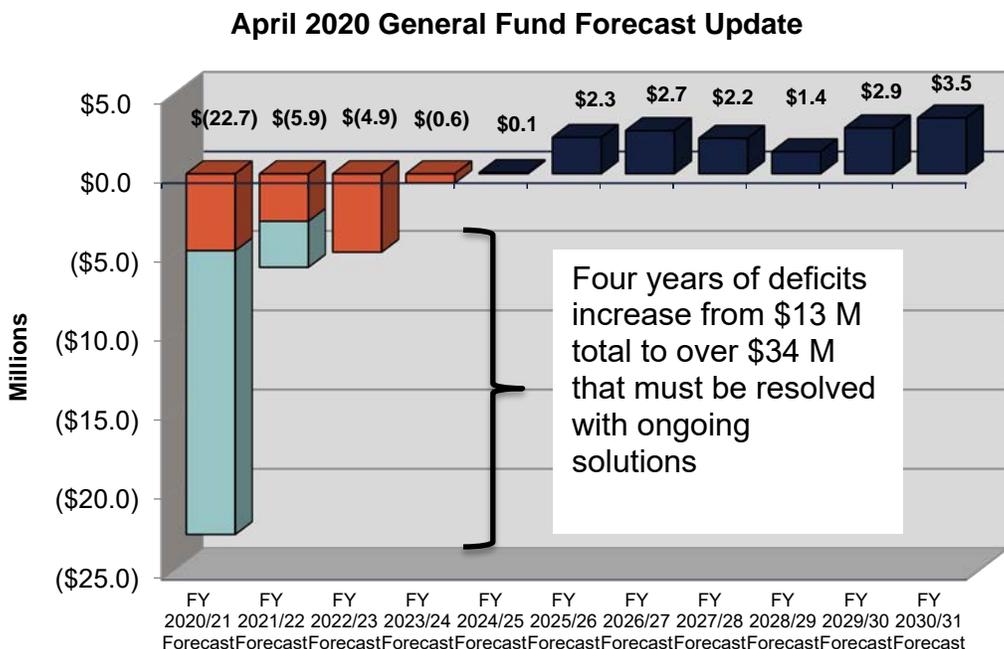
JANUARY 2020 FORECAST

In January 2020, a Ten-Year General Fund Forecast was prepared comparing anticipated General Fund revenues and expenditures to provide policy makers and the public an updated assessment of the City’s financial health. The January Forecast, which is included in this section, was built on the assumption that economic growth would remain positive in all years of the forecast but growth would be slightly slower in the first few years. With this lower economic growth modeled through FY 2022/23, shortfalls totaling \$13.3 million over the first four years of the forecast were projected followed by surpluses in the out-years as shown in the table below.

JANUARY 2020 FY 2021-2031 General Fund Ten-Year Financial Forecast Net Operating Margin - Ongoing Surplus/(Deficit) (\$ in millions)										
2020/21	2021/22	2022/23	2023/24	2024/25	2025/26	2026/27	2027/28	2028/29	2029/30	2030/31
(\$4.8M)	(\$3.0M)	(\$4.9M)	(\$0.6M)	\$0.1M	\$2.3M	\$2.8M	\$2.3M	\$1.4M	\$2.9M	\$3.5M
As a percentage of projected operating expenditures										
(1.8%)	(1.1%)	(1.7%)	(0.2%)	0.0%	0.7%	0.8%	0.7%	0.4%	0.8%	1.0%

FORECAST UPDATE

The global pandemic has suddenly and drastically altered the trajectory of the economy and the long-lasting impacts to the City’s budget are yet to be fully known. With this lower economic growth modeled through 2022/23, projected shortfalls totaling \$13.3 million over the first four years have now grown to over \$34 million due to impacts from the COVID-19 Pandemic.





While a moderate economic slowdown/recession was identified as a risk factor in the January Forecast, the significant change in the economic environment as a result of COVID-19 was not foreseen. With the pandemic, economic conditions have worsened in a very short period of time and many economists are now projecting one of the worst recessions in generations. In its Global Economic Outlook – Coronavirus Crisis Update on April 2, 2020, Fitch Ratings now assumes a deep global recession as its baseline forecast. According to Brian Coulton, Fitch’s chief economist, “The forecast fall in global GDP for the year as a whole is on par with the global financial crisis but the immediate hit to activity and jobs in the first half of the year will be worse.” He also indicated that “Our baseline forecast does not see GDP reverting to its pre-virus levels until late 2021 in the US and Europe”.¹

The City’s General Fund revenues will be significantly impacted immediately and over the longer term based on the declining economic situation. The decline in revenues is projected to result in a General Fund shortfall of approximately \$10 million in FY 2019/20 and \$22.7 million in FY 2020/21. The chart below details the anticipated change in the FY 2020/21 shortfall. It should be noted that these projections are based on a return to a reduced level of economic activity after the shelter-in-place order is eased. It is difficult to fully know the ongoing impact at this time. We believe the shortfall represented below could be worse and is directly connected to the duration and magnitude of the shelter-in-place, as well as how re-opening the local economy is implemented over time.

Revenue Category	Changed Assumptions	FY 2020/21 \$ Impact*
January 2020 Forecast		(\$4.8 M)
Transient Occupancy Tax	Based on 25% decline in FY 2019/20 is carried ongoing	(\$6.8 M)
Sales Tax	Based on 10% drop ongoing consistent with prior recessions	(\$5.6 M)
Property Tax	Lower supplemental (related to property resales) and excess ERAF	(\$1.9 M)
Interest	Based on lower interest rates	(\$1.8 M)
Charges for Services	Lower revenue from classes	(\$0.9 M)
Documentary Transfer Tax	Based on lower real estate sales and prices	(\$0.4 M)
Subtotal Projected Impact		(\$17.4 M)
Other Net Changes		(\$0.5 M)
Revised General Fund Shortfall		(\$22.7 M)

Ongoing budget balancing actions will be necessary to address the ongoing shortfalls.

¹ <https://www.fitchratings.com/research/sovereigns/deep-global-recession-in-2020-as-coronavirus-crisis-escalates-02-04-2020>



Ten-Year General Fund Financial Forecast

January 2020

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PURPOSE

The Ten-Year General Financial Forecast (Forecast) provides policy makers and the public an updated assessment of the City’s fiscal health. The Forecast includes projections of ongoing General Fund revenues and expenditures for a ten-year period beyond the adopted biennial budget.

The Forecast serves as a strategic planning tool to assist the City Council, staff, and the public with decision-making as they work to adopt the FY 2020/21 and FY 2021/22 Biennial Capital Budget, adjust the FY 2020/21 Adopted Operating Budget, and consider long-term financial strategies for the City. The Forecast also identifies known risk factors and vulnerabilities, and it provides a foundation for evaluating priorities and understanding trade-offs moving forward.

EXECUTIVE SUMMARY

The Forecast compares anticipated General Fund revenues with base expenditures, which include the projected costs of providing the current level of service. Individual projections of revenues and expenditures are developed based on trend analyses, input from available economic reports, consultant recommendations, departments, updated salary and benefit information, and non-personnel costs. The most current information available is incorporated into the Plan and refined on a moving forward basis.

This Forecast is built on the assumption that economic growth will remain positive in all years of the forecast but will be slightly slower in the first few years. With this lower economic growth modeled through FY 2022/23, shortfalls totaling \$13.3 million over the first four years of the forecast are projected followed by surpluses in the out-years as shown in the table below. The model assumes shortfalls or surpluses are solved with ongoing solutions in the prior year. To the extent solutions to close the gap, or ongoing dollars are not fully spent in years with surpluses, these figures would carryover to the next fiscal year. The projected shortfalls and surpluses are relatively small when compared to projected operating expenditures, ranging from -1.8 percent to 1.0 percent annually.

FY 2021-2031 General Fund Ten-Year Financial Forecast										
Net Operating Margin - Ongoing Surplus/(Deficit)										
(\$ in millions)										
2020/21	2021/22	2022/23	2023/24	2024/25	2025/26	2026/27	2027/28	2028/29	2029/30	2030/31
(\$4.8M)	(\$3.0M)	(\$4.9M)	(\$0.6M)	\$0.1M	\$2.3M	\$2.8M	\$2.3M	\$1.4M	\$2.9M	\$3.5M
As a percentage of projected operating expenditures										
(1.8%)	(1.1%)	(1.7%)	(0.2%)	0.0%	0.7%	0.8%	0.7%	0.4%	0.8%	1.0%

The Forecast compares ongoing revenues and expenditures. It does not factor in one-time funding sources or items funded on a pay-as-you-go method with one-time sources. The Forecast also assumes that the Building Development Program will be 100% cost recovery, and the Building Reserve is used to balance any differences between projected revenues and expenditures.

The Forecast does not include the following:

- The cost to fund capital improvements that rely on General Fund funding;
- The cost to address unmet/deferred infrastructure needs;



- The operating and maintenance costs of capital projects under development;
- The cost to fully fund public safety equipment replacement;
- Revenue and staffing impacts of development projects;
- Additional contributions to Reserves (Budget Stabilization Reserve, Capital Projects Reserve, Pension Reserve); and
- One-time funding sources and one-time expenditure needs.

Historically, the City has funded many of these items with one-time funds on a pay-as-you-go basis. The use of one-time funds as the funding mechanism for capital improvements and various equipment that rely on the General Fund creates challenges. The lack of sufficient one-time funding to meet these needs has resulted in a backlog of unmet/deferred infrastructure needs. Staff will continue to evaluate potential options to create capacity to address those funding needs.

In addition to the elements described above that are not factored into the Forecast, the City has identified various risk factors that could have a potential impact on the Forecast. These include:

- Loss of Stadium Authority revenues
- Labor negotiations/other expenditures outside the budget assumptions;
- CalPERS actuarial changes or reform actions;
- State/federal legislative changes;
- Downturn in the economy/decline in revenue; and
- Refinement of major revenue projections.

All forecasts contain risk factors that we have known, that create a level of uncertainty regarding the forecasted revenue and expenditure estimates. Since the last forecast, some of these known risk factors have begun to materialize. The Forecast in this document represents additional impacts of \$11.4 million ongoing, when compare to the prior year. These impacts include the loss of Stadium Authority revenues (\$2.7 million), higher labor and other costs beyond the budget assumptions (\$4.3 million), higher CalPERS retirement costs (\$0.7 million), and lower revenues (\$3.7 million) including the elimination of an estimate for Cannabis Tax and lower Contribution In-Lieu from the City's Electric Utility.

As the City has experienced in the past, General Fund revenues may exceed or fall below expectations based on changes in economic or non-economic conditions. This type of volatility has been seen in the City's two largest General Fund revenues, Property Tax and Sales Tax. Various cost elements can also vary from year to year, such as retirement costs that are impacted by the earnings assumptions and performance of the California Public Employees Retirement System (CalPERS).

Given the length of the current economic expansion and the likelihood of a recession at some point in the future, a Recession Scenario is included to provide perspective on the potential impacts of an economic downturn. Under this scenario, the projected General Fund shortfall would increase to \$31.3 million over three years, up from a projected shortfall of \$13.3 million in this forecast.

Staff will continue to closely monitor revenue performance and expenditures and will refine the revenue and expenditure figures during the development of the Biennial Capital Budget for FY 2020/21 and FY 2021/22 that will include adjustments to the FY 2020/21 Adopted Operating Budget.



ECONOMIC OUTLOOK

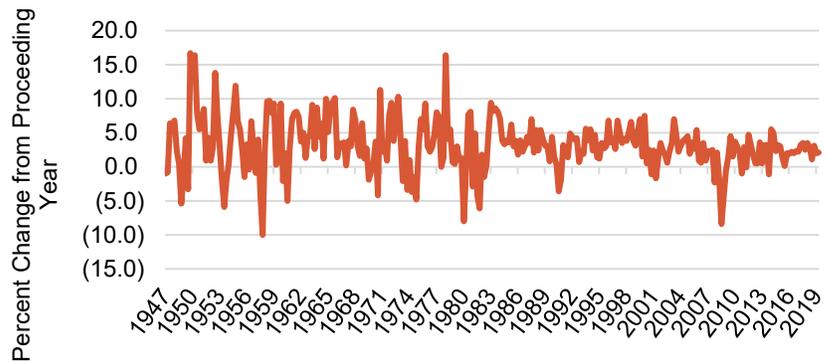
National Economy

The U.S. economy is in its eleventh consecutive year of expansion, the longest in U.S. history. This expansion, however, has been weaker than past expansions with job and Gross Domestic Product (GDP) growth lagging other post-war recoveries. Looking forward, there continues to be speculation regarding when the next recession will hit. At this point, many economists believe economic growth will continue but at a slower rate than experienced in recent years.

One indicator of economic health is GDP performance. In the December 2019 projections of Federal Reserve Board members and Federal Reserve Bank presidents, the median projection for the change in real GDP totaled 2.2% in 2019, 2.0% in 2020, 1.9% in 2021, 1.8% in 2022 and the longer run rate is set at 1.9%.¹ These figures are down from the growth of 2.9% in 2018 and 2.4% in 2017. In its December 2019 Economic Forecast, the UCLA Anderson Forecast projected similar growth rates with 2.3% real GDP growth in 2019, followed by growth of 1.8% in 2020 and 2021.² Similarly, the Conference Board Economic Forecast for the U.S. Economy projected 2.3% real GDP growth in 2019 and does not expect growth to dip below 2% in 2020.³

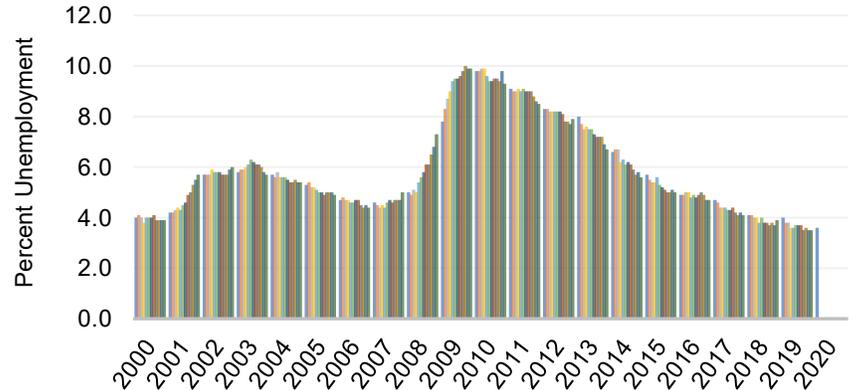
The U.S. employment rate remains low. According to data from the Bureau of Labor Statistics, the national unemployment rate (seasonally adjusted) peaked at 10% in October 2009 and now sits at 3.6% as of January 2020.

Real U.S. Gross Domestic Product



Source: U.S. Bureau of Economic Analysis

U.S. Unemployment Rate



Source: US Bureau of Labor Statistics

¹ <https://www.federalreserve.gov/monetarypolicy/files/fomcprojtabl20191211.pdf>

² UCLA Anderson Forecast, December 2019 Economic Forecast, Forecast Tables – Summary, Nation page 79

³ <https://www.federalreserve.gov/monetarypolicy/files/fomcprojtabl20191211.pdf>



The economic outlook showed improvement towards the end of 2019. The interest rate yield curve is a common economic indicator that is used to predict economic recessions or slowdowns. When the interest rate yield curve inverts, long-term bonds have lower yields than short-term bonds, usually indicating pessimism regarding the economy. This curve, which inverted in 2019 indicating a slowdown, returned to a positive slope. However, there was a brief return to an inverted yield curve at the end of January 2020 in certain areas. The Federal Reserve cut interest rates three times in 2019, which supplied additional reserves into the banking system and helped spur economic activity. Also, the United States, Mexico, Canada Agreement (USMCA) as well as the initial trade agreement with China reduce trade uncertainty.

U.S. economic growth is dependent on consumer spending, which accounts for approximately 70% of the GDP. According to the Conference Board Consumer Confidence Survey, consumer confidence increased in January. “Consumer confidence increased in January, following a moderate advance in December, driven by a more positive assessment of the current job market and increased optimism about future job prospects,” said Lynn Franco, Senior Director of Economic Indicators at The Conference Board. “Optimism about the labor market should continue to support confidence in the short-term and, as a result, consumers will continue driving growth and prevent the economy from slowing in early 2020.”⁴

California Economy

The economy of California is the largest in the United States with a gross state product of approximately \$3 trillion. If California were a sovereign nation, it would rank as the world’s fifth largest economy.⁵

The California economy is expected to experience continued modest growth. As described in the California Legislative Analyst Office’s 2020-21 Budget: California Fiscal Outlook, California job growth is expected to continue, but at a slower rate. The housing market is also expected to rebound somewhat due to falling mortgage interest rates. The Employment Development Department reported California’s unemployment rate held steadfast at its record low of 3.9% in December 2019. With the addition of 12,600 nonfarm jobs in December 2019, the current expansion sets a new record in California of 118 months, surpassing the long expansion of the 1960s. Since February 2010, California has gained 3.42 million jobs, which accounts for more than 15 percent of the nation’s 22.69 million job gain during this period. The number of unemployed Californians is also at the lowest since 1989, despite the large increases in statewide population since that time.⁶

⁴ <https://www.conference-board.org/data/consumerconfidence.cfm>

⁵ http://www.dof.ca.gov/Forecasting/Economics/Indicators/Gross_State_Product/

⁶ <https://www.edd.ca.gov/newsroom/unemployment-january-2020.htm>



Regional Economy

Santa Clara has experienced strong revenue growth and development activity. Like the nation and California, unemployment is also at a historic low. Looking to FY 2020/21 and beyond, the City is projecting that the regional economy will continue to grow, but at a slower rate over the next few years. In the later years of the forecast, growth is expected to normalize at higher rates than those expected over the next three years.

Unemployment Rate (Seasonally Adjusted)			
	Dec 2017	Dec 2018	Dec 2019
Nation	4.1%	3.9%	3.5%
California	4.4%	4.1%	3.9%
San José-Sunnyvale-Santa Clara Metropolitan Statistical Area	2.9%	2.6%	2.4%

Sources: California Employment Development Department and U.S. Bureau of Labor Statistics

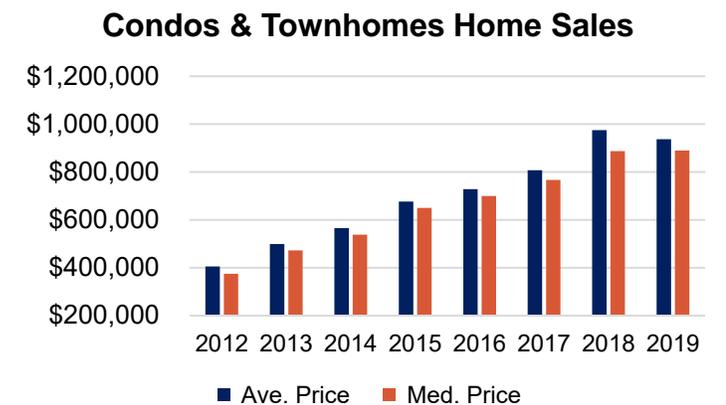
The unemployment rate in this region also remains below the State and nation.

In 2019, a total of 32,500 jobs were added to the region, resulting in an annual growth rate of 2.8%. Manufacturing led the job gains with 7,800 jobs, followed by the next largest categories of professional and business services (up 6,600 jobs), information (up 6,400 jobs), and government (up 6,400 jobs)⁷.



Property values in Santa Clara remain high. In 2019, the median price of a single-family home totaled \$1.36 million, which was down from the high of \$1.50 million experienced in 2018, but above the 2017 level of \$1.27 million as shown in the Single-Family Residential Home Sales chart.

There are significant development projects underway in Santa Clara that will bring new revenues as well as new costs. The financial impacts of these developments have not been factored into this forecast given the uncertainty regarding the timing. However, it is anticipated that this additional development activity will have a positive impact on the forecast.



Data Source: Santa Clara County Association of Realtors

⁷ [https://www.labormarketinfo.edd.ca.gov/file/lfmonth/sjos\\$pds.pdf](https://www.labormarketinfo.edd.ca.gov/file/lfmonth/sjos$pds.pdf)



GENERAL FUND OVERVIEW

This Forecast projects deficits in early years of the forecast, followed by surpluses in the out-years. A slight economic slowdown has been factored into this Forecast in the first few years.

The following table displays the projected General Fund revenues and expenditures for FY 2020/21 and ten years beyond the adopted biennial budget and the total cumulative surplus. In addition to the small cumulative surplus, the incremental shortfalls and surpluses (assuming each preceding shortfall or surplus is addressed completely with ongoing solutions in the year it appears) for each year of the forecast are included. Because it is the City’s goal to remain in balance on an ongoing basis, the incremental figure is useful in that it shows the additional shortfall or surplus attributed to a particular fiscal year. To the extent that a shortfall is not resolved or a surplus is not expended on an ongoing basis, it is important to understand that the remaining budget gap or surplus will carry over to the following year.

FY 2021-2031 General Fund Ten-Year Financial Forecast (\$ in millions)											
	2020/21	2021/22	2022/23	2023/24	2024/25	2025/26	2026/27	2027/28	2028/29	2029/30	2030/31
Projected Revenues	\$263.5	\$ 270.9	\$277.8	\$286.7	\$297.0	\$307.7	\$319.0	\$330.4	\$342.3	\$354.8	\$367.6
Projected Expenditures	\$268.3	\$ 278.7	\$290.5	\$300.0	\$310.3	\$318.7	\$327.2	\$336.3	\$346.8	\$356.4	\$365.7
Cumulative (Shortfall)/ Surplus	(\$4.8)	(\$7.8)	(\$12.7)	(\$13.3)	(\$13.3)	(\$11.0)	(\$8.2)	(\$5.9)	(\$4.5)	(\$1.6)	\$1.9
Net Operating Margin	(\$4.8)	(\$3.0)	(\$4.9)	(\$0.6)	\$0.1	\$2.3	\$2.8	\$2.3	\$1.4	\$2.9	\$3.5
% of Expenses	(1.8%)	(1.1%)	(1.7%)	(0.2%)	0.0%	0.7%	0.9%	0.7%	0.4%	0.8%	1.0%

Note: The Forecast does not include the cost to fund capital improvements that rely on General Fund funding, the operating and maintenance costs of capital projects under development, the cost to address unmet/deferred infrastructure needs, the cost to fully fund public safety equipment replacement, additional contributions to reserves, one-time funding sources, and one-time expenditure needs. It is assumed that the development fee program will be 100% cost recovery.

General Fund Operating Margin

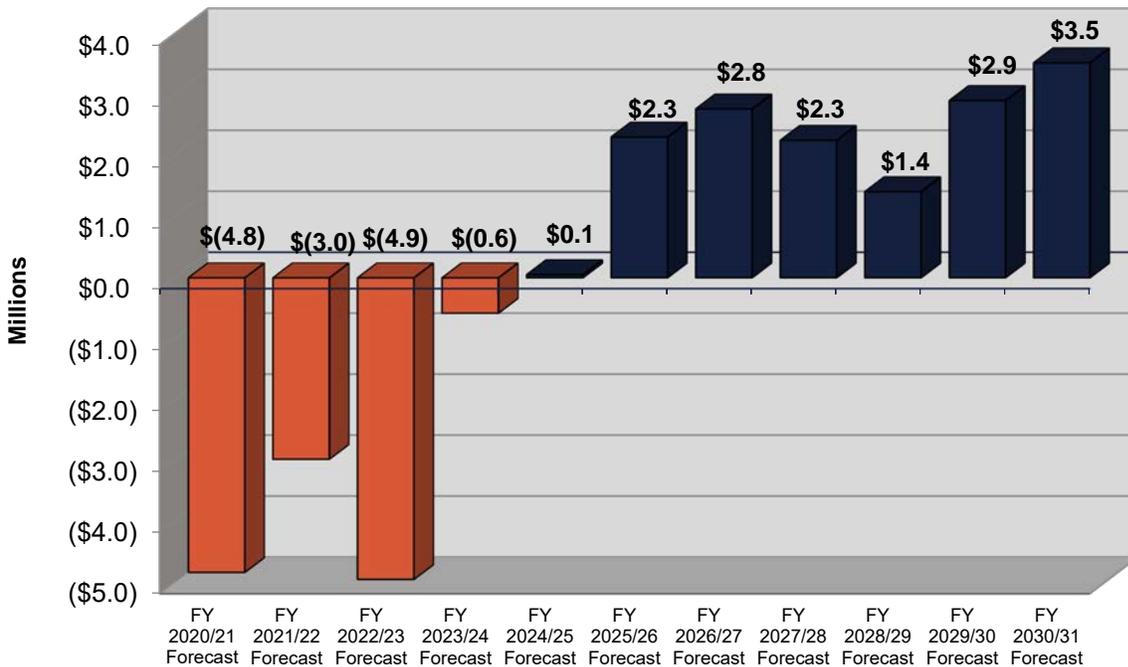
The operating margin reflects the variance between the projected General Fund revenues and expenditures for each year of the Forecast. In FY 2020/21, a General Fund shortfall of \$4.8 million is projected. This projection is derived by comparing estimated revenues with the cost of delivering existing City services. In the out years of the Forecast, shortfalls are projected through FY 2023/24, with sufficient funds available to cover base expenses in the remaining years. By the end of the Forecast period, revenues are projected at \$367.6 million and expenditures are estimated at \$365.7 million. The annual margins are relatively narrow when put into context



of the size of the projected General Fund budget, ranging from -1.8% to 1.0% of the projected annual budget. With revenues anticipated to continue to grow at a moderate pace during the ten-year forecast, they are generally sufficient to cover base expenditures over the Forecast period. It is important to note, however, that the base expenditures do not factor in several cost elements that are currently funded on a pay-as-you-go basis with one-time funds, including the following: the cost to fund capital improvements that rely on General Fund funding; the operating and maintenance costs of capital projects under development; the cost to address unmet/deferred infrastructure needs; the cost to fully fund public safety equipment replacement; and additional contributions to the Pension Stabilization Reserve.

The revenue projections assume a moderately growing economy throughout the forecast period, but do not include project specific revenues that may result from several large commercial and residential development projects currently under review or entitled but not yet under construction. If any of the development projects are completed and yield positive economic benefit, the outlook would improve. In addition, this forecast does not include a possible recession scenario.

FY 2021-31 General Fund Forecast Operating Margin





There are various factors that are not included in the Forecast that have and could continue to impact future financial performance as shown in the chart below.

FY 2021-31 General Fund Forecast Potential Impacts and Risk Factors			
	Impact Realized	\$ Impact	Continued Risk
Loss of Stadium Authority revenues	✓	(\$2.7 M)	
Labor negotiations/other expenditures beyond assumptions	✓	(\$4.3 M)	✓
CalPERS actuarial changes or any CalPERS reform actions	✓	(\$0.7 M)	✓
Capital/Infrastructure			✓
Economic Slowdown/Recession			✓
Infrastructure operating budget impacts			✓
Further refinement of major revenue projections (Cannabis Tax, Contribution In-Lieu)	✓	(\$3.7 M)	✓
Development Projects (Revenue/Staffing/Capital Maintenance)			✓
State/Federal Legislative Changes			✓



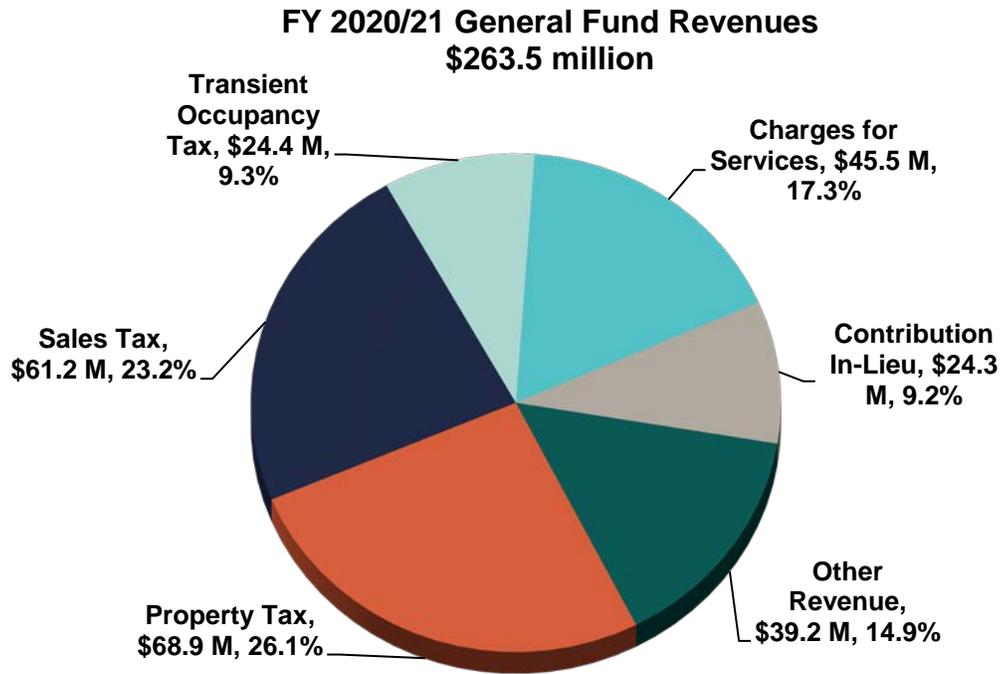
GENERAL FUND REVENUES

There are several General Fund revenue sources supporting the City’s activities as shown in the table below.

FY 2021-2031 General Fund Revenue Sources (\$ in millions)											
	2020/21	2021/22	2022/23	2023/24	2024/25	2025/26	2026/27	2027/28	2028/29	2029/30	2030/31
	\$ m	\$ m	\$ m	\$ m	\$ m	\$ m	\$ m	\$ m	\$ m	\$ m	\$ m
Property Tax	68.9	72.4	75.9	79.1	83.2	87.5	92.1	96.8	101.9	107.2	112.8
Sales Tax	61.2	62.0	62.8	64.5	66.1	67.8	69.5	71.2	72.8	74.4	76.0
Transient Occupancy Tax	24.4	23.2	22.5	23.4	24.4	25.3	26.3	27.4	28.5	29.6	30.8
Franchise Tax	4.7	4.9	5.0	5.2	5.3	5.5	5.7	5.8	6.0	6.2	6.4
Documentary Transfer Tax	1.7	1.7	1.7	1.7	1.8	1.8	1.8	1.9	1.9	2.0	2.0
Licenses and Permits	10.9	11.2	11.6	11.9	12.3	12.6	13.0	13.4	13.8	14.2	14.6
Fines and Penalties	1.9	1.9	1.9	1.9	1.9	1.9	1.9	1.9	2.0	2.0	2.0
Interest Income	6.6	6.7	6.3	6.0	5.5	5.7	5.8	5.9	6.0	6.1	6.2
Rents	11.0	13.0	13.4	13.9	14.9	15.2	15.9	16.3	16.6	17.2	17.7
Other Services Fees	45.5	46.6	48.5	50.0	51.6	53.4	54.9	56.7	58.6	60.6	62.6
Transfers from Other Funds	1.8	1.5	1.5	1.5	1.5	1.5	1.6	1.6	1.6	1.6	1.6
Contribution in Lieu of Taxes	24.3	25.2	26.1	27.0	27.9	28.9	29.9	30.9	32.0	33.1	34.3
All Other Revenues	0.6	0.6	0.6	0.6	0.6	0.6	0.6	0.6	0.6	0.6	0.6
Total Sources	\$263.5	\$ 270.9	\$277.8	\$286.7	\$297.0	\$307.7	\$319.0	\$330.4	\$342.3	\$354.8	\$367.6
% Change from Prior Yr		2.8%	2.5%	3.2%	3.6%	3.6%	3.6%	3.6%	3.6%	3.6%	3.6%



Sales tax and property tax comprise the largest individual sources of General Fund revenues, representing a combined \$130.1 million in FY 2020/21, or approximately 50% of the total. These categories along with Transient Occupancy Tax are economically sensitive and account for almost 60% of the General Fund revenues.



Following is a discussion of the major General Fund revenue categories:

Property Tax

The Property Tax category includes Secured and Unsecured Property Taxes. The County of Santa Clara Office of the Assessor and the Controller-Treasurer Department meets quarterly with cities to review property tax revenue allocated to Santa Clara County cities. The Controller-Treasurer Department provides projections on the current year property tax receipts, including updates on the assessed valuation and estimates on anticipated adjustments made from property tax appeals. The current year estimates are used as the starting point in the forecast. The County Assessor’s Office also provides information to cities on a monthly basis on the status of the assessment roll for the upcoming year.

Property tax revenue for FY 2020/21 is projected at \$68.9 million, a \$3.6 million or 5.5% increase from the FY 2019/20 year-end estimate of \$65.3 million. Assessed valuation growth on secured property is projected at 6.5% and reflects the annual inflation factor based on Consumer Price Index (2% maximum increase annually), growth due to property sales, and a general allowance for new construction projects being added to the tax rolls. In the remaining years of the forecast, assessed valuation growth is expected to range from 4.5% to 6.0%. In order to meet these growth projections, developments with assessed valuation between \$700 million to \$1 billion would need to continue annually. By the end of the forecast period, Property Tax receipts are projected to reach \$112.8 million.

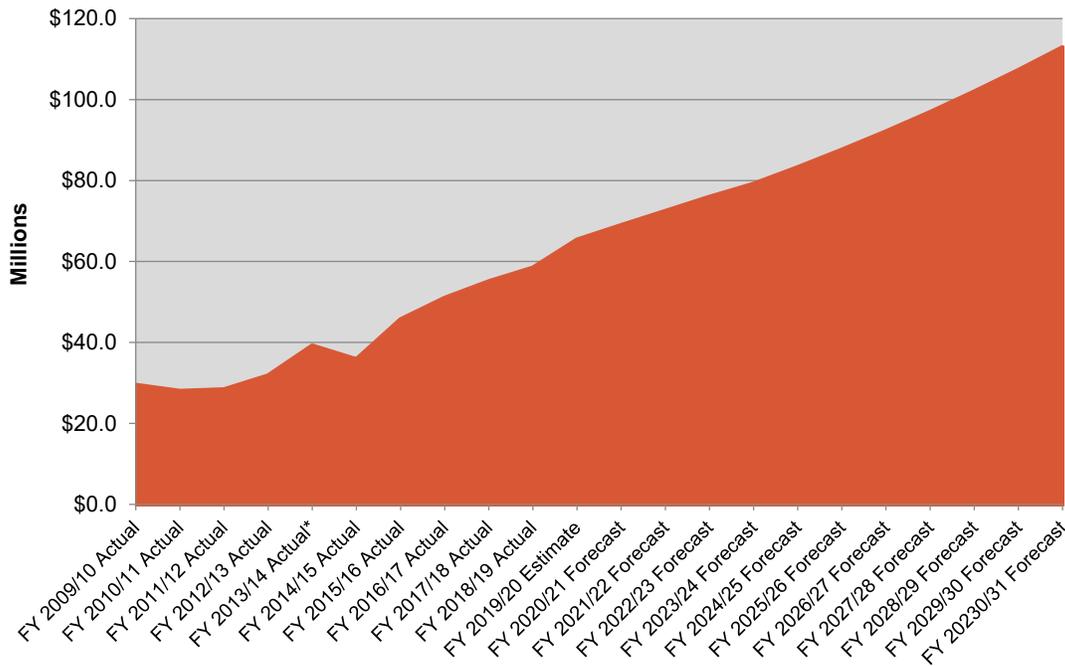


Secured Property Tax receipts include general secured property tax along with supplemental property tax (retroactive collections back to the point of sale for reassessments of value due to property resale), residual Redevelopment Agency receipts, and excess Educational Revenue Augmentation Fund (ERAF) funds (under Proposition 98, a portion of property tax receipts are allocated to the ERAF beginning in 1992 and once there are sufficient funds in ERAF to fulfill the obligation to the school districts, excess funds are returned to the taxing entities that contributed the funding). Overall, Secured Property Tax receipts are expected to increase 5.6% in FY 2020/21, 5.2% in FY 2021/22, and 5.0% in FY 2022/2023, followed by increases ranging between 4.3% and 5.3% annually for the remaining years of the Forecast.

Unsecured Property Tax receipts are projected to increase 3% in FY 2020/21, 1% in FY 2021/22 and FY 2022/23, followed by 3.0% annual growth in the remaining years of the Forecast.

Collections are expected to grow at an average annual rate of 5.1% over the forecast period.

Property Tax Revenue



Note: FY 2013/14 includes one-time \$6.1 million due to Redevelopment Agency dissolution

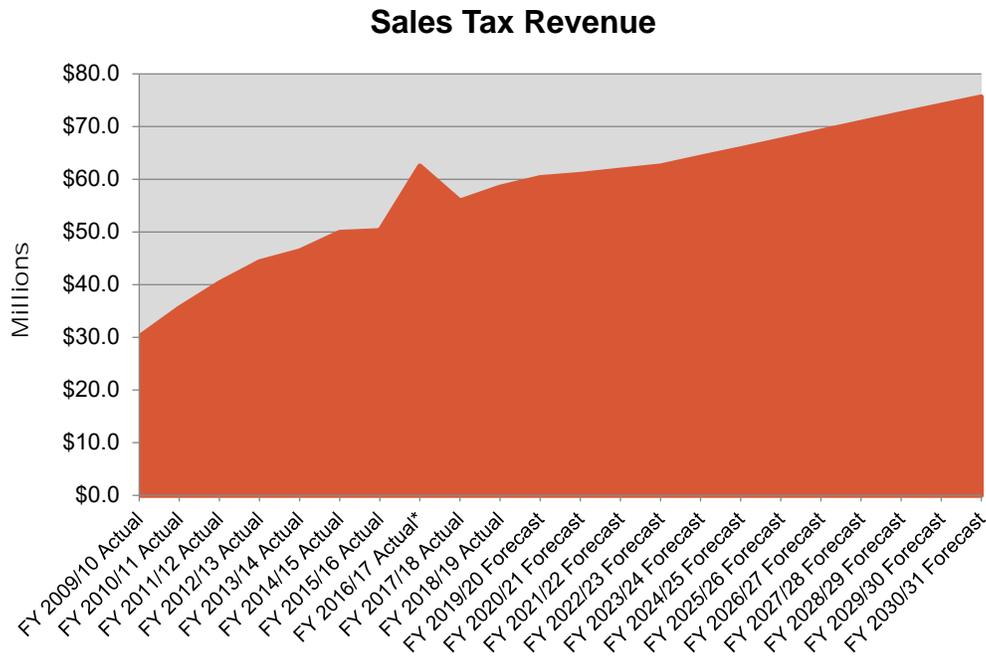
Sales Tax

Santa Clara’s sales tax collections are directly influenced by local, regional, national and international economic and business cycles. Because of this, sales tax collections are one of the most economically sensitive General Fund revenue sources. These collections have increased in recent years and growth is expected to continue in FY 2020/21 and throughout the forecast period.

As shown in the chart below, sales tax collections are expected to total \$60.3 million in FY 2019/20 and increase 1.5% to \$61.2 million. Slightly lower growth rates of 1.3% are expected in FY 2021/22 and FY 2022/23 to reflect slower economic growth in those years. In the remaining years of the forecast, annual increases ranging between

2.1% and 2.6% are projected. Based on these projections, annual collections will reach \$76.0 million by FY 2030/31. These projections are based on information provided by the City’s sales tax consultant. Their estimates are based on individual category/segment projections with adjustments for known individual business anomalies such as missed payments or misallocations.

Collections are expected to grow at an average annual rate of 2.5% over forecast period.

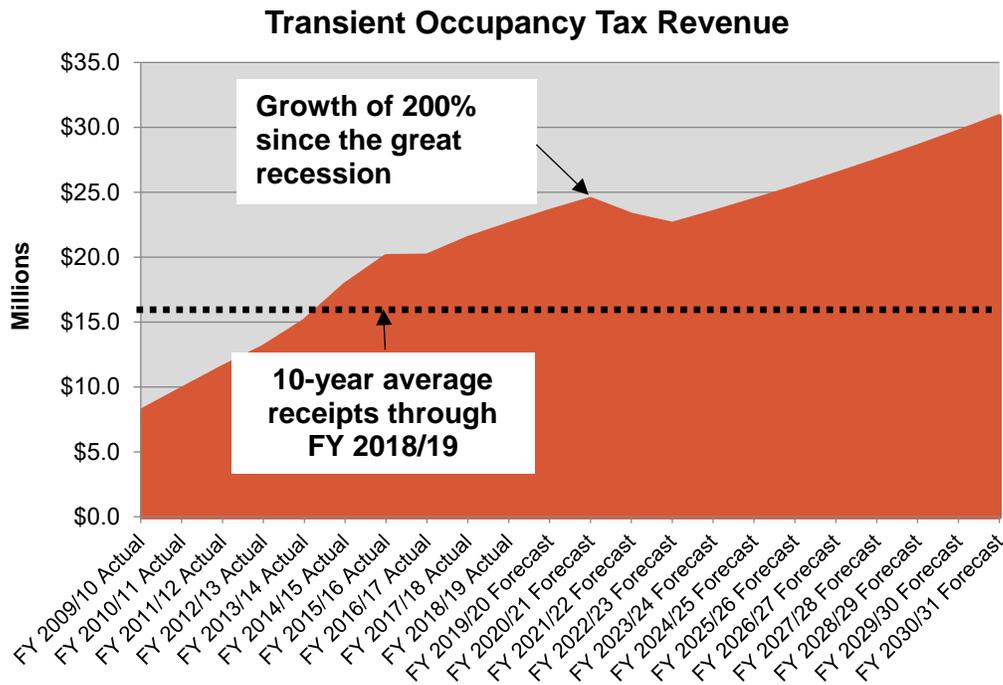


Note: FY 2016/17 includes a one-time true-up payment due to the unwinding of the State’s Triple Flip.

Transient Occupancy Tax

Transient Occupancy Tax (TOT) is calculated as a percentage of City hotel/motel room charges. The City’s current TOT rate is 9.5%. As with sales tax, TOT is sensitive to business cycles and can vary greatly from year to year based on occupancy levels and room rates. Over the last several years, this category has experienced tremendous growth as shown in the TOT chart on the following page. During the last two recessions, however, this category also experienced double-digit declines.

TOT revenue is projected to reach \$23.5 million in FY 2019/20 and increase 4% in FY 2020/21 to \$24.4 million. This collection level reflects growth of 200% from the Great Recession and is well above the ten-year average receipts through FY 2018/19 of \$15.9 million. With a modest economic slowdown projected in the early years of the forecast, TOT receipts are projected to experience slight declines of 5% in FY 2021/22 and 3% in FY 2022/23, followed by 4.0% annual growth the remaining years of the Forecast. The Forecast annualizes the impact of the new AC Hotel and Element Santa Clara Hotel that have recently opened. Additional hotels in the plan review process have not been factored into the tax projections.



Licenses and Permits

The Licenses and Permits category includes business licenses, building development-related permits (building, electric, plumbing, mechanical), fire permits, encroachment permits, and parking permits. Collections in this category are projected to total \$10.9 million in FY 2020/21, reflecting the continuation of strong development activity, slight growth in business license activity, and a consistent level of parking permits. Average annual growth of 3% is projected in the out years of the Forecast, with collections reaching \$14.6 million in FY 2030/31. For the building development-related permits, it is assumed that the program is 100% cost recovery and any forecasted difference between revenues and expenditures will be balanced by increases or decreases to the Building Reserve. This Forecast assumes that funding will be added each year to this Reserve.

Interest Income

The City invests all funds not needed for current cash requirements in accordance with the City Council-approved Investment Policy. These funds are invested in securities having a maximum maturity of five years. The factors that directly influence General Fund interest income include prevailing interest rates, the size of the portfolio and the relative percentage of the portfolio allocated to the General Fund. Based on the expected interest rates and cash balances, the General Fund is expected to receive \$6.6 million in interest in FY 2020/21. In the remaining years of the Forecast, interest earnings are projected to fluctuate annually primarily due to interest rate assumptions, ranging from \$5.6 million to \$6.7 million.

Rents

The Rents category includes property rents and leases as well as right-of-way rental fees charged to the water sewer and utilities. In FY 2020/21, rent revenue is estimated to total \$11.0 million, including \$7.2 million from property rents and leases and \$3.8 million from right-of-way rental fees. The FY 2020/21 estimate is below the FY 2019/20 Adopted Budget estimate of \$14.1 million due primarily to the loss of performance-related rent



associated with the stadium. Growth projections for the out years of the Forecast are based on individual lease agreements. Rent revenue is projected to reach \$17.7 million by FY 2030/31 and reflects the additional lease revenue associated with the Related project.

Other Services Fees

The Other Services Fees category includes fees charged for various City services provided by the departments of Community Development, Fire, Police, Park and Recreation, and Public Works as well as cost allocation plan reimbursements and stadium-related reimbursements. This category is estimated to generate \$45.5 million in FY 2020/21, an increase of 4.0% from the FY 2019/20 projection of \$43.7 million. Over the Forecast period, average annual growth of 3.3% is projected, resulting in collections of \$62.6 million by FY 2030/31.

Contribution In-Lieu of Taxes

In accordance with the City's charter, Silicon Valley Power pays 5.0% of gross revenues to the General Fund as contribution-in-lieu of taxes (CLT). For FY 2020/21, CLT is projected to total \$24.3 million, an increase of 3.5% from the FY 2019/20 estimate of \$23.5 million. It is anticipated that CLT will increase to \$34.3 million by FY 2030/31, increasing at an annual rate of 3.5%. Growth in this category is primarily driven by market projections for electric consumption, resources costs, and any retail rate increase assumed for the Electric Utility.



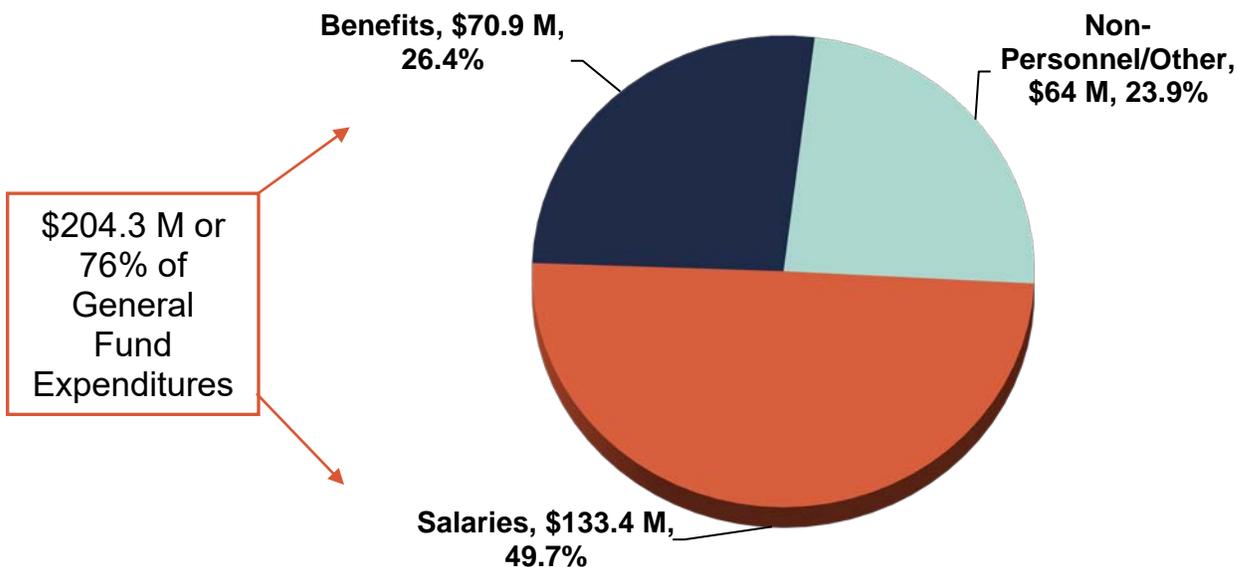
GENERAL FUND EXPENDITURES

Expenditures are projected to grow from \$268.3 million in FY 2020/21 to \$365.7 million by the end of the forecast period. FY 2020/21 expenditures are \$1.1 million below the FY 2019/20 Adopted Budget of \$269.4 million primarily due to the elimination of expenditures funded on a one-time basis in FY 2019/20.

FY 2021-2031 General Fund Revenue Expenditures (\$ in millions)											
	2020/21	2021/22	2022/23	2023/24	2024/25	2025/26	2026/27	2027/28	2028/29	2029/30	2030/31
	\$ m	\$ m	\$ m	\$ m	\$ m	\$ m	\$ m	\$ m	\$ m	\$ m	\$ m
Salaries	133.4	139.7	145.5	150.5	155.1	159.4	164.3	168.8	173.5	178.1	183.3
Retirement	49.4	53.2	57.6	60.3	63.0	65.2	65.6	67.9	70.4	73.4	75.5
Other Benefits	21.5	22.5	23.4	24.2	25.2	26.1	27.0	28.0	29.1	29.9	30.5
Sub-Total Labor Costs	204.3	215.4	226.5	235.0	243.3	250.7	256.9	264.7	273.0	281.4	289.3
Materials, Services, Supplies	30.4	30.3	31.4	31.6	32.7	33.0	34.2	34.6	35.8	36.1	36.3
Interfund Services	26.7	26.6	27.5	28.2	28.9	29.6	30.3	31.0	31.8	32.4	33.3
Capital Outlay	0.2	0.1	0.1	0.1	0.2	0.1	0.1	0.1	0.1	0.2	0.2
Loans and Transfers	4.7	4.4	3.3	3.4	3.5	3.5	3.5	3.6	3.7	3.7	3.8
Reserves	2.0	1.9	1.7	1.7	1.7	1.8	2.2	2.3	2.4	2.6	2.8
Sub-total Other Costs	64.0	63.3	64.0	65.0	67.0	68.0	70.3	71.6	73.8	75.0	76.4
Total Uses	\$268.3	\$ 278.7	\$290.5	\$300.0	\$310.3	\$318.7	\$327.2	\$336.3	\$346.8	\$356.4	\$365.7
% Change from Prior Yr		3.9%	4.2%	3.3%	3.4%	2.7%	2.6%	2.8%	3.1%	2.8%	2.6%



FY 2020/21 General Fund Expenditures by Type



Labor Costs

Labor costs, which include salary, retirement and other benefit costs, are projected at \$204.3 million in FY 2020/21. These costs are derived from a position-level analysis of City staffing, including actual salary and benefit information, negotiated salary and benefit adjustments for bargaining groups that have current Memorandums of Understanding with the City, projected adjustments for the out years of the forecast, and retirement information from the California Public Employees Retirement System (CalPERS) and the City’s actuary.

Over the Forecast period, these costs are projected to increase an average of 3.2% annually and reach \$289.3 million by FY 2030/31.

Retirement Costs

Retirement costs represent one of the largest components of labor costs. The City contributes to CalPERS, which provides a defined benefit plan for participating public entities within the State of California. CalPERS offers a menu of benefit provisions that are established by State statutes within the Public Employee Retirement Law. The City selected its benefit provisions from the benefit menu by contract with CalPERS and adopted those benefits through local ordinance, following negotiations with employee bargaining groups.

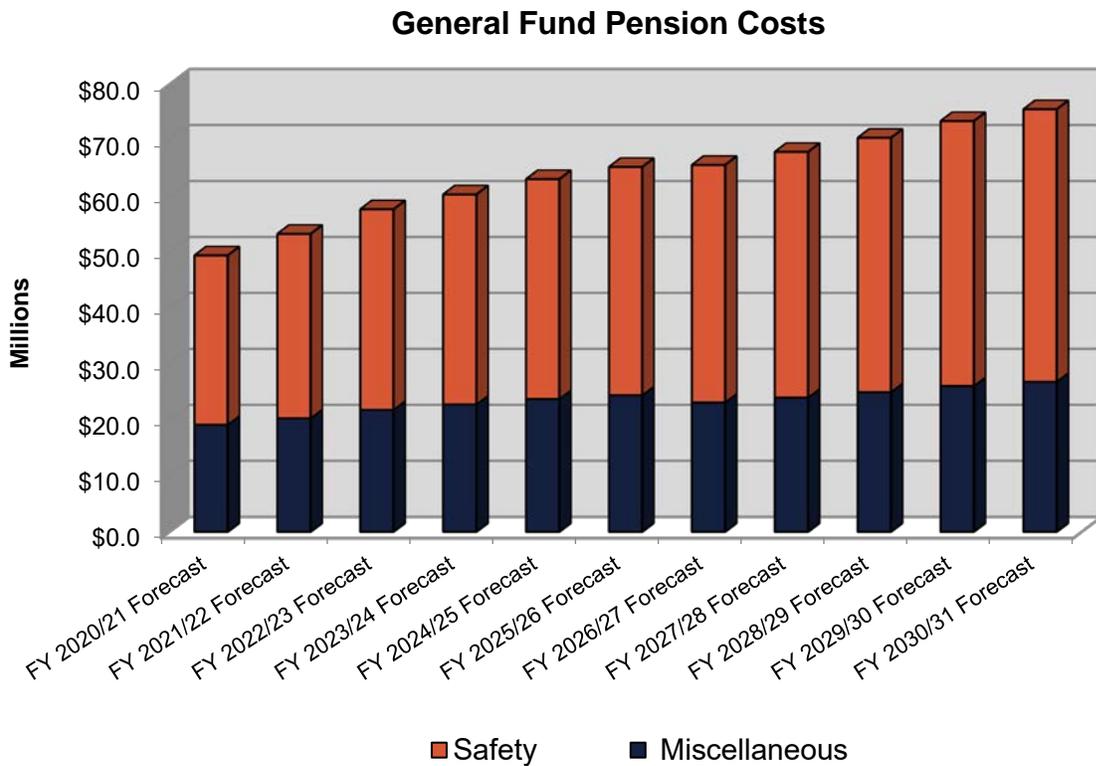
CalPERS retirement costs rose sharply over the past decade as a result of the market losses in the early 2000s followed by benefit enhancements in the mid-2000s. In 2011/12, General Fund pension costs were \$18.9 million; by FY 2020/21, pension costs are projected to be \$49.4 million. Retirement payments are expected to continue to rise as required employer rate increases are rolled in to make up for investment losses, a lower investment return assumption, shorter smoothing and amortization periods, and other demographic assumption changes.



By the end of the Forecast period, PERS expenditures are projected to reach \$75.5 million and will account for an estimated 21% of General Fund expenditures

On December 21, 2016, the CalPERS Board approved lowering the investment earnings assumption (discount rate) downward from 7.5% to 7.0% over the next three years. This change increased rates beginning in Fiscal Year 2018/19. In February 2018, the CalPERS Board approved shortening the period over which actuarial gains and losses are amortized from 30 years to 20 years for new pension liabilities. This policy became effective as of the June 30, 2019 CalPERS actuarial valuations.

Year-by-year pension rate projections are shown below.





Materials, Services and Supplies

The materials, services and supplies estimate for FY 2020/21 is \$30.4 million, which is consistent with the FY 2020/21 Adopted Budget level of \$30.6 million. Annual adjustment factors are included in this category as well as adjustments for expenditures that occur every two years. Expenditures are projected to reach \$36.3 million by FY 2030/31.

Interfund Services

Interfund services include the General Fund contribution to several internal service funds, including Communications Acquisitions, Fleet Operations, Information Technology Services, Special Liability Insurance, Unemployment Insurance, Public Works Capital Project Management, Vehicle Replacement, and Workers' Compensation. In FY 2020/21, these costs are estimated at \$26.7 million and increase to \$33.3 million by FY 2030/31. These estimates are based on an evaluation of the activity levels in each internal service fund.

Loans and Transfers

This category includes debt service payments, loans to the Cemetery Fund, and the City's contributions to parking maintenance and Convention Center maintenance. These costs are estimated at \$4.7 million in FY 2020/21 and total \$3.8 million by FY 2030/31. The debt service payments for the 2010 Lease Agreement (provided funds to refund outstanding bonds to construct the police administration building) are scheduled to be completed in February 2022, resulting in lower costs in the out years of the Forecast.

Reserves

This category includes the contributions to the Building Reserve and the Technology Reserve to account for the difference between the forecasted revenues and expenditures. Because forecasted revenues are above the forecasted expenditures in each year of the Forecast, the differences are set aside in reserves. These contributions total \$2.0 million in FY 2020/21 and increases to \$2.8 million in FY 2030/31. The contribution to the Building Reserve totals \$1.8 million in FY 2020/21 and increases to \$2.5 million in FY 2030/31, and these funds will be used to support the Building Development Program. The contribution to the Technology Reserve totals \$0.2 million in FY 2020/21 and increases to \$0.3 million in FY 2030/31.



RESERVES

Reserves have generally been established with one-time funds and, with the exception of funding set aside in the Building and Technology Reserves to maintain 100% cost recovery, are not reflected in the Forecast figures. Reserves, however, are an important component of the budget. Following is a discussion of the major General Fund Reserves.

- **Budget Stabilization Reserve:** During FY 1985/86, the City Council established a policy regarding use of the City's General Contingency Reserve funds. Under that policy, two separate reserves were established, a Budget Stabilization Reserve (formerly known as the Working Capital Reserve) and a Capital Projects Reserve. The Budget Stabilization Reserve (BSR) is set aside to protect vital General Fund services through economic downturns, emergency financial crisis, or disaster situations. The reserve target is equal to the expenditures of the City's General Fund operations for three months (90-days or 25% of budgeted appropriations). The BSR reserve level currently totals \$80 million, which equals 30% of the projected FY 2020/21 expenditures and 22% of the FY 2030/31 expenditures.
- **Capital Projects Reserve:** This reserve is set aside to fund the portion of the City's Capital Improvement Program (CIP) that has no other funding sources to support it. The projects funded from this critical reserve provide basic City infrastructure and quality facilities. The Council adopted a policy in FY 1996/97 to maintain a minimum of \$5.0 million in the Capital Projects Reserve. The FY 2019/20 Adopted CIP Budget includes the expenditure of Capital Projects Reserves in the amount of \$9.6 million. The Capital Projects Reserve in FY 2019/20 currently totals \$28 million. It is anticipated that these funds will be allocated as part of the FY 2020/21 and FY 2021/22 Biennial Capital Budget and Five-Year Capital Improvement Program.
- **Land Sale Reserve:** This reserve sets aside proceeds from land sales to be used for land-related purposes or other General Fund needs as determined by the City Council. This reserve currently totals \$22 million.
- **Building Inspection Reserve:** This reserve sets aside user fees that are above costs in the Community Development Department's Building Inspection Division. This reserve is used to fund inspection services or projects directly related the Building Division development activity and provides resources for works-in-progress as project work can span more than one year. This reserve currently totals \$16 million.
- **Pension Stabilization Reserves:** These reserves in the General Fund and other City funds have targeted contributions that would fund 1% of the City's unfunded pension liability annually to address the City's pension unfunded accrued liability. For the General Fund, this reserve currently totals \$20 million.

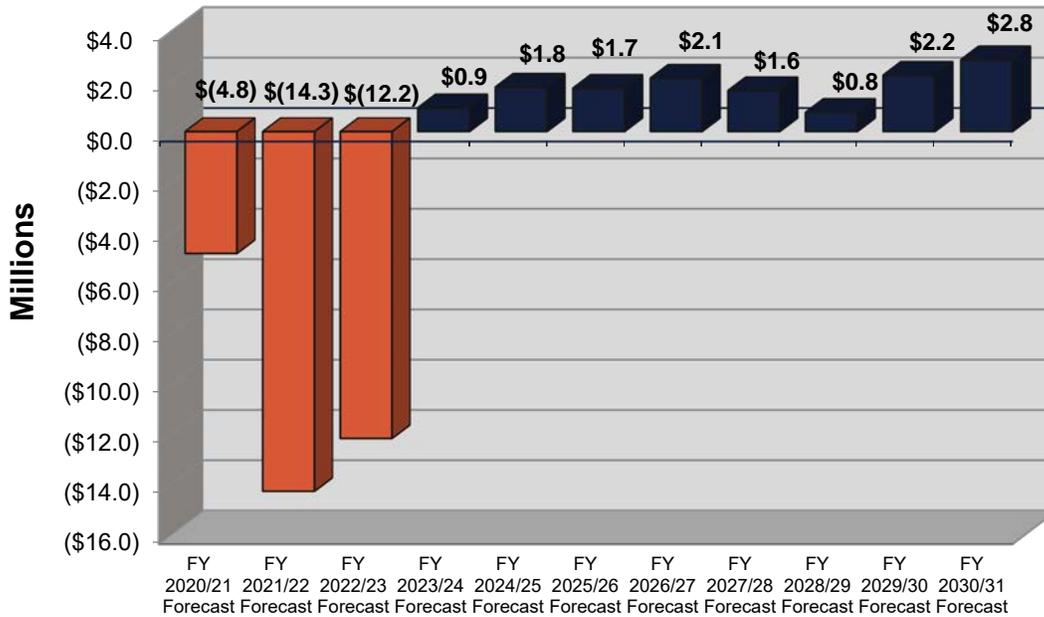


RECESSION SCENARIO

Given the length of the current economic expansion and the likelihood of a recession at some point in the future, a Recession Scenario is included to provide perspective on the potential impacts of an economic downturn. In this scenario, a moderate recession is modeled in FY 2021/22 and FY 2022/23. The projected shortfalls increase to \$31.3 million over three years, up from a projected shortfall of \$13.3 million in this forecast.

This slowdown impacts the economically sensitive revenues, including Sales Tax, Property Tax, and Transient Occupancy Tax. In the Recession Scenario, both Sales Tax and Transient Occupancy Tax experience declines in FY 2021/22 and FY 2022/23, which is consistent with the declines experienced in prior recessions. The Property Tax category experiences lower rates of growth but does not experience year-over-year declines. If a recession is as severe as the Great Recession, declines in this category could also be realized.

FY 21-31 General Fund Forecast Recession Scenario





CHALLENGES, RISKS, AND OPPORTUNITIES

In developing the Forecast, it is important to identify major challenges and risks facing the City in order to provide context regarding the City’s current financial position as well as inform on potential changes that could impact the City’s fiscal health in the future. Some of the major challenges include the limited flexibility in certain expenditure areas, unfunded elements of the forecast, and volatility in various revenue and expenditure categories. There are also opportunities moving forward to address some of the financial challenges.

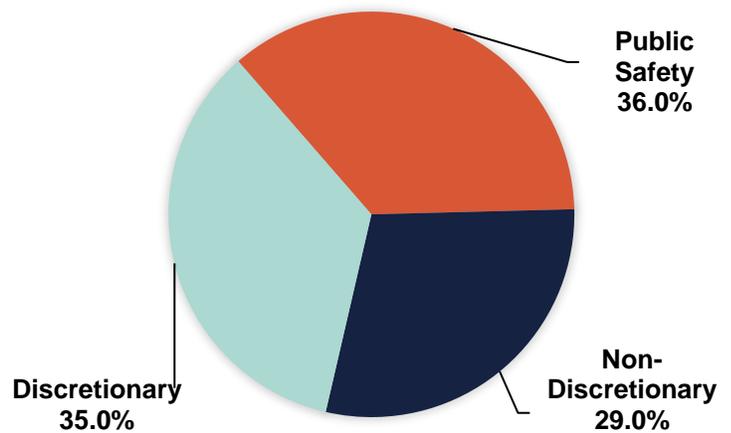
Limited Control in Certain Areas

There is limited control over certain expenditure areas where there are contractual obligations, the expenses cover fixed costs, and/or the costs are recovered by fees. The major non-discretionary costs include the following:

- CalPERS unfunded liability
- Debt service costs
- Fixed facility operating costs
- Other contractual obligations (e.g., election costs)
- Fee-supported activities

When evaluating the components of the expenditure budget, approximately 29% is non-discretionary (e.g., staffing and supplies), with the remaining balance broken down into discretionary (35%) and public safety (36%) categories. These categories are important when considering budget balancing options available.

FY 2020/21 Expenditures



Unfunded Elements/Service Delivery Needs

As mentioned previously, the Forecast does not include General Fund cost components that are funded with one-time funds. This includes funds for capital infrastructure, technology and certain equipment, and reserves. These needs have been addressed on a pay-as-you-go method.

Currently, there are unfunded infrastructure needs that total close to \$1 billion. This includes unfunded needs across all areas that rely on the General Fund to fund all or a portion of the capital improvements, including the storm system, transportation infrastructure, parks and community facilities, the International Swim Center, libraries, public safety facilities, and other City facilities, such as City Hall. One-time funding has also been used for various technology investments and public safety equipment.

The Forecast also does not factor in additional contributions to various reserves. The reserves with specific funding goals include the Budget Stabilization Reserve, the Capital Projects Reserve, and the Pension Stabilization Reserve. However, the City has prudently added significant amounts of one-time funding to these reserves in recent years, which has improved the overall fiscal health of the City.

The Forecast projects the existing revenue sources and the cost of providing the current level of service. It does not factor in any changes to service delivery, including any changes associated with new development.



Volatility in Revenue and Expenditure Growth

There are volatile revenue and expenditure categories that can significantly change the City's financial picture. The three top economically sensitive revenue categories (Sales Tax, Property Tax, and Transient Occupancy Tax) account for almost 60% of General Fund revenues. Approximately one-third of the revenues are tied to the more volatile Sales Tax and Transient Occupancy Tax categories. The Recession Scenario illustrates how quickly the City's financial picture can change during an economic downturn.

While generally less volatile, expenditures can also change. For instance, CalPERS costs have risen in recent years. These costs are expected to total 18% to 21% of the annual General Fund expenditure budget over the forecast period.

Opportunities to Address Fiscal Challenges

There are a number of options to address projected budget shortfalls and better position the City moving forward, including expenditure tightening and revenue generating opportunities. While bridge strategies will be recommended to address any necessary adjustments to the adopted FY 2020/21 operating budget, ongoing strategies will be developed as part of the FY 2021/22 and FY 2022/23 Biennial Operating Budget.

Cost-control measures and departmental targets can be used to reduce costs. As part of this process, departments evaluate contracts and non-personnel expenses, conduct service delivery evaluations, and review vacant positions. Revenue strategies include bringing fees closer to cost recovery, evaluating opportunities for new fees, and identifying revenue opportunities in line with other jurisdictions.



FY 2020/21 BUDGET PRINCIPLES

On January 31, 2020, the City Council approved the following FY 2020/21 Budget Principles that provide a framework for the budget process.

Budget Principles for 2020/21

(As adopted by the City Council on January 31, 2020)

1. Make decisions within the context of the City's Code of Ethics and Values, especially being Fiscally Responsible, Communicative, and Service-Oriented.
2. Consider budget decisions with long-term implications taken into account using data from the Ten-Year Financial Forecast.
3. Maximize service delivery within existing resources by balancing ongoing expenditure needs with ongoing revenues to avoid negative impacts on future budgets and maintain the City's high standards of fiscal integrity and financial management.
4. Focus on projects and services that benefit the community as a whole.
5. Pursue economic development objectives and strategies to foster new public and private investment within Santa Clara, and to create employment opportunities.
6. Balance between compensation adjustments to retain and attract employees and funding for positions.
7. Use one-time unrestricted revenues (e.g., annual General Fund surplus) for one-time uses such as increasing reserves, funding capital or Information Technology projects, paying off debt, and/or paying off unfunded pension or other post-employment benefits liabilities.
8. In accordance with Council policy, continue to maintain the General Fund Budget Stabilization Reserve balance at or above the policy level of 25% of adopted budget expenditures for the long-term fiscal health of the City.
9. Inform and communicate clearly and broadly to residents, businesses and employees of the City's fiscal position and budget schedule/hearings to promote active participation in the City Council's budget deliberations.
10. With limited exceptions, establish fees based on full cost recovery where individuals/businesses rather than the community at-large are benefiting from City services. This preserves limited unrestricted resources for providing services that benefit the community as a whole.
11. Focus on business process redesign in order to improve employee productivity and the quality, flexibility, and cost-effectiveness of service delivery (e.g., streamlining, simplifying, reorganizing functions, and reallocating resources).
12. Explore expanding existing revenue sources and/or adding new revenue sources.
13. Engage employees to contribute new and innovative ideas during the department budget development process.
14. Use the General Plan as a primary long-term fiscal planning tool and link ability to provide City services to development policy decisions.



GENERAL FUND BUDGET BALANCING

The Adopted Biennial Operating Budget for fiscal years 2019/20 and 2020/21 was approved by the City Council in June 2019 and included balanced budgets for both FY 2019/20 and FY 2020/21. Based on the Forecast estimates, a General Fund shortfall of \$4.8 million is now projected for FY 2020/21. These budget figures will continue to be refined over the next few months and any projected shortfall will be addressed by FY 2020/21 Operating Budget amendments brought forward as part of the review of the Biennial Capital Budget for FY 2020/21 and FY 2021/22.

CONCLUSION

The Forecast provides policy makers, the public, and City staff an updated assessment of the City's financial condition that considers the latest projections of economic conditions. It serves as a starting point in the budget development process by providing the necessary context for making budget decisions. The Forecast also identifies known risk factors and vulnerabilities, and it provides a foundation for evaluating priorities and understanding trade-offs moving forward.

The FY 2021-2031 Forecast projects General Fund shortfalls totaling \$13.3 million over the first four years followed by surpluses in the remaining years of the Forecast. At the end of the Forecast period, revenues and expenditures are in close alignment. The annual shortfalls and surpluses are relatively small when compared to projected operating expenditures, ranging from -1.8 percent to 1.0 percent annually.

While base General Fund revenues and expenditures are almost aligned, there are elements of the City's budget that are not included in the Forecast as they have been funded pay-as-you-go with one-time sources. These include the costs to fund capital improvements that rely on General Fund funding, address unmet/deferred infrastructure needs, fully fund public safety equipment replacement, and make additional contributions to reserves. This Forecast serves as a strategic planning tool to meet the City's long-term goal to plan for additional fiscal capacity and bring forward sustainable funding strategies to address these unmet cost elements.

Statements of Sources and Uses of Funds

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General Fund

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GENERAL FUND

The General Fund is the primary fund of the City that is used to account for all revenues and expenses that are not restricted by law or policy to be accounted for in another fund. The General Fund supports many of the most visible and essential City services including libraries, parks, police and fire protection. The General Fund also includes many departments that provide central services including the City Manager, City Attorney, City Clerk, Mayor and City Council, Finance and Human Resources offices and departments.

The FY 2019/20 and FY 2020/21 Biennial Operating Budget was approved in June 2019. While there is an FY 2020/21 adopted operating budget for the General Fund, adjustments are needed to reflect revised revenue estimates and updated costs. Those changes have been incorporated into the FY 2020/21 amended budget and are described in the Appendices section of this document.

General Fund Sources

General Fund sources total \$424.3 million in the amended FY 2020/21 budget, up \$48.6 million from the FY 2020/21 budget adopted in June 2019 as part of the Biennial Operating Budget. This increase is almost entirely due to a higher beginning fund balance expected to be carried over to FY 2020/21 from additional reserves set aside as part of the close-out of FY 2018/19. The total sources consist of \$176.3 million in fund balance estimated to be carried over from FY 2019/20, \$244.0 million in revenue, and \$4.0 million in transfers from other funds. As part of the budget process, estimates are developed for each line item, taking into consideration activity projections, historical trends, and the economic environment. With the COVID-19 global pandemic, estimating the economically sensitive revenues is extremely challenging, and revisions to these estimates will likely be necessary once there is more clarity on the impacts. The table below summarizes the projected sources of General Fund revenues and transfers in this budget.

Funding Source	FY 2018/19 Actual	FY 2019/20 Amended	FY 2019/20 Estimate	FY 2020/21 Adopted	FY 2020/21 Change	FY 2020/21 Amended
Property Tax	58,614,758	64,438,315	64,438,315	68,873,348	(1,891,348)	66,982,000
Sales Tax	68,797,353	58,200,400	58,200,400	56,795,276	(1,195,276)	55,600,000
Transient Occupancy Tax	26,558,027	23,002,500	23,002,500	23,807,588	(6,182,588)	17,625,000
Franchise Tax	5,335,853	4,408,151	4,408,151	4,522,910	215,090	4,738,000
Documentary Transfer Tax	1,609,749	1,672,000	1,672,000	1,732,610	(532,610)	1,200,000
Licenses and Permits	14,371,283	9,491,950	9,491,950	9,800,182	1,139,118	10,939,300
Rents and Leases	8,780,945	9,292,176	9,292,176	14,077,937	(4,022,528)	10,055,409
Other Services Fees	45,780,622	40,677,580	40,677,580	41,766,336	3,955,482	45,721,818
State/Other Agencies	17,718,897	5,239,529	5,239,529	168,409	57,591	226,000
Fines and Penalties	2,081,480	1,689,225	1,689,225	1,719,472	(149,472)	1,570,000
Interest	6,673,083	5,697,500	5,697,500	6,096,656	(850,656)	5,246,000
Contribution In-Lieu	21,304,288	24,333,275	24,333,275	26,343,925	(2,644,095)	23,699,830
Other Revenue	563,560	4,150,001	4,150,001	100,001	249,999	350,000
Revenue Subtotal	278,189,898	252,292,602	252,292,602	255,804,650	(11,851,293)	243,953,357
Transfers In	6,261,332	3,362,096	5,322,040	1,463,730	2,523,689	3,987,419
Total Revenues/ Transfers	284,451,230	255,654,698	257,614,642	257,268,380	(9,327,604)	247,940,776

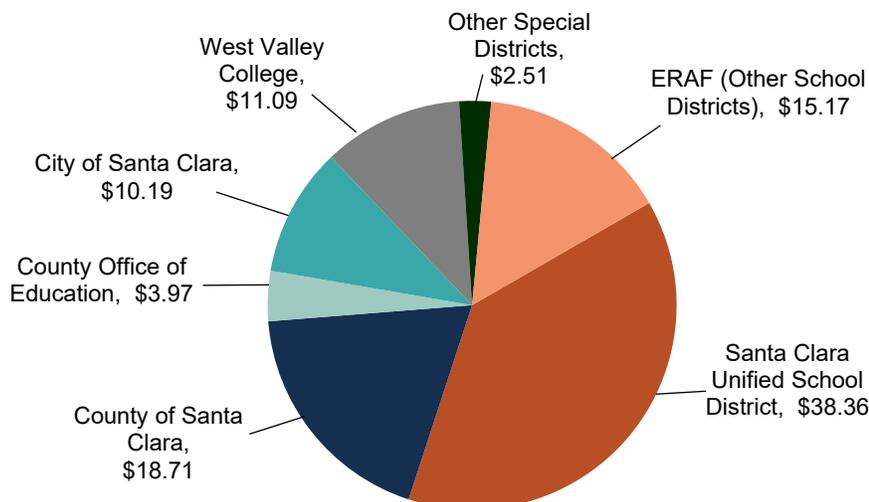
The largest economically sensitive revenue categories (property tax, sales tax and transient occupancy tax) account for almost 60% of General Fund revenues. The Transient Occupancy Tax and Sales Tax categories are expected to be significantly impacted in both FY 2019/20 and FY 2020/21 as a result of COVID-19. Property Tax revenues, however, are expected to be impacted to a much lesser extent. These revenues along with other major revenue categories are discussed below.

Property Tax

Property tax is the largest revenue source for the City, representing approximately 27% of revenues. Under Proposition 13, the assessed valuation of properties held by the same owner from year to year is adjusted each year by the lesser of 2.0% or the percent change in the October to October California Consumer Price Index (CCPI). For FY 2020/21, the adjustment factor based on CCPI is 2.0%. Beyond the 2% inflation adjustment, growth in property tax receipts is driven by reassessments upon the sale of properties and new construction projects being added to the tax rolls. Overall, property tax revenue for FY 2020/21 is projected at \$67.0 million, up 3.9% from FY 2019/2020 Amended Budget.

Secured Property Tax receipts are projected to total \$64.6 million, an increase of 4.5% from the FY 2019/20 Amended Budget. The category includes general secured property tax along with supplemental property tax (retroactive collections back to the point of sale for reassessments of value due to property resale), residual Redevelopment Agency receipts, and excess Educational Revenue Augmentation Fund (ERAF) funds (under Proposition 98, a portion of property tax receipts are allocated to the ERAF and once there are sufficient funds in ERAF to fulfill the obligation to the school districts, excess funds are returned to the taxing entities). General secured property tax for FY 2020/21 is based on the assessed value as of January 1, 2020. Assessed valuation growth on secured property is projected at 6.5% in FY 2020/21 and reflects the 2% annual inflation, growth due to property sales, and a general allowance for new construction projects. Because general property taxes are based on January 1 values, there is a lag in the impact of an economic downturn. However, there is a more immediate impact on Supplemental property taxes, which are now expected to decline in FY 2020/21 given the current economic environment. A reduction in the ERAF property tax receipts is also assumed as there are current challenges by the State on the calculation of the revenue distribution to local jurisdictions. Unsecured Property Tax receipts are projected to decline approximately 10% in FY 2020/21.

The City receives \$10.19 of every \$100 collected. The following chart represents the distribution of property tax dollars by jurisdiction.

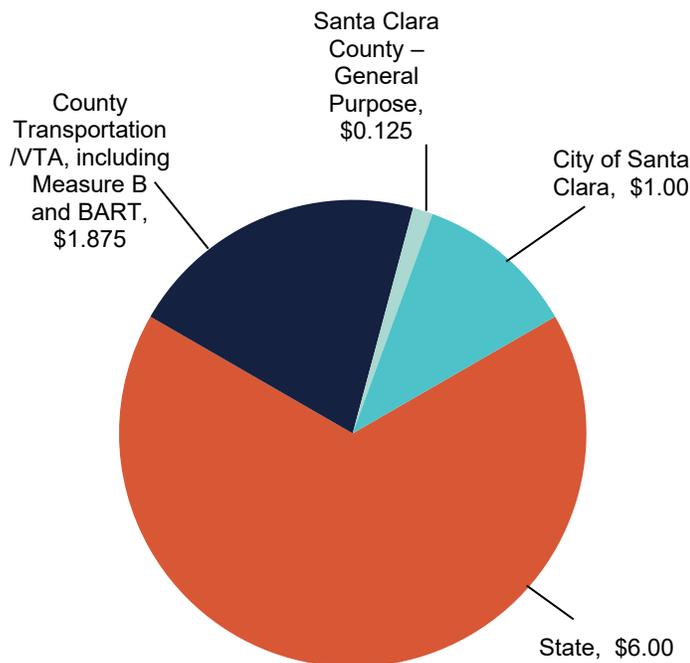




Sales Tax

Sales tax is the General Fund’s second largest revenue source, and one of its most volatile. The City's current sales tax rate is 9%, of which the City of Santa Clara receives 1%. The City’s sales tax collections have fluctuated over the years due to local, regional and national economic cycles. Significant impacts from COVID-19 are currently anticipated in FY 2019/20 and continuing into FY 2020/21. The extent of these impacts is not yet known. Data for the third and fourth quarters of FY 2019/20 will be received in May and August 2020. While no actual data is currently available for this impacted period, large declines are anticipated. The revenue projection for sales tax is \$55.6 million in FY 2020/21, which is down from the FY 2019/2020 Amended Budget of \$58.2 million and is approximately 10% below the collection level assumed for FY 2020/21 in the January 2020 Forecast. Staff will continue to inform the Council on sales tax performance as additional information becomes available. Adjustments to this revenue category may also be necessary.

For every dollar spent in Santa Clara on taxable purchases, 9% in sales tax or \$9.00 for each \$100 purchases goes to sales tax. Based on the purchase of \$100, the following graph shows where those dollars are distributed.



Transient Occupancy Tax

Transient Occupancy Tax (TOT) is calculated as a percentage of City hotel/motel room charges. The City's current TOT rate is 9.5%. This revenue stream is extremely sensitive to changes in economic conditions and can vary greatly from year to year based on occupancy and room rates. This category has been severely impacted by the COVID-19 response.

In the January 2020 Forecast, TOT revenue was expected to reach \$23.5 million in FY 2019/20 and increase to \$24.4 million in FY 2020/21. The FY 2020/21 estimate has been revised downwards to \$17.6 million in FY 2020/21, which reflects a 25% drop from the FY 2019/20 pre-COVID-19 estimate of \$23.5 million. There is



significant uncertainty regarding when normal activities will resume and how those activities will be phased in. This estimate allows for a drop from the high collection levels in recent years driven by increases in the average rental room rates and occupancy rates due to the strong business demand for hotels.

Adjustments to this revenue estimate will likely be necessary based on the actual COVID-19 impacts.

Licenses and Permits

The Licenses and Permits category includes business licenses, building development-related permits (building, electric, plumbing, mechanical), fire permits, encroachment permits, and parking permits. Collections in this category are projected to total \$10.9 million in FY 2020/21. The majority of revenue in this category is generated from the development-related permits. This budget assumes continued strong development activity but at a level below the high collections received in the last couple of years.

Rents and Leases

The Rents category includes property rents and leases as well as right-of-way rental fees charged to the water sewer and utilities. In FY 2020/21, rent revenue is estimated to total \$10.1 million, including \$6.3 million from property rents and leases and \$3.8 million from right-of-way rental fees. The FY 2020/21 estimate is below the FY 2020/21 Adopted Budget estimate of \$14.1 million due primarily to the loss of performance-related rent associated with the stadium and lower lease payments associated the Related project based on the timing of that project.

Other Services Fees

The Other Services Fees category includes fees charged for various City services provided by the departments of Community Development, Fire, Police, Park and Recreation, and Public Works as well as cost allocation plan reimbursements and stadium-related reimbursements. This category is estimated to generate \$45.7 million in FY 2020/21, up from \$40.7 million in the FY 2019/20 Amended Budget. This increase reflects actual collection trends (FY 2018/19 receipts totaled \$45.8 million), updated cost allocation plan and stadium reimbursements to account for updated costs and the addition of reimbursable positions, and adjustments for expected activity levels. With the COVID-19 impacts, the revenue for various classes has been revised downwards by approximately \$900,000 from the January 2020 Forecast. This figure will likely have to be revised based on actual experience.

Fees are generally calculated on cost recovery basis, approved by City Council on an annual basis, and published in the Municipal Fee Schedule.

Contribution in-Lieu

In accordance with the City's charter, Silicon Valley Power pays 5.0% of gross revenues to the General Fund as contribution-in-lieu of taxes (CIL). For FY 2020/21, CIL is projected to total \$23.7 million based on estimates from the Electric Utility staff.



General Fund Uses

Overall, General Fund Uses total \$424.3 million in FY 2020/21. Of this amount, \$261.8 million include operational expenditures; \$24.0 million are transfers to other funds and \$138.5 million represent fund balance and reserves. The following table summarizes the General Fund expenditures and transfers.

Expenditures	FY 2018/19 Actual	FY 2019/20 Amended	FY 2019/20 Estimate	FY 2020/21 Adopted	FY 2020/21 Change	FY 2020/21 Amended
Salaries	120,292,978	125,629,152	125,629,152	128,940,052	4,615,484	133,555,536
Retirement – Safety	23,481,067	27,467,212	27,467,212	29,730,653	524,652	30,255,305
Retirement – Misc.	13,447,015	17,646,399	17,646,399	18,976,308	244,970	19,221,278
Other Benefits	18,959,146	20,470,278	20,470,278	21,619,057	(74,578)	21,544,479
Materials/Services/Supplies	34,887,800	34,723,077	34,723,077	30,580,831	2,326,667	32,907,498
Interfund Services	11,259,869	22,240,059	22,240,059	22,551,204	1,556,423	24,107,627
Capital Outlay	516,852	4,813,438	4,813,438	214,873	0	214,873
Total Expenditures	222,844,727	252,989,615	252,989,615	252,612,978	9,193,618	261,806,596
Transfers Out	28,245,039	25,206,554	25,451,222	16,786,031	7,166,128	23,952,159
Total Expenditures and Transfers Out	251,089,766	278,196,169	278,440,837	269,399,009	16,359,749	285,758,755

As a public service organization focused on delivering quality services to our community, labor costs reflect the highest level of investment at 78% of the expenditure budget. Following is a discussion of the General Fund expenditure categories.

Salaries and Benefits

The expenditures in this category account for full-time and part-time salaries, retirement, health, social security, other employer benefits, and overtime costs. In the General Fund, the FY 2020/21 Amended Budget totals \$204.6 million. This represents an increase of \$13.4 million or 7.0% from the FY 2019/20 Amended Budget of \$191.2 million. This reflects the latest negotiated salary adjustments, a Fire Department overtime adjustment of \$1.5 million to right-size the budget to address minimum staffing needs, as well as position additions to support the Stadium Authority and Electric Utility Billing that are offset by reimbursements.

The City of Santa Clara participates in the California Public Employees’ Retirement System (CalPERS) under two plans, the Miscellaneous and Safety retirement plans. Retirement costs in the General Fund are budgeted at \$30.3 million for Safety employees and \$19.2 million for Miscellaneous employees. It should be noted that the methodology to fund costs have changed starting in FY 2019/20. The unfunded accrued liability (UAL) portion of retirement costs is now calculated as a fixed dollar rather than a percentage of payroll. This change will align our budgeting practice with the true costs from CalPERS for these costs.

Material, Services, and Supplies

The materials, services, and supplies budget for FY 2020/21 is \$32.9 million, a decrease of \$1.8 million from the FY 2019/20 Amended Budget. The 2020/21 budget removes one-time funding approved for FY 2019/20 and also does not yet reflect any carryovers from FY 2019/20 to FY 2020/21.



Interfund Services

Interfund services are citywide in nature and funded through internal service funds (Information Technology Department services, special liability fund, workers' compensation, Public Works capital project management, vehicle equipment and maintenance, fleet purchases, and communications equipment) that are apportioned to City Departments and funds. Starting in FY 2019/20, two new internal service funds were added to allocate information technology and Public Works capital project management costs. This category totals \$24.1 million in the FY 2020/21 Amended Budget.

Capital Outlay

This category includes small capital expense purchases not budgeted within the capital budget. This category totals \$214,873 in the FY 2020/21 Amended Budget.

Transfers to Other Funds

This category includes transfers to other funds to support capital projects, the City's share of assessment district costs, other services, and debt payments. The FY 2020/21 Amended Budget for this category totals \$24.0 million, including \$19.7 million for capital projects, \$2.5 million for debt payments, and \$1.8 million for assessment district payments and other services.

General Fund Reserves

The General Fund includes several reserves established by City Council policy or to segregate restricted revenues. More detail regarding reserve policies can be found in the Budget and Fiscal Policies section of this document.

Budget Stabilization Reserve

The Budget Stabilization Reserve (BSR) is set aside for weathering economic downturns, emergency financial crisis, or disaster situations. The reserve target is equal to the expenditures of the City's General Fund operations for three months (90 days or 25% of budgeted appropriations). In the FY 2020/21 Amended Budget, the use of \$22.7 million of the BSR is assumed, bringing the reserve down from \$80.4 million at the start of FY 2020/21 to \$57.7 million. The BSR is being used primarily to address the revenue reductions associated with COVID-19. This is a short-term strategy, and staff plans to bring forward budget balancing actions during FY 2020/21 to address the General Fund shortfall and replenish the BSR. Per Council policy, the BSR goal of 25% of expenditure appropriations would mean the reserve should be set at \$65.5 million for FY 2020/21. The BSR of \$57.7 million represents approximately 22% of the expenditure budget and is approximately \$7.8 million below the Council goal.

Capital Projects Reserve

The Capital Projects Reserve (CPR) earmarks funds for the Capital Improvement Program (CIP). The minimum target for this reserve is \$5.0 million. This reserve has a projected ending balance of \$2.0 million for FY 2020/21 and an additional \$11.0 million is reserved for capital projects programmed from FY 2021/22 through FY 2024/25 in the proposed Capital Improvement Program. The expenditure of the Capital Projects Reserve in FY 2020/21 amounts to \$15.1 million for capital projects programmed in FY 2020/21 as described in this Biennial Capital Budget.



Land Sale Reserve

The City Council established the Land Sale Reserve from net proceeds from the sale of City-owned land, with interest earned on these funds being available to be appropriated for General Fund operating expenditures. The Land Sale Reserve has a projected ending balance of \$23.9 million for FY 2020/21 and is available for appropriation by City Council action. In the FY 2020/21 amended budget, the actions impacting the land sale reserve include an increase of \$2.5 million to reflect the partial loan repayment for the Parks and Recreation Capital Fund for the Reed Street – Grant Street Sports Park Project and a decrease of \$1.8 million to fund the Downtown Master Plan Implementation Project in the proposed capital budget.

Building Inspection Reserve

The City Council established the Building Inspection Reserve to account for surplus funds generated from user fees in the Community Development Department's Building Inspection Division. Funds accumulated in the Building Inspection Reserve are used to fund Building Division costs in years when current year fees do not cover the full cost of services, and for projects directly related to the Building Division. The Building Inspection Reserve has a projected ending balance of \$12.3 million for FY 2020/21. In the FY 2020/21 Amended Budget, the actions impacting the reserve include an increase of \$1.8 million to account for the projected base revenues above base costs in FY 2020/21 and decrease of \$2.6 million to offset additions recommended in the Proposed Budget, primarily for contractual services for third-party plan check and inspection services. Any excess building development revenues over expenditures is set aside in this reserve.

Advanced Planning Fee Reserve

The advanced planning fee is collected as a portion of planning and building fees. This funding is set aside for future long-range planning activities. This reserve has a projected ending balance of \$0.8 million for FY 2020/21. In the FY 2020/21 amended budget, the actions impacting the reserve include the use of \$0.1 million for the Downtown Master Plan capital project in the proposed capital budget.

Technology Fee Reserve

The Technology Fee Reserve sets aside funds technology fees collected as part of the development fees. This reserve will be used for technology improvements to support the development fee programs. At the end of FY 2020/21, this reserve is projected to total \$0.5 million.

Other Reserves

By Council Policy, other reserves are set aside in the General Fund including the pension stabilization and historical preservation reserves. At the end of FY 2020/21, the Pension Stabilization Reserve is projected to total \$19.9 million and the Historical Preservation Reserve is projected to total \$88,445. In addition, the Santana West Reserve totals \$5.0 million in FY 2020/21.



GENERAL FUND | GENERAL FUND (001)

	2018/19 Actual	2019/20 Amended	2019/20 Estimate	2020/21 Adopted	2020/21 Amended
Beginning Fund Balance					
Encumbrance Carryover	5,835,922	6,508,711	6,508,711	5,835,922	5,338,671
Budget Stabilization Reserve	55,085,895	62,930,167	62,930,167	54,944,711	80,369,307
Capital Projects Reserve	30,996,559	22,044,954	22,044,954	14,656,039	28,106,381
Building Inspection Reserve	12,372,733	9,281,903	9,281,903	9,224,466	13,158,357
Advanced Planning Fee	368,749	368,749	368,749	368,749	947,123
Technology Fee Reserve	0	284,129	284,129	0	284,129
Land Sale Reserve	29,085,401	21,531,838	21,531,838	21,103,731	23,184,738
Pension Stabilization Reserve	12,205,978	16,381,504	16,381,504	12,205,978	19,881,504
Historical Preservation Reserve	87,112	88,445	88,445	88,081	88,445
Santana West Reserve	0	0	0	0	5,000,000
Unrestricted	17,785,037	57,764,450	57,764,450	0	0
Total Beginning Fund Balance	163,823,386	197,184,850	197,184,850	118,427,677	176,358,655

Revenue

Property Taxes - Secured	55,749,272	61,797,600	61,797,600	66,136,907	64,582,000
Property Taxes - Unsecured	2,865,486	2,640,715	2,640,715	2,736,441	2,400,000
Sales Tax	68,056,799	57,490,000	57,490,000	56,101,000	55,000,000
Public Safety Sales Tax	740,554	710,400	710,400	694,276	600,000
Documentary Transfer Tax	1,609,749	1,672,000	1,672,000	1,732,610	1,200,000
Transient Occupancy Tax	26,558,027	23,002,500	23,002,500	23,807,588	17,625,000
Franchise Taxes	5,335,853	4,408,151	4,408,151	4,522,910	4,738,000
Rents	8,780,945	9,292,176	9,292,176	14,077,937	10,055,409
State Revenues	169,058	167,000	167,000	167,000	226,000
Other Agencies Revenues	17,549,839	5,072,529	5,072,529	1,409	0
Licenses and Permits	14,371,283	9,491,950	9,491,950	9,800,182	10,939,300
Fines and Penalties	2,081,480	1,689,225	1,689,225	1,719,472	1,570,000
Other Fees for Services	45,780,622	40,677,580	40,677,580	41,766,336	45,721,818
Interest	6,673,083	5,697,500	5,697,500	6,096,656	5,246,000
Contribution In Lieu	21,304,288	24,333,275	24,333,275	26,343,925	23,699,830
Other Revenue	563,560	4,150,001	4,150,001	100,001	350,000
Total Revenue	278,189,898	252,292,602	252,292,602	255,804,650	243,953,357

Transfers From

Cemetery Capital Fund	80,000	28,085	28,085	0	0
Certified Access Specialist (CASp)	0	1,765	5,283	1,765	1,765
Community Activities Fund	0	236,906	236,906	0	0
Electric Utility Fund	0	713,000	713,000	0	0
Fire Department Capital Fund	116,487	0	89,477	0	0



GENERAL FUND | GENERAL FUND (001)

	2018/19 Actual	2019/20 Amended	2019/20 Estimate	2020/21 Adopted	2020/21 Amended
Transfers From					
Fire Operating Grant Trust Fund	62	0	0	0	0
General Government Capital Fund	51,742	0	65,261	0	0
Library Department Capital Fund	59,619	0	0	0	0
Parks and Recreation Capital Fund	308,606	275,138	1,928,038	2,000	2,525,654
Payroll Liability Clearing Fund	3,849,250	0	0	0	0
Public Buildings Capital Fund	39,000	142,896	291,684	0	0
Public Donations Fund	0	56,856	56,856	0	0
Recreation Programs Operations Fund	0	509,305	509,305	0	0
Storm Drain Capital Fund	1,447,000	1,398,145	1,398,145	1,459,965	1,460,000
Street Beautification Capital Fund	309,566	0	0	0	0
Total Transfers From	6,261,332	3,362,096	5,322,040	1,463,730	3,987,419
Total Source of Funds	448,274,616	452,839,548	454,799,492	375,696,057	424,299,431
Expenditures					
Salaries	120,292,978	125,629,152	125,629,152	128,940,052	133,555,536
Retirement - Safety	23,481,067	27,467,212	27,467,212	29,730,653	30,255,305
Retirement - Miscellaneous	13,447,015	17,646,399	17,646,399	18,976,308	19,221,278
Other Benefits	18,959,146	20,470,278	20,470,278	21,619,057	21,544,479
Materials/Services/Supplies	34,887,800	34,723,077	34,723,077	30,580,831	32,907,498
Interfund Services	11,259,869	22,240,059	22,240,059	22,551,204	24,107,627
Capital Outlay	516,852	4,813,438	4,813,438	214,873	214,873
Total Expenditures	222,844,727	252,989,615	252,989,615	252,612,978	261,806,596
Transfers To					
Certified Access Specialist (CASp) Certification and Training Fund	0	7,000	7,000	7,000	7,000
Cemetery Fund	6,800	703,490	703,490	760,663	771,769
Cemetery Capital Fund	0	0	0	0	300,000
Convention Center Maintenance District Fund	706,591	771,348	771,348	835,358	835,358
Convention Center Enterprise Fund	330,020	0	0	0	0
Downtown Parking Maintenance District Fund	175,542	145,983	145,983	151,063	155,571
Electric Utility Capital Fund	132,627	330,000	330,000	330,000	0
Engineering Operating Grant Trust Fund	105,909	0	0	0	0
Fire Department Capital Fund	673,000	665,049	665,049	185,366	290,796
General Government Capital Fund	11,098,167	1,201,881	1,201,881	6,376,976	3,185,402
Information Technology Services Fund	0	1,170,040	1,170,040	0	0
Library Department Capital Fund	220,000	10,633	10,633	0	8,699
Parks and Recreation Capital Fund	940,000	460,876	460,876	150,000	1,106,880



GENERAL FUND | GENERAL FUND (001)

	2018/19 Actual	2019/20 Amended	2019/20 Estimate	2020/21 Adopted	2020/21 Amended
Transfers To					
Parks and Recreation Operating Grant Trust	3,445	3,445	3,445	3,445	3,445
Public Buildings Capital Fund	5,676,142	2,947,571	2,947,571	676,834	1,737,751
Public Facilities Financing Corporation Fund	2,501,494	1,710,474	1,710,474	2,500,344	2,500,344
Santa Clara Golf and Tennis Club Fund	466,266	98,243	104,631	0	0
Special Liability Insurance Fund	2,376,870	6,500,000	6,500,000	0	0
Storm Drain Capital Fund	1,645,000	4,950,506	4,950,506	3,102,892	4,489,447
Streets and Highways Capital Fund	1,187,166	1,678,562	1,916,842	1,706,090	8,559,697
Vehicle Replacement Fund	0	425,000	425,000	0	0
Workers' Compensation Fund	0	1,426,453	1,426,453	0	0
Total Transfers To	28,245,039	25,206,554	25,451,222	16,786,031	23,952,159
Ending Fund Balance					
Encumbrance Carryover	6,508,711	5,338,671	5,338,671	5,835,922	5,338,671
Budget Stabilization Reserve	62,930,167	80,227,174	80,369,307	53,207,363	57,709,688
Capital Projects Reserve	22,044,954	28,186,138	28,106,381	4,485,060	1,958,983
Reserve for Programmed Capital Projects	0	0	0	0	11,038,674
Building Inspection Reserve	9,281,903	13,158,357	13,158,357	9,002,164	12,284,067
Advanced Planning Fee	368,749	947,123	947,123	368,749	847,123
Technology Fee Reserve	284,129	284,129	284,129	0	485,129
Land Sale Reserve	21,531,838	21,531,838	23,184,738	21,103,731	23,908,392
Pension Stabilization Reserve	16,381,504	19,881,504	19,881,504	12,205,978	19,881,504
Historical Preservation Reserve	88,445	88,445	88,445	88,081	88,445
Santana West Reserve	0	5,000,000	5,000,000	0	5,000,000
Unrestricted	57,764,450	0	0	0	0
Total Ending Fund Balance	197,184,850	174,643,379	176,358,655	106,297,048	138,540,676
Total Use of Funds	448,274,616	452,839,548	454,799,492	375,696,057	424,299,431

Special Revenue Funds and Other Funds

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SPECIAL REVENUE FUNDS AND OTHER FUNDS

Special Revenue Funds are established to account for specific revenue sources that are legally restricted or committed to particular purposes. The City of Santa Clara has various revenue sources that require separate Special Revenue Funds to be set up. The Other Funds relate to special assessments funds.

Certified Access Specialist (CASp) Certification and Training Fund (220)

Pursuant to Assembly Bill 1379, the City collects a \$4 fee from every business license issued to applicants, from which the City retains 90 percent of all application fees collected to be used to increase CASp training and certification and to facilitate compliance with construction-related accessibility requirements. The City transmits the remaining 10 percent to the State Architect for deposit in the Disability Access and Education Revolving Fund. As such, this fund tracks revenues received from the collection of fees and expenditures related to CASp training and certification.

City Affordable Housing Fund (165)

The City Affordable Housing Fund accounts for the City's Below-Market Price Purchase Program, which helps low- and moderate-income families achieve the goal of homeownership. This fund promotes and facilitates the construction and retention of affordable housing, fulfilling State mandates for the production of housing for all income levels. Revenues in the City Affordable Housing Fund is received from developer in-lieu fees, principal and interest repayments on housing loans, as well as interest income on pooled investments.

Community Activities Fund (084)

The Community Activities Fund accounts for fees and donations received from the community and the City for activities particularly focused on seniors. This fund will close in FY 2019/20 with balances transferring to the General Fund and the Public Donations Fund.

Community Facilities District No. 2019-1 (Lawrence Station) Fund (027)

A resolution was adopted by Council in March 2019, to form a new Community Facilities District Fund to finance maintenance of roadways, parks, parking spaces and enforcement, landscaped parkways and medians, trails, a highway overpass and a community clubhouse and garden to be located within the development at Lawrence Station.

Starting in FY 2019/20, operating costs will be funded by annual assessment revenues. The special taxes will be used to fund the authorized public services. The fund will also maintain additional funds necessary for expenses including replacements as facilities near end-of-service life (straight line depreciation of 15 years) in an operating and maintenance reserve of 20%. Assessment amounts increase annually by Consumer Price Index (CPI).

Pursuant to Report to Council 19-249, necessary additional funds are maintained in an operating and maintenance reserve of 20% for replacement and repair, as facilities near end-of-service life (anticipated in 15 years).

Convention Center Maintenance District Fund (026)

This fund provides maintenance and landscaping to the common grounds within the Santa Clara Convention Center Complex. Funds are received by means of a Special Benefits Assessment levied against the property owners. This fund's expenditure budget is determined using fee estimates for cost recovery.



Downtown Parking Maintenance District Fund (025)

The purpose of the Downtown Parking Maintenance District is to maintain the grounds of the Franklin Square complex. The Franklin Square complex is bounded by Monroe Street to the west, Jackson Street to the east, Benton Street to the north, and Homestead Road to the south. Maintenance of the landscape infrastructure is paid for by the General Fund. Extraordinary repairs to the asphalt parking lot and concrete walkways are funded by property owner assessments that are capped at \$14,200 per year.

Endowment Care Fund (077)

This fund holds the non-expendable account of the fees collected from cemetery patrons. Interest earned from the cash maintained is contributed for the current maintenance of the cemetery.

Engineering Operating Grant Trust Fund (144)

This fund accounts for revenues received from other governmental agencies that are designated for specific uses in the Department of Public Works. Grants are appropriated as they are received by the Department of Public Works and approved by the City Council.

Expendable Trust Fund (079)

The Expendable Trust Fund accounts for assets held in a trustee capacity where the principal and income may be expended in the course of the fund's restricted/designated operations. Funds are appropriated as they are received by departments and approved by the City Council.

Fire Operating Grant Trust Fund (178)

This fund tracks revenues from other governmental agencies received by the Fire Department for fire safety and medical services activities. The grants are mostly provided by federal and County agencies. Grants are applied annually and are appropriated as they are received by the Fire Department and approved by the City Council.

Gas Tax Fund (121)

The Gas Tax Fund accounts for revenues and expenditures received from the State of California under Street and Highway Code Sections 2105, 2106, and 2107. Gas tax is imposed by the State as a per-gallon excise tax on gasoline and diesel fuel. Cities and counties receive an allocation from the State based on population and the proportion of registered vehicles. This funding is restricted for street maintenance, construction, and a limited amount for engineering.

Housing and Urban Development Fund (562)

The City of Santa Clara receives annual funds from the U.S. Department of Housing and Urban Development (HUD) to administer the Community Development Block Grant (CDBG) and HOME Investment Partnerships (HOME) programs. The CDBG program funds various nonprofit agencies and other city departments to implement services that benefit low- and moderate-income persons. The HOME program funds a wide range of activities including building, buying, and/or rehabilitating affordable housing for rent or homeownership or providing direct rental assistance to low-income people. Grantees are generally selected through a competitive process and provide such services as senior services, homeless outreach, housing rehabilitation, accessibility improvement and code enforcement. The City currently dedicates HOME funds for the Tenant-Based Rental Assistance (TBRA) program.



Housing Authority Fund (164)

The Santa Clara Housing Authority (SCHA) was established by the City Council to assume responsibility for managing and monitoring housing assets from the dissolution of the Redevelopment Agency (RDA). SCHA also assumes the responsibility for housing loans, which provide affordable housing and were made under various programs, for qualifying individuals and groups. The SCHA receives its main source of revenues from program income, which is used to support loan monitoring, administration, and other programs and projects of the Housing and Community Services Division of the Community Development Department.

Housing Successor Agency Fund (169)

All California Redevelopment Agencies (RDA) were dissolved on February 1, 2012. Following the dissolution, the City of Santa Clara was designated as the Housing Successor to the former RDA, responsible for paying off the former RDA's existing debts, disposing of the former RDA's properties and assets to help pay off debts, returning revenues to the local government entities that receive property taxes and winding down the affairs of the former Redevelopment Agency. The City has also elected to retain the former RDA's housing functions, including retaining all of the housing assets, rights, power, duties, obligations and functions previously performed by the RDA in administering its Low and Moderate Income Housing Fund.

Library Donations Trust Fund (072)

This fund accounts for donations that are received and accepted by the City of Santa Clara's Library Department. These donations are for designated uses. Donations are appropriated as they are received by the Library Department and approved by the City Council.

Library Operating Grant Trust Fund (112)

This fund accounts for revenues received from other governmental agencies that are designated for specific uses in the Library Department. Grants are appropriated as they are received by the Library Department and approved by the City Council.

Other City Departments Operating Grant Trust Fund (101)

The Other City Departments Operating Grant Trust Fund accounts for any financial award given by the federal, State, or other local government to the City for Citywide eligible operating programs. Grants that are designated for department-specific functions are deposited into the respective departments' operating grant trust fund. Grants are appropriated as they are received by departments and approved by the City Council.

Parks and Recreation Operating Grant Trust Fund (111)

The Senior Nutrition Program provides a congregate meal setting where seniors can improve their health through balanced meals and socialization and is funded through a grant from the Santa Clara County Social Services Agency's Senior Nutrition Program and Community Development Block Grant funds. Seniors are encouraged to access the many services available at the Senior Center while on site, and are given the opportunity to engage in Health & Wellness programs at the Senior Center. Grants are appropriated as they are received by the Parks and Recreation Department and approved by the City Council.



Perpetual Care Fund (076)

This fund accounts for current fees collected from cemetery patrons for the future maintenance of the Mission City Memorial Park when the facility reaches full capacity. Interest earned from the cash maintained is contributed for the current maintenance of the cemetery.

Police Operating Grant Trust Fund (177)

This fund tracks revenues from other governmental agencies received by the Police Department for law enforcement activities. The grants are predominantly provided by the State with a smaller portion received from the federal government. Grants are appropriated as they are received by the Police Department and approved by the City Council.

Public Donations Fund (067)

The Public Donations Fund accounts for various donations the City receives from the public that are designated for specific uses. Per the City's Donation Policy, the City Manager is authorized to make funding available to departments for donations valued under \$100,000. Donations of \$100,000 or greater are appropriated as they are received by departments and approved by the City Council.

Public, Educational, and Governmental Fee Fund (221)

This fund accounts for the Public, Educational, and Governmental (PEG) fees the City receives from telecommunication companies, in accordance with the Cable Communications Act of 1984 and the Digital Infrastructure and Video Competition Act of 2006. PEG channels broadcast public meetings, news conferences and educational programming about City departments and programs as well as government-sponsored community events. PEG fees can be used for capital-related expenses including video production and streaming equipment as well as television monitoring technology and technical support. These funds can also be used for the renovation and construction of facilities such as Council chambers, public meeting rooms and recording spaces.

Recreation Programs Operations Fund (041)

The Recreation Programs Operations Fund accounts for supply fees collected by the Recreation Programs of the City that are used for the purchase of materials and supplies consumed in the recreation classes and activities from preschool to young adults. The fund also holds donations including for citywide special event particularly the Arts, Crafts and Wine Festival which will be transferred to the Public Donations Fund. The Recreations Programs Operations Fund will close in FY 2019/20 with balances transferring to the General Fund and the Public Donations Fund. The reserve decrease in FY 2019/20 is due to the elimination of this fund.

Road Maintenance and Rehabilitation (SB1) Fund (122)

The Road Repair and Accountability Act of 2017 (Senate Bill 1) is a significant investment in California's transportation system which was signed into law on April 28, 2017. The funding from this investment must be used to fix roads, freeways, and bridges in communities across California, putting more dollars towards transit and safety. The majority of this revenue is from the Road Maintenance and Rehabilitation Account (RMRA), where cities have to prioritize repairing their existing infrastructure before addressing other transportation needs.

Traffic Mitigation Fund (123)

The Traffic Mitigation Fee is levied to fund improvements or programs to mitigate the City's traffic problems that result from development projects.



This is the second fiscal year of the biennial operating budget. The following section details the City of Santa Clara's Special Revenue Funds and Other Funds' Statements of Sources and Uses and their Amended Budget for Fiscal Year 2020/21.



**SPECIAL REVENUE FUNDS | CERTIFIED ACCESS SPECIALIST
(CASP) CERTIFICATION AND TRAINING FUND (220)**

	2018/19 Actual	2019/20 Amended	2019/20 Estimate	2020/21 Adopted	2020/21 Amended
Beginning Fund Balance					
Unrestricted	0	0	0	24,155	48,806
Total Beginning Fund Balance	0	0		24,155	48,806
Revenue					
License Permit	0	37,995	47,846	37,995	37,995
Total Revenue	0	37,995	47,846	37,995	37,995
Transfers From					
Deposits Fund	0	42,473	42,473	0	0
General Fund - Building Inspection Reserve	0	7,000	7,000	7,000	7,000
Total Transfers From	0	49,473	49,473	7,000	7,000
Total Source of Funds	0	87,468	97,319	69,150	93,801
Expenditures					
Salaries	0	22,020	22,020	22,020	22,020
Materials/Services/Supplies	0	21,210	21,210	21,210	21,210
Total Expenditures	0	43,230	43,230	43,230	43,230
Transfers To					
General Fund	0	1,765	5,283	1,765	1,765
Total Transfers To	0	1,765	5,283	1,765	1,765
Ending Fund Balance					
Unrestricted	0	42,473	48,806	24,155	48,806
Total Ending Fund Balance	0	42,473	48,806	24,155	48,806
Total Use of Funds	0	87,468	97,319	69,150	93,801



SPECIAL REVENUE FUNDS | CITY AFFORDABLE HOUSING FUND (165)

	2018/19 Actual	2019/20 Amended	2019/20 Estimate	2020/21 Adopted	2020/21 Amended
Beginning Fund Balance					
Encumbrance Carryover	393,151	387,840	387,840	393,151	387,840
Unrestricted	8,287,204	8,301,604	8,301,604	1,128,626	2,284,223
Total Beginning Fund Balance	8,680,355	8,689,444	8,689,444	1,521,777	2,672,063
Revenue					
Other Fees for Services	6,550	31,703	19,600	31,703	31,703
Other Agencies Revenue	1,000	0	31,300	0	0
Interests	131,724	15,000	119,000	15,525	100,000
Other Revenue	239,288	650,000	573,000	650,000	650,000
Total Revenue	378,562	696,703	742,900	697,228	781,703
Total Source of Funds	9,058,917	9,386,147	9,432,344	2,219,005	3,453,766
Expenditures					
Salaries	117,522	265,453	135,574	277,128	292,744
Retirement and Benefits	54,474	112,853	88,485	120,947	123,418
Materials/Services/Supplies	182,892	6,808,421	6,397,487	804,421	804,421
Services From Other Funds - Cost Allocation Plan	0	22,041	22,041	22,559	23,059
Interfund Services	0	11,967	11,967	12,361	13,130
Capital Outlay	14,585	300,000	104,727	300,000	300,000
Total Expenditures	369,473	7,520,735	6,760,281	1,537,416	1,556,772
Ending Fund Balance					
Encumbrance Carryover	387,840	387,840	387,840	393,151	387,840
Unrestricted	8,301,604	1,477,572	2,284,223	288,438	1,509,154
Total Ending Fund Balance	8,689,444	1,865,412	2,672,063	681,589	1,896,994
Total Use of Funds	9,058,917	9,386,147	9,432,345	2,219,005	3,453,766



SPECIAL REVENUE FUNDS | COMMUNITY ACTIVITIES FUND
(084)

	2018/19 Actual	2019/20 Amended	2019/20 Estimate	2020/21 Adopted	2020/21 Amended
Beginning Fund Balance					
Unrestricted	323,845	348,210	348,210	0	0
Total Beginning Fund Balance	323,845	348,210	348,210	0	0
Revenue					
Fines Penalties	0	0	0	0	0
Interests	1419	0	0	0	0
Other Fees for Services	136458	0	0	0	0
Other Revenue	48910	0	0	0	0
Total Revenue	186,787	0	0	0	0
Total Source of Funds	510,632	348,210	348,210	0	0
Expenditures					
Center Activities	570	0	0	0	0
Health Services	17372	0	0	0	0
Project Self-Sufficiency	0	0	0	0	0
Sr. Center Ceramics	522	0	0	0	0
Sr. Center Fitness	10511	0	0	0	0
Sr. Center Lapidary	1359	0	0	0	0
Sr. Center Snack Bar	32047	0	0	0	0
Sr. Center Tuesday Dances	0	0	0	0	0
Sr. Center Thursday Dances	7132	0	0	0	0
Sr. Center Misc. Events	0	0	0	0	0
Sr. Center Woodshop	1807	0	0	0	0
Sr. Center Tuesday Dances	0	0	0	0	0
Sr. Citizen Tours Surplus	89102	0	0	0	0
Wade Brummal Scholarship Fund	2000	0	0	0	0
Walter E Schmidt Youth Activity Center	0	0	0	0	0
Total Expenditures	162,422	0	0	0	0



**SPECIAL REVENUE FUNDS | COMMUNITY ACTIVITIES FUND
(084)**

	2018/19 Actual	2019/20 Amended	2019/20 Estimate	2020/21 Adopted	2020/21 Amended
Transfers To					
General Fund	0	236,906	236,906	0	0
Public Donations Fund	0	111,304	111,304	0	0
Total Transfers To	0	348,210	348,210	0	0
Ending Fund Balance					
Unrestricted	348,210	0	0	0	0
Total Ending Fund Balance	348,210	0	0	0	0
Total Use of Funds	510,632	348,210	348,210	0	0



**SPECIAL REVENUE FUNDS | COMMUNITY FACILITIES
DISTRICT NO. 2019-1 (LAWRENCE STATION) FUND (027)**

	2018/19 Actual	2019/20 Amended	2019/20 Estimate	2020/21 Adopted	2020/21 Amended
Beginning Fund Balance					
Capital Reserve	0	0	0	68,875	23,600
Total Beginning Fund Balance	0	0	0	68,875	23,600
Revenue					
Special Tax Revenues	0	118,000	118,000	354,706	123,400
Total Revenue	0	118,000	118,000	354,706	123,400
Total Source of Funds	0	118,000	118,000	423,581	147,000
Expenditures					
Administration	0	611	611	1,839	640
Facilities	0	24,979	24,979	75,087	26,122
Landscaped Parkways	0	9,209	9,209	27,681	9,630
Parking Enforcement	0	28,849	28,849	86,719	30,169
Parking Space Maintenance	0	1,099	1,099	3,303	1,149
Parks	0	28,629	28,629	86,058	29,939
Roadways	0	1,024	1,024	3,078	1,071
Total Expenditures	0	94,400	94,400	283,765	98,720
Ending Fund Balance					
Encumbrance Carryover					
Capital Reserve	0	23,600	23,600	139,816	48,280
Total Ending Fund Balance	0	23,600	23,600	139,816	48,280
Total Use of Funds	0	118,000	118,000	423,581	147,000



SPECIAL REVENUE FUNDS | CONVENTION CENTER
MAINTENANCE DISTRICT FUND (026)

	2018/19 Actual	2019/20 Amended	2019/20 Estimate	2020/21 Adopted	2020/21 Amended
Beginning Fund Balance					
Encumbrance Carryover	97,772	5,714	5,714	97,772	5,714
Unrestricted	140,082	121,569	121,569	116,390	110,327
Total Beginning Fund Balance	237,854	127,283	127,283	214,162	116,041
Revenue					
Other Fees for Services	856,528	926,770	926,770	1,015,591	1,015,591
Interests	6,868	0	7,000	0	0
Total Revenue	863,396	926,770	933,770	1,015,591	1,015,591
Transfers From					
General Fund	706,591	771,348	771,348	835,358	835,358
Total Transfers From	706,591	771,348	771,348	835,358	835,358
Total Source of Funds	1,807,841	1,825,401	1,832,401	2,065,111	1,966,990
Expenditures					
Salaries	17,435	17,613	18,326	18,339	18,814
Retirement and Benefits	9,303	9,329	8,690	9,879	9,798
Materials/Services/Supplies	1,558,616	1,581,104	1,581,104	1,735,550	1,710,425
Services From Other Funds - Cost Allocation Plan	28,122	40,740	40,740	42,387	41,696
Interfund Services	67,082	67,500	67,500	69,525	80,647
Total Expenditures	1,680,558	1,716,286	1,716,360	1,875,680	1,861,380
Ending Fund Balance					
Encumbrance Carryover	5,714	5,714	5,714	97,772	5,714
Unrestricted	121,569	103,401	110,327	91,659	99,896
Total Ending Fund Balance	127,283	109,115	116,041	189,431	105,610
Total Use of Funds	1,807,841	1,825,401	1,832,401	2,065,111	1,966,990



SPECIAL REVENUE FUNDS | DOWNTOWN PARKING
MAINTENANCE DISTRICT FUND (025)

	2018/19 Actual	2019/20 Amended	2019/20 Estimate	2020/21 Adopted	2020/21 Amended
Beginning Fund Balance					
Encumbrance Carryover	4,400	152,601	152,601	4,400	152,601
Reserve for Maintenance	244,192	259,919	259,919	0	275,460
Unrestricted	419,268	336,527	336,527	258,998	353,559
Total Beginning Fund Balance	667,860	749,047	749,047	263,398	781,620
Revenue					
Interests	3,826	2,759	4,100	1,235	3,826
Other Fees for Services	11,901	11,441	11,441	13,419	10,374
Total Revenue	15,727	14,200	15,541	14,654	14,200
Transfers From					
General Fund	175,542	145,983	145,983	151,063	155,571
Total Transfers From	175,542	145,983	145,983	151,063	155,571
Total Source of Funds	859,129	909,230	910,571	429,115	951,391
Expenditures					
Salaries	26,518	47,444	28,296	49,507	47,442
Retirement and Benefits	23,733	26,480	24,131	28,242	26,580
Materials/Services/Supplies	45,874	76,666	52,514	80,744	56,975
Services From Other Funds - Cost Allocation Plan	12,079	13,155	13,155	13,687	13,464
Internal Services	698	2,408	10,855	2,480	8,301
Capital Outlay	1,180	0	0	0	0
Total Expenditures	110,082	166,153	128,951	174,660	152,762
Ending Fund Balance					
Encumbrance Carryover	152,601	152,601	152,601	4,400	152,601
Reserve for Maintenance	259,919	274,119	275,460	0	288,909
Unrestricted	336,527	316,357	353,559	250,055	357,119
Total Ending Fund Balance	749,047	743,077	781,620	254,455	798,629
Total Use of Funds	859,129	909,230	910,571	429,115	951,391



SPECIAL REVENUE FUNDS | ENDOWMENT CARE FUND (077)

	2018/19 Actual	2019/20 Amended	2019/20 Estimate	2020/21 Adopted	2020/21 Amended
Beginning Fund Balance					
Unrestricted	1,490,705	1,570,635	1,578,026	1,660,635	1,678,026
Total Beginning Fund Balance	1,490,705	1,570,635	1,578,026	1,660,635	1,678,026
Revenue					
Other Fees for Services	86,221	90,000	100,000	90,000	90,000
Interest	23,580	20,000	24,000	20,000	18,000
Other Revenue	1,100	0	0	0	0
Total Revenue	110,901	110,000	124,000	110,000	108,000
Total Source of Funds	1,601,606	1,680,635	1,702,026	1,770,635	1,786,026
Transfers To					
Cemetery Fund	23,580	20,000	24,000	20,000	18,000
Total Transfers To	23,580	20,000	24,000	20,000	18,000
Ending Fund Balance					
Unrestricted	1,578,026	1,660,635	1,678,026	1,750,635	1,768,026
Total Ending Fund Balance	1,578,026	1,660,635	1,678,026	1,750,635	1,768,026
Total Use of Funds	1,601,606	1,680,635	1,702,026	1,770,635	1,786,026



**SPECIAL REVENUE FUNDS | ENGINEERING OPERATING GRANT
TRUST FUND (144)**

	2018/19 Actual	2019/20 Amended	2019/20 Estimate	2020/21 Adopted	2020/21 Amended
Beginning Fund Balance					
Encumbrance Carryover	806,710	749,120	749,120	0	749,120
Unrestricted	(940,532)	(774,846)	(774,846)	0	49,621
Total Beginning Fund Balance	(133,822)	(25,726)	(25,726)	0	798,741
Revenue					
Other Agencies Revenue	88,964	841,036	841,036	0	0
Total Revenue	88,964	841,036	841,036	0	0
Transfers From					
General Fund - Building Inspection Reserve	105,909	0	0	0	0
Total Transfers From	105,909	0	0	0	0
Total Source of Funds	61,051	815,310	815,310	0	798,741
Expenditures					
One Bay Area Grant 15-16 City Match	23,956	49,726	16,569	0	33,157
One Bay Area Grant 15-16	62,821	0	0	0	0
VRF Countywide ITS 15-16	0	16,464	0	0	16,464
Total Expenditures	86,777	66,190	16,569	0	49,621
Ending Fund Balance					
Encumbrance Carryover	749,120	749,120	749,120	0	749,120
Unrestricted	(774,846)	0	49,621	0	0
Total Ending Fund Balance	(25,726)	749,120	798,741	0	749,120
Total Use of Funds	61,051	815,310	815,310	0	798,741



SPECIAL REVENUE FUNDS | EXPENDABLE TRUST FUND (079)

	2018/19 Actual	2019/20 Amended	2019/20 Estimate	2020/21 Adopted	2020/21 Amended
Beginning Fund Balance					
Encumbrance Carryover	299,960	59,957	59,957	0	59,957
Unrestricted	802,387	977,262	977,262	0	0
Total Beginning Fund Balance	1,102,347	1,037,219	1,037,219	0	59,957
Revenue					
<i>Grants</i>					
City Attorney's Office	0	0	0	0	0
City Manager's Office	180,212	302,000	857,071	0	0
Fire	78,235	0	472,643	0	0
Information Technology	15,771	0	4,340	0	0
Police	99,010	0	89,222	0	0
Public Works	61,220	0	0	0	0
Total Revenue	434,448	302,000	1,423,276	0	0
Transfers From					
General Fund	0	0	0	0	0
Total Transfers From	0	0	0	0	0
Total Source of Funds	1,536,795	1,339,219	2,460,495	0	59,957
Expenditures					
<i>City Attorney's Office</i>					
Environment Enforcement Fines - City	0	32,411	32,411	0	0
<i>City Manager's Office</i>					
Related - City Place Santa Clara	121,258	265,025	820,096	0	0
<i>Fire</i>					
CUPA Administrative Enforcement Order	30,737	139,510	461,653	0	0
Environment Enforcement Fines - City	222	0	0	0	0
Environment Enforcement Fines - Fire	0	16,779	92,279	0	0
Training Classes	4,679	20,917	95,917	0	0
<i>Information Technology</i>					
SVACA Billings - City Depts	15,771	0	4,340	0	0
<i>Library</i>					
CLSA - Transaction Based	63,873	1,770	1,770	0	0
Public Library Foundation	96,232	17,718	17,718	0	0



SPECIAL REVENUE FUNDS | EXPENDABLE TRUST FUND (079)

	2018/19 Actual	2019/20 Amended	2019/20 Estimate	2020/21 Adopted	2020/21 Amended
Expenditures					
<i>Police</i>					
Bingo Enforcement Fees	6,146	241,759	267,730	0	0
Seized Asset Funds - Local	0	41	41	0	0
Seized Asset Funds - State	0	246,117	305,196	0	0
Seized Asset Funds - US Justice	0	110,483	114,655	0	0
Shooting Range Recycled Casings	0	2,320	2,320	0	0
<i>Public Works</i>					
Environment Enforcement Fines - Street	0	12,875	12,875	0	0
Related - City Place Santa Clara	18,030	0	0	0	0
Seized Asset Funds - Local	0	1,617	1,617	0	0
SVACA Billings - City Depts	56,232	0	0	0	0
Total Expenditures	413,180	1,109,342	2,230,618	0	0
Transfers To					
Police Operating Grant Trust Fund	86,396	169,920	169,920	0	0
Public Buildings Capital Fund	0	0	0	0	0
Total Transfers To	86,396	169,920	169,920	0	0
Ending Fund Balance					
Encumbrance Carryover	59,957	59,957	59,957	0	59,957
Unrestricted	977,262	0	0	0	0
Total Ending Fund Balance	1,037,219	59,957	59,957	0	59,957
Total Use of Funds	1,536,795	1,339,219	2,460,495	0	59,957



SPECIAL REVENUE FUNDS | FIRE OPERATING GRANT TRUST
FUND (178)

	2018/19 Actual	2019/20 Amended	2019/20 Estimate	2020/21 Adopted	2020/21 Amended
Beginning Fund Balance					
Encumbrance Carryover	46,790	0	0	0	0
Unrestricted	(414,041)	(2,036)	(2,036)	0	0
Total Beginning Fund Balance	(367,251)	(2,036)	(2,036)	0	0
Revenue					
Other Agencies Revenue	588,713	35,908	35,908	0	0
Total Revenue	588,713	35,908	35,908	0	0
Transfers From					
General Fund	0	0	0	0	0
Total Transfers From	0	0	0	0	0
Total Source of Funds	221,462	33,872	33,872	0	0
Expenditures					
Assistance to Firefighter FY17/18 City	11,889	0	0	0	0
Assistance to Firefighter FY 17/18	41,698	0	0	0	0
California Environmental Protection	0	0	0	0	0
Certified Unifited Program Agencies	0	0	0	0	0
Emergency Medical Services	63,253	7,776	7,776	0	0
Urban Search and Rescue Deployment	101,690	26,096	26,096	0	0
Urban Search and Rescue Maintenance	0	0	0	0	0
Urban Search and Rescue Team	4,968	0	0	0	0
Total Expenditures	223,498	33,872	33,872	0	0
Ending Fund Balance					
Encumbrance Carryover	0	0	0	0	0
Unrestricted	(2,036)	0	0	0	0
Total Ending Fund Balance	(2,036)	0	0	0	0
Total Use of Funds	221,462	33,872	33,872	0	0



SPECIAL REVENUE FUNDS | GAS TAX FUND (121)

	2018/19 Actual	2019/20 Amended	2019/20 Estimate	2020/21 Adopted	2020/21 Amended
Beginning Fund Balance					
Unrestricted	0	1,356,777	1,356,777	16,512	69,087
Total Beginning Fund Balance	0	1,356,777	1,356,777	16,512	69,087
Revenue					
Other Agencies Revenue	2,707,947	3,688,553	2,700,000	2,100,000	2,400,000
Interests	234,270	0	200,000	0	100,000
Total Revenue	2,942,217	3,688,553	2,900,000	2,100,000	2,500,000
Transfers From					
Special Gas Tax Fund	1,814,500	0	0	0	0
Streets and Highways Capital Fund	0	66,858	66,858	0	0
Total Transfers From	1,814,500	66,858	66,858	0	0
Total Source of Funds	4,756,717	5,112,188	4,323,635	2,116,512	2,569,087
Transfers To					
Streets and Highways Capital Fund	3,399,940	4,254,548	4,254,548	2,073,457	2,567,856
Total Transfers To	3,399,940	4,254,548	4,254,548	2,073,457	2,567,856
Ending Fund Balance					
Unrestricted	1,356,777	857,640	69,087	43,055	1,231
Total Ending Fund Balance	1,356,777	857,640	69,087	43,055	1,231
Total Use of Funds	4,756,717	5,112,188	4,323,635	2,116,512	2,569,087



SPECIAL REVENUE FUNDS | HOUSING AND
URBAN DEVELOPMENT FUND (562)

	2018/19 Actual	2019/20 Amended	2019/20 Estimate	2020/21 Adopted	2020/21 Amended
Beginning Fund Balance					
Encumbrance Carryover	1,153,042	713,065	713,065	685,348	713,065
Unrestricted	588,552	952,584	952,584	217,389	952,583
Total Beginning Fund Balance	1,741,594	1,665,648	1,665,648	902,737	1,665,648
Revenue					
Other Agencies Revenue	1,327,635	3,542,353	1,093,579	1,560,701	2,602,609
Interest	14,604	0	0	0	0
Other Revenue	570,428	983,273	983,273	934,111	693,522
Total Revenue	1,912,667	4,525,626	2,076,852	2,494,812	3,296,131
Total Source of Funds	3,654,261	6,191,274	3,742,500	3,397,549	4,961,779
Expenditures					
Salaries	335,147	310,710	400,725	327,634	336,409
Retirement and Benefits	130,033	162,894	154,618	174,239	172,883
Public Service Agency	211,405	206,500	206,500	211,500	252,006
Neighborhood Conservation and Improvement Program	428,388	1,909,460	653,515	881,316	881,957
Affordable Rental Housing (Includes TBRA and CHDO)	883,640	1,936,062	661,494	900,123	1,652,876
Total Expenditures	1,988,613	4,525,626	2,076,852	2,494,812	3,296,131
Ending Fund Balance					
Encumbrance Carryover	713,065	713,065	713,065	685,348	713,065
Unrestricted	952,584	952,584	952,583	217,389	952,583
Total Ending Fund Balance	1,665,648	1,665,648	1,665,648	902,737	1,665,648
Total Use of Funds	3,654,261	6,191,274	3,742,500	3,397,549	4,961,779



SPECIAL REVENUE FUNDS | HOUSING AUTHORITY FUND (164)

	2018/19 Actual	2019/20 Amended	2019/20 Estimate	2020/21 Adopted	2020/21 Amended
Beginning Fund Balance					
Encumbrance Carryover	21,998	14,831	14,831	21,998	14,831
Unrestricted	2,949,795	3,286,926	3,286,926	2,634,183	3,417,044
Total Beginning Fund Balance	2,971,793	3,301,757	3,301,757	2,656,181	3,431,875
Revenue					
Interests	47,847	10,000	50,000	10,350	35,000
Other Revenue	354,929	250,000	300,000	250,000	250,000
Total Revenue	402,776	260,000	350,000	260,350	285,000
Total Source of Funds	3,374,569	3,561,757	3,651,757	2,916,531	3,716,875
Expenditures					
Salaries	45,362	68,197	69,932	71,985	77,688
Retirement and Benefits	23,809	33,842	24,639	36,295	37,469
Materials/Services/Supplies	3,641	138,600	5,343	130,600	130,600
Allocation Plan	0	0	0	0	88
Interfund Services	0	5,984	5,984	5,995	6,377
Capital Outlay	0	300,000	113,984	300,000	300,000
Total Expenditures	72,812	546,623	219,882	544,875	552,222
Ending Fund Balance					
Encumbrance Carryover	14,831	14,831	14,831	21,998	14,831
Unrestricted	3,286,926	3,000,303	3,417,044	2,349,658	3,149,822
Total Ending Fund Balance	3,301,757	3,015,134	3,431,875	2,371,656	3,164,653
Total Use of Funds	3,374,569	3,561,757	3,651,757	2,916,531	3,716,875



SPECIAL REVENUE FUNDS | HOUSING SUCCESSOR AGENCY
FUND (169)

	2018/19 Actual	2019/20 Amended	2019/20 Estimate	2020/21 Adopted	2020/21 Amended
Beginning Fund Balance					
Encumbrance Carryover	225,000	53,817	53,817	225,000	53,817
Unrestricted	10,632,736	12,400,259	12,400,259	9,964,020	9,299,125
Total Beginning Fund Balance	10,857,736	12,454,076	12,454,076	10,189,020	9,352,942
Revenue					
Other Fees for Services	0	31,000	0	31,000	31,000
Interest	181,969	50,000	222,607	51,750	200,000
Rents	140,000	0	0	0	0
Other Revenue	1,905,880	300,000	2,331,505	300,000	300,000
Sale of Land	0	11,650,000	11,650,000	0	0
Total Revenue	2,227,849	12,031,000	14,204,112	382,750	531,000
Total Source of Funds	13,085,585	24,485,076	26,658,188	10,571,770	9,883,942
Expenditures					
Salaries	172,184	231,594	152,221	242,862	258,114
Retirement and Benefits	87,238	107,563	73,293	115,326	117,880
Materials/Services/Supplies	372,087	573,500	400,000	557,500	557,500
Services From Other Funds - Cost					
Allocation Plan	0	17,765	17,765	18,182	18,392
Interfund Services	0	11,967	11,967	11,987	12,756
Loan	0	16,650,000	16,650,000	0	5,000,000
Total Expenditures	631,509	17,592,389	17,305,246	945,857	5,964,642
Ending Fund Balance					
Encumbrance Carryover	53,817	53,817	53,817	225,000	53,817
Unrestricted	12,400,259	6,838,870	9,299,125	9,400,913	3,865,483
Total Ending Fund Balance	12,454,076	6,892,687	9,352,942	9,625,913	3,919,300
Total Use of Funds	13,085,585	24,485,076	26,658,188	10,571,770	9,883,942



SPECIAL REVENUE FUNDS | LIBRARY DONATIONS TRUST
FUND (072)

	2018/19 Actual	2019/20 Amended	2019/20 Estimate	2020/21 Adopted	2020/21 Amended
Beginning Fund Balance					
Unrestricted	79,563	179,221	179,221	45,526	179,707
Total Beginning Fund Balance	79,563	179,221	179,221	45,526	179,707
Revenue					
Other Revenue	150,809	0	486	0	0
Total Revenue	150,809	0	486	0	0
Total Source of Funds	230,372	179,221	179,707	45,526	179,707
Expenditures					
City Library Foundation Trust	21,908	139,444	0	0	0
In Memory A.Nadler	231	0	0	0	0
In Memory J.Jaffer	0	1,440	0	0	0
In Memory M.Dry	0	4,000	0	0	0
Library Books	25,527	1,300	0	0	0
Library Tote Bags	2,577	488	0	0	0
Literacy Program	908	9,041	0	0	0
SCSQ Irvine Contribution	0	22,755	0	0	0
Summer Reading	0	744	0	0	0
Total Expenditures	51,151	179,212	0	0	0
Ending Fund Balance					
Unrestricted	179,221	9	179,707	45,526	179,707
Total Ending Fund Balance	179,221	9	179,707	45,526	179,707
Total Use of Funds	230,372	179,221	179,707	45,526	179,707



SPECIAL REVENUE FUNDS | LIBRARY OPERATING GRANT
TRUST FUND (112)

	2018/19 Actual	2019/20 Amended	2019/20 Estimate	2020/21 Adopted	2020/21 Amended
Beginning Fund Balance					
Encumbrance Carryover	26,724	15,775	15,775	0	15,775
Unrestricted	13,169	24,495	24,495	20,000	12,140
Total Beginning Fund Balance	39,893	40,270	40,270	20,000	27,915
Revenue					
Other Agencies Revenue	79,025	50,500	59,223	47,500	47,500
Total Revenue	79,025	50,500	59,223	47,500	47,500
Total Source of Funds	118,918	90,770	99,493	67,500	75,415
Expenditures					
Adult Literacy Program	10,650	20,000	20,000	40,000	40,000
Adult Literacy Program 18-19	49,218	23,855	23,855	0	0
Adult Literacy Program 19-20	0	23,000	11,500	0	11,500
Ebook Grant	0	0	8,723	0	0
John Cotton Dana Library Award	4,999	0	0	0	0
PLP CLSA Networking	1,666	0	0	0	0
PLP Innovation and Technology	0	0	0	7,500	7,500
PLP Innovation and Tech 11-12	0	389	0	0	0
PLP Innovation and Tech 17-18	6,163	7,500	7,500	0	0
PLP Overdrive CLSA Allocation	5,952	0	0	0	0
Total Expenditures	78,648	74,744	71,578	47,500	59,000
Ending Fund Balance					
Encumbrance Carryover	15,775	15,775	15,775	0	15,775
Unrestricted	24,495	251	12,140	20,000	640
Total Ending Fund Balance	40,270	16,026	27,915	20,000	16,415
Total Use of Funds	118,918	90,770	99,493	67,500	75,415



**SPECIAL REVENUE FUNDS | OTHER CITY DEPARTMENTS
OPERATING GRANT TRUST FUND (101)**

	2018/19 Actual	2019/20 Amended	2019/20 Estimate	2020/21 Adopted	2020/21 Amended
Beginning Fund Balance					
Unrestricted	0	0	0	0	0
Total Beginning Fund Balance	0	0	0	0	0
Revenue					
Other Agencies Revenue	54,029	0	0	0	0
Total Revenue	54,029	0	0	0	0
Total Source of Funds	54,029	0	0	0	0
Expenditures					
<i>Electric Utility</i>					
Federal Emergency Management	26,179	0	0	0	0
CA Portion of FEMA Grant	8,291	0	0	0	0
<i>Police</i>					
State Homeland Security Grant Program	14,153	0	0	0	0
State Homeland Security Grant Program	4,597	0	0	0	0
<i>Public Works</i>					
Federal Emergency Management	0	0	0	0	0
CA Portion of FEMA Grant	0	0	0	0	0
<i>Water and Sewer Utilities</i>					
CA Portion of FEMA Grant	809	0	0	0	0
Total Expenditures	54,029	0	0	0	0
Ending Fund Balance					
Unrestricted	0	0	0	0	0
Total Ending Fund Balance	0	0	0	0	0
Total Use of Funds	54,029	0	0	0	0



**SPECIAL REVENUE FUNDS | PARKS AND RECREATION
OPERATING GRANT TRUST FUND (111)**

	2018/19 Actual	2019/20 Amended	2019/20 Estimate	2020/21 Adopted	2020/21 Amended
Beginning Fund Balance					
Encumbrance Carryover	23,509	8,031	8,031	0	8,031
Unrestricted	(25,592)	845	845	0	845
Total Beginning Fund Balance	(2,083)	8,876	8,876	0	8,876
Revenue					
Other Agencies Revenue	139,556	146,649	146,649	144,539	144,539
Total Revenue	139,556	146,649	146,649	144,539	144,539
Transfers From					
General Fund	3,445	3,445	3,445	3,445	3,445
Total Transfers From	3,445	3,445	3,445	3,445	3,445
Total Source of Funds	140,918	158,970	158,970	147,984	156,860
Expenditures					
Salaries	41,845	63,628	63,628	63,628	63,628
Materials/Services/Supplies	90,197	86,466	86,466	84,356	84,356
Total Expenditures	132,042	150,094	150,094	147,984	147,984
Ending Fund Balance					
Encumbrance Carryover	8,031	8,031	8,031	0	8,031
Unrestricted	845	845	845	0	845
Total Ending Fund Balance	8,876	8,876	8,876	0	8,876
Total Use of Funds	140,918	158,970	158,970	147,984	156,860



SPECIAL REVENUE FUNDS | PERPETUAL CARE FUND (076)

	2018/19 Actual	2019/20 Amended	2019/20 Estimate	2020/21 Adopted	2020/21 Amended
Beginning Fund Balance					
Unrestricted	38,244	38,244	38,244	38,244	38,244
Total Beginning Fund Balance	38,244	38,244	38,244	38,244	38,244
Revenue					
Interest	585	500	600	500	500
Total Revenue	585	500	600	500	500
Total Source of Funds	38,829	38,744	38,844	38,744	38,744
Transfers To					
Cemetery Fund	585	500	600	500	500
Total Transfers To	585	500	600	500	500
Ending Fund Balance					
Unrestricted	38,244	38,244	38,244	38,244	38,244
Total Ending Fund Balance	38,244	38,244	38,244	38,244	38,244
Total Use of Funds	38,829	38,744	38,844	38,744	38,744



**SPECIAL REVENUE FUNDS | POLICE OPERATING GRANT
TRUST FUND (177)**

	2018/19 Actual	2019/20 Amended	2019/20 Estimate	2020/21 Adopted	2020/21 Amended
Beginning Fund Balance					
Encumbrance Carryover	186,713	12,920	12,920	0	12,920
Unrestricted	581,090	777,915	777,915	0	0
Total Beginning Fund Balance	767,803	790,835	790,835	0	12,920
Revenue					
Other Agencies Revenue	396,204	1,510,658	1,510,658	0	0
Other Fees for Services	86,096	186,096	186,096	0	0
Total Revenue	482,300	1,696,754	1,696,754	0	0
Transfers From					
Expendable Trust Fund	86,396	169,920	169,920	0	0
Total Transfers From	86,396	169,920	169,920	0	0
Total Source of Funds	1,336,499	2,657,509	2,657,509	0	12,920
Expenditures					
Abandoned Vehicle Abatement Program	108,350	186,096	186,096	0	0
Alcoholic Beverage Control 15-16	(1,309)	0	0	0	0
Alcoholic Beverage Control 16-17	(2,874)	0	0	0	0
Alcoholic Beverage Control 17-18	1,978	0	0	0	0
Avoid The 13 DUI Enforcement FY1516	204	0	0	0	0
Board of State and Community Correction	5,495	38,544	38,544	0	0
Board of State and Community Correction	0	750,000	750,000	0	0
Bulletproof Vest Grant 2019	0	8,226	8,226	0	0
Citizens' Option for Public Safety 15-16	9,737	0	0	0	0
Citizens' Option for Public Safety 16-17	254,787	0	0	0	0
Citizens' Option for Public Safety 17-18	2,770	278,443	278,443	0	0
Citizens' Option for Public Safety 18-19	985	195,075	195,075	0	0
Citizens' Option for Public Safety 19-20	0	306,194	306,194	0	0
Edward Byrne Memorial JAG FY17	0	12,254	12,254	0	0
Office of Traffic Safety 2020	0	70,000	70,000	0	0
Seized Asset Funds	86,396	152,793	152,793	0	0
Tobacco Tax Act of 2016 Grant	76,945	364,564	364,564	0	0
West Valley College Training Program	2,200	282,400	282,400	0	0
Total Expenditures	545,664	2,644,589	2,644,589	0	0



**SPECIAL REVENUE FUNDS | POLICE OPERATING GRANT
TRUST FUND (177)**

	2018/19 Actual	2019/20 Amended	2019/20 Estimate	2020/21 Adopted	2020/21 Amended
Ending Fund Balance					
Encumbrance Carryover	12,920	12,920	12,920	0	12,920
Unrestricted	777,915	0	0	0	0
Total Ending Fund Balance	790,835	12,920	12,920	0	12,920
Total Use of Funds	1,336,499	2,657,509	2,657,509	0	12,920



SPECIAL REVENUE FUNDS | PUBLIC DONATIONS FUND (067)

	2018/19 Actual	2019/20 Amended	2019/20 Estimate	2020/21 Adopted	2020/21 Amended
Beginning Fund Balance					
Unrestricted	212,445	74,664	74,664	0	0
Total Beginning Fund Balance	212,445	74,664	74,664	0	0
Revenue					
<i>Donations</i>					
City Manager's Office	300	0	0	0	0
Community Development	90	0	0	0	0
Parks and Recreation	0	149,440	149,440	0	0
Police	7,000	0	0	0	0
Total Revenue	7,390	149,440	149,440	0	0
Transfers From					
Community Activities Fund	0	111,305	111,305	0	0
Electric Utility Fund	5,000	0	0	0	0
Recreation Programs Operations Fund	0	198,163	198,163	0	0
Total Transfers From	5,000	309,468	309,468	0	0
Total Source of Funds	224,835	533,572	533,572	0	0
Expenditures					
<i>City Manager's Office</i>					
Bank of Santa Clara Car Seat Program	0	500	500	0	0
Donations - Art in Public Places	0	226	226	0	0
Donations - Championship Teams	0	1,344	1,344	0	0
Donations - Concerts in the Park	0	6	6	0	0
Donations - Help Your Neighbor	918	14,337	14,337	0	0
Donations - Mission City Community	0	67	67	0	0
Donations - Undesignated	0	25	25	0	0
S.C. Art in Public Places	0	37,720	37,720	0	0
<i>Community Development</i>					
Donations - Berryessa Adobe	0	1,150	1,150	0	0
Donations - Historical Preservation	0	4,032	4,032	0	0
<i>Electric Utility</i>					
Donations - Neighborhood Solar	144,242	0	0	0	0
<i>Fire</i>					
Donations - Fire Safety	0	1,960	1,960	0	0
Donations - Public Education	0	1,384	1,384	0	0



SPECIAL REVENUE FUNDS | PUBLIC DONATIONS FUND (067)

	2018/19 Actual	2019/20 Amended	2019/20 Estimate	2020/21 Adopted	2020/21 Amended
Expenditures					
<i>Parks and Recreation</i>					
Arts, Crafts and Wine Festival	0	286,929	286,929	0	0
Case Management Grant	0	4,969	4,969	0	0
Teens - Youth Commission	0	3,819	3,819	0	0
Wade Brummal Scholarship Fund	0	106,335	106,335	0	0
<i>Police</i>					
Donation - Police K9 Program	0	7,000	7,000	0	0
Police - Bicycle Safety Program	0	1,375	1,375	0	0
Police - Voucher Program	0	3,538	3,538	0	0
<i>Public Works</i>					
Donations - Arbor Day	5,011	0	0	0	0
Total Expenditures	150,171	476,716	476,716	0	0
Transfers To					
General Fund	0	56,856	56,856	0	0
Total Transfers To	0	56,856	56,856	0	0
Ending Fund Balance					
Unrestricted	74,664	0	0	0	0
Total Ending Fund Balance	74,664	0	0	0	0
Total Use of Funds	224,835	533,572	533,572	0	0



**SPECIAL REVENUE FUNDS | PUBLIC, EDUCATIONAL, AND
GOVERNMENTAL FEE FUND (221)**

	2018/19 Actual	2019/20 Amended	2019/20 Estimate	2020/21 Adopted	2020/21 Amended
Beginning Fund Balance					
Encumbrance Carryover	0	0	0	0	222,006
Unrestricted	0	64,371	64,371	1,039,457	1,216,839
Total Beginning Fund Balance	0	64,371	64,371	1,039,457	1,438,845
Revenue					
Other Agencies Revenue	64,371	0	68,000	0	150,000
Total Revenue	64,371	0	68,000	0	150,000
Transfers From					
General Government Capital Fund	0	2,056,409	2,056,409	0	0
Total Transfers From	0	2,056,409	2,056,409	0	0
Total Source of Funds	64,371	2,120,780	2,188,780	1,039,457	1,588,845
Expenditures					
Capital Outlay	0	749,935	749,935	0	0
Total Expenditures	0	749,935	749,935	0	0
Ending Fund Balance					
Encumbrance Carryover	0	222,006	222,006	0	222,006
Unrestricted	64,371	1,148,839	1,216,839	1,039,457	1,366,839
Total Ending Fund Balance	64,371	1,370,845	1,438,845	1,039,457	1,588,845
Total Use of Funds	64,371	2,120,780	2,188,780	1,039,457	1,588,845



SPECIAL REVENUE FUNDS | RECREATION PROGRAMS
OPERATIONS FUND (041)

	2018/19 Actual	2019/20 Amended	2019/20 Estimate	2020/21 Adopted	2020/21 Amended
Beginning Fund Balance					
Unrestricted	902,500	707,468	707,468	0	0
Total Beginning Fund Balance	902,500	707,468	707,468	0	0
Revenue					
Other Fees for Services	221,695	0	0	0	0
Other Revenue	178,920	0	0	0	0
Total Revenue	400,615	0	0	0	0
Total Source of Funds	1,303,115	707,468	707,468	0	0
Expenditures					
Arts, Crafts and Wine Festival	167,645	0	0	0	0
Camping - Homeridge Camp	16,537	0	0	0	0
Camping - Lick Mill Camp	2,553	0	0	0	0
Community Garden	(15)	0	0	0	0
Cultural - Arts & Crafts	12,299	0	0	0	0
Cultural - Ceramics	26,894	0	0	0	0
Cultural - Dance	89,524	0	0	0	0
Cultural - Face I	18,322	0	0	0	0
Cultural - GROUP X	4,049	0	0	0	0
Cultural - Holiday Classes	1,488	0	0	0	0
Cultural - Junior Theatre	58,943	0	0	0	0
Cultural - Kids Cooking	158	0	0	0	0
Pre-School - 3Yr Old	9,987	0	0	0	0
Pre-School - 4 Yr Old Am	13,863	0	0	0	0
Pre-School - 4Yr Old Pm	3,434	0	0	0	0
Sports - Elementary School Events	19,264	0	0	0	0
Sports - Lifeguarding	9,986	0	0	0	0
Sports - Sport Adv, Ext Care	13,771	0	0	0	0
Sports - Sport Escape	11,210	0	0	0	0
Sports - Sports Jam	17,468	0	0	0	0
Sports - YAC Classes	10,244	0	0	0	0
Teens - Teen Breakaways	55,247	0	0	0	0
Teens - Special Events	5,997	0	0	0	0
Teens - Teen Cafe	5,840	0	0	0	0
Teens - Youth Commission	4,159	0	0	0	0
Therapeutics - Aquatics	1,149	0	0	0	0
Therapeutics - Children	1,202	0	0	0	0



**SPECIAL REVENUE FUNDS | RECREATION PROGRAMS
OPERATIONS FUND (041)**

	2018/19 Actual	2019/20 Amended	2019/20 Estimate	2020/21 Adopted	2020/21 Amended
Expenditures					
Therapeutics - Dance Classes	749	0	0	0	0
Therapeutics - Older Adult	489	0	0	0	0
Therapeutics - Social Lites	4,198	0	0	0	0
Therapeutics - Special Activities	1,687	0	0	0	0
Therapeutics - Special Interest	1,018	0	0	0	0
Therapeutics - Teens	2,827	0	0	0	0
Therapeutics - Young Adult	3,461	0	0	0	0
Total Expenditures	595,647	0	0	0	0
Transfers To					
General Fund	0	509,305	509,305	0	0
Public Donations Fund	0	198,163	198,163	0	0
Total Transfers To	0	707,468	707,468	0	0
Ending Fund Balance					
Unrestricted	707,468	0	0	0	0
Total Ending Fund Balance	707,468	0	0	0	0
Total Use of Funds	1,303,115	707,468	707,468	0	0



**SPECIAL REVENUE FUNDS | ROAD MAINTENANCE AND
REHABILITATION (SB1) FUND (122)**

	2018/19 Actual	2019/20 Amended	2019/20 Estimate	2020/21 Adopted	2020/21 Amended
Beginning Fund Balance					
Unrestricted	464,152	373,336	373,336	0	353,336
Total Beginning Fund Balance	464,152	373,336	373,336	0	353,336
Revenue					
Other Agencies Revenue	2,639,484	2,050,000	2,000,000	2,050,000	2,000,000
Interests	18,910	0	30,000	0	25,000
Total Revenue	2,658,394	2,050,000	2,030,000	2,050,000	2,025,000
Total Source of Funds	3,122,546	2,423,336	2,403,336	2,050,000	2,378,336
Transfers To					
Streets and Highways Capital Fund	2,749,210	2,050,000	2,050,000	2,050,000	2,100,000
Total Transfers To	2,749,210	2,050,000	2,050,000	2,050,000	2,100,000
Ending Fund Balance					
Unrestricted	373,336	373,336	353,336	0	278,336
Total Ending Fund Balance	373,336	373,336	353,336	0	278,336
Total Use of Funds	3,122,546	2,423,336	2,403,336	2,050,000	2,378,336



SPECIAL REVENUE FUNDS | TRAFFIC MITIGATION FUND (123)

	2018/19 Actual	2019/20 Amended	2019/20 Estimate	2020/21 Adopted	2020/21 Amended
Beginning Fund Balance					
Unrestricted	0	4,448,849	4,448,849	3,030,240	4,261,349
Total Beginning Fund Balance	0	4,448,849	4,448,849	3,030,240	4,261,349
Revenue					
Other Fees for Services	1,850,171	899,500	750,000	1,531,500	1,531,500
Interests	241,057	0	200,000	0	150,000
Total Revenue	2,091,228	899,500	950,000	1,531,500	1,681,500
Transfers From					
Traffic Mitigation Capital Fund	2,823,740	0	0	0	0
Streets and Highways Capital Fund	327,381	280	280	0	0
Total Transfers From	3,151,121	280	280	0	0
Total Source of Funds	5,242,349	5,348,629	5,399,129	4,561,740	5,942,849
Transfers To					
Streets and Highways Capital Fund	793,500	1,137,780	1,137,780	1,531,500	2,003,500
Total Transfers To	793,500	1,137,780	1,137,780	1,531,500	2,003,500
Ending Fund Balance					
Unrestricted	4,448,849	4,210,849	4,261,349	3,030,240	3,939,349
Total Ending Fund Balance	4,448,849	4,210,849	4,261,349	3,030,240	3,939,349
Total Use of Funds	5,242,349	5,348,629	5,399,129	4,561,740	5,942,849

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Enterprise Funds

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ENTERPRISE FUNDS

Enterprise funds are used to finance and account for operations and activities performed by designated departments in the City or through third party agreements. The operating revenues and expenses result from providing services and producing and delivering goods in connection with an enterprise fund's principal ongoing operations. Principal operating revenues of the City's enterprise funds are charges to customers for services. Operating expenses for the City's enterprise funds include the costs of sales and services, administrative expenses and maintenance of capital assets.

Utility rate increases for FY 2020/21* is as follows:

Utility Service	FY 2019/20	FY 2020/21	% Change	Additional Information
Water Residential per HCF	\$6.25	\$6.56	5.1%	
Recycled Water Irrigation per HCF	\$3.76	\$3.83	1.9%	
Sewer Residential Single Family per month	\$44.29	\$44.73	1.0%	
Solid Waste 32 Gallon Cart	\$30.62	\$37.90	23.8%	Includes \$11.80 Clean Green collection and \$5.30 recycling per month
Electric Residential per KWH	\$0.122	\$0.126	3.2%	Effective January 1, 2020

**Council approval in June 2020 and to be effective July 1, 2020 except for Electric rate*

Cemetery Fund (093)

This fund is managed by the Parks and Recreation Department and provides planning, development, operation, and maintenance of the City's two cemetery properties with one located on North Winchester Boulevard and one on Agnews Road. While there are charges for these services, the activities of this fund are primarily funded by transfers from the General Fund.

Convention Center Enterprise Fund (860)

This fund was established in 1984 to account for the operations of the City's Convention Center through third party agreements. In February 2019, the City entered into an agreement with Global Spectrum, LP, dba Spectra Venue Management (Spectra) for the management and operating of the Convention Center. In November 2019, the City entered into an agreement with Levy Premium Foodservice Limited Partnership (Levy) for food and beverage services at the Convention Center. As part of both agreements, Spectra and Levy will submit operating budget proposals to the City each year for approval.

Electric Operating Grant Trust Fund (191)

City's Electric Utility Department, known as Silicon Valley Power (SVP), initially established this fund for the Public Benefits Program, which helps make homes and businesses more energy efficient. SVP is required to collect and spend 2.85% of its electric sales revenues (of customer service charges from Electric Utility Fund, see discussion below) on cost effective energy efficiency, new renewable generation, low-income energy programs, and new electric technologies research and development. Starting in FY 2019/20, new Electric Vehicle and Greenhouse Gas programs with restricted revenue requirements are added to this fund.



Electric Utility Fund (091)

This fund is managed by the Electric Utility Department, also known as Silicon Valley Power (SVP), and accounts for the operation of the City's electric utility service. The Department provides these services on a user charge basis to residences, businesses, and industrial customers, including large data centers.

Revenue sources in the Electric Utility Fund are dictated by the utility rates approved by the City, effective each January, based on SVP's ten-year forecast with their revenue and expenditure projections, and reserve requirements fulfilling debt service, capital improvement projects, rate stabilization, among other obligations. Electric rate increases have been relatively stable, so customers, both large and small, can plan for their uses. At the same time, for customers who have difficulty absorbing these increases, SVP continues to offer assistance to low-income customers and energy conservation programs and rebates to help customers reduce their electricity usage. SVP's rate increase for calendar year 2020 is 3.2%.

SVP has over 52,000 customers in the City, with residential ratepayers accounting for approximately 85% of customers and industrial customers 6% of total. However, their proportion of revenue contribution is reversed, with almost 90% of revenues generated by industrial customers. Industrial customers include many publicly listed technology companies headquartered in the City and data centers hosting servers for the same companies. Load growth of energy sales is based on a combination of historical data and forward-looking opportunities, especially from large key industrial customers. Additional revenues are generated through wholesale, capacity sales, and restricted revenue programs such as Green House Gas, Electric Vehicle, Renewable Energy Credits, and Low Carbon Fuel Standard. Given the high concentration of revenue generated from industrial customers, SVP's revenue growth prospect is highly correlated to Silicon Valley's economic growth.

Starting in FY 2019/20, Wholesale energy sales transactions will be recorded as revenue instead of a credit net against wholesale resources purchases expense, as compared to past years.

Majority of expenses is due to cost of sales, such as energy resources purchases, cost of generating and delivering power to SVP customers, including transmission access charges that apply to all electric energy that SVP receives via the PG&E transmission system and gas transmission charges for delivering fuel to SVP's gas-fired power plants.

Sewer Utility Fund (094)

This fund is managed by the Water and Sewer Utilities Department and accounts for the maintenance of the City's sewer lines and related facilities. The Department provides these services on a user charge basis to residences and businesses.

Each operating budget cycle, the Department develops a ten-year forecast with their revenue and expenditure projections. Revenue sources in the Sewer Utility Fund are dictated by the utility rate approved by the City and the actual water usage by residences and businesses. A rate study is conducted by a third-party consultant selected by the Water and Sewer Utilities Department; this information is then used to determine both revenue and expenditure projections in the forecast. In addition to the information provided in the rate study, revenue projections are based on historical data and anticipated activity. Anticipated expenditures also play a role in the development of the revenue projections. Expenditure projections are based on historical data and capital project needs approved in the City's Capital Improvement Program budget. Additionally, the City co-owns the Regional Wastewater Facility with the City of San José. Estimates are provided by the City of San José for Santa Clara's share of the facility rebuild, and these estimates are also used in determining the expenditure projections in the Sewer Utility Fund.

Starting FY 2019/20, reserves for Rate Stabilization, Operations and Maintenance, Construction and Pension Stabilization were set up in accordance to adopted budget policy.



Solid Waste Fund (096)

This fund is managed by the Public Works Department and accounts for the administration of the City's garbage and rubbish collection service, including street sweeping, household hazardous waste, and Clean-Up Campaign services. Revenue projections are based on anticipated increase in garbage rates due to increases in agreements for exclusive franchise garbage and yard waste collection and for solid waste processing services. The reserve decrease in FY 2020/21 is also due to the same increase in production costs and higher capital transfer.

Starting FY 2019/20, reserves for Rate Stabilization, Operations and Maintenance, Construction and Pension Stabilization were set up in accordance to adopted budget policy.

Water Recycling Fund (097)

This fund is managed by the Water and Sewer Utilities Department and accounts for the ongoing maintenance and operations of the City of Santa Clara's wastewater reclamation system. The Department provides these services on a user charge basis from the sale of non-potable water for irrigation and landscaping.

Each operating budget cycle, the Department develops a ten-year forecast with their revenue and expenditure projections. Revenue sources in the Water Recycling Program Fund are dictated by the utility rate approved by the City and the actual water usage by residences and businesses. A rate study is conducted by a third-party consultant selected by the Water and Sewer Utilities Department; this information is then used to determine both revenue and expenditure projections in the forecast. In addition to the information provided in the rate study, revenue projections are based on historical data and anticipated activity. Anticipated expenditures also play a role in the development of the revenue projections. Expenditure projections are based on historical data and capital project needs approved in the City's Capital Improvement Program budget.

Starting FY 2019/20, reserves for Rate Stabilization, Operations and Maintenance, Construction and Pension Stabilization were set up in accordance to adopted budget policy.

Water Utility Fund (092)

This fund is managed by the Water and Sewer Utilities Department and accounts for the operation of the City's water utility services. The Department provides these services on a user charge basis to residences and businesses.

Each operating budget cycle, the Department develops a ten-year forecast with their revenue and expenditure projections. Revenue sources in the Water Utility Fund are dictated by the utility rate approved by the City and the actual water usage by residences and businesses. A rate study is conducted by a third-party consultant selected by the Water and Sewer Utilities Department; this information is then used to determine both revenue and expenditure projections in the forecast. In addition to the information provided in the rate study, revenue projections are based on historical data and anticipated activity. Anticipated expenditures also play a role in the development of the revenue projections. Expenditure projections are based on historical data and capital project needs approved in the City's Capital Improvement Program Budget.

Starting FY 2019/20, reserves for Rate Stabilization, Operations and Maintenance, Construction and Pension Stabilization were set up in accordance to adopted budget policy.

This is the second fiscal year of the biennial operating budget. The following section details the City of Santa Clara's Enterprise Funds' Statements of Sources and Uses and their Amended Budget for Fiscal Year 2020/21.



ENTERPRISE FUNDS | CEMETERY FUND (093)

	2018/19 Actual	2019/20 Amended	2019/20 Estimate	2020/21 Adopted	2020/21 Amended
Beginning Fund Balance					
Unrestricted	677,008	66,471	66,471	32,775	25,746
Total Beginning Fund Balance	677,008	66,471	66,471	32,775	25,746
Revenue					
Other Fees for Services	544,515	645,150	586,877	659,403	600,000
Other Revenue	1,952	0	703	0	0
Total Revenue	546,467	645,150	587,580	659,403	600,000
Transfers From					
General Fund	6,800	703,490	703,490	760,663	771,769
Endowment Care Fund	0	20,000	24,000	20,000	18,000
Perpetual Care Fund	24,165	500	600	500	500
Total Transfers From	30,965	723,990	728,090	781,163	790,269
Total Source of Funds	1,254,440	1,435,611	1,382,141	1,473,341	1,416,015
Expenditures					
Salaries	483,983	479,745	461,785	499,747	500,439
Retirement and Benefits	275,032	270,651	267,027	287,850	280,784
Materials/Services/Supplies	239,445	387,848	333,201	390,371	359,680
Services From Other Funds - Cost Allocation Plan	91,517	132,895	132,895	138,269	136,015
Interfund Services	94,265	99,194	130,028	101,894	136,035
Capital Outlay	0	29,000	29,000	0	0
Total Expenditures	1,184,242	1,399,333	1,353,936	1,418,131	1,412,953
Transfers To					
General Government Capital Fund	3,727	2,459	2,459	55,210	2,741
Total Transfers To	3,727	2,459	2,459	55,210	2,741
Ending Fund Balance					
Unrestricted	66,471	33,819	25,746	0	321
Total Ending Fund Balance	66,471	33,819	25,746	0	321
Total Use of Funds	1,254,440	1,435,611	1,382,141	1,473,341	1,416,015



ENTERPRISE FUNDS | CONVENTION CENTER ENTERPRISE FUND (860)

	2018/19 Actual	2019/20 Amended	2019/20 Estimate	2020/21 Adopted	2020/21 Amended
Beginning Fund Balance					
Encumbrance Carryover	141,120	212,501	212,501	141,120	212,501
Operating Surplus	0	0	0	1,933,698	1,329,882
Unrestricted	3,393,291	3,422,330	3,422,330	1,052,644	644,934
Total Beginning Fund Balance	3,534,411	3,634,831	3,634,831	3,127,462	2,187,317
Revenue					
Levy Investment	0	5,750,000	5,750,000	0	0
Charges for Services	11,541,797	0	8,571,148	0	4,909,837
Rents	1,192,581	18,484,540	2,479,136	0	5,789,533
Interest	86,988	0	65,000	0	0
Event Revenue	0	0	0	0	11,044,000
Other Revenue	0	0	0	0	168,836
Total Revenue	12,821,366	24,234,540	16,865,284	0	21,912,206
Transfers From					
General Fund	330,020	0	0	0	0
Total Transfers From	330,020	0	0	0	0
Total Source of Funds	16,685,797	27,869,371	20,500,115	3,127,462	24,099,523
Expenditures					
Salaries	92,907	281,048	210,000	295,353	240,933
Retirement and Benefits	0	129,718	89,000	139,059	118,328
Materials/Services/Supplies	11,394,305	657,001	567,000	100,000	100,000
Interfund Services	63,754	42,698	42,698	42,499	57,120
Event Expense	0	14,224,474	10,900,500	0	7,505,662
Indirect Expense	0	8,076,368	6,503,600	0	11,335,505
Total Expenditures	11,550,966	23,411,307	18,312,798	576,911	19,357,548
Transfers To					
Public Buildings Capital Fund	1,500,000	0	0	0	0
Total Transfers To	1,500,000	0	0	0	0
Ending Fund Balance					
Encumbrance Carryover	212,501	212,501	212,501	141,120	212,501
Operating Surplus	0	1,933,698	1,329,882	1,933,698	4,400,920
Unrestricted	3,422,330	2,311,865	644,934	475,733	128,554
Total Ending Fund Balance	3,634,831	4,458,064	2,187,317	2,550,551	4,741,975
Total Use of Funds	16,685,797	27,869,371	20,500,115	3,127,462	24,099,523



ENTERPRISE FUNDS | ELECTRIC OPERATING GRANT TRUST FUND (191)

	2018/19 Actual	2019/20 Amended	2019/20 Estimate	2020/21 Adopted	2020/21 Amended
Beginning Fund Balance					
Public Benefits	30,206,531	34,342,493	34,342,493	30,547,793	34,580,314
Low Carbon Fuel	0	2,038,141	2,038,141	0	788,141
Total Beginning Fund Balance	30,206,531	36,380,634	36,380,634	30,547,793	35,368,455
Revenue					
Other Agencies	103,441	86,843	86,843	0	0
Charges for Services	11,326,223	12,797,928	12,797,928	13,651,603	12,873,097
Low Carbon Fuel	0	2,405,000	2,405,000	2,047,500	5,096,600
Greenhouse Gas	0	18,295,719	18,295,719	20,230,648	16,487,338
Total Revenue	11,429,664	33,585,490	33,585,490	35,929,751	34,457,035
Transfers From					
Electric Utility Fund	2,168,506	0	0	0	0
Total Transfers From	2,168,506	0	0	0	0
Total Source of Funds	43,804,701	69,966,124	69,966,124	66,477,544	69,825,490
Expenditures					
Salaries	376,796	879,649	879,649	927,899	803,721
Retirement and Benefits	206,350	419,554	419,554	451,003	362,870
Materials/Services/Supplies	2,479,177	3,043,813	3,043,813	2,938,985	3,760,485
Mandated Program Costs	4,097,359	10,777,045	10,527,045	16,224,581	14,284,924
Services From Other Funds - Cost Allocation Plan	92,463	162,370	162,370	168,937	170,204
Contribution In Lieu	0	639,896	639,896	682,580	643,655
Interfund Services	22,998	175,763	175,763	181,036	61,266
Total Expenditures	7,275,143	16,098,090	15,848,090	21,575,021	20,087,125
Transfers To					
Electric Utility Fund	148,924	17,249,579	17,249,579	14,100,601	11,702,523
Electric Capital Fund	0	0	1,500,000	0	2,150,082
Streets and Highway Fund	0	0	0	0	400,000
Total Transfers To	148,924	17,249,579	18,749,579	14,100,601	14,252,605
Ending Fund Balance					
Public Benefits	34,342,493	34,580,314	34,580,314	30,801,922	34,697,619
Low Carbon Fuel	2,038,141	2,038,141	788,141	0	788,141
Total Ending Fund Balance	36,380,634	36,618,455	35,368,455	30,801,922	35,485,760
Total Use of Funds	43,804,701	69,966,124	69,966,124	66,477,544	69,825,490



ENTERPRISE FUNDS | ELECTRIC UTILITY FUND (091)

	2018/19 Actual	2019/20 Amended	2019/20 Estimate	2020/21 Adopted	2020/21 Amended
Beginning Fund Balance					
Encumbrance Carryover	860,108	913,447	913,447	860,108	913,447
Construction Reserve	0	0	0	23,983,500	53,997,200
Donald Von Raesfeld Power Plant Reserve	5,078,163	5,078,163	5,078,163	5,078,163	5,078,163
Operations and Maintenance Reserve	95,708,577	98,947,182	98,947,182	101,374,973	112,838,357
Pension Trust Reserve	3,512,024	4,329,866	4,329,866	4,660,247	4,748,792
Rate Stabilization Reserve	25,000,000	25,000,000	25,000,000	44,898,012	44,898,011
Unrestricted	68,403,779	102,134,201	102,134,201	26,976,562	24,665,397
Total Beginning Fund Balance	198,562,651	236,402,859	236,402,859	207,831,565	247,139,367
Revenue					
Charges for Services	428,452,616	448,980,115	448,980,115	478,933,622	451,617,602
Other Fees for Services	3,563,246	2,976,560	2,976,560	3,016,350	2,797,200
Interest	6,725,909	5,705,909	5,705,909	6,797,487	4,870,422
Rents	4,192,681	3,465,000	3,465,000	3,539,450	3,539,450
Wholesale Revenue	0	16,971,162	16,971,162	13,777,912	14,371,567
Restricted Revenues	0	3,225,573	3,225,573	2,800,573	2,439,573
Other Revenue	2,448,762	1,180,000	1,180,000	1,210,000	1,210,000
Total Revenue	445,383,214	482,504,319	482,504,319	510,075,394	480,845,814
Transfers From					
Electric Operating Grant Trust Fund	148,924	17,249,579	17,249,579	14,100,601	11,702,523
Electric Utility Capital Fund	3,238,605	221,513	221,513	0	0
Total Transfers From	3,387,529	17,471,092	17,471,092	14,100,601	11,702,523
Total Source of Funds	647,333,394	736,378,270	736,378,270	732,007,560	739,687,704
Expenditures					
Salaries	25,373,937	31,984,560	28,979,342	33,288,134	31,674,259
Retirement and Benefits	11,878,454	14,066,333	14,066,333	15,033,140	14,285,391
Materials/Services/Supplies	21,708,588	27,792,886	27,792,886	27,067,578	30,168,279
Resource/Production	269,909,545	340,787,047	340,787,047	355,770,922	326,500,221
Services From Other Funds - Cost Allocation Plan	9,910,175	7,975,314	7,975,314	8,154,152	9,118,891
Interfund Services	2,431,998	4,340,876	4,340,876	4,405,559	4,905,044
Contribution In Lieu	21,304,288	23,693,379	23,693,379	25,661,345	23,699,830
Capital Outlay	179,030	406,000	406,000	126,000	504,800
Total Expenditures	362,696,015	451,046,395	448,041,177	469,506,830	440,856,715



ENTERPRISE FUNDS | ELECTRIC UTILITY FUND (091)

	2018/19 Actual	2019/20 Amended	2019/20 Estimate	2020/21 Adopted	2020/21 Amended
Transfers To					
General Fund	0	713,000	713,000	0	0
General Government Capital Fund	157,484	583,540	583,540	2,547,148	635,578
Public Donations Fund	5,000	0	0	0	0
Solid Waste Fund	200	0	0	0	0
Special Liability Insurance Fund	0	416,063	416,063	0	0
Street Beautification Capital Fund	0	0	0	0	0
Street Lighting Capital Fund	800,206	160,211	169,626	50,000	125,000
Electric Utility Capital Fund	24,104,280	26,727,000	29,722,804	47,967,000	23,068,370
Electric Operating Grant Trust Fund	2,168,506	0	0	0	0
Electric Debt Service Fund	20,998,844	9,592,693	9,592,693	19,658,817	13,315,379
Total Transfers To	48,234,520	38,192,507	41,197,726	70,222,965	37,144,327
Ending Fund Balance					
Encumbrance Carryover	913,447	913,447	913,447	860,108	913,447
Construction Reserve	0	47,967,000	53,997,200	17,333,500	55,354,340
Donald Von Raesfeld Power Plant Reserve	5,078,163	5,078,163	5,078,163	5,078,163	5,078,163
Operations and Maintenance Reserve	98,947,182	112,838,357	112,838,357	105,610,687	110,087,978
Pension Trust Reserve	4,329,866	4,748,792	4,748,792	5,587,600	5,676,145
Rate Stabilization Reserve	25,000,000	44,898,011	44,898,011	47,893,362	45,161,760
Unrestricted	102,134,201	30,695,598	24,665,397	9,914,345	39,414,829
Total Ending Fund Balance	236,402,859	247,139,368	247,139,367	192,277,765	261,686,662
Total Use of Funds	647,333,394	736,378,270	736,378,270	732,007,560	739,687,704



ENTERPRISE FUNDS | SEWER UTILITY FUND (094)

	2018/19 Actual	2019/20 Amended	2019/20 Estimate	2020/21 Adopted	2020/21 Amended
Beginning Fund Balance					
Encumbrance Carryover	57,586	89,546	89,546	57,586	89,546
Replacement and Improvement Reserve	1,507,553	1,507,553	1,507,553	1,507,553	1,507,553
Rate Stabilization Reserve	0	0	0	3,682,062	5,126,296
Operations and Maintenance Reserve	0	0	0	8,671,076	8,671,076
Construction Reserve	0	0	0	7,519,142	11,519,142
Pension Stabilization Reserve	0	61,125	61,125	391,148	391,148
Unrestricted	16,403,448	15,242,462	15,242,462	623,615	3,864,144
Total Beginning Fund Balance	17,968,587	16,900,686	16,900,686	22,452,182	31,168,905
Revenue					
Charges for Services	41,010,366	42,167,000	41,496,054	44,802,400	44,802,400
Other Fees for Services	71,224	90,000	29,904	92,700	92,700
Interest	1,136,739	1,912,500	750,000	1,969,875	600,000
Rents	52,066	0	42,203	0	0
Other Revenue	179,446	0	82,870	0	0
Total Revenue	42,449,841	44,169,500	42,401,031	46,864,975	45,495,100
Transfers From					
Sewer Utility Capital Fund	0	12,238	12,238	22,000,000	0
Total Transfers From	0	12,238	12,238	22,000,000	0
Total Source of Funds	60,418,428	61,082,424	59,313,955	91,317,157	76,664,005
Expenditures					
Salaries	2,177,126	2,753,274	2,140,204	2,874,843	2,878,430
Retirement and Benefits	1,084,852	1,361,867	1,059,151	1,454,770	1,429,600
Right of Way Rental Expense	1,513,900	1,559,317	1,559,317	1,637,283	1,637,283
Materials/Services/Supplies	669,011	550,553	500,597	561,344	561,344
Resource/Production	16,181,793	17,329,209	15,074,682	17,849,085	17,849,085
Services From Other Funds	25,168	25,168	25,168	25,168	25,168
Services From Other Funds - Cost Allocation Plan	1,752,408	1,350,064	1,350,064	1,364,533	1,411,910
Interfund Services	432,104	1,083,776	1,083,776	1,096,101	1,112,545
Capital Outlay	24,480	0	0	0	0
Total Expenditures	23,860,842	26,013,228	22,792,959	26,863,127	26,905,365
Transfers To					
General Government Capital Fund	49,364	81,642	81,642	309,926	60,258
Electric Utility Capital Fund	200,000	276,000	276,000	391,000	37,500
Sewer Utility Capital Fund	17,790,086	3,512,000	3,512,000	34,845,957	38,618,599
Water Utility Capital Fund	680,000	545,000	545,000	545,000	500,000
Sewer Utility Debt Service Fund	937,450	2,438,223	937,449	4,694,557	1,432,799
Total Transfers To	19,656,900	6,852,865	5,352,091	40,786,440	40,649,156



ENTERPRISE FUNDS | SEWER UTILITY FUND (094)

	2018/19 Actual	2019/20 Amended	2019/20 Estimate	2020/21 Adopted	2020/21 Amended
Ending Fund Balance					
Encumbrance Carryover	89,546	89,546	89,546	57,586	89,546
Replacement and Improvement Reserve	1,507,553	1,507,553	1,507,553	1,507,553	1,507,553
Rate Stabilization Reserve	0	5,126,296	5,126,296	3,795,030	2,395,030
Operations and Maintenance Reserve	0	8,671,076	8,671,076	7,835,079	1,835,079
Construction Reserve	0	11,519,142	11,519,142	9,396,928	2,396,928
Pension Stabilization Reserve	61,125	391,148	391,148	469,378	469,378
Unrestricted	15,242,462	911,570	3,864,144	606,036	415,971
Total Ending Fund Balance	16,900,686	28,216,331	31,168,905	23,667,590	9,109,485
Total Use of Funds	60,418,428	61,082,424	59,313,955	91,317,157	76,664,005



ENTERPRISE FUNDS | SOLID WASTE FUND (096)

	2018/19 Actual	2019/20 Amended	2019/20 Estimate	2020/21 Adopted	2020/21 Amended
Beginning Fund Balance					
Encumbrance Carryover	617,846	726,680	726,680	617,846	726,680
Operations and Maintenance Reserve	0	0	0	686,766	683,574
Rate Stabilization Reserve	0	0	0	280,337	2,791,600
Pension Trust Reserve	0	20,094	20,094	214,400	215,047
Unrestricted	6,092,490	4,960,415	6,317,790	2,238,651	3,052,656
Total Beginning Fund Balance	6,710,336	5,707,189	7,064,564	4,038,000	7,469,557
Revenue					
Other Agencies Revenue	545,639	420,000	468,000	420,000	420,000
Interest	272	0	0	0	0
Charges for Services	3,868,164	2,215,720	2,232,000	2,304,349	2,300,000
Other Fees for Services	22,813,208	25,397,983	25,684,000	26,406,543	28,499,000
Other Revenue	32,976	0	0	0	0
Total Revenue	27,260,259	28,033,703	28,384,000	29,130,892	31,219,000
Transfers From					
Solid Waste Capital Fund	0	0	12,120	0	0
Electric Utility Fund	200	0	0	0	0
Total Transfers From	200	0	12,120	0	0
Total Source of Funds	33,970,795	33,740,892	35,460,684	33,168,892	38,688,557
Expenditures					
Salaries	624,142	852,316	735,837	887,032	892,536
Retirement and Benefits	340,120	410,090	398,880	437,770	430,368
Materials/Services/Supplies	3,055,988	3,189,647	2,500,514	3,167,960	2,568,630
Resource/Production	20,285,062	21,260,000	21,260,000	22,411,180	24,280,380
Services From Other Funds - Cost Allocation Plan	1,135,169	1,404,048	1,404,048	1,424,031	1,415,309
Interfund Services	406,146	352,556	1,041,689	361,190	1,100,070
Capital Outlay	0	2,000	2,000	0	0
Total Expenditures	25,846,627	27,470,657	27,342,968	28,689,163	30,687,293
Transfers To					
General Government Capital Fund	5,603	45,159	45,159	552,659	38,570
Electric Utility Fund	0	129,000	129,000	182,750	0
Solid Waste Capital Fund	1,054,000	474,000	474,000	494,000	729,459
Total Transfers To	1,059,603	648,159	648,159	1,229,409	768,029



ENTERPRISE FUNDS | SOLID WASTE FUND (096)

	2018/19 Actual	2019/20 Amended	2019/20 Estimate	2020/21 Adopted	2020/21 Amended
Ending Fund Balance					
Encumbrance Carryover	726,680	726,680	726,680	617,846	726,680
Operations and Maintenance Reserve	0	686,766	683,574	1,403,996	2,675,520
Pension Trust Reserve	20,094	215,047	215,047	257,360	258,007
Rate Stabilization Reserve	0	2,539,798	2,791,600	571,646	3,079,900
Unrestricted	6,317,791	1,453,785	3,052,656	399,472	493,128
Total Ending Fund Balance	7,064,565	5,622,076	7,469,557	3,250,320	7,233,235
Total Use of Funds	33,970,795	33,740,892	35,460,684	33,168,892	38,688,557



ENTERPRISE FUNDS | WATER RECYCLING FUND (097)

	2018/19 Actual	2019/20 Amended	2019/20 Estimate	2020/21 Adopted	2020/21 Amended
Beginning Fund Balance					
Encumbrance Carryover	860,000	1,010,000	1,010,000	860,000	1,010,000
Rate Stabilization Reserve	0	0	0	1,690,000	1,340,000
Operations and Maintenance Reserve	0	0	0	1,560,129	1,560,129
Construction Reserve	0	0	0	2,550,000	2,250,000
Pension Stabilization Reserve	0	9,599	9,599	49,059	49,059
Unrestricted	3,056,748	4,236,917	4,236,917	499,240	146,935
Total Beginning Fund Balance	3,916,748	5,256,516	5,256,516	7,208,428	6,356,123
Revenue					
Other Agencies Revenue	630,677	350,000	170,500	350,000	350,000
Charges for Services	6,361,255	6,274,200	6,121,961	6,587,910	6,587,910
Other Fees for Services	16,753	0	0	0	0
Interest	115,362	85,000	70,000	87,550	65,000
Other Revenue	36,238	60,000	31,648	61,800	61,800
Total Revenue	7,160,285	6,769,200	6,394,109	7,087,260	7,064,710
Total Source of Funds	11,077,033	12,025,716	11,650,625	14,295,688	13,420,833
Expenditures					
Salaries	395,361	369,976	322,329	389,052	390,995
Retirement and Benefits	223,260	199,100	171,564	213,382	206,495
Right of Way Rental Expense	289,564	220,500	220,500	231,525	231,525
Materials/Services/Supplies	6,670	36,142	6,814	36,887	36,887
Resource/Production	4,737,990	4,411,600	4,411,600	4,883,600	4,883,600
Services From Other Funds - Cost	115,549	87,571	87,571	91,113	90,244
Allocation Plan					
Interfund Services	2,123	24,124	24,124	24,724	28,192
Total Expenditures	5,770,517	5,349,013	5,244,502	5,870,283	5,867,938
Transfers To					
Recycled Water Capital Fund	50,000	50,000	50,000	2,550,000	550,000
Total Transfers To	50,000	50,000	50,000	2,550,000	550,000
Ending Fund Balance					
Encumbrance Carryover	1,010,000	1,010,000	1,010,000	860,000	1,010,000
Rate Stabilization Reserve	0	1,690,000	1,340,000	627,420	2,327,420
Operations and Maintenance Reserve	0	1,560,129	1,560,129	1,467,571	1,467,571
Construction Reserve	0	2,250,000	2,250,000	2,550,000	1,943,180
Pension Stabilization Reserve	9,599	49,059	49,059	58,871	58,871
Unrestricted	4,236,917	67,515	146,935	311,543	195,853
Total Ending Fund Balance	5,256,516	6,626,703	6,356,123	5,875,405	7,002,895
Total Use of Funds	11,077,033	12,025,716	11,650,625	14,295,688	13,420,833



ENTERPRISE FUNDS | WATER UTILITY FUND (092)

	2018/19 Actual	2019/20 Amended	2019/20 Estimate	2020/21 Adopted	2020/21 Amended
Beginning Fund Balance					
Encumbrance Carryover	2,453,256	2,669,635	2,669,635	2,453,256	2,669,635
Replacement and Improvement Reserve	303,090	303,090	303,090	303,090	303,090
Water Conservation Reserve	33,125	33,125	33,125	33,125	33,125
Rate Stabilization Reserve	0	0	0	244,093	1,519,347
Operations and Maintenance Reserve	0	0	0	2,322,454	1,635,580
Construction Reserve	0	0	0	644,317	644,317
Pension Stabilization Reserve	0	140,672	140,672	997,097	997,097
Unrestricted	8,463,281	11,521,161	11,521,161	351,118	3,991,854
Total Beginning Fund Balance	11,252,752	14,667,683	14,667,683	7,348,550	11,794,045
Revenue					
Charges for Services	47,222,144	51,200,000	50,389,137	54,990,000	54,990,000
Other Agencies Revenue	27,372	0	0	0	0
Other Fees for Services	1,734,657	1,050,000	1,650,000	1,081,500	1,350,000
Interest	483,203	570,000	350,000	587,100	275,000
Rents	52,066	0	127,986	0	0
Other Revenue	707,760	591,144	591,144	605,287	605,287
Total Revenue	50,227,202	53,411,144	53,108,267	57,263,887	57,220,287
Transfers From					
Water Utility Capital Fund	0	16,849	16,849	0	0
Total Transfers From	0	16,849	16,849	0	0
Total Source of Funds	61,479,954	68,095,676	67,792,799	64,612,437	69,014,332
Expenditures					
Salaries	3,814,499	5,448,363	3,968,706	5,691,344	5,779,435
Retirement and Benefits	2,300,731	2,784,663	2,398,400	2,974,904	2,943,764
Right of Way Rental Expense	1,808,000	1,898,400	1,898,400	1,993,320	1,993,320
Materials/Services/Supplies	2,276,531	3,183,917	3,183,917	3,023,741	3,023,741
Resource/Production	28,031,447	28,681,500	27,223,606	30,788,700	30,788,700
Services From Other Funds	25,168	25,168	25,168	25,168	25,168
Services From Other Funds - Cost Allocation Plan	3,152,223	2,850,772	2,850,772	2,902,887	2,875,811
Interfund Services	957,103	1,576,302	1,576,302	1,595,633	1,676,828
Capital Outlay	(5,000)	0	0	0	0
Total Expenditures	42,360,702	46,449,085	43,125,271	48,995,697	49,106,767
Transfers To					
General Government Capital Fund	110,880	145,748	145,748	816,049	175,120
Electric Utility Capital Fund	450,000	437,000	437,000	335,750	37,500
Water Utility Capital Fund	3,890,689	12,290,735	12,290,735	6,580,000	4,110,000
Total Transfers To	4,451,569	12,873,483	12,873,483	7,731,799	4,322,620



ENTERPRISE FUNDS | WATER UTILITY FUND (092)

	2018/19 Actual	2019/20 Amended	2019/20 Estimate	2020/21 Adopted	2020/21 Amended
Ending Fund Balance					
Encumbrance Carryover	2,669,635	2,669,635	2,669,635	2,453,256	2,669,635
Replacement and Improvement Reserve	303,090	303,090	303,090	303,090	303,090
Water Conservation Reserve	33,125	33,125	33,125	33,125	33,125
Rate Stabilization Reserve	0	1,519,347	1,519,347	512,000	2,519,347
Operations and Maintenance Reserve	0	1,635,580	1,635,580	2,449,785	5,635,580
Construction Reserve	0	644,317	644,317	644,317	2,144,317
Pension Stabilization Reserve	140,672	997,097	997,097	1,196,516	1,196,516
Unrestricted	11,521,161	970,917	3,991,854	292,852	1,083,335
Total Ending Fund Balance	14,667,683	8,773,108	11,794,045	7,884,941	15,584,945
Total Use of Funds	61,479,954	68,095,676	67,792,799	64,612,437	69,014,332

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Internal Service Funds

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INTERNAL SERVICE FUNDS

Internal Service Funds are used to finance and account for special activities and services performed by a designated department for other departments in the City on a cost reimbursement basis.

Communication Acquisitions Fund (048)

This fund is managed by the Police Department and accounts for the maintenance and replacement of communication equipment used by various City departments. The source of revenue for this fund is fees charged to the departments that require this type of maintenance and replacement.

Fleet Operations Fund (053)

This fund is managed by the Department of Public Works and accounts for the maintenance of City-owned vehicles and equipment used by all departments. The source of revenue for this fund is fees charged to the departments requiring vehicle maintenance.

Information Technology Services Fund (045)

This fund is newly established in FY 2019/20 and managed by the Information Technology Department. This fund accounts for citywide costs associated with computer and telephone equipment replacement as well as other services including new or upgraded software, additional licenses for new users, and general information technology services provided to all City departments. The source of revenue for this fund is fees charged to the departments that require information technology services.

Public Works Capital Projects Management Fund (044)

This fund is newly established in FY 2019/20 and managed by the Department of Public Works. This fund accounts for the Public Works staff time and non-personnel costs utilized to manage and work on specific capital improvement programs of the City. The source of revenue for this fund is fees charged to the departments requiring Public Works capital project services.

Special Liability Insurance Fund (082)

This fund accounts for liability exposures to the City, including general liability, auto liability, errors and omissions, and employment practices. The City's property coverage provides for property and boiler and machinery insurance for physical damage to buildings and other specific structures. The Special Liability Insurance Fund insures all City-owned property as well as property in the City's care, custody or control, and property the City is contractually obligated to insure. The source of revenue for this fund is fees charged to the departments citywide.



Unemployment Insurance Fund (087)

This fund is managed by the Human Resources Department and accounts for the cost of unemployment insurance claims. The source of revenue for this fund is fees charged to the departments citywide.

The reserve decreases in FY 2019/20 and FY 2020/21 are due to the drawing down of fund balance in an effort to limit the General Fund subsidy.

Vehicle Replacement Fund (050)

This fund is managed by the Department of Public Works and accounts for the lifecycle, replacement, procurement, up-fit, and disposal of all vehicles used by City departments. The source of revenue for this fund is fees charged to the departments requiring these services.

Workers' Compensation Fund (081)

This fund is managed by the Human Resources Department and accounts for the costs of premiums, claims administration, and claims expenses related to injuries or illnesses sustained by members of the City's workforce. The source of revenue for this fund is fees charged to departments citywide. Department allocations are determined using prior year actuals and the frequency and severity of claims. This fund also includes budget for an actuarial study for workers' compensation.

This is the second fiscal year of the biennial operating budget. The following section details the City of Santa Clara's Internal Service Funds' Statements of Sources and Uses and their Amended Budget for Fiscal Year 2020/21.



INTERNAL SERVICE FUNDS | COMMUNICATION
ACQUISITIONS FUND (048)

	2018/19 Actual	2019/20 Amended	2019/20 Estimate	2020/21 Adopted	2020/21 Amended
Beginning Fund Balance					
Unrestricted	1,127,994	664,947	664,947	877,384	747,258
Total Beginning Fund Balance	1,127,994	664,947	664,947	877,384	747,258
Revenue					
Other Fees for Services	400,000	482,311	482,311	400,000	536,853
Total Revenue	400,000	482,311	482,311	400,000	536,853
Total Source of Funds	1,527,994	1,147,258	1,147,258	1,277,384	1,284,111
Expenditures					
Capital Outlay	863,047	575,631	400,000	532,654	536,853
Total Expenditures	863,047	575,631	400,000	532,654	536,853
Ending Fund Balance					
Unrestricted	664,947	571,627	747,258	744,730	747,258
Total Ending Fund Balance	664,947	571,627	747,258	744,730	747,258
Total Use of Funds	1,527,994	1,147,258	1,147,258	1,277,384	1,284,111



INTERNAL SERVICE FUNDS | FLEET OPERATIONS FUND (053)

	2018/19 Actual	2019/20 Amended	2019/20 Estimate	2020/21 Adopted	2020/21 Amended
Beginning Fund Balance					
Encumbrance Carryover	0	35,000	35,000	0	35,000
Unrestricted	625,939	283,041	283,041	252,454	324,869
Total Beginning Fund Balance	625,939	318,041	318,041	252,454	359,869
Revenue					
Other Agencies Revenue	10,344	2,500	2,500	2,500	2,500
Other Fees for Services	4,616,383	5,071,937	5,071,937	5,223,195	5,159,221
Other Revenue	486	3,000	3,000	3,000	3,000
Total Revenue	4,627,213	5,077,437	5,077,437	5,228,695	5,164,721
Total Source of Funds	5,253,152	5,395,478	5,395,478	5,481,149	5,524,590
Expenditures					
Salaries	1,581,541	1,655,104	1,655,104	1,716,515	1,786,820
Retirement and Benefits	915,783	902,175	902,175	957,898	965,222
Materials/Services/Supplies	2,244,989	2,312,925	2,282,925	2,294,765	2,294,765
Services From Other Funds - Cost					
Allocation Plan	9,408	0	0	0	0
Interfund Services	166,091	195,405	195,405	200,872	224,908
Total Expenditures	4,917,812	5,065,609	5,035,609	5,170,050	5,271,715
Transfers To					
General Government Capital Fund	17,300	0	0	0	0
Total Transfers To	17,300	0	0	0	0
Ending Fund Balance					
Encumbrance Carryover	35,000	35,000	35,000	0	35,000
Unrestricted	283,040	294,869	324,869	311,099	217,875
Total Ending Fund Balance	318,040	329,869	359,869	311,099	252,875
Total Use of Funds	5,253,152	5,395,478	5,395,478	5,481,149	5,524,590



INTERNAL SERVICE FUNDS | INFORMATION TECHNOLOGY SERVICES FUND (045)

	2018/19 Actual	2019/20 Amended	2019/20 Estimate	2020/21 Adopted	2020/21 Amended
Beginning Fund Balance					
Encumbrance Carryover	0	0	0	0	1,170,040
Unrestricted	0	0	0	0	1,518,912
Total Beginning Fund Balance	0	0	0	0	2,688,952
Revenue					
Other Fees for Services	0	13,314,370	13,314,370	13,252,509	13,248,605
Total Revenue	0	13,314,370	13,314,370	13,252,509	13,248,605
Transfers From					
General Fund	0	1,170,040	1,170,040	0	0
Total Transfers From	0	1,170,040	1,170,040	0	0
Total Source of Funds	0	14,484,410	14,484,410	13,252,509	15,937,557
Expenditures					
Salaries	0	1,179,304	1,179,304	1,231,842	1,336,131
Retirement and Benefits	0	581,393	520,088	621,496	603,170
Materials/Services/Supplies	0	11,510,114	10,046,467	11,354,306	11,252,306
Interfund Services	0	43,559	43,559	44,865	56,998
Capital Outlay	0	0	6,040	0	0
Total Expenditures	0	13,314,370	11,795,458	13,252,509	13,248,605
Ending Fund Balance					
Encumbrance Carryover	0	1,170,040	1,170,040	0	1,170,040
Unrestricted	0	0	1,518,912	0	1,518,912
Total Ending Fund Balance	0	1,170,040	2,688,952	0	2,688,952
Total Use of Funds	0	14,484,410	14,484,410	13,252,509	15,937,557



INTERNAL SERVICE FUNDS | PUBLIC WORKS CAPITAL PROJECTS MANAGEMENT FUND (044)

	2018/19 Actual	2019/20 Amended	2019/20 Estimate	2020/21 Adopted	2020/21 Amended
Beginning Fund Balance					
Unrestricted	0	0	0	0	90,123
Total Beginning Fund Balance	0	0	0	0	90,123
Revenue					
Other Fees for Services	0	3,723,666	3,723,666	3,763,943	3,821,799
Total Revenue	0	3,723,666	3,723,666	3,763,943	3,821,799
Total Source of Funds	0	3,723,666	3,723,666	3,763,943	3,911,922
Expenditures					
Salaries	0	2,004,346	1,984,194	2,083,601	2,151,722
Retirement and Benefits	0	1,038,809	974,136	1,105,080	1,094,815
Materials/Services/Supplies	0	449,952	449,952	344,450	344,450
Services From Other Funds - Cost Allocation Plan	0	57,478	57,478	59,802	58,827
Interfund Services	0	173,081	167,783	171,010	185,662
Total Expenditures	0	3,723,666	3,633,543	3,763,943	3,835,476
Ending Fund Balance					
Unrestricted	0	0	90,123	0	76,446
Total Ending Fund Balance	0	0	90,123	0	76,446
Total Use of Funds	0	3,723,666	3,723,666	3,763,943	3,911,922



INTERNAL SERVICE FUNDS | SPECIAL LIABILITY
INSURANCE FUND (082)

	2018/19 Actual	2019/20 Amended	2019/20 Estimate	2020/21 Adopted	2020/21 Amended
Beginning Fund Balance					
Encumbrance Carryover	883,857	1,637,339	1,637,339	883,857	1,637,339
Reserve for Future Claims	4,000,000	3,724,901	3,724,901	4,000,000	4,000,000
Unrestricted	(98,621)	(35)	(35)	0	4,009,901
Total Beginning Fund Balance	4,785,236	5,362,205	5,362,205	4,883,857	9,647,240
Revenue					
Other Fees for Services	3,500,400	4,399,866	4,399,866	4,531,862	6,001,170
Other Revenue	146,213	0	788,200	0	0
Total Revenue	3,646,613	4,399,866	5,188,066	4,531,862	6,001,170
Transfers From					
Electric Utility	0	0	416,063	0	0
General Fund	2,376,870	6,500,000	6,500,000	0	0
Total Transfers From	2,376,870	6,500,000	6,916,063	0	0
Total Source of Funds	10,808,719	16,262,071	17,466,334	9,415,719	15,648,410
Expenditures					
Materials/Services/Supplies	3,760,516	6,367,063	5,662,183	2,524,530	3,450,956
Interfund Services	1,685,998	1,948,866	2,156,911	2,007,332	2,550,214
Total Expenditures	5,446,514	8,315,929	7,819,094	4,531,862	6,001,170
Ending Fund Balance					
Encumbrance Carryover	1,637,339	1,637,339	1,637,339	883,857	1,637,339
Reserve for Future Claims	3,724,901	4,000,000	4,000,000	4,000,000	8,009,901
Unrestricted	(35)	2,308,803	4,009,901	0	0
Total Ending Fund Balance	5,362,205	7,946,142	9,647,240	4,883,857	9,647,240
Total Use of Funds	10,808,719	16,262,071	17,466,334	9,415,719	15,648,410



INTERNAL SERVICE FUNDS | UNEMPLOYMENT INSURANCE FUND (087)

	2018/19 Actual	2019/20 Amended	2019/20 Estimate	2020/21 Adopted	2020/21 Amended
Beginning Fund Balance					
Unrestricted	303,734	316,565	316,565	234,734	247,565
Total Beginning Fund Balance	303,734	316,565	316,565	234,734	247,565
Revenue					
Other Fees for Services	71,000	0	0	0	0
Total Revenue	71,000	0	0	0	0
Total Source of Funds	374,734	316,565	316,565	234,734	247,565
Expenditures					
Materials/Services/Supplies	58,169	69,000	69,000	71,000	71,000
Total Expenditures	58,169	69,000	69,000	71,000	71,000
Ending Fund Balance					
Unrestricted	316,565	247,565	247,565	163,734	176,565
Total Ending Fund Balance	316,565	247,565	247,565	163,734	176,565
Total Use of Funds	374,734	316,565	316,565	234,734	247,565



INTERNAL SERVICE FUNDS | VEHICLE REPLACEMENT FUND (050)

	2018/19 Actual	2019/20 Amended	2019/20 Estimate	2020/21 Adopted	2020/21 Amended
Beginning Fund Balance					
Encumbrance Carryover	1,497,420	1,161,799	1,161,799	1,497,420	1,161,799
Unrestricted	2,967,081	2,990,791	2,990,791	3,590,372	2,893,633
Total Beginning Fund Balance	4,464,501	4,152,590	4,152,590	5,087,792	4,055,432
Revenue					
Other Fees for Services	3,349,893	3,280,935	3,280,935	3,411,727	3,368,289
Other Revenue	233,996	90,000	95,907	90,000	90,000
Total Revenue	3,583,889	3,370,935	3,376,842	3,501,727	3,458,289
Transfers From					
General Fund	0	425,000	425,000	0	0
General Government Capital Fund	49,326	0	0	0	0
Total Transfers From	49,326	425,000	425,000	0	0
Total Source of Funds	8,097,716	7,948,525	7,954,432	8,589,519	7,513,721
Expenditures					
Capital Outlay (from Equipment Replacement Reserve)	224,752	0	0	0	0
Capital Outlay	3,720,374	3,899,000	3,899,000	2,995,500	2,995,500
Total Expenditures	3,945,126	3,899,000	3,899,000	2,995,500	2,995,500
Ending Fund Balance					
Encumbrance Carryover	1,161,799	1,161,799	1,161,799	1,497,420	1,161,799
Unrestricted	2,990,791	2,887,726	2,893,633	4,096,599	3,356,422
Total Ending Fund Balance	4,152,590	4,049,525	4,055,432	5,594,019	4,518,221
Total Use of Funds	8,097,716	7,948,525	7,954,432	8,589,519	7,513,721



INTERNAL SERVICE FUNDS | WORKERS' COMPENSATION FUND (081)

	2018/19 Actual	2019/20 Amended	2019/20 Estimate	2020/21 Adopted	2020/21 Amended
Beginning Fund Balance					
Reserve for Future Claims	1,125,000	1,125,000	1,125,000	2,500,000	2,500,000
Unrestricted	1,675,673	1,000,302	1,000,302	1,199,707	1,231,755
Total Beginning Fund Balance	2,800,673	2,125,302	2,125,302	3,699,707	3,731,755
Revenue					
Other Fees for Services	4,000,000	4,803,000	4,803,000	4,947,090	5,572,738
Other Revenue	751,082	0	180,000	0	0
Total Revenue	4,751,082	4,803,000	4,983,000	4,947,090	5,572,738
Transfers From					
General Fund	0	1,426,453	1,426,453	0	0
Total Transfers From	0	1,426,453	1,426,453	0	0
Total Source of Funds	7,551,755	8,354,755	8,534,755	8,646,797	9,304,493
Expenditures					
Materials/Services/Supplies	4,397,011	3,560,500	4,029,916	3,667,315	4,578,738
Interfund Services	1,029,442	1,242,500	773,084	1,279,775	994,000
Total Expenditures	5,426,453	4,803,000	4,803,000	4,947,090	5,572,738
Ending Fund Balance					
Reserve for Future Claims	1,125,000	2,500,000	2,500,000	2,500,000	2,500,000
Unrestricted	1,000,302	1,051,755	1,231,755	1,199,707	1,231,755
Total Ending Fund Balance	2,125,302	3,551,755	3,731,755	3,699,707	3,731,755
Total Use of Funds	7,551,755	8,354,755	8,534,755	8,646,797	9,304,493

Capital Improvement Program Funds

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CAPITAL IMPROVEMENT PROGRAM FUNDS

Capital Improvement Program Funds are established to account for specific revenues and expenditures that are earmarked for major improvements to the City's infrastructure, replacements and upgrades to City assets, and improvements and upgrades to the City's utilities. The City of Santa Clara has various capital funds set up for different types of capital projects.

Cemetery Capital Fund (593)

The capital projects included in this fund are related to construction, engineering, repairs, and design of the two City-owned cemeteries.

Electric Utility Capital Fund (591)

This fund accounts for projects pertaining to system improvements, substation construction, and technology projects. Customer service charges, developer contributions, and transfers from other enterprise funds are the primary source of revenue for these projects.

Fire Department Capital Fund (536)

This fund tracks projects that include the replacement of firefighting equipment, emergency generators, and firehouse safety systems. Grants and donations as well as the General Fund provide the funding for these projects.

General Government Capital Fund (539)

This fund accounts for projects primarily related to the Convention Center and Information Technology projects, supported by revenues from developer contributions, grants, and transfers from the City's enterprise funds and the General Fund.

Library Department Capital Fund (537)

This fund tracks projects associated with the capital maintenance, construction, safety and appearance of library facilities, primarily funded by the General Fund.

Parks and Recreation Capital Fund (532)

This fund accounts for the projects associated with the acquisition, development, and capital improvement of neighborhood and community parks, recreation facilities, and open space to meet the needs of the City residents. Funding sources for these projects include developer contributions, grants and donations, parks mitigation fees and Quimby Act fees.

Public Buildings Capital Fund (538)

The projects in this fund are associated with repairs and capital maintenance for public buildings, including mechanical and electrical systems, primarily funded by the General Fund.



Recycled Water Capital Fund (597)

This fund tracks projects related to the installation of recycled water mains, including extensions to existing service and for new developments, supported by recycled water customer service charges.

Related Santa Clara Developer Fund (540)

This fund tracks the financial resources from the Developer to fund City staff in pre-development and permit processing efforts for the Related Santa Clara project.

Sewer Utility Capital Fund (594)

This fund tracks projects related to the construction and upkeep of the City's sewer system including sewer mains and the City of Santa Clara/San José wastewater treatment facility. These projects are funded through customer service charges, connection charges, debt financing, and the sewer conveyance fee.

Solid Waste Capital Fund (596)

The capital projects included in this fund are related to the construction of wells, well abandonments, erosion, maintenance of landfill gas, and miscellaneous landfill monitoring requirements. Funding sources for these projects include lease revenues and customer service charges.

Storm Drain Capital Fund (535)

The projects in the Storm Drain Capital Fund contribute to the expansion, construction, and rehabilitation of the City's storm drain system. Primary funding sources include the General Fund and developer-funded storm drain charges.

Street Lighting Capital Fund (534)

This fund accounts for projects related to the replacement and installation of citywide street lighting to provide adequate lighting for streets, new developments, and parking lots. These projects are funded via customer service charges, developer contributions, and electric public benefits charges.

Streets and Highways Capital Fund (533)

This fund tracks the City's projects that are related to the maintenance of local transportation facilities, traffic infrastructure, street rehabilitation, sidewalk, curb, and gutter improvements. Primary funding sources for these types of projects include traffic mitigation fees, gas tax, and Road Maintenance and Rehabilitation Account proceeds. Projects from several capital funds: Special Gas Tax, Traffic Mitigation and Street Beautification have been consolidated into the Streets and Highways Fund as of FY 2018/19.

Water Utility Capital Fund (592)

The projects in this fund pertain to the construction and upkeep of the water system including water mains, hydrants, wells and pumps, storage tanks, and the asset management system. Customer service charges and developer contributions are the primary sources for these projects.

The following section details the City of Santa Clara's Capital Improvement Program Funds' Statements of Sources and Uses and their Proposed Biennial Capital Budget for Fiscal Years 2020/21 and 2021/22, and the five-year planning period.

CAPITAL IMPROVEMENT PROGRAM FUNDS | CEMETERY CAPITAL FUND (593)

	2019/20 Estimate	2020/21 Proposed	2021/22 Proposed	2022/23 Proposed	2023/24 Proposed	2024/25 Proposed	Five-Year CIP Total
Beginning Fund Balance							
Unrestricted	28,085	0	0	0	0	0	0
Total Beginning Fund Balance	28,085	0	0	0	0	0	0
Transfers From							
General Fund Capital Projects Reserve	0	300,000	0	0	0	0	300,000
Total Transfers From	0	300,000	0	0	0	0	300,000
Total Source of Funds	28,085	300,000	0	0	0	0	300,000
Expenditures							
NEW - 325 - Sarah E. Fox Mausoleum Repairs	0	300,000	0	0	0	0	300,000
Total Expenditures	0	300,000	0	0	0	0	300,000
Transfers Out							
General Fund	28,085	0	0	0	0	0	0
Total Transfers Out	28,085	0	0	0	0	0	0
Ending Fund Balance							
Unrestricted	0	0	0	0	0	0	0
Total Ending Fund Balance	0						
Total Use of Funds	28,085	300,000	0	0	0	0	300,000

CAPITAL IMPROVEMENT PROGRAM FUNDS | ELECTRIC UTILITY CAPITAL FUND (591)

	2019/20 Estimate	2020/21 Proposed	2021/22 Proposed	2022/23 Proposed	2023/24 Proposed	2024/25 Proposed	Five-Year CIP Total
Beginning Fund Balance							
Encumbrance Carryover	3,771,017	3,771,017	3,771,017	3,771,017	3,771,017	3,771,017	3,771,017
Reserve for Public Benefits Program	62,553	0	0	0	0	0	0
Unrestricted	102,186,207	16,599,725	6,599,725	6,599,725	6,599,725	6,599,725	16,599,725
Total Beginning Fund Balance	106,019,777	20,370,742	10,370,742	10,370,742	10,370,742	10,370,742	20,370,742
Revenue							
Grant Funding	499,280	0	0	0	0	0	0
Other Revenue	28,145,197	22,579,150	14,067,854	4,266,116	4,872,848	3,685,439	49,471,407
Total Revenue	28,644,477	22,579,150	14,067,854	4,266,116	4,872,848	3,685,439	49,471,407
Transfers From							
General Fund - Capital Projects Reserve	330,000	0	330,000	0	0	0	330,000
Storm Drain Capital Fund	6,000	0	0	0	0	0	0
Solid Waste Fund	129,000	0	0	0	0	0	0
Sewer Utility Fund	276,000	37,500	0	0	0	0	37,500
Water Utility Fund	437,000	37,500	0	0	0	0	37,500
Electric Operating Grant Trust Fund	1,500,000	2,150,082	4,867,155	1,151,146	1,159,322	1,168,052	10,495,757
Electric Utility Fund	29,722,804	23,068,370	37,273,644	24,980,415	17,760,822	15,079,832	118,163,083
Total Transfers From	32,400,804	25,293,452	42,470,799	26,131,561	18,920,144	16,247,884	129,063,840
Total Source of Funds	167,065,058	68,243,344	66,909,395	40,768,419	34,163,734	30,304,065	198,905,989
Expenditures							
2407 - Bucks Creek Relicensing	1,160,897	0	0	0	0	0	0
2398 - Clean Energy and Carbon Reduction	1,475,020	1,350,000	0	0	0	0	1,350,000
2411 - Control Center Transmission Outage Application Suite (TOA)	159,789	0	0	0	0	0	0
2123 - Customer Service Program Development	363,922	0	0	0	0	0	0
2413 - Distributed Antenna System Development	1,300,168	0	0	0	0	0	0
2006 - Distribution Capital Maintenance and Betterments	4,701,244	3,645,000	1,000,000	2,000,000	2,000,000	2,000,000	10,645,000
2435 - DOT Gas Pipeline Upgrades and Repairs	1,100,000	0	0	0	0	0	0

CAPITAL IMPROVEMENT PROGRAM FUNDS | ELECTRIC UTILITY CAPITAL FUND (591)

	2019/20 Estimate	2020/21 Proposed	2021/22 Proposed	2022/23 Proposed	2023/24 Proposed	2024/25 Proposed	Five-Year CIP Total
Expenditures							
2425 - DVR Gas Turbine Control System Upgrade	1,393,488	0	0	0	0	0	0
2426 - DVR SCR and CO Catalyst Replacement	910,235	0	0	0	0	0	0
2499 - Electric Capital Project Management	0	4,341,402	4,209,313	4,401,742	4,545,266	4,702,665	22,200,388
2441 - Electric Vehicle (EV) Charging	1,500,000	0	1,000,000	1,000,000	1,000,000	1,000,000	4,000,000
2010 - Electric Yard Buildings and Grounds	735,541	575,000	300,000	200,000	200,000	200,000	1,475,000
2418 - Esperanca Substation	750,000	1,350,000	16,640,000	925,000	0	0	18,915,000
2004 - Fiber Development, Design, and Expansion	2,869,223	0	530,000	450,000	450,000	450,000	1,880,000
2119 - Generation Capital Maintenance and Betterments	1,824,794	7,550,000	3,725,000	2,000,000	2,000,000	2,000,000	17,275,000
2431 - Homestead Substation Rebuild	500,000	1,050,000	7,250,000	8,750,000	1,500,000	0	18,550,000
2439 - Hydro Controls Upgrade	250,000	0	0	0	0	0	0
2111 - Implementation of Advanced Metering Infrastructure (AMI)	2,015,367	2,984,200	3,688,840	417,560	0	0	7,090,600
2403 - Install Fairview Substation	8,864,376	0	0	0	0	0	0
2404 - Install Phase Shifting Transformer at NRS	3,898,473	0	0	0	0	0	0
2127 - Major Engine Overhaul and Repair	17,381,795	2,200,000	4,600,000	4,975,000	4,500,000	4,975,000	21,250,000
2427 - Mitsubishi Steam Turbine Major Overhaul	2,573,126	0	0	0	0	0	0
2390 - Network and Cyber Security Infrastructure	1,303,824	4,462,000	2,208,000	0	0	0	6,670,000
2005 - New Business Estimate Work	5,400,484	3,750,000	3,862,500	3,978,375	4,097,726	4,220,658	19,909,259
2422 - Northwestern Substation Expansion	1,500,183	0	0	0	0	0	0
2440 - Oaks Junction	450,000	2,500,000	0	0	0	0	2,500,000
2395 - Operations and Planning Technology	673,303	1,955,000	0	0	0	0	1,955,000
2420 - Overhead Infrastructure Replacement	2,000,035	0	0	0	0	0	0
2433 - Parker Substation	773,342	0	0	0	0	0	0
2436 - Piping System Repairs	418,107	0	0	0	0	0	0
2125 - Public Benefits Program	880,271	0	0	0	0	0	0
2421 - Relocation of Electric Crossings for CalTrain	2,493,011	0	0	0	0	0	0
2410 - Replace 115/60kV Transformers	18,090,420	0	0	0	0	0	0
2434 - Replace Balance of Plant Control System (DCS)	1,600,000	0	0	0	0	0	0
2442 - San Tomas Junction	1,300,000	3,750,000	1,000,000	0	0	0	4,750,000
2108 - SCADA System II Phase II	93,775	0	0	0	0	0	0
2104 - Serra Substation Re-Build	17,980,570	0	0	0	0	0	0

CAPITAL IMPROVEMENT PROGRAM FUNDS | ELECTRIC UTILITY CAPITAL FUND (591)

	2019/20 Estimate	2020/21 Proposed	2021/22 Proposed	2022/23 Proposed	2023/24 Proposed	2024/25 Proposed	Five-Year CIP Total
Expenditures							
2126 - Silicon Valley Power Utility Center	18,314,045	0	0	0	0	0	0
2429 - Storm Water Compliance	400,000	0	0	0	0	0	0
2008 - Substation Capital Maintenance & Betterments	1,632,473	0	0	0	0	0	0
2409 - Substation Protective Relay	260,144	0	0	0	0	0	0
2424 - Substation Physical Security Improvements	753,966	0	0	0	0	0	0
2007 - Transmission Capital Maintenance and Betterments	250,000	0	0	0	0	0	0
2124 - Transmission System Reinforcements	8,066,964	0	0	0	0	0	0
2438 - Underground/Above Ground Tank Replacement and	375,000	0	0	0	0	0	0
2423 - Utility Billing CIS Replacement	3,500,000	0	0	0	0	0	0
2406 - Utility Communications Infrastructure Betterments	150,000	0	0	0	0	0	0
2437 - Valve Replacement and Repair	348,253	0	0	0	0	0	0
2432 - Yard Pavement Project	890,000	0	0	0	0	0	0
NEW - 242 - Laurelwood Substation	1,000,000	12,750,000	2,500,000	0	0	0	15,250,000
NEW - 243 - Northwest Loop Capacity Upgrade	0	0	300,000	1,300,000	3,500,000	385,000	5,485,000
NEW - 244 - NRS Breaker 382 Addition	0	2,860,000	0	0	0	0	2,860,000
NEW - 247 - Renewable Energy Microgrid	0	600,000	3,725,000	0	0	0	4,325,000
NEW - 248 - Substation Control and Communication System Replacement	0	200,000	0	0	0	0	200,000
Total Expenditures	146,625,628	57,872,602	56,538,653	30,397,677	23,792,992	19,933,323	188,535,247
Transfers To							
Streets and Highways Fund	68,688	0	0	0	0	0	0
Total Transfers To	68,688	0	0	0	0	0	0
Ending Fund Balance							
Encumbrance Carryover	3,771,017	3,771,017	3,771,017	3,771,017	3,771,017	3,771,017	3,771,017
Unrestricted	16,599,725	6,599,725	6,599,725	6,599,725	6,599,725	6,599,725	6,599,725
Total Ending Fund Balance	20,370,742	10,370,742	10,370,742	10,370,742	10,370,742	10,370,742	10,370,742
Total Use of Funds	167,065,058	68,243,344	66,909,395	40,768,419	34,163,734	30,304,065	198,905,989

CAPITAL IMPROVEMENT PROGRAM FUNDS | FIRE DEPARTMENT CAPITAL FUND (536)

	2019/20 Estimate	2020/21 Proposed	2021/22 Proposed	2022/23 Proposed	2023/24 Proposed	2024/25 Proposed	Five-Year CIP Total
Beginning Fund Balance							
Encumbrance Carryover	121,522	121,310	121,310	121,310	121,310	121,310	121,310
Unrestricted	603,144	0	0	0	0	0	0
Total Beginning Fund Balance	724,666	121,310	121,310	121,310	121,310	121,310	121,310
Transfers From							
General Fund - Capital Projects Reserve	645,000	287,001	289,310	247,536	234,374	234,374	1,292,595
General Fund	20,049	3,795	3,928	4,066	4,208	4,355	20,352
Total Transfers From	665,049	290,796	293,238	251,602	238,582	238,729	1,312,947
Total Source of Funds	1,389,715	412,106	414,548	372,912	359,892	360,039	1,434,257
Expenditures							
4094 - Computer Aided Dispatch (CAD) Alerting System Upgrade	538,456	0	0	0	0	0	0
4097 - Diesel Exhaust Removal Systems	35,000	0	0	0	0	0	0
4086 - Emergency Operations Center Capital Refurbishment	35,573	0	0	0	0	0	0
4085 - Emergency Operations Center Communications System	40,599	0	0	0	0	0	0
4095 - Emergency Response Technology Updates	11,148	0	0	0	0	0	0
4088 - EMS System First Responder Projects	13,116	0	0	0	0	0	0
4084 - Protective Equipment Replacement	484,987	227,001	289,310	247,536	234,374	234,374	1,232,595
4099 - PW Capital Projects Management	20,049	3,795	3,928	4,066	4,208	4,355	20,352
NEW - Fire Station 8 Fixture Furniture & Equipment	0	60,000	0	0	0	0	60,000
Total Expenditures	1,178,928	290,796	293,238	251,602	238,582	238,729	1,312,947
Transfers To							
General Fund - Capital Projects Reserve	89,477	0	0	0	0	0	0
Total Transfers To	89,477	0	0	0	0	0	0



CAPITAL IMPROVEMENT PROGRAM FUNDS | FIRE DEPARTMENT CAPITAL FUND (536)

	2019/20 Estimate	2020/21 Proposed	2021/22 Proposed	2022/23 Proposed	2023/24 Proposed	2024/25 Proposed	Five-Year CIP Total
Ending Fund Balance							
Encumbrance Carryover	121,310	121,310	121,310	121,310	121,310	121,310	121,310
Unrestricted	0	0	0	0	0	0	0
Total Ending Fund Balance	121,310						
Total Use of Funds	1,389,715	412,106	414,548	372,912	359,892	360,039	1,434,257

CAPITAL IMPROVEMENT PROGRAM FUNDS | GENERAL GOVERNMENT CAPITAL FUND (539)

	2019/20 Estimate	2020/21 Proposed	2021/22 Proposed	2022/23 Proposed	2023/24 Proposed	2024/25 Proposed	Five-Year CIP Total
Beginning Fund Balance							
Encumbrance Carryover	903,224	903,224	903,224	903,224	903,224	903,224	903,224
Unrestricted	13,602,233	0	0	0	0	0	0
Total Beginning Fund Balance	14,505,457	903,224	903,224	903,224	903,224	903,224	903,224
Revenue							
Other Agencies Revenue	207,000	0	0	0	0	0	0
Other Revenue	226,275	0	0	0	0	0	0
Total Revenue	433,275	0	0	0	0	0	0
Transfers From							
Cemetery Fund	2,459	2,741	822	822	822	822	6,029
Electric Utility Fund	583,540	635,578	596,323	596,323	596,323	596,323	3,020,870
General Fund	0	34,269	35,736	37,104	38,373	39,641	185,123
Sewer Utility Fund	81,642	60,258	50,278	50,278	50,278	50,278	261,370
Solid Waste Fund	45,159	38,570	26,621	26,621	26,621	26,621	145,054
Storm Drain Capital Fund	1,000	1,000	1,000	1,000	1,000	1,000	5,000
Water Utility Fund	145,748	175,120	158,936	158,936	158,936	158,936	810,864
General Fund - Advanced Planning Fee Reserve	0	100,000	0	0	0	0	100,000
General Fund - Building Inspection Reserve	0	196,290	1,737	1,737	1,737	1,737	203,238
General Fund - Capital Projects Reserve	1,201,881	1,054,843	389,283	39,283	39,283	39,283	1,561,975
General Fund - Land Sale Reserve	0	1,800,000	0	0	0	0	1,800,000
Total Transfers From	2,061,429	4,098,669	1,260,736	912,104	913,373	914,641	8,099,523
Total Source of Funds	17,000,161	5,001,893	2,163,960	1,815,328	1,816,597	1,817,865	9,002,747
Expenditures							
6541 - 1021-1031 El Camino Real Development Site	11,197	0	0	0	0	0	0
6549 - Agenda and Document Management Systems	202,320	500,000	0	0	0	0	500,000
6003 - Broadband Community System General Government Network	171,518	0	0	0	0	0	0
6118 - CAD/RMS System Replacement	1,501,044	0	0	0	0	0	0

CAPITAL IMPROVEMENT PROGRAM FUNDS | GENERAL GOVERNMENT CAPITAL FUND (539)

	2019/20 Estimate	2020/21 Proposed	2021/22 Proposed	2022/23 Proposed	2023/24 Proposed	2024/25 Proposed	Five-Year CIP Total
Expenditures							
6513 - Computer Replacement Program	145,646	100,000	150,000	0	0	0	250,000
6514 - Consolidate and Upgrade Servers	416,460	50,000	150,000	0	0	0	200,000
6547 - Convention Center Land Purchase	4,108,395	0	0	0	0	0	0
6018 - Convention Center Repairs and Upgrades	316,684	0	0	0	0	0	0
6550 - Cyber Security Risk Mitigation	140,118	0	0	0	0	0	0
6559 - Downtown Master Plan	578,346	100,000	0	0	0	0	100,000
6560 - Downtown Master Plan Implementation	0	1,800,000					1,800,000
6551 - End User/Desktop Transformation	95,851	0	0	0	0	0	0
6501 - FHRMS Update Project	792,201	250,000	75,000	75,000	75,000	75,000	550,000
6552 - Financial Management System Replacement	695,991	0	0	0	0	0	0
6557 - Fire Station Video Conferencing	198,961	0	0	0	0	0	0
6534 - GIS Enterprise System (Geospatial Information System)	753,599	300,000	300,000	300,000	300,000	300,000	1,500,000
6532 - Intranet Collaboration Suite Implementation	175,375	0	0	0	0	0	0
6558 - Morse Mansion Rehabilitation	70,914	50,000	50,000	0	0	0	100,000
6555 - Office Reconfiguration	356,191	0	0	0	0	0	0
6075 - Permit Information System	1,476,516	204,400	0	0	0	0	204,400
6599 - PW Capital Project Management	39,092	34,269	35,736	37,104	38,373	39,641	185,123
6505 - Replace Network Equipment	725,876	210,000	0	0	0	0	210,000
6053 - Telephone System Enhancements	52,118	0	0	0	0	0	0
6103 - Utility Management Information System (UMIS) Enhancements	500,000	500,000	500,000	500,000	500,000	500,000	2,500,000
6521 - Web Content Management System	151,115	0	0	0	0	0	0
6520 - Zoning Code Update	86,851	0	0	0	0	0	0
Total Expenditures	13,762,379	4,098,669	1,260,736	912,104	913,373	914,641	8,099,523
Transfers To							
Electric Utility Fund	171,196	0	0	0	0	0	0
General Fund - Building Inspection Reserve	14,727	0	0	0	0	0	0
General Fund - Capital Projects Reserve	50,534	0	0	0	0	0	0
Public, Educational, and Governmental Fee Fund	2,056,894	0	0	0	0	0	0



CAPITAL IMPROVEMENT PROGRAM FUNDS | GENERAL GOVERNMENT CAPITAL FUND (539)

	2019/20 Estimate	2020/21 Proposed	2021/22 Proposed	2022/23 Proposed	2023/24 Proposed	2024/25 Proposed	Five-Year CIP Total
Transfers To							
Solid Waste Fund	12,120	0	0	0	0	0	0
Sewer Utility Fund	12,238	0	0	0	0	0	0
Water Utility Fund	16,849	0	0	0	0	0	0
Total Transfers To	2,334,558	0	0	0	0	0	0
Ending Fund Balance							
Encumbrance Carryover	903,224	903,224	903,224	903,224	903,224	903,224	903,224
Total Ending Fund Balance	903,224						
Total Use of Funds	17,000,161	5,001,893	2,163,960	1,815,328	1,816,597	1,817,865	9,002,747



CAPITAL IMPROVEMENT PROGRAM FUNDS | LIBRARY DEPARTMENT CAPITAL FUND (537)

	2019/20 Estimate	2020/21 Proposed	2021/22 Proposed	2022/23 Proposed	2023/24 Proposed	2024/25 Proposed	Five-Year CIP Total
Beginning Fund Balance							
Encumbrance Carryover	49,198	49,198	49,198	49,198	49,198	49,198	49,198
Unrestricted	185,436	0	0	0	0	0	0
Total Beginning Fund Balance	234,634	49,198	49,198	49,198	49,198	49,198	49,198
Transfers From							
General Fund	10,633	8,699	0	0	0	0	8,699
Total Transfers From	10,633	8,699	0	0	0	0	8,699
Total Source of Funds	245,267	57,897	49,198	49,198	49,198	49,198	57,897
Expenditures							
5049 - Public Spaces	173,113	0	0	0	0	0	0
5099 - PW Capital Projects Management	10,633	8,699	0	0	0	0	8,699
5044 - Remodel of Mission Branch Library	12,323	0	0	0	0	0	0
Total Expenditures	196,069	8,699	0	0	0	0	8,699
Ending Fund Balance							
Encumbrance Carryover	49,198	49,198	49,198	49,198	49,198	49,198	49,198
Total Ending Fund Balance	49,198						
Total Use of Funds	245,267	57,897	49,198	49,198	49,198	49,198	57,897

CAPITAL IMPROVEMENT PROGRAM FUNDS | PARKS AND RECREATION CAPITAL FUND (532)

	2019/20 Estimate	2020/21 Proposed	2021/22 Proposed	2022/23 Proposed	2023/24 Proposed	2024/25 Proposed	Five-Year CIP Total
Beginning Fund Balance							
Encumbrance Carryover	19,060,356	19,060,356	19,060,356	19,060,356	19,060,356	19,060,356	19,060,356
Unrestricted	16,059,829	8,362,876	14,200,362	16,731,202	13,038,212	12,277,227	8,362,876
Total Beginning Fund Balance	35,120,185	27,423,232	33,260,718	35,791,557	32,098,567	31,337,582	27,423,232
Revenue							
Other Agencies Revenue	1,882,646	0	0	0	0	0	0
Mitigation Fee Act Revenue	6,611,599	10,094,616	12,107,126	0	0	0	22,201,742
Quimby Act Fees	135,000	1,420,202	0	0	0	0	1,420,202
Other Revenue	131,490	1,000,000	0	0	0	0	1,000,000
Developer Contributions	0	500,000	0	0	0	4,500,000	5,000,000
Interest	247,521	0	0	0	0	0	0
Total Revenue	9,008,256	13,014,818	12,107,126	0	0	4,500,000	29,621,944
Transfers From							
General Fund	183,056	200,000	200,000	200,000	200,000	200,000	1,000,000
General Fund - Capital Projects Reserve	277,820	906,880	0	0	0	0	906,880
Total Transfers From	460,876	1,106,880	200,000	200,000	200,000	200,000	1,906,880
Total Source of Funds	44,589,317	41,544,930	45,567,844	35,991,557	32,298,567	36,037,582	58,952,056
Expenditures							
3187 - Bowers Park Roof Replacement	127,820	130,680	0	0	0	0	130,680
3183 - Central Park Arbor Playground	3,700,000	1,000,000	0	0	0	0	1,000,000
3172 - International Swim Center, Community Recreation Center,	138,893	0	0	0	0	0	0
3184 - Montague Park Enhancement	2,579,185	1,100,000	0	0	0	0	1,100,000
3182 - New Neighborhood Park & Community Garden (San Tomas &	126,686	0	0	0	0	0	0
3181 - Park Impact Fees (Quimby, MFA, Developer) Monitoring	464,248	220,000	220,000	230,000	230,000	240,000	1,140,000
3001 - Park Improvements	685,564	0	0	0	0	0	0
3178 - Playground Construction	1,323,337	0	0	0	0	0	0
3199 - PW Capital Projects Management	806,595	646,878	679,257	707,290	730,985	753,825	3,518,235

CAPITAL IMPROVEMENT PROGRAM FUNDS | PARKS AND RECREATION

CAPITAL FUND (532)

	2019/20 Estimate	2020/21 Proposed	2021/22 Proposed	2022/23 Proposed	2023/24 Proposed	2024/25 Proposed	Five-Year CIP Total
Expenditures							
3186 - Restroom at Fairway Glen Park	900,000	0	0	0	0	0	0
3008 - Townsend Field, Washington Ball Park, Elmer Johnson Field	115,000	0	0	0	0	0	0
3179 - Ulistac Natural Area Maintenance	93,513	0	0	0	0	0	0
3177 - Youth Soccer Fields & Athletic Facilities- Reed & Grant Street	2,370,310	0	0	0	0	0	0
3180 - Youth Soccer Park Field #3	512,750	0	0	0	0	0	0
3188 Senior Center Gym Equipment Replacement	183,056	0	0	0	0	0	0
3189 Homeridge Park Playground Rehabilitation	1,111,090	0	0	0	0	0	0
NEW - 311 Westwood Oaks Park Playground Rehabilitation	0	1,149,800	0	0	0	0	1,149,800
NEW - 312 Warburton Park Playground Rehabilitation	0	0	1,331,800	0	0	0	1,331,800
NEW - 313 Rotary Park Playground Rehabilitation	0	235,000	0	0	0	0	235,000
NEW - 315 Maywood Park Playground Rehabilitation	0	0	2,000,000	0	0	0	2,000,000
NEW - 317 Earl Carmichael Park Playground Rehabilitation	0	0	0	2,955,700	0	0	2,955,700
NEW - 318 Community Park North - Phase I	0	500,000	0	0	0	4,500,000	5,000,000
NEW - 320 Central Park Master Plan - New Entrance, Access, and Parking	0	0	2,518,448	0	0	0	2,518,448
NEW - 323 Electronic Access for Meeting Rooms	0	576,200	0	0	0	0	576,200
NEW - 326 Mission Library Gazebo	0	200,000	0	0	0	0	200,000
Total Expenditures	15,238,047	5,758,558	6,749,505	3,892,990	960,985	5,493,825	22,855,863
Transfers To							
General Fund	275,138	2,000	0	0	0	0	2,000
General Fund - Land Sale Reserve (Loan Repayment for Reed and Grant Sports Park)	1,652,900	2,523,654	3,026,782	0	0	0	5,550,436
Total Transfers To	1,928,038	2,525,654	3,026,782	0	0	0	5,552,436
Ending Fund Balance							
Encumbrance Carryover	19,060,356	19,060,356	19,060,356	19,060,356	19,060,356	19,060,356	19,060,356
Unrestricted	8,362,876	14,200,362	16,731,202	13,038,212	12,277,227	11,483,402	11,483,402
Total Ending Fund Balance	27,423,232	33,260,718	35,791,557	32,098,567	31,337,582	30,543,757	30,543,757
Total Use of Funds	44,589,317	41,544,930	45,567,844	35,991,557	32,298,567	36,037,582	58,952,056

CAPITAL IMPROVEMENT PROGRAM FUNDS | PUBLIC BUILDINGS CAPITAL FUND (538)

	2019/20 Estimate	2020/21 Proposed	2021/22 Proposed	2022/23 Proposed	2023/24 Proposed	2024/25 Proposed	Five-Year CIP Total
Beginning Fund Balance							
Encumbrance Carryover	692,587	692,587	692,587	692,587	692,587	692,587	692,587
Unrestricted	8,057,722	122,708	122,708	122,708	122,708	122,708	122,708
Total Beginning Fund Balance	8,750,309	815,295	815,295	815,295	815,295	815,295	815,295
Revenue							
Developer Contributions	1,150,000	0	0	0	0	0	0
Total Revenue	1,150,000	0	0	0	0	0	0
Transfers From							
General Fund	1,250,571	102,751	107,815	112,231	115,999	119,645	558,441
General Fund - Capital Projects Reserve	1,697,000	1,635,000	285,000	0	0	0	1,920,000
Total Transfers From	2,947,571	1,737,751	392,815	112,231	115,999	119,645	2,478,441
Total Source of Funds	12,847,880	2,553,046	1,208,110	927,526	931,294	934,940	3,293,736
Expenditures							
6153 - ADA Self Evaluation and Transition Plan Update	10,529	0	0	0	0	0	0
6147 - Building Security Upgrade	44,989	0	0	0	0	0	0
6085 - Cafeteria Upgrade	8,769	0	0	0	0	0	0
6047 - City Building Assessment	209,210	0	0	0	0	0	0
6150 - City Hall Security & Key System Upgrade	116,735	0	0	0	0	0	0
6144 - Civic Center Campus Renovation (Multi-Department)	1,150,000	100,000	0	0	0	0	100,000
6154 - Convention Center Condition Assessment Repairs	4,150,000	0	0	0	0	0	0
6159 - Fall Safety Building Improvements	300,000	0	0	0	0	0	0
6137 - Hazardous Material Management for Soil and Groundwater on City Properties	191,698	0	0	0	0	0	0
6149 - HVAC Chiller Unit	747,346	0	0	0	0	0	0
6123 - Public Building Parking Lot Improvements	212,105	250,000	0	0	0	0	250,000
6199 - PW Capital Projects Management	100,571	102,751	107,815	112,231	115,999	119,645	558,441
6139 - Repair to Historic Buildings	340,000	100,000	100,000	0	0	0	200,000
6138 - Repairs-Modifications to City Buildings	150,000	150,000	150,000	0	0	0	300,000

CAPITAL IMPROVEMENT PROGRAM FUNDS | PUBLIC BUILDINGS CAPITAL FUND (538)

	2019/20 Estimate	2020/21 Proposed	2021/22 Proposed	2022/23 Proposed	2023/24 Proposed	2024/25 Proposed	Five-Year CIP Total
Expenditures							
6158 - Stationary Standby Generators	3,674,288	1,000,000	0	0	0	0	1,000,000
6054 - Street Corporation Yard Renovation	227,301	0	0	0	0	0	0
6140 - Triton Museum Repair and Modifications	107,360	35,000	35,000	0	0	0	70,000
Total Expenditures	11,740,902	1,737,751	392,815	112,231	115,999	119,645	2,478,441
Transfers Out							
General Fund - Capital Projects Reserve	291,684	0	0	0	0	0	0
Total Transfers Out	291,684	0	0	0	0	0	0
Ending Fund Balance							
Encumbrance Carryover	692,587	692,587	692,587	692,587	692,587	692,587	692,587
Unrestricted	122,708	122,708	122,708	122,708	122,708	122,708	122,708
Total Ending Fund Balance	815,295						
Total Use of Funds	12,847,880	2,553,046	1,208,110	927,526	931,294	934,940	3,293,736

CAPITAL IMPROVEMENT PROGRAM FUNDS | RECYCLED WATER CAPITAL FUND (597)

	2019/20 Estimate	2020/21 Proposed	2021/22 Proposed	2022/23 Proposed	2023/24 Proposed	2024/25 Proposed	Five-Year CIP Total
Beginning Fund Balance							
Unrestricted	1,328,477	1,328,477	1,328,477	1,328,477	1,328,477	1,328,477	1,328,477
Total Beginning Fund Balance	1,328,477						
Transfers From							
Water Recycling Fund	50,000	550,000	50,000	50,000	50,000	50,000	750,000
Total Transfers From	50,000	550,000	50,000	50,000	50,000	50,000	750,000
Total Source of Funds	1,378,477	1,878,477	1,378,477	1,378,477	1,378,477	1,378,477	2,078,477
Expenditures							
7505 - Recycled Water System Mains and Services	50,000	550,000	50,000	50,000	50,000	50,000	750,000
Total Expenditures	50,000	550,000	50,000	50,000	50,000	50,000	750,000
Ending Fund Balance							
Unrestricted	1,328,477	1,328,477	1,328,477	1,328,477	1,328,477	1,328,477	1,328,477
Total Ending Fund Balance	1,328,477						
Total Use of Funds	1,378,477	1,878,477	1,378,477	1,378,477	1,378,477	1,378,477	2,078,477

CAPITAL IMPROVEMENT PROGRAM FUNDS | RELATED SANTA CLARA DEVELOPER FUND (540)

	2019/20 Estimate	2020/21 Proposed	2021/22 Proposed	2022/23 Proposed	2023/24 Proposed	2024/25 Proposed	Five-Year CIP Total
Beginning Fund Balance							
Unrestricted	0	0	0	0	0	0	0
Total Beginning Fund Balance	0						
Revenue							
Developer Contributions	541,065	162,062	1,655,852	2,501,585	2,568,949	2,634,243	9,522,691
Total Revenue	541,065	162,062	1,655,852	2,501,585	2,568,949	2,634,243	9,522,691
Total Source of Funds	541,065	162,062	1,655,852	2,501,585	2,568,949	2,634,243	9,522,691
Expenditures							
4511 - Related General Admin Project	295,522	0	394,696	409,624	420,666	432,244	1,657,230
4512 - Related Permit Work Project	245,543	162,062	1,261,156	2,091,961	2,148,283	2,201,999	7,865,461
Total Expenditures	541,065	162,062	1,655,852	2,501,585	2,568,949	2,634,243	9,522,691
Ending Fund Balance							
Unrestricted	0	0	0	0	0	0	0
Total Ending Fund Balance	0						
Total Use of Funds	541,065	162,062	1,655,852	2,501,585	2,568,949	2,634,243	9,522,691

CAPITAL IMPROVEMENT PROGRAM FUNDS | SEWER UTILITY CAPITAL FUND (594)

	2019/20 Estimate	2020/21 Proposed	2021/22 Proposed	2022/23 Proposed	2023/24 Proposed	2024/25 Proposed	Five-Year CIP Total
Beginning Fund Balance							
Encumbrance Carryover	768,590	768,590	768,590	768,590	768,590	768,590	768,590
Unrestricted	72,417,117	10,867,320	10,867,320	10,867,320	11,296,568	12,225,816	10,867,320
Total Beginning Fund Balance	73,185,707	11,635,910	11,635,910	11,635,910	12,065,158	12,994,406	11,635,910
Revenue							
Other Fees for Services	3,000,000	3,495,752	4,180,752	4,000,000	4,000,000	4,000,000	19,676,504
Other Revenue	17,057	0	0	0	0	0	0
Total Revenue	3,017,057	3,495,752	4,180,752	4,000,000	4,000,000	4,000,000	19,676,504
Transfers From							
Sewer Utility Fund	3,512,000	38,618,599	5,611,748	36,757,915	9,921,600	19,632,939	110,542,801
Sewer Utility Debt Service Fund	20,000,000	0	25,000,000	0	0	0	25,000,000
Water Utility Fund	136,361	0	0	0	0	0	0
Total Transfers From	23,648,361	38,618,599	30,611,748	36,757,915	9,921,600	19,632,939	135,542,801
Total Source of Funds	99,851,125	53,750,261	46,428,410	52,393,825	25,986,758	36,627,345	166,855,215
Expenditures							
1907 - Development Extensions	220,445	0	0	0	0	0	0
1979 - Engineering Management Services Sewer	780,594	744,199	789,658	828,878	1,018,935	1,050,677	4,432,347
1909 - Sanitary Sewer Capacity Improvements	19,132,703	3,000,000	4,110,000	3,000,000	3,000,000	3,000,000	16,110,000
1919 - Sanitary Sewer Hydraulic Modeling As Needed Support	136,361	495,752	300,000	70,752	70,752	300,000	1,237,256
NEW - Sanitary Sewer Master Plan Update	0	0	0	500,000	0	0	500,000
1913 - Sanitary Sewer Pump Station Improvements	269,323	0	0	0	0	0	0
1911 - Sanitary Sewer System Condition Assessment	2,793,613	3,500,000	1,500,000	1,500,000	500,000	0	7,000,000
1912 - Sanitary Sewer System Improvements	8,281,665	2,615,000	2,718,000	2,830,000	2,501,700	2,601,600	13,266,300
1908 - S.J.-S.C. Regional Wastewater Facility	55,850,511	31,759,400	25,374,842	31,599,037	5,900,965	15,751,414	110,385,658
1916 - Walsh Avenue @ San Tomas Aquino Creek Sanitary Sewer Siphon	750,000	0	0	0	0	0	0
Total Expenditures	88,215,215	42,114,351	34,792,500	40,328,667	12,992,352	22,703,691	152,931,561

CAPITAL IMPROVEMENT PROGRAM FUNDS | SEWER UTILITY CAPITAL FUND (594)

	2019/20 Estimate	2020/21 Proposed	2021/22 Proposed	2022/23 Proposed	2023/24 Proposed	2024/25 Proposed	Five-Year CIP Total
Ending Fund Balance							
Encumbrance Carryover	768,590	768,590	768,590	768,590	768,590	768,590	768,590
Unrestricted	10,867,320	10,867,320	10,867,320	11,296,568	12,225,816	13,155,064	13,155,064
Total Ending Fund Balance	11,635,910	11,635,910	11,635,910	12,065,158	12,994,406	13,923,654	13,923,654
Total Use of Funds	99,851,125	53,750,261	46,428,410	52,393,825	25,986,758	36,627,345	166,855,215

CAPITAL IMPROVEMENT PROGRAM FUNDS | SOLID WASTE CAPITAL FUND (596)

	2019/20 Estimate	2020/21 Proposed	2021/22 Proposed	2022/23 Proposed	2023/24 Proposed	2024/25 Proposed	Five-Year CIP Total
Beginning Fund Balance							
Encumbrance Carryover	87,416	150,000	0	0	0	0	150,000
Landfill Post Closure Reserve	600,000	600,000	600,000	600,000	600,000	600,000	600,000
Landfill Corrective Action Costs	750,653	747,715	761,174	774,875	788,823	803,022	747,715
Unrestricted	72,493	0	0	0	0	0	0
Total Beginning Fund Balance	1,510,562	1,497,715	1,361,174	1,374,875	1,388,823	1,403,022	1,497,715
Revenue							
Rents	16,000	16,000	16,000	16,000	16,000	16,000	80,000
Total Revenue	16,000	16,000	16,000	16,000	16,000	16,000	80,000
Transfers From							
Solid Waste Fund	474,000	729,459	621,401	652,833	685,828	720,465	3,409,986
Total Transfers From	474,000	729,459	621,401	652,833	685,828	720,465	3,409,986
Total Source of Funds	2,000,562	2,243,174	1,998,575	2,043,708	2,090,651	2,139,487	4,987,701
Expenditures							
6109 - Sanitary Landfill Development - Post Closure	502,847	882,000	623,700	654,885	687,629	722,011	3,570,225
Total Expenditures	502,847	882,000	623,700	654,885	687,629	722,011	3,570,225
Ending Fund Balance							
Encumbrance Carryover	150,000	0	0	0	0	0	0
Landfill Post Closure Reserve	600,000	600,000	600,000	600,000	600,000	600,000	600,000
Landfill Corrective Action Costs	747,715	761,174	774,875	788,823	803,022	817,476	817,476
Unrestricted	0	0	0	0	0	0	0
Total Ending Fund Balance	1,497,715	1,361,174	1,374,875	1,388,823	1,403,022	1,417,476	1,417,476
Total Use of Funds	2,000,562	2,243,174	1,998,575	2,043,708	2,090,651	2,139,487	4,987,701

CAPITAL IMPROVEMENT PROGRAM FUNDS | STORM DRAIN CAPITAL FUND (535)

	2019/20 Estimate	2020/21 Proposed	2021/22 Proposed	2022/23 Proposed	2023/24 Proposed	2024/25 Proposed	Five-Year CIP Total
Beginning Fund Balance							
Encumbrance Carryover	1,104,599	1,104,599	1,104,599	1,104,599	1,104,599	1,104,599	1,104,599
Unrestricted	1,962,111	15,280	14,280	13,280	12,280	11,280	15,280
Total Beginning Fund Balance	3,066,710	1,119,879	1,118,879	1,117,879	1,116,879	1,115,879	1,119,879
Revenue							
Charges for Services	1,447,000	1,460,000	1,475,000	1,490,000	1,505,000	1,520,000	7,450,000
Total Revenue	1,447,000	1,460,000	1,475,000	1,490,000	1,505,000	1,520,000	7,450,000
Transfers From							
General Fund	230,506	299,447	314,551	327,582	338,544	349,091	1,629,215
General Fund - Capital Projects Reserve	4,720,000	4,190,000	339,000	0	300,000	0	4,829,000
Total Transfers From	4,950,506	4,489,447	653,551	327,582	638,544	349,091	6,458,215
Total Source of Funds	9,464,216	7,069,326	3,247,430	2,935,461	3,260,423	2,984,970	15,028,094
Expenditures							
1840 - Kiely Blvd.-Saratoga Creek Storm Drain Outfall Relocation	125,500	0	0	0	0	0	0
1841 - Laurelwood Pump Station Rehabilitation	4,018,755	3,200,000	0	0	0	0	3,200,000
1831 - Storm Drain Repairs and Maintenance	27,723	100,000	0	0	0	0	100,000
1811 - Storm Drain Pump Station Facility Maintenance & Repair	201,887	0	0	0	0	0	0
1899 - PW Capital Projects Management	230,506	299,447	314,551	327,582	338,544	349,091	1,629,215
1834 - Storm Drain System Improvements	33,268	0	0	0	0	0	0
1835 - Storm Drain Pump Station Outfall Reconstruction Program	493,143	0	0	0	0	0	0
1838 - SDPS Motor and Control Maintenance, Repair, and Replacement	697,986	0	189,000	0	0	0	189,000
1839 - Urban Runoff Pollution Prevention Program	61,302	300,000	150,000	0	300,000	0	750,000
1842 - Westside Retention Basin Pump Replacement Project	1,049,121	0	0	0	0	0	0
NEW - 181 Lafayette St. Underpass at Subway Pump Station	0	200,000	0	0	0	0	200,000
NEW - 182 Storm Drain Slide Gate Rehabilitation	0	390,000	0	0	0	0	390,000
Total Expenditures	6,939,192	4,489,447	653,551	327,582	638,544	349,091	6,458,215

CAPITAL IMPROVEMENT PROGRAM FUNDS | STORM DRAIN CAPITAL FUND (535)

	2019/20 Estimate	2020/21 Proposed	2021/22 Proposed	2022/23 Proposed	2023/24 Proposed	2024/25 Proposed	Five-Year CIP Total
Transfers To							
General Fund	1,398,145	1,460,000	1,475,000	1,490,000	1,505,000	1,520,000	7,450,000
General Government Capital Fund	1,000	1,000	1,000	1,000	1,000	1,000	5,000
Electric Utility Capital Fund	6,000	0	0	0	0	0	0
Total Transfers To	1,405,145	1,461,000	1,476,000	1,491,000	1,506,000	1,521,000	7,455,000
Ending Fund Balance							
Encumbrance Carryover	1,104,599	1,104,599	1,104,599	1,104,599	1,104,599	1,104,599	1,104,599
Unrestricted	15,280	14,280	13,280	12,280	11,280	10,280	10,280
Total Ending Fund Balance	1,119,879	1,118,879	1,117,879	1,116,879	1,115,879	1,114,879	1,114,879
Total Use of Funds	9,464,216	7,069,326	3,247,430	2,935,461	3,260,423	2,984,970	15,028,094

CAPITAL IMPROVEMENT PROGRAM FUNDS | STREET LIGHTING CAPITAL FUND (534)

	2019/20 Estimate	2020/21 Proposed	2021/22 Proposed	2022/23 Proposed	2023/24 Proposed	2024/25 Proposed	Five-Year CIP Total
Beginning Fund Balance							
Unrestricted	6,093,197	250,784	250,784	250,784	250,784	250,784	250,784
Total Beginning Fund Balance	6,093,197	250,784	250,784	250,784	250,784	250,784	250,784
Transfers From							
Transfers In - Sewer Electric Utility Fund	169,626	125,000	2,625,000	75,000	75,000	75,000	2,975,000
Total Transfers From	169,626	125,000	2,625,000	75,000	75,000	75,000	2,975,000
Total Source of Funds	6,262,823	375,784	2,875,784	325,784	325,784	325,784	3,225,784
Expenditures							
2875 - Great America Street Light Replacement	4,109,795	0	2,500,000	0	0	0	2,500,000
2876 - LED Street Flood Lighting Retrofit	83,352	0	0	0	0	0	0
2874 - LED Street Lighting Retrofit	1,721,382	0	0	0	0	0	0
2871 - Miscellaneous Street Lighting	70,070	125,000	125,000	75,000	75,000	75,000	475,000
2872 - New Development Street Lighting	27,440	0	0	0	0	0	0
Total Expenditures	6,012,039	125,000	2,625,000	75,000	75,000	75,000	2,975,000
Ending Fund Balance							
Unrestricted	250,784	250,784	250,784	250,784	250,784	250,784	250,784
Total Ending Fund Balance	250,784						
Total Use of Funds	6,262,823	375,784	2,875,784	325,784	325,784	325,784	3,225,784

CAPITAL IMPROVEMENT PROGRAM FUNDS | STREETS AND HIGHWAYS

CAPITAL FUND (533)

	2019/20 Estimate	2020/21 Proposed	2021/22 Proposed	2022/23 Proposed	2023/24 Proposed	2024/25 Proposed	Five-Year CIP Total
Beginning Fund Balance							
Encumbrance Carryover	11,627,441	11,627,441	11,627,441	11,627,441	11,627,441	11,627,441	11,627,441
Unrestricted	17,918,901	3,610,180	927,244	927,244	927,244	927,244	3,610,180
Total Beginning Fund Balance	29,546,342	15,237,621	12,554,685	12,554,685	12,554,685	12,554,685	15,237,621
Revenue							
Other Agencies Revenue	19,212,369	3,461,775	6,822,242	2,437,242	2,437,242	2,437,242	17,595,743
Other Revenue	3,258,537	1,595,000	295,000	0	0	0	1,890,000
State Revenues	750,000	850,000	850,000	850,000	850,000	850,000	4,250,000
Total Revenue	23,220,907	5,906,775	7,967,242	3,287,242	3,287,242	3,287,242	23,735,743
Transfers From							
Bridge District #2 Debt Fund	65,000	140,000	80,000	80,000	80,000	80,000	460,000
Electric Utility Fund	68,688	0	0	0	0	0	0
Electric Operating Grant Trust Fund	0	400,000	400,000	0	0	0	800,000
Gas Tax Fund	4,254,548	2,567,856	2,482,144	3,509,479	3,010,625	3,000,000	14,570,104
General Fund	1,816,842	1,824,697	3,950,635	2,005,306	2,072,436	2,137,061	11,990,135
General Fund - Capital Projects Reserve	100,000	6,735,000	6,255,000	560,000	740,000	710,000	15,000,000
Road Maintenance and Rehabilitation (SB1) Fund	2,050,000	2,100,000	2,100,000	2,100,000	2,100,000	2,100,000	10,500,000
Traffic Mitigation Fund	899,500	2,003,500	2,184,927	1,815,000	1,875,000	1,925,000	9,803,427
Total Transfers From	9,254,578	15,771,053	17,452,706	10,069,785	9,878,061	9,952,061	63,123,666
Total Source of Funds	62,021,827	36,915,449	37,974,633	25,911,712	25,719,988	25,793,988	102,097,030
Expenditures							
1239 - Adaptive Signal System	1,850,000	0	0	0	0	0	0
NEW 131 - Adaptive Signal System (Santana West Settlement	0	950,000	0	0	0	0	950,000
1202 - Agnew Road At-Grade Crossing	569,067	0	0	0	0	0	0
1213 - Annexed Neighborhood Street Improvements	1,464,436	0	0	0	0	0	0
1203 - Annual Creek Trail Rehabilitation Program	300,000	360,000	580,000	0	0	0	940,000
1250 - Annual Curb Ramp Installation	0	300,000	300,000	0	0	0	600,000

CAPITAL IMPROVEMENT PROGRAM FUNDS | STREETS AND HIGHWAYS

CAPITAL FUND (533)

	2019/20 Estimate	2020/21 Proposed	2021/22 Proposed	2022/23 Proposed	2023/24 Proposed	2024/25 Proposed	Five-Year CIP Total
Expenditures							
1235 - Annual Street Maintenance and Rehabilitation Program	9,786,819	8,090,085	6,459,711	6,459,711	6,459,711	6,459,711	33,928,929
1245 - Benton Bicycle Lanes	150,000	176,000	0	0	0	0	176,000
1206 - Bicycle Lane Improvements on Pruneridge Avenue at Lawrence Expressway	346,047	0	0	0	0	0	0
1391 - Bowers Avenue Signal Timing Project	7,896	0	0	0	0	0	0
1325 - Bridge Maintenance Program	259,894	140,000	330,000	640,000	820,000	790,000	2,720,000
1227 - Changeable Message Signs	1,706,055	2,063,796	0	0	0	0	2,063,796
1222 - Citywide Accessible Pedestrian Signal Installation	188,768	0	0	0	0	0	0
1214 - Coleman Avenue Widening	189,797	0	0	0	0	0	0
NEW 137 - Hetch Hetchy Trail Phase I	0	0	790,000	0	0	0	790,000
1246 - Lafayette Bassett Bike Lane	600,000	0	0	0	0	0	0
1240 - Lawrence Grade Separation	15,000	0	0	0	0	0	0
1212 - LED Traffic Signal & Safety Light Replacements	806,692	0	0	350,000	0	0	350,000
1247 - Lick Mill East River HAWK Installation	750,000	0	0	0	0	0	0
1237 - MCB/GAP Intersection Improvement Project	6,700,447	0	0	0	0	0	0
1210 - Mission College Bike Lanes Project	677	0	0	0	0	0	0
NEW 141 - Monroe - Los Padres Traffic Signal Modification	0	0	145,000	535,000	0	0	680,000
NEW 140 - Multimodal Improvement Plan Phase 2	0	295,000	295,000	0	0	0	590,000
1211 - Neighborhood Traffic Calming	540,206	0	250,000	262,500	275,625	289,406	1,077,531
1220 - Pedestrian and Bicycle Enhancement Facilities	413,944	1,200,000	1,200,000	850,000	850,000	850,000	4,950,000
1238 - Pedestrian Crosswalk Sensors	300,000	0	0	0	0	0	0
1205 - Pepper Tree Neighborhood Traffic Calming Study	89,745	0	0	0	0	0	0
NEW 132 - Public Right-of-Way ADA Improvements	0	5,750,000	5,000,000	0	0	0	10,750,000
1230 - Public Right-of-Way Landscaping Improvement	309,566	0	0	0	0	0	0
1199 - PW Capital Projects Management	1,578,562	1,824,697	1,925,635	2,005,306	2,072,436	2,137,061	9,965,135
1249 - Related CA Multimodal Improvement Plan	740,000	0	0	0	0	0	0
1224 - Replacement of Traffic Signals in ECR-Lafayette St.-Newhall St.-Scott Blvd. Area	1,288,490	0	0	0	0	0	0
1228 - Replacement of Underground Traffic Signal Infrastructure	120,122	0	50,000	50,000	50,000	0	150,000

CAPITAL IMPROVEMENT PROGRAM FUNDS | STREETS AND HIGHWAYS

CAPITAL FUND (533)

	2019/20 Estimate	2020/21 Proposed	2021/22 Proposed	2022/23 Proposed	2023/24 Proposed	2024/25 Proposed	Five-Year CIP Total
Expenditures							
NEW 147 - San Tomas Aquino Creek Spur Trail Project	0	0	2,449,000	0	0	0	2,449,000
1385 - Santa Clara Citywide ITS Project 1 (Tasman, GAP, Homestead, etc.)	265,391	0	0	0	0	0	0
1386 - Santa Clara Citywide ITS Project 2 (Lafayette, Benton, and Monroe)	435,504	0	0	0	0	0	0
NEW 144 - Santa Clara School Access Improvements	0	200,000	1,450,000	0	0	0	1,650,000
1225 - Santa Clara Valley Transportation Authority - Congestion Management Program	256,666	244,560	245,000	261,979	265,000	280,638	1,297,177
1231 - Santa Clara Various Streets and Roads Preservation (OBAG 2)	3,956,000	0	0	0	0	0	0
1204 - Saratoga Creek Trail (Homeridge Park to Central Park)	4,241,221	0	2,025,000	0	0	0	2,025,000
NEW 145 - Scott-Benton Traffic Signal Timing	0	165,000	0	0	0	0	165,000
1244 - Scott Boulevard Signal Timing	418,300	0	0	0	0	0	0
NEW 146 - Scott-Homestead Traffic Signal Modification	0	0	0	130,000	500,000	0	630,000
1215 - Sidewalk Installation Program	114,062	0	0	0	0	0	0
1382 - Sidewalk, Curb and Gutter Repair	434,592	380,000	380,000	380,000	380,000	380,000	1,900,000
1392 - SRTS Pedestrian Infrastructure Improvements Project	2,222	0	0	0	0	0	0
NEW 143 - Traffic Calming (Santana West Settlement Agreement)	0	250,000	0	0	0	0	250,000
NEW 134 - Traffic Engineering Consultant Support	0	125,000	125,000	0	0	0	250,000
1221 - Traffic Monitoring at Various Locations - Phase "C"	238,217	0	0	0	0	0	0
1218 - Traffic Pre-Emptors	582,265	150,000	150,000	0	0	0	300,000
1357 - Traffic Signal Controller Upgrade	480,872	0	0	65,000	100,000	100,000	265,000
1219 - Traffic Signal Enhancements	59,224	0	0	400,000	425,000	425,000	1,250,000
1232 - Traffic Signal Interconnect Upgrade	0	100,000	350,000	450,000	400,000	550,000	1,850,000
1217 - Traffic Signal Management Software Upgrade	698,216	525,000	353,071	0	0	0	878,071
1236 - Traffic Signal Modification - El Camino-Lafayette	1,321,840	0	0	0	0	0	0
1216 - Traffic Studies and Signal Needs Assessment/Upgrade	221,533	0	100,000	0	100,000	0	200,000
1234 - Travel Demand Model Update	0	200,000	0	50,000	0	55,125	305,125
1226 - Uncontrolled Crosswalks Improvements	1,849,708	600,000	400,000	400,000	400,000	854,831	2,654,831

CAPITAL IMPROVEMENT PROGRAM FUNDS | STREETS AND HIGHWAYS

CAPITAL FUND (533)

	2019/20 Estimate	2020/21 Proposed	2021/22 Proposed	2022/23 Proposed	2023/24 Proposed	2024/25 Proposed	Five-Year CIP Total
Expenditures							
1376 - Vehicle Emissions Reductions Based in School (VERBS) Phase 2	57,824	271,626	67,531	67,531	67,531	67,531	541,750
Total Expenditures	46,705,884	24,360,764	25,419,948	13,357,027	13,165,303	13,239,303	89,542,345
Transfers To							
Gas Tax Fund	78,042	0	0	0	0	0	0
Traffic Mitigation Fund	280	0	0	0	0	0	0
Total Transfers To	78,322	0	0	0	0	0	0
Ending Fund Balance							
Encumbrance Carryover	11,627,441	11,627,441	11,627,441	11,627,441	11,627,441	11,627,441	11,627,441
Unrestricted	3,610,180	927,244	927,244	927,244	927,244	927,244	927,244
Total Ending Fund Balance	15,237,621	12,554,685	12,554,685	12,554,685	12,554,685	12,554,685	12,554,685
Total Use of Funds	62,021,827	36,915,449	37,974,633	25,911,712	25,719,988	25,793,988	102,097,030

CAPITAL IMPROVEMENT PROGRAM FUNDS | WATER UTILITY CAPITAL FUND (592)

	2019/20 Estimate	2020/21 Proposed	2021/22 Proposed	2022/23 Proposed	2023/24 Proposed	2024/25 Proposed	Five-Year CIP Total
Beginning Fund Balance							
Encumbrance Carryover	322,590	322,590	322,590	322,590	322,590	322,590	322,590
Unrestricted	14,972,877	1,639,784	1,639,784	1,639,784	1,639,784	1,639,784	1,639,784
Total Beginning Fund Balance	15,295,467	1,962,374	1,962,374	1,962,374	1,962,374	1,962,374	1,962,374
Transfers From							
Sewer Utility Fund	545,000	500,000	500,000	500,000	0	0	1,500,000
Water Utility Fund	12,290,735	4,110,000	5,785,000	5,860,000	3,957,875	3,960,154	23,673,029
Total Transfers From	12,835,735	4,610,000	6,285,000	6,360,000	3,957,875	3,960,154	25,173,029
Total Source of Funds	28,131,202	6,572,374	8,247,374	8,322,374	5,920,249	5,922,528	27,135,403
Expenditures							
7057 - Asset Management Program	1,795,771	1,250,000	150,000	0	0	0	1,400,000
7005 - Buildings and Grounds	794,569	485,000	135,000	185,000	185,000	185,000	1,175,000
7054 - Distribution System Replacement/Restoration	7,711,649	1,875,000	2,000,000	2,175,000	2,272,875	2,275,154	10,598,029
7059 - New and Replacement Wells	3,353,003	0	3,000,000	3,000,000	1,000,000	1,000,000	8,000,000
7058 - SCADA Improvements	2,772,919	1,000,000	1,000,000	1,000,000	500,000	500,000	4,000,000
7060 - Tank Rehabilitation	9,740,918	0	0	0	0	0	0
Total Expenditures	26,168,829	4,610,000	6,285,000	6,360,000	3,957,875	3,960,154	25,173,029
Ending Fund Balance							
Encumbrance Carryover	322,590	322,590	322,590	322,590	322,590	322,590	322,590
Unrestricted	1,639,783	1,639,784	1,639,784	1,639,784	1,639,784	1,639,784	1,639,784
Total Ending Fund Balance	1,962,373	1,962,374	1,962,374	1,962,374	1,962,374	1,962,374	1,962,374
Total Use of Funds	28,131,202	6,572,374	8,247,374	8,322,374	5,920,249	5,922,528	27,135,403

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Debt Service Funds

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DEBT SERVICE FUNDS

Under the authority of the City Manager, the City executes debt instruments, oversees the accounting and reporting of debt, administers debt proceeds, manages continuing disclosure and debt compliance requirements, and makes debt service payments, while acting with prudence, diligence, and attention to prevailing economic conditions.

The City of Santa Clara uses debt financing as a tool to maintain its long-term financial stability by paying for certain expenditures over time. Debt financing is also a tool for managing cash flow when large, one-time outlays are required as in the case of constructing a new building or large-scale upgrades to infrastructure.

Public Facilities Financing Corporation (431)

The City of Santa Clara Public Facilities Financing Corporation (PFFC) was formed in 1997 for the purpose of issuing Certificates of Participation (COPs) to provide financing for the construction of major City facilities. Members of the City Council are also members of the PFFC Board. Debt service on COPs is secured by lease payments made by the City's General Fund to the PFFC for use of the constructed facilities for public purposes. In accordance with lease agreements, the PFFC assigns lease payments received from the City to the trustee for payment to the certificate holders.

Electric Utility Debt Service Fund (491)

The Electric Utility Debt Service Fund is used to pay principal, interest, and related service charges on obligations resulting from the issuance of debt by the Electric Utility. Bond proceeds are used to pay for capital costs associated with the Electric Utilities' generation, transmission and distribution facilities. Debt service is payable from Adjusted Net Revenues of the Electric Utility.

Amended FY 2020/21 Budget decreased to reflect bond refunding activities in April 2020, taking advantage of prevailing interest rate environment.

Sewer Utility Debt Service Fund (494)

The Sewer Utility Debt Service Fund is used to pay principal, interest, and related service charges on obligations resulting from the issuance of debt by the Sewer Utility. Bond proceeds are used to pay for capital improvements associated with the Sewer System, including the Trimble Road Trunk Sanitary Sewer Improvement Project. Debt service is payable from Net Revenues of the Sewer Utility.

Amended FY 2020/21 Budget decreased to reflect the lower initial drawdown on the anticipated loan, expected to close prior to FY 2019/20 year-end, for the rebuild of Regional Wastewater Facility, which the City co-owns with the City of San José.

This is the second fiscal year of the biennial operating budget. The following section details the City of Santa Clara's Debt Service Funds' Statements of Sources and Uses and their Amended Budget for Fiscal Year 2020/21.



DEBT SERVICE FUNDS | ELECTRIC UTILITY DEBT SERVICE FUND (491)

	2018/19 Actual	2019/20 Amended	2019/20 Estimate	2020/21 Adopted	2020/21 Amended
Beginning Fund Balance					
Bond Reserve	14,420,624	9,113,750	9,113,750	9,113,750	3,630,750
Reserve for Debt Service	19,235,190	14,228,043	19,471,835	4,436,002	5,477,223
Total Beginning Fund Balance	33,655,814	23,341,793	28,585,585	13,549,752	9,107,973
Revenue					
Bond Proceeds	55,202,481	0	104,020,000	0	0
Interests	377,035	207,664	207,664	207,664	103,832
Total Revenue	55,579,516	207,664	104,227,664	207,664	103,832
Transfers From					
Electric Utility Fund	20,998,844	9,592,693	9,592,693	19,658,817	13,315,379
Total Transfers From	20,998,844	9,592,693	9,592,693	19,658,817	13,315,379
Total Source of Funds	110,234,174	33,142,150	142,405,942	33,416,233	22,527,184
Expenditures					
Debt Service	22,256,484	19,582,943	19,582,943	19,649,067	13,305,529
Interest Rate Swaps and Related Costs	4,192,122	0	0	0	0
Administrative Costs	619,983	9,455	336,587	8,305	9,850
Bond Refund	54,580,000	0	113,378,439	0	0
Total Expenditures	81,648,589	19,592,398	133,297,969	19,657,372	13,315,379
Ending Fund Balance					
Bond Reserve	9,113,750	9,113,750	3,630,750	9,113,750	3,630,750
Reserve for Debt Service	19,471,835	4,436,002	5,477,223	4,645,111	5,581,055
Total Ending Fund Balance	28,585,585	13,549,752	9,107,973	13,758,861	9,211,805
Total Use of Funds	110,234,174	33,142,150	142,405,942	33,416,233	22,527,184



DEBT SERVICE FUNDS | PUBLIC FACILITIES FINANCING CORPORATION FUND (431)

	2018/19 Actual	2019/20 Amended	2019/20 Estimate	2020/21 Adopted	2020/21 Amended
Beginning Fund Balance					
Debt Reserve	708,644	708,643	711,662	708,643	711,662
Unrestricted	779,182	787,381	794,822	0	7,441
Total Beginning Fund Balance	1,487,826	1,496,024	1,506,485	708,643	719,104
Revenue					
Interest	15,306	8,198	8,198	5,500	5,500
Total Revenue	15,306	8,198	8,198	5,500	5,500
Transfers From					
General Fund	2,501,494	1,710,474	1,710,474	2,500,344	2,500,344
Total Transfers From	2,501,494	1,710,474	1,710,474	2,500,344	2,500,344
Total Source of Funds	4,004,626	3,214,696	3,225,157	3,214,487	3,224,948
Expenditures					
Debt Service	2,495,994	2,500,553	2,500,553	2,500,344	2,500,344
Administrative Costs	2,147	5,500	5,500	5,500	5,500
Total Expenditures	2,498,141	2,506,053	2,506,053	2,505,844	2,505,844
Ending Fund Balance					
Debt Reserve	711,662	708,643	711,662	708,643	711,662
Unrestricted	794,822	0	7,441	0	7,441
Total Ending Fund Balance	1,506,485	708,643	719,104	708,643	719,104
Total Use of Funds	4,004,626	3,214,696	3,225,157	3,214,487	3,224,948



DEBT SERVICE FUNDS | SEWER UTILITY DEBT SERVICE FUND (494)

	2018/19 Actual	2019/20 Amended	2019/20 Estimate	2020/21 Adopted	2020/21 Amended
Beginning Fund Balance					
Debt Reserve	3,251,729	2,492,551	3,164,632	2,492,551	2,492,551
Total Beginning Fund Balance	3,251,729	2,492,551	3,164,632	2,492,551	2,492,551
Revenue					
Interests	952	0	0	0	0
Debt Proceeds	0	50,000,000	20,000,000	0	0
Total Revenue	952	50,000,000	20,000,000	0	0
Transfers From					
Sewer Utility Fund	937,450	2,438,223	2,438,223	4,694,557	1,432,799
Total Transfers From	937,450	2,438,223	2,438,223	4,694,557	1,432,799
Total Source of Funds	4,190,131	54,930,774	25,602,855	7,187,108	3,925,350
Expenditures					
Debt Service	714,638	730,013	730,013	1,500,719	745,719
Interest Expense	310,861	1,708,210	207,436	3,193,838	687,080
Total Expenditures	1,025,499	2,438,223	937,449	4,694,557	1,432,799
Transfers To					
Sewer Utility Capital Fund		50,000,000	20,000,000	0	0
Total Transfers To	0	50,000,000	20,000,000	0	0
Ending Fund Balance					
Debt Reserve	3,164,632	2,492,551	4,665,406	2,492,551	2,492,551
Total Ending Fund Balance	3,164,632	2,492,551	4,665,406	2,492,551	2,492,551
Total Use of Funds	4,190,131	54,930,774	25,602,855	7,187,108	3,925,350

Other Agency Funds

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OTHER AGENCY FUNDS

The Sports and Open Space Authority of the City of Santa Clara (Authority) was created by the City Council in 1974 to establish a separate entity to acquire and develop open space within the City of Santa Clara. The validity of the Authority and its legal existence was established in 1975 by final judgment of the Santa Clara County Superior Court. The Santa Clara Golf and Tennis Club was developed by the Authority on City-owned land and operated under a management agreement with a private company and a private restaurant facility at the Golf and Tennis Club leased to a private operator. In 1997/98, the City Council set aside approximately 40 acres of the former golf course site to be preserved and maintained as open space, and in 1999 the Authority approved the sale of 4.3 acres of the former golf course property to the City's Redevelopment Agency to be disposed of as a site for development of affordable housing.

Santa Clara Golf and Tennis Club Fund (811)

This fund accounts for the operations of the City's public golf course. The operating lease expired prior to FY 2017/18 and is currently on a month-to-month lease. Operations closed during FY 2019/20 and construction of the Related Santa Clara project is anticipated to begin. However, there are ongoing maintenance costs funded by the General Fund until the property is turned over to the developer. Prior year actual negative fund balance was an accrued amount owed by the General Fund and will be included in the final closing reconciliation of the fund.

Sports and Open Space Authority Capital Fund (840)

This fund accounts for Authority's capital improvement program. The remaining fund balance is transferred to the Santa Clara Golf and Tennis Club Fund (as discussed in above section) in its final year of operations.

Sports and Open Space Authority Fund (801)

This fund accounts for the acquisition and preservation of open space within the City and the development of local sports activities. The remaining fund balance is transferred to the Santa Clara Golf and Tennis Club Fund (as discussed in above section) in its final year of operations.

This is the second fiscal year of the biennial operating budget. The following section details the Other Agency Funds' Statements of Sources and Uses. There is no Amended Budget for Fiscal Year 2020/21 for these funds.



OTHER AGENCY FUNDS | SANTA CLARA GOLF AND TENNIS CLUB FUND (811)

	2018/19 Actual	2019/20 Amended	2019/20 Estimate	2020/21 Adopted	2020/21 Amended
Beginning Fund Balance					
Unrestricted	(466,266)	0	(54,449)	0	0
Total Beginning Fund Balance	(466,266)	0	(54,449)	0	0
Revenue					
Charges for Services	2,001,086	710,128	638,797	0	0
Other Revenue	0	0	34,754	0	0
Total Revenue	2,001,086	710,128	673,551	0	0
Transfers From					
General Fund	466,266	98,243	104,631	0	0
Sports and Open Space Authority Construction Fund	0	157,486	157,486	0	0
Sports and Open Space Authority Fund	0	28,645	25,996	0	0
Total Transfers From	466,266	284,374	288,113	0	0
Total Source of Funds	2,001,086	994,502	907,215	0	0
Expenditures					
Salaries and Benefits	800,241	409,047	394,322	0	0
Board Member Stipend	0	5,220	0	0	0
Materials/Services/Supplies	1,255,294	576,902	511,782	0	0
Interfund Services	0	3,333	1,111	0	0
Total Expenditures	2,055,535	994,502	907,215	0	0
Ending Fund Balance					
Unrestricted	(54,449)	0	0	0	0
Total Ending Fund Balance	(54,449)	0	0	0	0
Total Use of Funds	2,001,086	994,502	907,215	0	0



**OTHER AGENCY FUNDS | SPORTS AND OPEN SPACE
AUTHORITY CAPITAL FUND (840)**

	2018/19 Actual	2019/20 Amended	2019/20 Estimate	2020/21 Adopted	2020/21 Amended
Beginning Fund Balance					
Unrestricted	157,486	157,486	157,486	0	0
Total Beginning Fund Balance	157,486	157,486	157,486	0	0
Total Source of Funds					
	157,486	157,486	157,486	0	0
Transfers To					
Santa Clara Golf and Tennis Club Fund	0	157,486	157,486		
Total Transfers To	0	157,486	157,486	0	0
Ending Fund Balance					
Unrestricted	157,486	0	0	0	0
Total Ending Fund Balance	157,486	0	0	0	0
Total Use of Funds					
	157,486	157,486	157,486	0	0



OTHER AGENCY FUNDS | SPORTS AND OPEN SPACE
AUTHORITY FUND (801)

	2018/19 Actual	2019/20 Amended	2019/20 Estimate	2020/21 Adopted	2020/21 Amended
Beginning Fund Balance					
Encumbrance Carryover	0	1,713	1,713	0	0
Unrestricted	37,950	32,622	32,622	0	0
Total Beginning Fund Balance	37,950	34,335	34,335	0	0
Revenue					
Interest	4,138	0	1,514	0	0
Total Revenue	4,138	0	1,514	0	0
Total Source of Funds	42,088	34,335	35,849	0	0
Expenditures					
Board Member Stipend	4,770	0	3,300	0	0
Materials/Services/Supplies	2,983	1,713	6,553	0	0
Total Expenditures	7,753	1,713	9,853	0	0
Transfers To					
Santa Clara Golf and Tennis Club Fund	0	28,645	25,996	0	0
Total Transfers To	0	28,645	25,996	0	0
Ending Fund Balance					
Encumbrance Carryover	1,713	0	0	0	0
Unrestricted	32,622	3,977	0	0	0
Total Ending Fund Balance	34,335	3,977	0	0	0
Total Use of Funds	42,088	34,335	35,849	0	0

Capital Improvement Program

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Administrative Facilities

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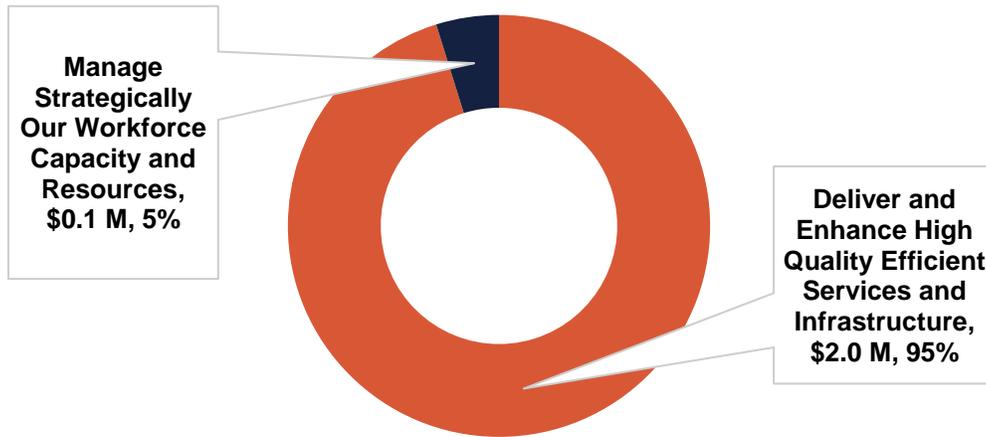


INTRODUCTION

The capital projects within the Administrative Facilities theme serve to preserve the function and operational efficiency of City facilities such as the Triton Museum, Morse Mansion, City Hall and other historic buildings. These capital investments will provide renovations and improvements such as paint, plumbing and electrical fixtures, and roof repairs to ensure safe and appropriate facilities for the public and City employees.

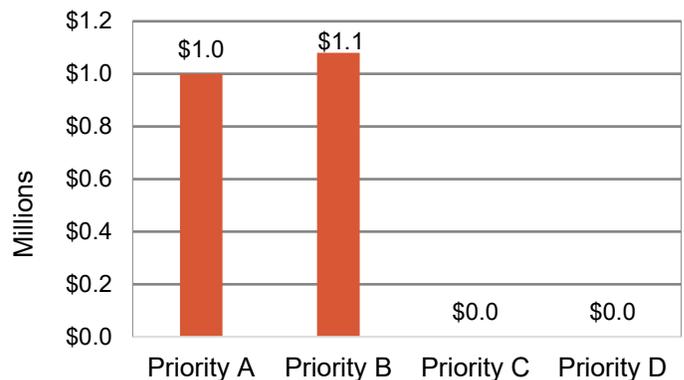
Funding Our Top Priorities

The projects included in the proposed Capital Improvement Program (CIP) were determined in accordance with both the City Council's Strategic Pillars and the budget priorities. The chart below illustrates the funding amount per Strategic Pillar within the Administrative Facilities category.



The proposed five-year CIP Administrative Facilities budget totals \$2.1 million. The CIP projects were prioritized by the following criteria:

Priority	Description
A	critical need mandated, emergency, or under construction
B	maintenance system reliability and/or ongoing operations
C	future system needs or future regulatory requirements
D	not critical, but achieve efficiencies and/or sustainability



Project Highlights

Major projects in the Administrative Facilities category are detailed below.



Stationary Standby Generators

Many of the City's stationary emergency standby generators have reached their useful life cycles and need major repairs. This project will replace standby generators throughout the City to improve reliability and comply with regulatory requirements. The project scope includes electrical design, automatic transfer switch, adding cam lock connections and remote monitoring at various City locations where existing emergency power is already supplied. This project is partially funded in the CIP.

Civic Center Campus Renovation (Multi-Department)

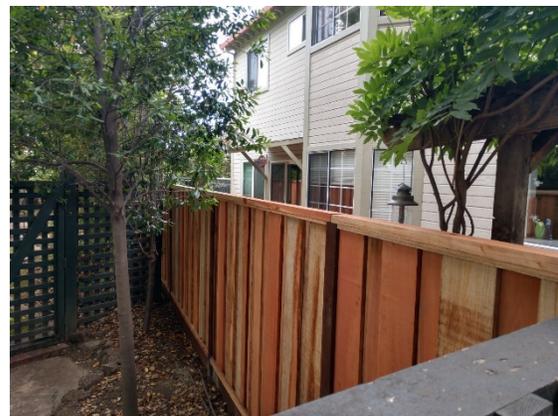
This project provides funds for remodeling and redesigning several key City offices to improve organization and efficiency. Major emphasis will be placed on the Finance Department, City Attorney's Office and the cafeteria. The current proposed funding will be used for modifications of office cubicle space, installation of new flooring, equipment upgrades and various minor repairs.

Major Accomplishments

- Completed 100% design work on 10 emergency generators and staff is now ready to go out for construction bids.
- Beginning the design phase on the next set of generators to replace.
- Completed repairs at Morse Mansion which included work on the porch and stairs, water damage, stained glass and installation of a good neighbor fence
- Completed Triton Museum roofing and lighting repairs



Morse Mansion Good Neighbor Fence Before



Morse Mansion Good Neighbor Fence After



Financing Sources

General Fund (Capital Projects Reserve)

The General Fund Capital Projects Reserve is used to support the City’s general capital projects. Revenues are generated from tax revenues, fees from licenses and permits, fines and investment earnings.

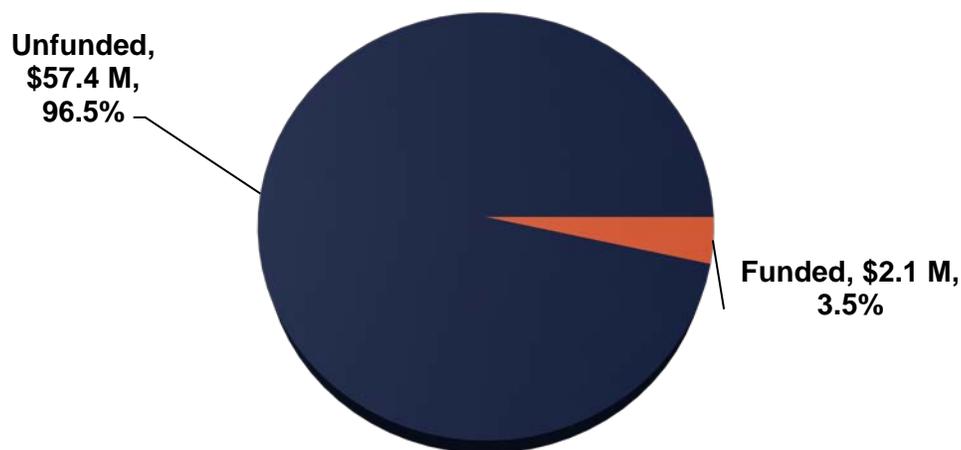
Operating Budget Impacts

Recommended projects within the Administrative Facilities category are not expected to have additional operating and maintenance costs.

Unfunded Needs

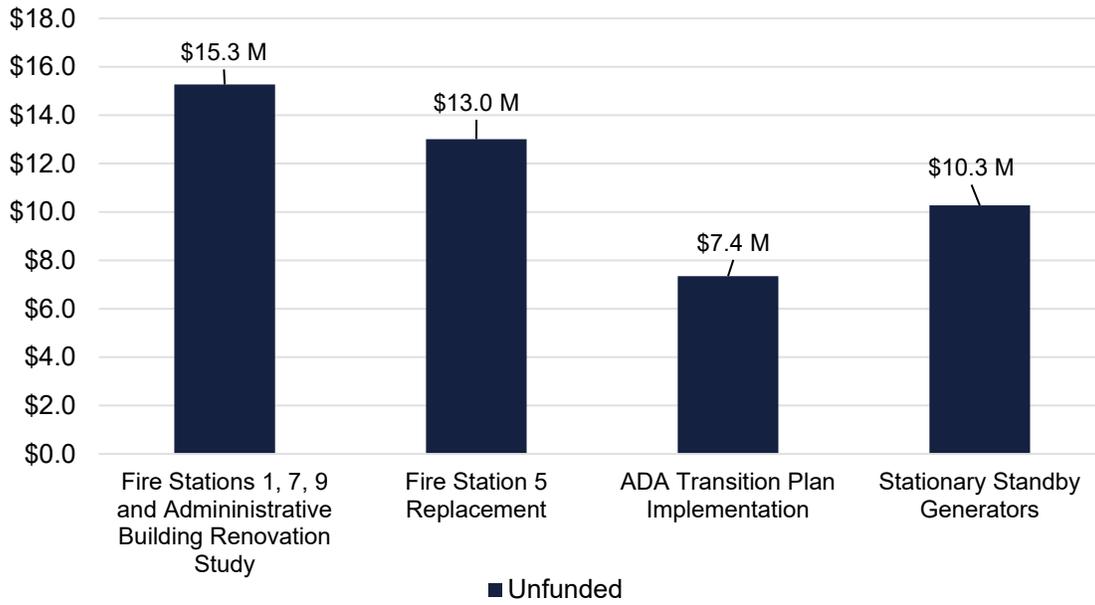
Although the proposed CIP provides for vital improvements, there remains significant additional high priority needs that have not been addressed. As highlighted in the chart below, the total funding needs for the Administrative Facilities program totals \$59.48 million. Of this amount, \$2.08 million is funded, leaving \$57.40 million of unfunded needs. The unfunded projects are primarily included in the Fire and Public Works Departments.

Administrative Facilities Total Funding Needs = \$59.5 M





The graph below highlights the highest priority projects currently on the unfunded list in the Administrative Facilities category. For more project information, please see the Unfunded Projects – Administrative Facilities list at the end of this section.



Fire Stations Replacement and Property Conditions Assessment Projects

Many of the City’s fire stations are due for replacement in order to address the aging infrastructure. The unfunded projects consist of a property condition assessment and feasibility study to determine the scope of renovation required to support operational needs of the Fire Department headquarters building and renovation and/or replacements of fire stations 1, 5, 7 and 9. These fire stations have high maintenance costs as with many 50-year-old buildings and currently do not have proper facilities for cleaning, decontamination and storage of personal protective equipment.

American Disabilities Act (ADA) Transition Plan Implementation

The City is preparing an Americans Disabilities Act (ADA) Self-Evaluation and Transition Plan Update for public buildings, parks and public right-of-way. The resulting Plan will identify improvements to address barriers to accessibility, methods for improving access, establish a timeline and costs for removing ADA barriers, and involve persons with disabilities in the preparation of the Plan. The Plan will provide a strategic approach and tools for continued access improvements as the City implements its ADA Self-Evaluation and Transition Plan in future years.

Stationary Standby Generators

This project is partially funded to address the City’s emergency standby generators that have reached their useful life cycles and need major repairs. The average model year of the stationary emergency standby generator fleet is approximately 23 years old. Many of them are restricted to limited maintenance run times by regulatory agencies due to their emissions output. Over the past years, the overall condition and reliability of the Stationary



Emergency Standby Generator fleet has diminished. The project is broken into multiple phases that consist of replacing emergency generators at various locations. Based on the availability of funding in future years, the replacement of generators will be prioritized by their overall condition and reliability.



FUNDED | PROJECTS BUDGETED COST SUMMARY

Administrative Facilities Project	2019/20	2020/21	2021/22	2022/23	2023/24	2024/25	Five-Year CIP Total
4086 - Emergency Operations Center Capital Refurbishment	\$35,573	-	-	-	-	-	-
4097 - Diesel Exhaust Removal Systems	\$35,000	-	-	-	-	-	-
6047 - City Building Assessment	\$209,210	-	-	-	-	-	-
6054 - Street Corporation Yard Renovation	\$227,301	-	-	-	-	-	-
6123 - Public Building Parking Lot Improvements	\$212,105	\$250,000	-	-	-	-	\$250,000
6137 - Hazardous Material Management for City Properties	\$191,698	-	-	-	-	-	-
6138 - Repairs-Modifications to City Buildings	\$150,000	\$150,000	\$150,000	-	-	-	\$300,000
6139 - Repair to Historic Buildings	\$340,000	\$100,000	\$100,000	-	-	-	\$200,000
6140 - Triton Museum Repair and Modifications	\$107,360	\$35,000	\$35,000	-	-	-	\$70,000
6144 - Civic Center Campus Renovation (Multi-Department)	\$1,150,000	\$100,000	-	-	-	-	\$100,000
6147 - Building Security Upgrade	\$44,989	-	-	-	-	-	-
6149 - HVAC Chiller Unit	\$747,346	-	-	-	-	-	-
6150 - City Hall Security & Key System Upgrade	\$116,735	-	-	-	-	-	-
6158 - Stationary Standby Generators	\$3,674,289	\$1,000,000	-	-	-	-	\$1,000,000
6159 - Fall Safety Building Improvements	\$300,000	-	-	-	-	-	-
6555 - Office Reconfiguration	\$356,191	-	-	-	-	-	-
6558 - Morse Mansion Maintenance & Repair	\$70,602	\$50,000	\$50,000	-	-	-	\$100,000
NEW - 608 - Fire Station 8 Fixture Furniture & Equipment	-	\$60,000	-	-	-	-	\$60,000
Total Administrative Facilities Projects	\$7,968,399	\$1,745,000	\$335,000	-	-	-	\$2,080,000



FUNDED | PUBLIC BUILDING PARKING LOT IMPROVEMENTS

Project Number:	6123	Theme / Category:	Administrative Facilities
Year Initiated:	2012	Project Manager:	Vincent Luchessi
Planned Completion Year:	On-going	Department:	Public Works
Project Type:	Ongoing	Fund:	538 - Public Buildings
Strategic Pillar	 02 - Deliver and Enhance High Quality Efficient Services and Infrastructure		
Location	Various public building locations throughout the City		



Project Description

The City's parking lot inventory includes approximately 50 lots located at various facilities including parks, fire stations, police station, libraries, Triton Museum, Stars and Stripes Lot, historic houses, general government buildings, and various other City-owned properties. This project performs preventative maintenance, rehabilitation, and reconstruction of the paved surfaces at parking lots at City-owned facilities throughout the City. The work includes removal and replacement of failed asphalt, placing surface seals, resurfacing, concrete repairs, drainage improvements (as-needed), signing, and striping. Performing preventative maintenance, rehabilitation, and reconstruction of parking lots enhances the surface quality for pedestrian and vehicular users and improves the aesthetics. Performing preventative pavement maintenance at the appropriate time also extends the useful life of the surfacing to reduce the need for future reconstruction at a higher cost. The requested amount of \$250,000 will be used to fund construction contracts.

Funding Sources	All Prior Actuals	2019/20	2020/21	2021/22	2022/23	2023/24	2024/25	Total
Total Sources	\$350,596	\$212,105	\$250,000	-	-	-	-	\$812,701

Expenditures	All Prior Actuals	2019/20	2020/21	2021/22	2022/23	2023/24	2024/25	Total
Construction	\$340,406	\$212,105	\$250,000	-	-	-	-	\$802,511
Contingencies/Misc	\$799	-	-	-	-	-	-	\$799
Meal Allowance	\$67	-	-	-	-	-	-	\$67
S & W - O.T. Vacation Relief	\$1,257	-	-	-	-	-	-	\$1,257
Salary And Wages - Regular	\$8,067	-	-	-	-	-	-	\$8,067
Total Expenditures	\$350,596	\$212,105	\$250,000	-	-	-	-	\$812,701

Operating Impacts	-	-	-	-	-	-	-	-
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FUNDED | REPAIRS-MODIFICATIONS TO CITY BUILDINGS

Project Number:	6138	Theme / Category:	Administrative Facilities
Year Initiated:	2011	Project Manager:	Ken Winland
Planned Completion Year:	Ongoing	Department:	Public Works
Project Type:	Ongoing	Fund:	538 - Public Buildings
Strategic Pillar	 02 - Deliver and Enhance High Quality Efficient Services and Infrastructure		
Location	Various City buildings		



Project Description This project covers general repairs and maintenance for the City Hall complex, such as repair, replace, or upgrade building components, including tenant improvements and general maintenance. Some of the past projects have included carpet, furniture, electrical upgrades, and general repairs.

Funding Sources	All Prior Actuals	2019/20	2020/21	2021/22	2022/23	2023/24	2024/25	Total
Total Sources	\$1,384,277	\$150,000	\$150,000	\$150,000	-	-	-	\$1,834,277

Expenditures	All Prior Actuals	2019/20	2020/21	2021/22	2022/23	2023/24	2024/25	Total
Construction	\$1,337,304	\$132,500	\$150,000	\$150,000	-	-	-	\$1,769,804
Contingencies/Misc	\$26,556	\$10,000	-	-	-	-	-	\$36,556
Engineering	\$15,793	\$7,500	-	-	-	-	-	\$23,293
Meal Allowance	\$42	-	-	-	-	-	-	\$42
S & W - O.T. Vacation Relief	\$3,712	-	-	-	-	-	-	\$3,712
Salary And Wages - Regular	\$870	-	-	-	-	-	-	\$870
Total Expenditures	\$1,384,277	\$150,000	\$150,000	\$150,000	-	-	-	\$1,834,277

Operating Impacts	-	-	-	-	-	-	-	-
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FUNDED | REPAIR TO HISTORIC BUILDINGS

Project Number:	6139	Theme / Category:	Administrative Facilities
Year Initiated:	2011	Project Manager:	Ken Winland
Planned Completion Year:	Ongoing	Department:	Public Works
Project Type:	Ongoing	Fund:	538 - Public Buildings
Strategic Pillar	 02 - Deliver and Enhance High Quality Efficient Services and Infrastructure		
Location	Historic Buildings (Harris Lass House, Headen Inman House, Jamison Brown House, Berryessa Adobe)		



Project Description This project covers the repair and maintenance of the City-owned historic buildings. These buildings include Headen Inman, Jamison Brown, Harris Lass & Berryessa Adobe. Repairs include paint, plumbing, exterior maintenance, electrical, etc.

Funding Sources	All Prior Actuals	2019/20	2020/21	2021/22	2022/23	2023/24	2024/25	Total
Total Sources	\$337,525	\$340,000	\$100,000	\$100,000	-	-	-	\$877,525

Expenditures	All Prior Actuals	2019/20	2020/21	2021/22	2022/23	2023/24	2024/25	Total
Construction	\$337,525	\$340,000	\$100,000	\$100,000	-	-	-	\$877,525
Total Expenditures	\$337,525	\$340,000	\$100,000	\$100,000	-	-	-	\$877,525

Operating Impacts			-	-	-	-	-	-
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FUNDED | TRITON MUSEUM REPAIR AND MODIFICATIONS

Project Number:	6140	Theme / Category:	Administrative Facilities
Year Initiated:	2011	Project Manager:	Ken Winland
Planned Completion Year:	Ongoing	Department:	Public Works
Project Type:	Ongoing	Fund:	538 - Public Buildings
Strategic Pillar	 02 - Deliver and Enhance High Quality Efficient Services and Infrastructure		
Location	Triton Museum of Art, 1505 Warburton Ave.		



Project Description This project covers maintenance and repair of the Triton Museum on an annual basis. General repairs include walls, paint, plumbing, electrical, roof repair, etc.

Funding Sources	All Prior Actuals	2019/20	2020/21	2021/22	2022/23	2023/24	2024/25	Total
Total Sources	\$421,328	\$107,360	\$35,000	\$35,000	-	-	-	\$598,688

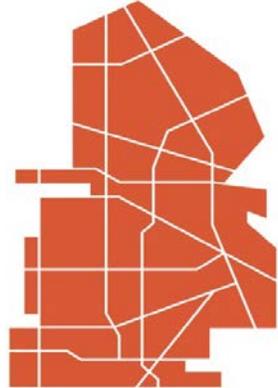
Expenditures	All Prior Actuals	2019/20	2020/21	2021/22	2022/23	2023/24	2024/25	Total
Construction	\$421,328	\$102,360	\$35,000	\$35,000	-	-	-	\$593,688
Contingencies/Misc	-	\$5,000	-	-	-	-	-	\$5,000
Total Expenditures	\$421,328	\$107,360	\$35,000	\$35,000	-	-	-	\$598,688

Operating Impacts	-	-	-	-	-	-	-	-
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FUNDED | CIVIC CENTER CAMPUS RENOVATION (MULTI-DEPARTMENT)

Project Number:	6144	Theme / Category:	Administrative Facilities
Year Initiated:	2019	Project Manager:	Kenn Lee
Planned Completion Year:	2021	Department:	Finance Department
Project Type:	Distinct	Fund:	538 - Public Buildings
Strategic Pillar	 06 - Manage Strategically Our Workforce Capacity and Resources		
Location	City Hall		



Project Description The Civic Center Campus Renovation Project is part of a larger effort to modernize City Hall in order to meet the growing demand for services, address the aging facilities and to meet the City's long-term space requirements. Improvements include installation of new carpets, paint, window covering, LED lights and ceiling grid for the Finance Department, Human Resources, City Attorney's Office and the cafeteria.

Funding Sources	All Prior Actuals	2019/20	2020/21	2021/22	2022/23	2023/24	2024/25	Total
Total Revenues	-	\$1,150,000	\$100,000	-	-	-	-	\$1,250,000

Expenditures	All Prior Actuals	2019/20	2020/21	2021/22	2022/23	2023/24	2024/25	Total
Construction	-	\$1,150,000	\$100,000	-	-	-	-	\$1,250,000
Total Expenditures	-	\$1,150,000	\$100,000	-	-	-	-	\$1,250,000

Operating Impacts	-	-	-	-	-	-	-	-
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FUNDED | STATIONARY STANDBY GENERATORS

Project Number:	6158	Theme / Category:	Administrative Facilities
Year Initiated:	2016	Project Manager:	Chris Fazzi
Planned Completion Year:	FY 2028/29	Department:	Public Works
Project Type:	Distinct	Fund:	538 - Public Buildings
Strategic Pillar	 02 - Deliver and Enhance High Quality Efficient Services and Infrastructure		
Location	Various City Owned Sites		



Project Description

The project consists of designing a replacement standby emergency generator, automatic transfer switch, adding a cam lock connector and remote monitoring at various City locations where existing emergency power is already supplied. In some cases, the generator may be relocated, an additional security enclosure may be required, the generator may need to be upsized or various other modifications to meet today's operations. The project is broken down into multiple phases. Phase I consists of replacing 10 standby emergency generators at various locations throughout the City. Phase II consists of replacing six standby emergency generators. Included with Phase II are two standby emergency generators for the Water & Sewer Utilities Department. Phase III consists of replacing seven standby emergency generators. Included with Phase III are three standby emergency generators for the Water & Sewer Utilities Department. Phase IV consists of replacing six standby emergency generators, and Phase V consists of replacing four standby emergency generators. The budgeted funding will fully fund the design and partially fund the construction of two emergency standby generators in Phase II of the project: one at Fairway Glen Storm Drain Pump Station and the other at Freedom Circle Storm Drain Pump Station. Estimates for construction costs may be updated once the projects are out for bid.

Funding Sources	All Prior Actuals	2019/20	2020/21	2021/22	2022/23	2023/24	2024/25	Total
Total Revenues	\$223,258	\$3,674,289	\$1,000,000	-	-	-	-	\$4,897,547

Expenditures	All Prior Actuals	2019/20	2020/21	2021/22	2022/23	2023/24	2024/25	Total
Construction	\$10,000	\$3,387,547	\$572,500	-	-	-	-	\$3,970,047
Contingencies/Misc	-	-	\$427,500	-	-	-	-	\$427,500
Engineering	\$213,258	\$286,742	-	-	-	-	-	\$500,000
Total Expenditures	\$223,258	\$3,674,289	\$1,000,000	-	-	-	-	\$4,897,547

Operating Impacts	-	-	-	-	-	-	-	-
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FUNDED | MORSE MANSION MAINTENANCE & REPAIR

Project Number:	6558	Theme / Category:	Administrative Facilities
Year Initiated:	2019	Project Manager:	Ken Winland
Planned Completion Year:	Ongoing	Department:	Public Works
Project Type:	Ongoing	Fund:	539 - General Government
Strategic Pillar	 02 - Deliver and Enhance High Quality Efficient Services and Infrastructure		
Location	Morse Mansion		



Project Description

This project was previously known as the Morse Mansion Rehabilitation, but it is now titled as the Morse Mansion Maintenance & Repair project. The project covers maintenance and repair of the Morse Mansion on an annual basis. General repairs include walls, paint, plumbing, porch repair, roof repair, etc. Staff has budgeted \$50,000 per year for routine maintenance and repair. This project does not cover major renovations to the property. A property condition assessment was completed in 2017 with the following recommendations:

- Re-roofing of structure & dry rot repair \$300,000
- Window restoration, door restoration, mechanical and plumbing upgrades \$100,000
- Siding restoration \$100,000
- Insulation and attic wiring \$100,000
- Landscaping & site restoration \$200,000
- Foundation structural work & front porch restoration (after current lease expires) \$500,000
- Interior repairs & repainting \$150,000

These costs are estimates and will be validated during the design process when funding is identified.

Funding Sources	All Prior Actuals	2019/20	2020/21	2021/22	2022/23	2023/24	2024/25	Total
Total Sources	\$29,398	\$70,602	\$50,000	\$50,000	-	-	-	\$200,000

Expenditures	All Prior Actuals	2019/20	2020/21	2021/22	2022/23	2023/24	2024/25	Total
Construction	\$29,398	\$70,602	\$50,000	\$50,000	-	-	-	\$200,000
Total Expenditures	\$29,398	\$70,602	\$50,000	\$50,000	-	-	-	\$200,000

Operating Impacts	-	-	-	-	-	-	-	-
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FUNDED | FIRE STATION 8 FIXTURE FURNITURE & EQUIPMENT

Project Number:	NEW - 608	Theme / Category:	Administrative Facilities
Year Initiated:	2020	Project Manager:	Jeremy Ray
Planned Completion Year:	2021	Department:	Fire Department
Project Type:	Distinct	Fund:	536 - Fire Department
Strategic Pillar	 02 - Deliver and Enhance High Quality Efficient Services and Infrastructure		
Location	Santa Clara Fire Station 8		



Project Description This project funds furniture, fixtures and equipment needed to support the expansion of Fire Station 8. More specifically, the fire station will undergo a kitchen remodel to install new cabinetry hardware, sand and paint existing kitchen cabinetry, remove and dispose of existing kitchen appliances that are beyond their useful life, and install new appliances. Other upgrades to the fire station include wiring and installation of transponders, equipment for turnouts, furniture for new dorm rooms, dining room table and chairs, window coverings and washer and dryer.

Funding Sources	All Prior Actuals	2019/20	2020/21	2021/22	2022/23	2023/24	2024/25	Total
Total Sources	-	-	\$60,000	-	-	-	-	\$60,000

Expenditures	All Prior Actuals	2019/20	2020/21	2021/22	2022/23	2023/24	2024/25	Total
Equipment	-	-	\$60,000	-	-	-	-	\$60,000
Total Expenditures	-	-	\$60,000	-	-	-	-	\$60,000

Operating Impacts	-	-	-	-	-	-	-	-
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UNFUNDED PROJECTS | ADMINISTRATIVE FACILITIES

4086 - Emergency Operations Center Capital Refurbishment

FY 2020/21	FY 2021/22	FY 2022/23	FY 2023/24	FY 2024/25	Five-Year CIP Total
\$40,000	-	-	-	-	\$40,000

This project would provide for a seismic evaluation of the Emergency Operations Center to determine its structural integrity in the event of a large-scale earthquake.

4097 - Diesel Exhaust Removal Systems

FY 2020/21	FY 2021/22	FY 2022/23	FY 2023/24	FY 2024/25	Five-Year CIP Total
\$200,000	-	-	-	-	\$200,000

This project would fund the plan for the installation of a direct source capture diesel exhaust removal system on all fire department diesel vehicles. These systems replaced the aging and failing hose mounted systems installed in the fire stations. To finalize this process and to fully capture all exhaust and off-gassing of apparatus and equipment, the fire department must install air filtration systems in all fire stations that do not already have them. The amount requested is for both equipment and installation.

6054 - Street Corporation Yard Renovation

FY 2020/21	FY 2021/22	FY 2022/23	FY 2023/24	FY 2024/25	Five-Year CIP Total
\$250,000	-	-	-	-	\$250,000

Renovations are needed at the Streets Corporation Yard facility to maintain compliance, security and to address critical infrastructure needs. As such, the facility would require a needs assessment and building code analysis. The Streets Corporation Yard facility is currently grandfathered into the old building code standards; however, many new building codes would take affect upon major renovation. As such, the code analysis would help identify the affected areas as they have a large impact on the construction costs. Based on the findings of the study, staff would return to request future construction funding.

6123 - Public Building Parking Lot Improvements

FY 2020/21	FY 2021/22	FY 2022/23	FY 2023/24	FY 2024/25	Five-Year CIP Total
-	\$250,000	-	-	-	\$250,000

The work plan for this project would include performing pavement inspections of the parking lots and developing a parking lot asset and pavement management program in FY 2019/20, preparing construction documents and construction contract award for a parking lot in FY 2020/21, and design and construction contracts annually thereafter. Budgeting for additional lots will occur in future budgets to address the needs identified through development of the parking lot asset and pavement management program. This project is partially funded in FY 2020/21, while the out years remain unfunded.



UNFUNDED PROJECTS | ADMINISTRATIVE FACILITIES

6137 - Hazardous Material Management for City Properties

FY 2020/21	FY 2021/22	FY 2022/23	FY 2023/24	FY 2024/25	Five-Year CIP Total
-	-	\$50,000	\$50,000	\$50,000	\$150,000

In each fiscal year, costs are expended for the oversight agency-Department of Toxic Substances Control (DTSC) fees, and review and reporting on the condition of the containment cell caps. Every five years, reports are required to be submitted based on a more detailed review and technical evaluation which requires consultant services. On an ongoing basis, construction funds may be necessary to implement repairs or restoration of the containment cell caps. The estimated project costs include consultant fees to perform site reviews/evaluation and construction costs. Funding is included for the first two years of the CIP.

6138 - Repairs-Modifications to City Buildings

FY 2020/21	FY 2021/22	FY 2022/23	FY 2023/24	FY 2024/25	Five-Year CIP Total
\$50,000	\$60,000	\$220,500	\$231,525	\$243,101	\$805,126

This project would cover general repairs and maintenance for the City Hall complex, such as repair, replace, or upgrade building components, including tenant improvements and general maintenance. Some of the past projects have included carpet, furniture, electrical upgrades, and general repairs. This project is partially funded in years 1 and 2, while the out years remain unfunded.

6139 - Repair to Historic Buildings

FY 2020/21	FY 2021/22	FY 2022/23	FY 2023/24	FY 2024/25	Five-Year CIP Total
-	-	\$100,000	\$100,000	\$100,000	\$300,000

This project would cover the repair and maintenance of the City-owned historic buildings. These buildings include Headen Inman, Jamison Brown, Harris Lass, and Berryessa Adobe. Repairs include paint, plumbing, exterior maintenance, electrical, etc. Continuing to fund this project will allow staff to identify and repair the items found on the property condition assessments. This project is partially funded in years 1 and 2, while the out years remain unfunded.

6140 - Triton Museum Repair and Modifications

FY 2020/21	FY 2021/22	FY 2022/23	FY 2023/24	FY 2024/25	Five-Year CIP Total
-	\$150,000	\$835,000	\$35,000	\$35,000	\$1,055,000

This project would cover maintenance and repair of the Triton Museum on an annual basis. General repairs include walls, paint, plumbing, electrical and roof repair. There was a roof analysis done in 2012 which concluded a roof replacement was needed. The existing roof is over 30 years old and is getting past the point of routine maintenance. Additionally, a roof replacement is needed in FY 2022/23. This project is partially funding maintenance costs in year 1 and 2, while the out years remain unfunded.



UNFUNDED PROJECTS | ADMINISTRATIVE FACILITIES

6148 - City Hall HVAC Rehabilitation Project

FY 2020/21	FY 2021/22	FY 2022/23	FY 2023/24	FY 2024/25	Five-Year CIP Total
\$250,000	\$750,000	\$4,200,000	-	-	\$5,200,000

This project would provide ongoing City Hall rehabilitation work identified in the Facility Condition Assessment Report. The current immediate repair includes replacing the HVAC system, which includes central heating, central cooling, distribution system, and split system units. The HVAC system components vary in age; however, all of them are near the end of their useful life and need to be replaced within the next five years. The first phase of this project consists of evaluation of the existing HVAC system and preparing schematic design. Based on the result of the evaluation that would detail the impact to City Hall, staff will consider design and construction schedule for the project.

6150 - City Hall Security & Key System Upgrade

FY 2020/21	FY 2021/22	FY 2022/23	FY 2023/24	FY 2024/25	Five-Year CIP Total
\$250,000	-	-	-	-	\$250,000

This project would include modifications to the City Hall Complex Security System upgrades. These modifications include installation of security cameras, card key access at interior/exterior doors, and other components as necessary. Phase I was completed in FY 2019/20 and Phase II will be completed FY 2020/21. Phase II will include card readers at additional locations within the City Hall complex and include additional cameras in strategic locations.

6158 - Stationary Standby Generators

FY 2020/21	FY 2021/22	FY 2022/23	FY 2023/24	FY 2024/25	Five-Year CIP Total
\$2,277,500	\$600,000	\$3,486,000	\$2,355,000	\$1,552,500	\$10,271,000

The project would consist of designing a replacement standby emergency generator, automatic transfer switch, adding a cam lock connector and remote monitoring at various City locations where existing emergency power is already supplied. Many of the City's Stationary Emergency Standby Generators (standby generators) are over 25 years old and have reached the end of their useful life and are in need of major repairs. As a result, the overall condition and reliability of the standby generator fleet has diminished. This project is partially funded in year 1, while the out years remain unfunded.

6159 - Fall Safety Building Improvements

FY 2020/21	FY 2021/22	FY 2022/23	FY 2023/24	FY 2024/25	Five-Year CIP Total
\$100,000	\$100,000	-	-	-	\$200,000

This project is used to conduct fall safety audits and upgrades at various City buildings. A fall safety condition assessment was completed in 2017. The audit recommendations and proposed modifications are in the process of implementation. The project is unfunded in FY 2020/21 and FY 2021/22.



UNFUNDED PROJECTS | ADMINISTRATIVE FACILITIES

6558 - Morse Mansion Maintenance & Repair

FY 2020/21	FY 2021/22	FY 2022/23	FY 2023/24	FY 2024/25	Five-Year CIP Total
-	-	\$50,000	\$200,000	\$2,000,000	\$2,250,000

The project would cover maintenance and repair of the Morse Mansion on an annual basis. General repairs include walls, paint, plumbing, porch repair, roof repair, etc. This project is partially funded in years 1 and 2, while the out years remain unfunded. A property condition assessment was completed in 2017 with the following recommendations: re-roofing of structures and dry rot repair (\$300,000); window restoration, door restoration, mechanical and plumbing upgrades (\$100,000); siding restoration (\$100,000); insulation and attic wiring (\$100,000); landscaping and site restoration (\$200,000); foundation structural work; front porch restoration (after current lease expires) (\$500,000); and interior repairs and repainting (\$150,000). These costs are estimates and will be validated during the design process when funding is available.

NEW - 601 - Fire Stations 1, 7, 9 and Admin Building Renovation Study

FY 2020/21	FY 2021/22	FY 2022/23	FY 2023/24	FY 2024/25	Five-Year CIP Total
-	\$270,000	\$15,000,000	-	-	\$15,270,000

The project would consist of a property condition assessment and conceptual/feasibility study to determine scope of renovation required to support operational needs of the fire department headquarters building, Fire Station 1, 7 and 9. Scope includes feasibility analysis of bringing the existing buildings up to current building codes and Americans with Disabilities Act (ADA) requirements. A cost benefit analysis and a concept recommendation are part of this project/study. Based on the study results and recommendation, City to decide the next steps for Fire Administrative Building, Fire Stations 1, 7 and 9. The cost in FY 2022/23 is an estimate of the renovation costs.

NEW - 602 - Fire Station 5 Replacement

FY 2020/21	FY 2021/22	FY 2022/23	FY 2023/24	FY 2024/25	Five-Year CIP Total
\$1,879,007	\$11,133,858	-	-	-	\$13,012,865

This project would demolish the existing Fire Station 5 and construct a new Fire Station 5 that can support operational needs of the fire department. Fire Station 5 was constructed in 1962 and is in need of replacement. A property condition assessment was completed in FY 2017/18 to study options for renovation or replacement. The study recommended replacement at a proposed cost of \$9.3 million. The current station cannot be renovated in a way that will allow it to house an aerial ladder apparatus (fire truck), which is critical to meet the operational needs of the department. This operational need was independently verified by a consultant in a deployment performance analysis conducted in 2016.

NEW - 603 - Rehabilitate Facilities Storage Building

FY 2020/21	FY 2021/22	FY 2022/23	FY 2023/24	FY 2024/25	Five-Year CIP Total
\$100,000	-	-	-	-	\$100,000

The 5,250 sq. ft storage building at 2975 Lafayette St. is in need of significant repair based on an evaluation performed in 2016. The building is approximately 60 years old and items to be addressed include foundation, walls, roofing, earthquake upgrades, and asbestos abatement. Facilities services staff currently use the building for storage of supplies, equipment, and materials to maintain various City buildings. Based on the initial report, staff needs to evaluate options of rehabilitating the existing structure or removing and replacing it. The proposed cost is for staff to hire a consultant to provide analysis and recommendations.



UNFUNDED PROJECTS | ADMINISTRATIVE FACILITIES

NEW - 605 - ADA Transition Plan Implementation

FY 2020/21	FY 2021/22	FY 2022/23	FY 2023/24	FY 2024/25	Five-Year CIP Total
-	-	\$450,000	\$3,450,000	\$3,450,000	\$7,350,000

The City is preparing an Americans with Disabilities Act (ADA) Self-Evaluation and Transition Plan Update (Project No. 6153) for public buildings, parks, and public rights-of-way. The resulting Plan will identify improvements to address barriers to accessibility, methods for improving access, establish a timeline and costs for removing ADA barriers, and involve people with disabilities in the preparation of the Plan. The Plan will provide a strategic approach and tools for continued access improvements as the City implements its ADA Self-Evaluation and Transition Plan in the coming years. This project is to implement improvements as identified in the plan.

NEW - 400 - Training Tower Stair Repair

FY 2020/21	FY 2021/22	FY 2022/23	FY 2023/24	FY 2024/25	Five-Year CIP Total
\$434,108	-	-	-	-	\$434,108

This project would repair the training tower stair at the Santa Clara Fire Department Training Center, which is used to train all City firefighters to the State Fire Marshal (SFM) Firefighter I curriculum. This curriculum includes classes such as Fire Behavior, Live Fire burns and High Rise Operations. The Training Center also holds other State Fire Marshal approved classes used to maintain the department's State of California Office of Emergency Services (CalOES) regional typing for Hazardous Materials and Urban Search and Rescue operations. These curricula require a certain amount of training props and equipment, as well as minimum facility requirements to be able to teach these classes, as well as host in-house firefighter academies. The Training Tower is a major component of the Training Center and needs to meet minimum facility requirements of the SFM and CalOES; and multi-level facilities such as the Training Tower are integral in training City's personnel in fire rescue and firefighting operations.

Five-Year CIP Total Unfunded Need: \$57,388,099

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Community Facilities

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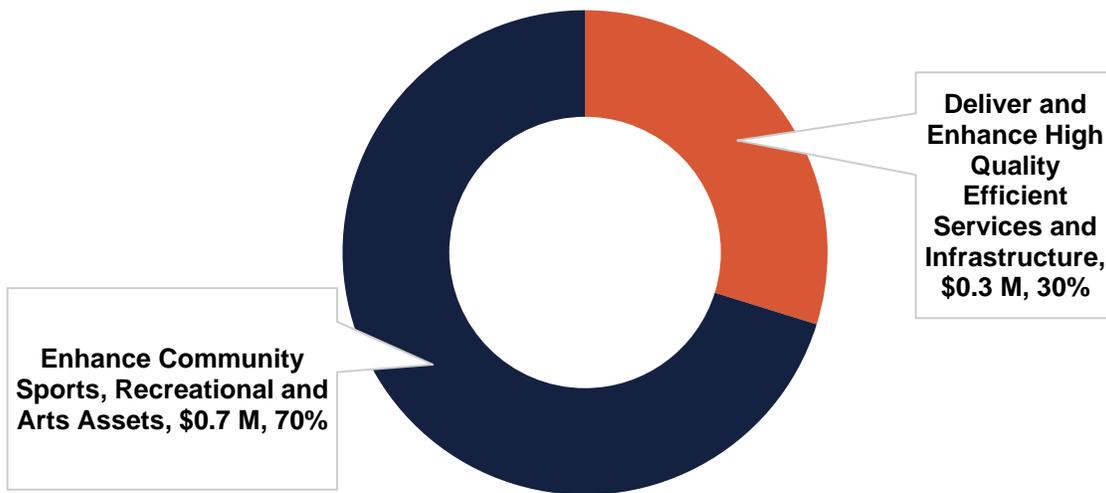


INTRODUCTION

The capital projects within the Community Facilities theme enhance the functionality and sustainability of many important city-owned facilities. These projects include construction, repair and renovation that are imperative for the City to promote safe, reliable and adequate services while also providing a diverse range of parks, recreation and open space opportunities to residents.

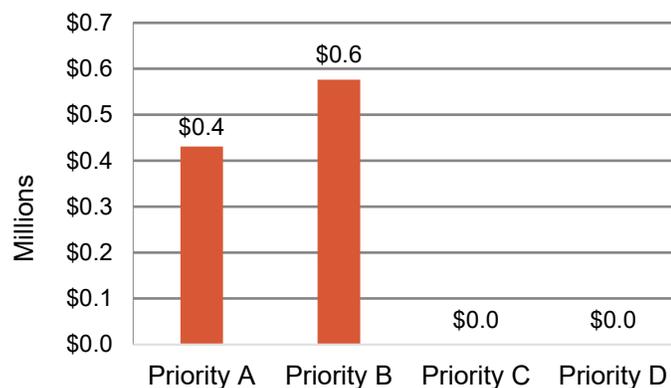
Funding Our Top Priorities

The projects included in the proposed CIP were determined in accordance with both the City Council’s Strategic Pillars and the budget priorities. The chart below illustrates the funding amount per Strategic Pillar within the Community Facilities category.



The proposed five-year CIP Community Facilities budget totals \$1.0 million. The CIP projects were prioritized by the following criteria:

Priority	Description
A	critical need mandated, emergency, or under construction
B	maintenance system reliability and/or ongoing operations
C	future system needs or future regulatory requirements
D	not critical, but achieve efficiencies and/or sustainability





Project Highlights

Major projects in the Community Facilities category are detailed below.

Electronic Access for Meeting Rooms

This project will allow residents remote access to park buildings, addressing the growing demands for program, meeting and event space as our population grows. This project will also improve efficiency by reducing staff and user time required to gain access to non-staffed facilities. The proposed funding will support installation of three readers at various City facilities that includes door control panel, power supply, readers, door hardware and cabling. In addition, Wi-Fi may be made available for public use in the parks.

Bowers Roof Replacement Project

The Bowers Park Building is used for various city recreational programs, meetings and events. Due to the critical condition of the roof that is beyond its useful life and needs replacement, the facility has been temporarily closed. The proposed budget for this project will include funding to replace the roof and repair any internal damage caused by the condition of the roof. Replacement will increase indoor space availability for programs, meetings, and events.

Major Accomplishments

- Completed the renovation of the Mission Branch Library to provide a more energy efficient facility to meet long-term sustainability initiatives and mandates.
- Completed an initial design phase of the Public Spaces Project and identified two underutilized spaces to provide the community additional meeting space at the Central Park Library, expanding space on the 1st floor and adding one additional room on the 2nd floor.
- Completed design phase of Solar Panel Installation at Northside Library.





Financing Sources

General Fund (Capital Projects Reserve)

The General Fund Capital Projects Reserve is used to support the City’s general capital projects. Revenues are generated from tax revenues, fees from licenses and permits, fines and investment earnings.

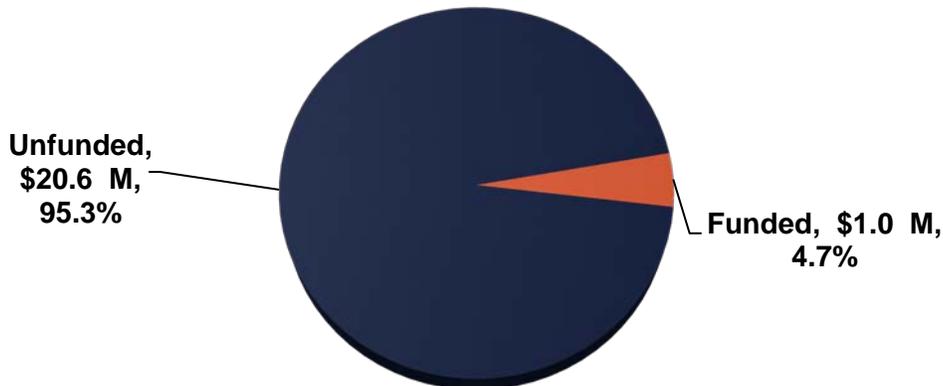
Operating Budget Impacts

Recommended projects within the Community Facilities category are not expected to have additional operating and maintenance costs.

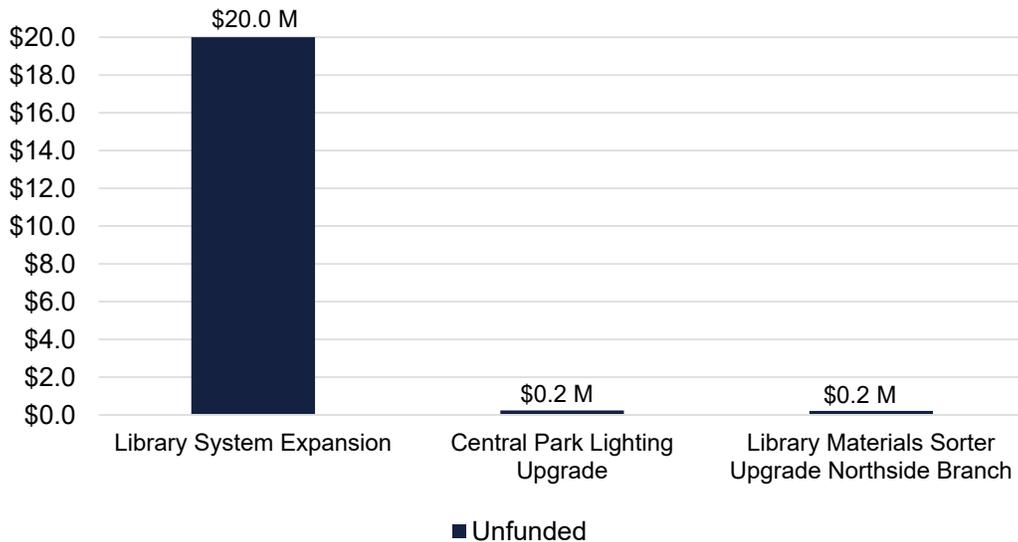
Unfunded Needs

Although the FY 2020/21 proposed CIP provides funding for vital improvements throughout the City, there remains significant high priority needs that have not been addressed. As highlighted in the chart below, the total funding needs for the Community Facilities program totals \$21.6 million. Of this amount, \$1.0 million is funded, leaving \$20.6 million of unfunded needs. The unfunded projects are primarily in the Library Department.

**Community Facilities
Total Funding Needs = \$21.6 M**



The graph below highlights the highest priority projects currently on the unfunded list in the Community Facilities category. For more project information, please see the Unfunded Projects – Community Facilities list at the end of this section.



Library System Expansion

The Library Department is striving to meet the changing demands of a growing and diverse population. The Central Park Library is currently at capacity for resources, seating, meeting and programming space. Expanding Central Library/Northside Library up to 20,000 square feet would provide a substantial increase in space to house informational and educational programming that support early literacy and lifelong learning. Expanded space for teens and children would allow for additional resources like innovation and technology labs to help build job skills for the future economy. Labs would have the latest technology and equipment to serve the Santa Clara community.

Library Materials Sorter Upgrade Northside Library

This project would provide funding for a replacement of the existing automated material sorter at the Northside Branch Library with a new Automatic Handling (AMH) system. The AMH is designed to receive, check-in and sort materials for shelving. The AMH at Northside Branch Library is outdated which requires extensive staff monitoring to keep it operational. Central Park Library’s AMH was upgraded to a much more cost effective, customer friendly, more reliable and energy-efficient machine. With the upgraded equipment, the community that uses the Northside Branch Library would have the same features as Central Park Library. The upgraded sorter will also reduce electricity usage and help towards the goal of achieving a net-zero energy use facility.

Central Park Library Lighting Repair and Upgrade

The Central Park Library lighting controls, software and equipment have reached end of life with ongoing lighting failures. The system requires replacement to maintain a well-lit, safe and comfortable space for staff and patrons to meet sustainability requirements. Due to the age and nature of the installed light fixtures and lighting control systems, there is substantial cost related to repairing, maintaining and replacing individual bulbs, ballasts and lighting fixtures. Three major fixtures need replacing and each requires significant labor expense due to the placement and installed height. Upgrading to LED fixtures for all lighting would also reduce utility costs. Proposed funding would allow for modern, connected and automated systems to control lighting and upgrade to LED. This project is not eligible for grants.



FUNDED | PROJECTS BUDGETED COST SUMMARY

Community Facilities Project	2019/20	2020/21	2021/22	2022/23	2023/24	2024/25	Five-Year CIP Total
3172 - International Swim Center, Community Recreation Center, ISHOF	\$138,893	-	-	-	-	-	-
3187 - Bowers Roof Replacement Project	\$127,820	\$130,680	-	-	-	-	\$130,680
3188 - Senior Center Gym Equipment Replacement	\$183,056	-	-	-	-	-	-
5044 - Remodel of Mission Branch Library	\$12,322	-	-	-	-	-	-
5049 - Central Park Library Public Spaces	\$173,114	-	-	-	-	-	-
6085 - Cafeteria Upgrade	\$8,769	-	-	-	-	-	-
NEW - 323 - Electronic Access for Meeting Rooms	-	\$576,200	-	-	-	-	\$576,200
NEW - 325 - Sarah E. Fox Memorial Mausoleum Repairs	-	\$300,000	-	-	-	-	\$300,000
Total Community Facilities Projects	\$643,974	\$1,006,880	-	-	-	-	\$1,006,880



FUNDED | BOWERS ROOF REPLACEMENT PROJECT

Project Number:	3187	Theme / Category:	Community Facilities
Year Initiated:	2019	Project Manager:	Falguni Amin
Planned Completion Year:	2020	Department:	Parks & Recreation
Project Type:	Distinct	Fund:	532 - Parks and Recreation
Strategic Pillar	 03 - Enhance Community Sports, Recreational and Arts Assets		
Location	Bowers Park		



Project Description

Dedicated in 1957, Bowers Park contains a recreation building, restrooms, an open play area, a playground, on-site parking lot, picnic areas and various park amenities. The park is bounded by Cabrillo Avenue to the north, Cabrillo Middle School to the east, Cabrillo Elementary to the west and residential properties to the south.

The City hired Kitchell, LLC to perform a facility assessment for all recreation sites. The Facility Condition Assessment Report was completed in 2017. In the report, the Bowers Park building roof was categorized as "Critical", with a recommendation for replacement in year one (2018). The condition is described as being "beyond its useful life and needs replacement." This project replaces the roof.

Funding Sources	All Prior Actuals	2019/20	2020/21	2021/22	2022/23	2023/24	2024/25	Total
Total Sources	-	\$127,820	\$130,680	-	-	-	-	\$258,500

Expenditures	All Prior Actuals	2019/20	2020/21	2021/22	2022/23	2023/24	2024/25	Total
Construction	-	\$121,600	\$80,900	-	-	-	-	\$202,500
Engineering	-	\$6,220	\$49,780	-	-	-	-	\$56,000
Total Expenditures	-	\$127,820	\$130,680	-	-	-	-	\$258,500

Operating Impacts	-	-	-	-	-	-	-	-
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FUNDED | ELECTRONIC ACCESS FOR MEETING ROOMS

Project Number:	NEW - 323	Theme / Category:	Community Facilities
Year Initiated:	2019	Project Manager:	Falguni Amin
Planned Completion Year:	2020	Department:	Parks & Recreation
Project Type:	Distinct	Fund:	532 - Parks and Recreation
Strategic Pillar	 03 - Enhance Community Sports, Recreational and Arts Assets		
Location	Various Park Buildings		



Project Description

Adding electronic access to community rooms and adjacent restrooms allows ease of access for users and reduces staff time required to open and close restrooms. The current practice for public use of non-staffed facilities requires users to pick-up and drop-off keys for each use. This system, which would use the City's existing electronic enterprise system, would allow the City to issue codes for facility access, enabling use without multiple trips to community centers.

Proposed facilities include park buildings at the following sites: Agnew Park, Bowers Park, Henry Schmidt Park, Lick Mill Park, Machado Park, Maywood Park, and Montague Park.

The Parks & Recreation Department currently uses the key system at the Community Gardens at Eddie Souza Park and will be using it at the Reed & Grant Sports Park when it opens in Winter 2020.

In order to use this equipment, SVP will need to run fiber to the individual buildings. Once Wi-Fi is enabled, the City can monitor and program the locks remotely. In addition, Wi-Fi can be made available for public use in the parks.

This estimate includes running fiber to parks and installing 3 readers per facility that include door control panel, power supply, readers, door hardware and cabling. Cameras that interface with the locks will also be included if funding allows.

Funding Sources	All Prior Actuals	2019/20	2020/21	2021/22	2022/23	2023/24	2024/25	Total
Total Sources	-	-	\$576,200	-	-	-	-	\$576,200

Expenditures	All Prior Actuals	2019/20	2020/21	2021/22	2022/23	2023/24	2024/25	Total
Construction	-	-	\$429,800	-	-	-	-	\$429,800
Engineering	-	-	\$146,400	-	-	-	-	\$146,400
Total Expenditures	-	-	\$576,200	-	-	-	-	\$576,200

Operating Impacts	-	-	-	-	-	-	-	-
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FUNDED | SARAH E. FOX MEMORIAL MAUSOLEUM REPAIRS

Project Number:	NEW - 325	Theme / Category:	Community Facilities
Year Initiated:	2020	Project Manager:	Philip Orr
Planned Completion Year:	2021	Department:	Parks & Recreation
Project Type:	Distinct	Fund:	593 - Cemetery
Strategic Pillar	 02 - Deliver and Enhance High Quality Efficient Services and Infrastructure		
Location	Santa Clara Mission Cemetery		



Project Description The Sarah E. Fox Memorial Mausoleum is a “community mausoleum” dedicated in 1914 to be used as a community vault for the placement of cremains. The Sarah Fox Mausoleum contains under 600 urns. A preliminary facilities condition assessment of the mausoleum was conducted in 2017/18 as part of a broader study of Parks & Recreation Department buildings and parks. Given the age and unique characteristics of the mausoleum, it was recommended that a more detailed structural assessment of the facility be conducted, and necessary repairs be conducted for this building.

Funding Sources	All Prior Actuals	2019/20	2020/21	2021/22	2022/23	2023/24	2024/25	Total
Total Sources	-	-	\$300,000	-	-	-	-	\$300,000

Expenditures	All Prior Actuals	2019/20	2020/21	2021/22	2022/23	2023/24	2024/25	Total
Construction	-	-	\$200,000	-	-	-	-	\$200,000
Contingencies/Misc	-	-	\$50,000	-	-	-	-	\$50,000
Engineering	-	-	\$50,000	-	-	-	-	\$50,000
Total Expenditures	-	-	\$300,000	-	-	-	-	\$300,000

Operating Impacts	-	-	-	-	-	-	-	-
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UNFUNDED PROJECTS | COMMUNITY FACILITIES

3622 - Repurchase Cemetery Property

FY 2020/21	FY 2021/22	FY 2022/23	FY 2023/24	FY 2024/25	Five-Year CIP Total
\$34,000	\$34,000	\$34,000	\$34,000	\$34,000	\$170,000

This project would enable the City to repurchase the cemetery interment rights previously sold to customers. Interment rights are re-purchased at the original fee and are made available for purchase at current rates.

NEW - 501 - Central Park Library Lighting Repair and Upgrade

FY 2020/21	FY 2021/22	FY 2022/23	FY 2023/24	FY 2024/25	Five-Year CIP Total
\$238,000	-	-	-	-	\$238,000

This project would provide repairs and upgrades at the Central Park Library. The Central Park Library lighting controls, software and equipment are reaching end of life with ongoing lighting failures. The system requires replacement to maintain a well-lit, safe and comfortable space for staff and patrons, to meet sustainability requirements and to avoid excess future expenditures for ongoing lamp and ballast replacement and emergency repair costs.

NEW - 502 - Library Materials Sorter Upgrade Northside Branch

FY 2020/21	FY 2021/22	FY 2022/23	FY 2023/24	FY 2024/25	Five-Year CIP Total
\$212,000	-	-	-	-	\$212,000

This project would replace the existing automated material sorter at the Northside Branch Library with a new Automatic Handling (AMH) system. The AMH is designed to receive, check-in and sort materials for shelving. The AMH at Northside Branch Library is outdated which requires extensive staff monitoring to keep it operational. Central Park Library's AMH was upgraded to a much more cost-effective, customer friendly, more reliable and energy-efficient machine. The community that uses the Northside Branch Library would have same features as Central Park Library. This new sorter would also reduce electricity and help towards the goal of achieving net zero energy use facility.

New - 504 - Library Expansion

FY 2020/21	FY 2021/22	FY 2022/23	FY 2023/24	FY 2024/25	Five-Year CIP Total
-	\$20,000,000	-	-	-	\$20,000,000

The Library Department is striving to meet the changing demands of an growing and diverse population. The Central Park Library is currently at capacity for resources, seating, meeting and programming space. Expanding Central Library/Northside Library up to 20,000 square feet would provide substantial increase in space to house informational and educational programming that support early literacy and lifelong learning. Expanded space for Teens and Children would allow for additional resources like innovation and technology labs to help build job skills for the future economy. Labs would have the latest technology and equipment to serve the Santa Clara community.

Five-Year CIP Total Unfunded Need: \$20,620,000

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Convention Center

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INTRODUCTION

The Santa Clara Convention Center is strategically located in the heart of Silicon Valley, a prime location for conventions, trade shows and exhibitions that attracts over 350,000 visitors annually. It features 100,000 square feet of exhibit space, a 22,400 square foot ballroom, 31 breakout rooms and a 607-seat theater. The facility is an integral economic component of Santa Clara, generating economic benefits through attendee direct and indirect spending and sustaining over 500 local jobs. The Convention Center is managed and operated by Global Spectrum, L.P. (Spectra).

The Convention Center's Capital Improvement Program (CIP) is managed by Spectra and is comprised of projects focused on the maintenance, repair, renovation or replacement of existing systems and infrastructure including, but not limited to HVAC, façade and aesthetic improvements, and technological advancements.

In FY 2019/20, Spectra submitted a five-year CIP budget totaling \$18.7 million. A total of \$4.15 million, \$1.15 million capital investment from Spectra and \$3 million capital investment from the City, was allocated to support 13 projects in year one (FY 2019/20), leaving approximately \$14.6 million in projects unfunded. The funded projects include HVAC upgrades, security system replacement, roof assessment and repair (glass pyramid), replacement of terrace area tiles, digital signage upgrades, and interior painting, carpet, door and facility lighting replacement. The projects are currently in various stages of development, implementation and procurement and are expected to be completed in FY 2020/21.

Spectra identified five-year CIP needs totaling \$6.6 million in addition to \$5.4 million for the garage condition assessment repairs. At this time, however, no additional capital funding is programmed in the Proposed CIP. With the continuation of FY 2019/20 projects into FY 2020/21, many subsequent projects were pushed out by a year and budget reductions were made to larger capital projects in the outlying years. For example, full replacement costs for the roof and airwall (estimated at \$8 million) included in the initial five-year CIP were reduced to \$300,000 to specifically fund the initial condition assessments. Full replacement costs, as determined by the condition assessment, will be included in future CIP planning.

Funding Our Top Priorities

The Convention Center CIP directly supports City Council's strategic pillars to *Deliver and Enhance High Quality Efficient Services and Infrastructure* and to *Promote and Improve Economic, Housing and Transportation Development*. The main structure of the Convention Center was completed in 1986 with subsequent additions such as the East Wing completed in 1996 and the Ballroom expansion completed in 2012.

With project funding that will be carried over from FY 2019/20, the CIP will include improvements to facility systems and changes in the interior appearance of the Convention Center. The overall goals of the CIP projects are to improve the existing physical condition of the building, enhance and ensure safety for all visitors and staff, and to create a highly desirable and sought-after environment that positively contributes to overall visitor experience. No additional funding has been added in this Proposed CIP.

Project Highlights

Major projects in the Convention Center category are detailed below.

Condition Assessments and System Enhancements

The proposed five-year Convention Center CIP includes the completion of essential condition assessments of the escalators, elevators and overall roof structure. Future projects may be proposed based on findings and in direct coordination with the City's completed ADA Transition Plan. Additionally, projects will focus on modernizing aging building and security systems.



Modern Spaces

To modernize and create community gathering spaces, new Furniture, Fixtures and Equipment (FF&E) is proposed for the Convention Center's public spaces and meeting areas. This project will include new technology-friendly seating and tables required in today's mobile business atmosphere. The furniture will enhance the experience of all visitors utilizing the facility for conferences and meetings. Additionally, the project will provide for new, environmentally friendly trash receptacles, portable stages and new 8-foot and 6-foot event tables.



Major Accomplishments

Convention Center Skylights

The five glass pyramid skylights are a distinguishable characteristic of the Convention Center. Due to over 30-years of weather and wear, the seals around the windows have cracked or decayed. This deterioration has led to challenges during wet weather causing multiple leaks throughout the main lobby. Through a competitive Request for Proposal process, Spectra selected a firm to reseal the glass pyramids, both inside and outside, of the facility. This resealing project is estimated to increase the useful life of the skylights by 20 years.





Financing Sources

Convention Center Enterprise Fund

The City will determine the availability of future funding and allocations based on annual revenue generated in the Convention Center Enterprise Fund. Given the impacts of COVID-19 on convention center operations, no additional funding is added in this CIP.

Spectra Capital Contribution

In FY 2019/20, Spectra invested \$1,150,000 for capital improvements and repairs as part of the Management Agreement.

General Fund (Capital Projects Reserve)

In FY 2019/20, the General Fund contributed \$3,000,000 for capital improvements.

Operating Budget Impacts

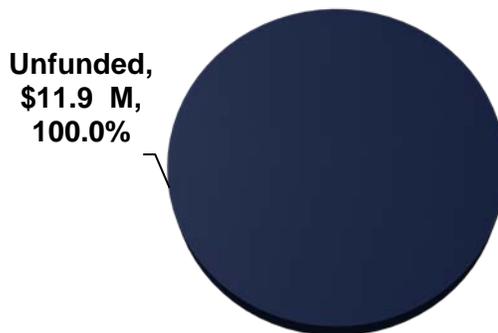
With the future upgrades to the Convention Center security and two-way radio systems, ongoing funds are required to maintain third-party monitoring services and to provide for replacement parts (such as batteries, components, etc.) as needed. The operating budget impact for five years is estimated at \$27,800 and is summarized in the table below.

Summary of Operating Impact	FY 2020/21	FY 2021/22	FY 2022/23	FY 2023/24	FY 2024/25	Total Five-Year
Contractual Services	0	3,000	3,500	3,500	4,000	14,000
Operating Supplies	0	3,450	3,450	3,450	3,450	13,800
Total	0	6,450	6,950	6,950	7,450	27,800

Unfunded Needs

The proposed five-year CIP plan for FY 2020/21–FY 2024/25 totals \$11.9 million and is currently unfunded. The City will determine the availability of future funds and allocations based on annual revenue generated in the Convention Center Enterprise Fund.

Convention Center Total Funding Needs = \$11.9 M





FUNDED | PROJECTS BUDGETED COST SUMMARY

Convention Center Project	2019/20	2020/21	2021/22	2022/23	2023/24	2024/25	Five-Year CIP Total
6154 - Convention Center Condition Assessment Repairs	\$4,150,000	-	-	-	-	-	-
Total Convention Center Projects	\$4,150,000	-	-	-	-	-	-



UNFUNDED PROJECTS | CONVENTION CENTER

6154 - Convention Center Condition Assessment Repairs

FY 2020/21	FY 2021/22	FY 2022/23	FY 2023/24	FY 2024/25	Five-Year CIP Total
\$581,250	\$1,674,175	\$2,550,075	\$958,000	\$821,600	\$6,585,100

This project would provide funding for various capital improvements and repairs at the Santa Clara Convention Center. The City will determine the availability of future funding and allocations based on annual revenue generated in the Convention Center Enterprise Fund.

6156 - Convention Center Garage Condition Assessment Repairs

FY 2020/21	FY 2021/22	FY 2022/23	FY 2023/24	FY 2024/25	Five-Year CIP Total
\$750,000	\$4,600,000	-	-	-	\$5,350,000

A Property Condition Assessment (PCA) and seismic evaluation was completed for the Convention Center garage in 2017. The PCA recommendations include repair spalled concrete, expansion joint repair, replace water proof coating on top deck, repainting of various surfaces. In addition, work may also include concrete rehabilitation based on seismic recommendations.

Five-Year CIP Total Unfunded Need: \$11,935,100

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Electric Utility

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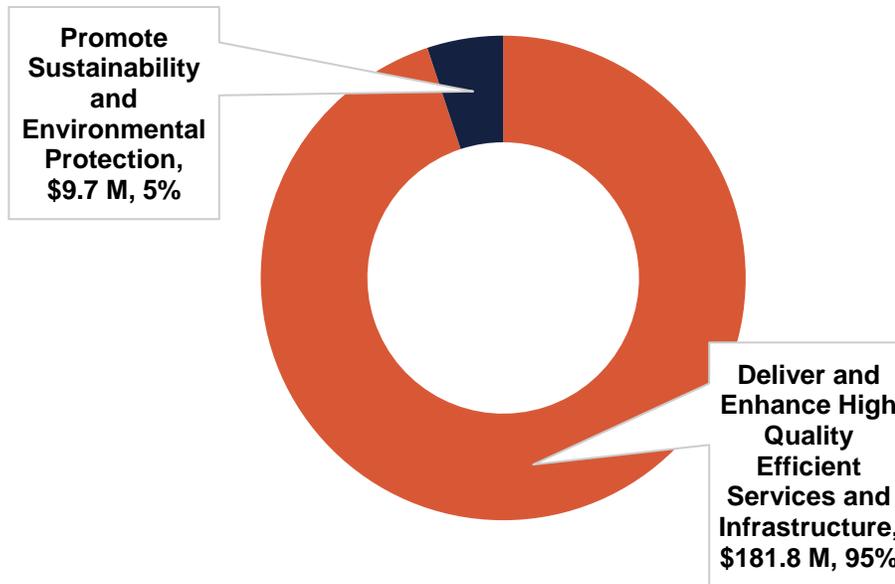
INTRODUCTION

The projects within the Electric Utility theme all serve to maintain and upgrade electric generation, transmission, and distribution facilities and equipment to meet the mission of the Electric Department, doing business as Silicon Valley Power (SVP), to be a progressive, service-oriented utility, which offers reliable and competitively priced services for the benefit of the City and its customers.

Electric Utility Infrastructure FY 2018/19	
Miles of Distribution Lines	558
Miles of Transmission Lines	55
Number of Meters	55,971
Number of Streetlights	8,075
Number of Substations	28
Number of Customer Accounts	56,491

Funding Our Top Priorities

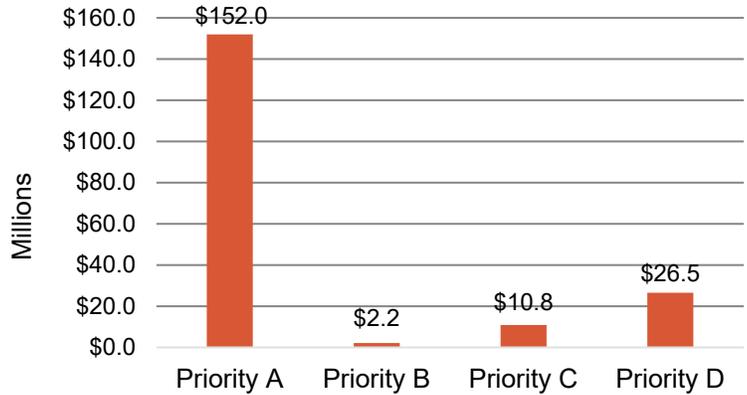
The projects included in the proposed five-year CIP are estimated to cost \$191.5 million and were determined in accordance with both the City Council’s strategic pillars and the budget priorities. In December 2018, SVP adopted the 2018 Strategic Plan further guiding CIP priorities. The following chart depicts the total funding in the Electric Utility program by strategic pillar.





These CIP projects were prioritized by the following criteria:

Priority	Description
A	critical need mandated, emergency, or under construction
B	maintenance system reliability and/or ongoing operations
C	future system needs or future regulatory requirements
D	not critical, but achieve efficiencies and/or sustainability



Project Categories

Substation Construction

SVP plans to reconstruct one (1) Homestead Substation that has been commissioned for over 45 years and construct four (4) new substations, which are: Esperanca, Laurelwood, Oaks Junction, and San Tomas Junction. The new substations are development driven and designed to meet the needs of expanding data center businesses and other large commercial sites. With the growing data center business, load growth is expected to increase due to higher customer demand.

Technology Projects

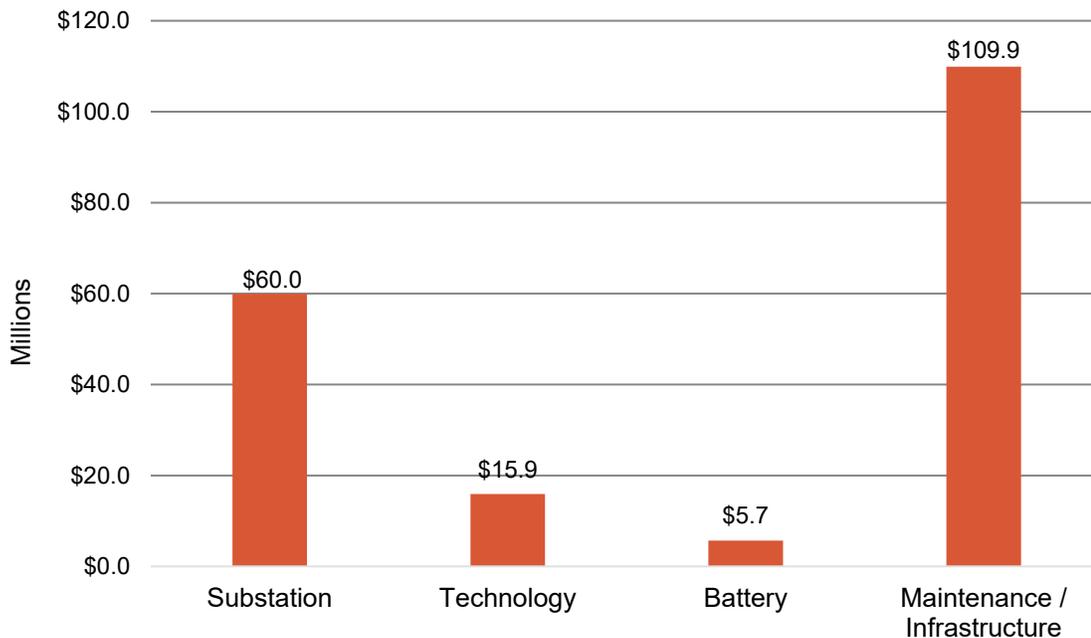
Technology projects include Implementation of Advanced Metering Infrastructure (AMI), Network and Cybersecurity Infrastructure, Operations and Planning Technology, and Substation Control and Communication System Replacement. With the future expected load growth, it becomes critical that the AMI system can support additional meters and be compatible with billing system requirements. The remaining technology projects will support additional workflow processing, monitoring, and network infrastructure supporting the cybersecurity master plan. Due to aging equipment and several recent reliability issues in the communications system, an assessment is scheduled to evaluate the replacement of the Substation Control and Communication System.

Battery Projects

SVP has partnered with a customer and several city departments to provide resiliency to their systems with battery backup generation during emergencies and decrease operational and energy costs associated with diesel generator run-times. These projects use restricted public benefits revenue, with one that also qualified for a Bay Area Air Quality Management District (BAAQMD) grant. Overall, these innovative battery projects will be a showcase in keeping the City’s operations to perform efficiently and to support the business needs of the community.

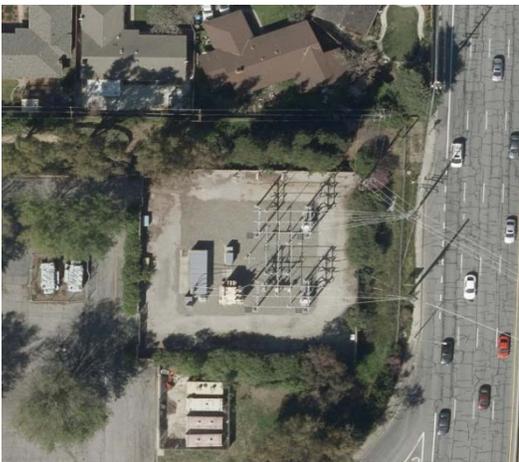
Major Maintenance and Infrastructure Work

Major Maintenance and Infrastructure Work includes fiber, generation, reliability, street lighting, and transmission and distribution projects, which encompass all other SVP projects that are not included in the categories defined above. This category is funded with a combination of developer contributions, interdepartmental contributions, and customer service revenue, depending on the nature of work. Major maintenance work planned includes updates to Grizzly hydro power plant, generation engine overhauls and repairs, and general repairs at the City utilities corporation yard. Ongoing maintenance includes transmission and distribution work along with new business work. New business work is requested from current and future customers for expansions and miscellaneous infrastructure repairs and modifications.



Project Highlights

Major projects in the Electric Utility theme are detailed below.



Serra Substation Rebuild

Serra Substation has aging equipment and is nearing the end of service life. Major equipment needing replacement includes switchgear and transformers that were installed in 1958 and 1975, respectively. In 2018, the switchgear experienced a major internal fault and has one transformer bank which does not meet SVP's current standards. Work includes replacing an existing single 16 MVA bank and switchgear with two (2) 20 MVA banks, new switchgear, and control room. Construction began in March 2020 and is expected to be completed in April 2021. Total project cost, for all years, is approximately \$20 million.



Silicon Valley Power Utility Center

This project involves the feasibility, planning, California Environmental Quality Act (CEQA) permitting of a new utility administration center that will be capable of housing SVP and Water & Sewer Utilities Department at the City’s Civic Center campus site. The City has engaged an architecture firm for the initial study phase to develop a Civic Center Concept Plan and utility center project. There will be several areas of interconnected work, including a city hall financial feasibility report, comprehensive community engagement, market analysis, architectural and space planning, and phasing alternatives. Upon completion of this initial phase, the schematic design is expected be completed in May 2021. The Utility Center has a conceptual cost of \$137 million in 2019 construction

dollars and currently has available budget of approximately \$18 million.

Electric Vehicle (EV) Charging

A project added in FY2019/20, SVP’s EV Charging infrastructure will support the City’s conversion to clean energy and prepare for future installations in accordance with California standards. This project will design, build, replace seven (7) existing chargers at one (1) location, install eighteen (18) new chargers at seven (7) locations, and expand infrastructure to meet future needs. Total project cost is approximately \$4 million.

Major Accomplishments

- Completed Parker Substation and it is now serving a customer’s data centers in expanding business with a capacity of 33 MVA. This substation will ultimately be able to serve a capacity of 100 MVA upon installing a third transformer bank and restructuring of an existing electrical loop.
- Completed major maintenance at Don Von Raesfeld (DVR) power plant to include a steam turbine major engine overhaul, control systems replacement, and other piping and valve repairs. This plant has two scheduled outages per year to inspect and replace aging equipment.
- Completed the relocation of electric poles at six (6) Caltrain crossings. This relocation will accommodate electrification of Caltrain.



Parker Substation



DVR Engine Overhaul



Financing Sources

Customer Service Charge

Customer Service Charge accounts for retail revenues from the sale of electricity. Customer Service Charges are used for most activities to include the procurement of power, operations and maintenance, debt service payments, replenish reserves, and capital improvements projects. This financing source funds the majority of the CIP projects.

Developer Contributions

Developer Contributions are collected from fees identified in accordance with the municipal fee schedule and contract agreements to cover design and construction related fees. These agreements are development driven, with the developer requesting a level of electric service and pays for necessary upgrades, including design, engineering, and construction, while SVP typically owns and operates the infrastructure upon completion. Overall, developer contributions are primarily used to add, upgrade, and/or modify infrastructure due to development projects that are expected to increase load across the electrical system. This revenue is restricted to the specific project or uses for which it was collected.

Fiber Revenue

Fiber revenue is collected from the lease of fiber contracts for the Fiber Connect business service, part of Fiber Enterprise operating program. This program leases approximately 1,600 dark fiber miles to over 20 Fiber Connect customers such as fiber carriers, data centers, private businesses, and other public agencies. This revenue is used to expand the current infrastructure, capital improvements, and operations and maintenance.

Interdepartmental Revenue

Interdepartmental revenue includes contributions from other City departments. These contributions are typically requested to fund another department’s share of a SVP project when identified as the appropriate stakeholder.

Public Benefits Charge

The Public Benefits Charge is collected with retail revenue (*Customer Service Charge*). It is equal to 2.85% of an electrical bill prior to adding the State of California surcharge. This revenue is restricted to meet authorized priorities in energy efficiency, low-income programs, renewables generation, and related research and development.

Operating Budget Impacts

Most capital projects affect future operating budgets due to maintenance costs or by providing additional resources such as labor to commission new programs. Such impacts vary from project to project and are taken into consideration during the project review process. The cost of operating new or expanded facilities or infrastructure is included in the operating budget in the fiscal year the asset becomes operational. The table below summarizes operating and maintenance costs in the Electric Utility program for the next five fiscal years.

Summary of Operating Impact	FY 2020/21	FY 2021/22	FY 2022/23	FY 2023/24	FY 2024/25	Total Five-Year
Contractual Services	47,000	257,000	292,900	329,000	365,300	1,291,200
Maintenance		5,000	5,200	5,400	5,600	21,200
Total	47,000	262,000	298,100	334,400	370,900	1,312,400



Unfunded Needs

SVP's capital needs are funded primarily with Customer Service Charge revenue from the sale of electricity and developer contributions to fund development related projects. Projects are funded with current year revenue and debt financed when required. There are no CIP projects identified as unfunded. Future projects will have a financing plan as they are requested.



FUNDED | PROJECTS BUDGETED COST SUMMARY

Electric Utility Project	2019/20	2020/21	2021/22	2022/23	2023/24	2024/25	Five-Year CIP Total
2004 - Fiber Development, Design, and Expansion	\$2,869,222	-	\$530,000	\$450,000	\$450,000	\$450,000	\$1,880,000
2005 - New Business Estimate Work	\$5,400,483	\$3,750,000	\$3,862,500	\$3,978,375	\$4,097,726	\$4,220,658	\$19,909,259
2006 - Transmission and Distribution Capital Maintenance and Betterments	\$4,701,244	\$3,645,000	\$1,000,000	\$2,000,000	\$2,000,000	\$2,000,000	\$10,645,000
2007 - Transmission Capital Maintenance and Betterments	\$250,000	-	-	-	-	-	-
2008 - Substation Capital Maintenance & Betterments	\$1,632,473	-	-	-	-	-	-
2010 - Electric Yard Buildings and Grounds	\$735,541	\$575,000	\$300,000	\$200,000	\$200,000	\$200,000	\$1,475,000
2104 - Serra Substation Re-Build	\$17,980,569	-	-	-	-	-	-
2108 - SCADA System II Phase II	\$93,775	-	-	-	-	-	-
2111 - Implementation of Advanced Metering Infrastructure (AMI)	\$2,015,367	\$2,984,200	\$3,688,840	\$417,560	-	-	\$7,090,600
2119 - Generation Capital Maintenance and Betterments	\$1,824,794	\$7,550,000	\$3,725,000	\$2,000,000	\$2,000,000	\$2,000,000	\$17,275,000
2123 - Customer Service Program Development	\$363,922	-	-	-	-	-	-
2124 - Transmission System Reinforcements	\$8,066,963	-	-	-	-	-	-
2125 - Public Benefits Program	\$880,271	-	-	-	-	-	-
2126 - Silicon Valley Power Utility Center	\$18,314,045	-	-	-	-	-	-
2127 - Major Engine Overhaul and Repair	\$17,381,795	\$2,200,000	\$4,600,000	\$4,975,000	\$4,500,000	\$4,975,000	\$21,250,000
2390 - Network and Cyber Security Infrastructure	\$1,303,824	\$4,462,000	\$2,208,000	-	-	-	\$6,670,000
2395 - Operations and Planning Technology	\$673,303	\$1,955,000	-	-	-	-	\$1,955,000
2398 - Clean Energy and Carbon Reduction	\$1,475,020	\$1,350,000	-	-	-	-	\$1,350,000



FUNDED | PROJECTS BUDGETED COST SUMMARY

Electric Utility Project	2019/20	2020/21	2021/22	2022/23	2023/24	2024/25	Five-Year CIP Total
2403 - Install Fairview Substation - Third Transformer Bank	\$8,864,376	-	-	-	-	-	-
2404 - Install Phase Shifting Transformer at NRS	\$3,898,473	-	-	-	-	-	-
2406 - Utility Communications Infrastructure Betterments	\$150,000	-	-	-	-	-	-
2407 - Bucks Creek Relicensing	\$1,160,897	-	-	-	-	-	-
2409 - Substation Protective Relay	\$260,144	-	-	-	-	-	-
2410 - System Capacity Expansion	\$18,090,420	-	-	-	-	-	-
2411 - Control Center Transmission Outage Application Suite (TOA)	\$159,789	-	-	-	-	-	-
2413 - Distributed Antenna System Development	\$1,300,168	-	-	-	-	-	-
2418 - Esperanca Substation	\$750,000	\$1,350,000	\$16,640,000	\$925,000	-	-	\$18,915,000
2420 - Overhead Infrastructure Replacement	\$2,000,035	-	-	-	-	-	-
2421 - Relocation of Electric Crossings for CalTrain	\$2,493,010	-	-	-	-	-	-
2422 - Northwestern Substation Expansion	\$1,500,184	-	-	-	-	-	-
2423 - Utility Billing CIS Replacement	\$3,500,000	-	-	-	-	-	-
2424 - Substation Physical Security Improvements	\$753,966	-	-	-	-	-	-
2425 - DVR Gas Turbine Control System Upgrade	\$1,393,488	-	-	-	-	-	-
2426 - DVR SCR and CO Catalyst Replacement	\$910,235	-	-	-	-	-	-
2427 - Mitsubishi Steam Turbine Major Overhaul	\$2,573,126	-	-	-	-	-	-
2429 - Storm Water Compliance	\$400,000	-	-	-	-	-	-
2431 - Homestead Substation Rebuild	\$500,000	\$1,050,000	\$7,250,000	\$8,750,000	\$1,500,000	-	\$18,550,000



FUNDED | PROJECTS BUDGETED COST SUMMARY

Electric Utility Project	2019/20	2020/21	2021/22	2022/23	2023/24	2024/25	Five-Year CIP Total
2432 - Yard Pavement Project	\$890,000	-	-	-	-	-	-
2433 - Parker Substation	\$773,343	-	-	-	-	-	-
2434 - Replace Balance of Plant Control System (DCS)	\$1,600,000	-	-	-	-	-	-
2435 - DOT Gas Pipeline Upgrades and Repairs	\$1,100,000	-	-	-	-	-	-
2436 - Piping System Repairs	\$418,107	-	-	-	-	-	-
2437 - Valve Replacement and Repair	\$348,253	-	-	-	-	-	-
2438 - Underground/Above Ground Tank Replacement and Maintenance	\$375,000	-	-	-	-	-	-
2439 - Hydro Controls Upgrade	\$250,000	-	-	-	-	-	-
2440 - Oaks Junction	\$450,000	\$2,500,000	-	-	-	-	\$2,500,000
2441 - Electric Vehicle (EV) Charging	\$1,500,000	-	\$1,000,000	\$1,000,000	\$1,000,000	\$1,000,000	\$4,000,000
2442 - San Tomas Junction	\$1,300,000	\$3,750,000	\$1,000,000	-	-	-	\$4,750,000
2499 - Electric Capital Project Management	-	\$4,341,402	\$4,209,313	\$4,401,742	\$4,545,266	\$4,702,665	\$22,200,388
2871 - Miscellaneous Street Lighting	\$70,070	\$125,000	\$125,000	\$75,000	\$75,000	\$75,000	\$475,000
2872 - New Development Street Lighting	\$27,440	-	-	-	-	-	-
2874 - LED Street Lighting Retrofit	\$1,721,382	-	-	-	-	-	-
2875 - Great America Street Light Replacement Project	\$4,109,796	-	\$2,500,000	-	-	-	\$2,500,000
2876 - LED Street Flood Lighting Retrofit	\$83,352	-	-	-	-	-	-
NEW - 242 - Laurelwood Substation	\$1,000,000	\$12,750,000	\$2,500,000	-	-	-	\$15,250,000
NEW - 243 - Northwest Loop Capacity Upgrade	-	-	\$300,000	\$1,300,000	\$3,500,000	\$385,000	\$5,485,000



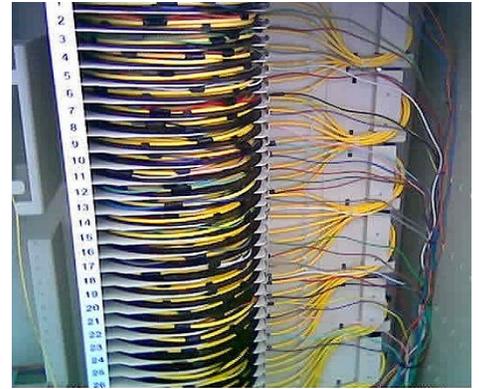
FUNDED | PROJECTS BUDGETED COST SUMMARY

Electric Utility Project	2019/20	2020/21	2021/22	2022/23	2023/24	2024/25	Five-Year CIP Total
NEW - 244 - NRS Breaker 382 Addition	-	\$2,860,000	-	-	-	-	\$2,860,000
NEW - 247 - Renewable Energy Microgrid	-	\$600,000	\$3,725,000	-	-	-	\$4,325,000
NEW - 248 - Substation Control and Communication System Replacement	-	\$200,000	-	-	-	-	\$200,000
Total Electric Utility Projects	\$152,637,665	\$57,997,602	\$59,163,653	\$30,472,677	\$23,867,992	\$20,008,323	\$191,510,247



FUNDED | FIBER DEVELOPMENT, DESIGN, AND EXPANSION

Project Number:	2004	Theme / Category:	Electric Utility
Year Initiated:	2015	Project Manager:	Ted Salazar
Planned Completion Year:	Ongoing	Department:	Electric Utility
Project Type:	Ongoing	Fund:	591 - Electric Utility
Strategic Pillar	 02 - Deliver and Enhance High Quality Efficient Services and Infrastructure		
Location	Citywide		



Project Description

The project supports and maintains 152 conduit miles of underground and aerial fiber infrastructure including handholes, manholes, conduits, fiber optic cable, colocation connections, relay racks, and termination panels. City fiber infrastructure includes over 300 splice enclosures, 300 on-net service locations, and 480 fiber cables. The fiber infrastructure is used by Silicon Valley Power (SVP), four City Departments, and Fiber Connect, which is SVP's enterprise dark fiber leasing program. Fiber Connect leases approximately 1600 dark fiber miles to over 20 Fiber Connect customers such as fiber carriers, data centers, private businesses, and other public agencies, and provides make-ready work, splicing, and testing services. With the on-going development of social media, storage centers, public colocations, and cloud services, Santa Clara continues to be an active hub for fiber carriers, data centers, and commercial business fiber communication needs.

Funding Sources	All Prior Actuals	2019/20	2020/21	2021/22	2022/23	2023/24	2024/25	Total
Total Sources	\$1,959,074	\$2,869,222	-	\$530,000	\$450,000	\$450,000	\$450,000	\$6,708,296

Expenditures	All Prior Actuals	2019/20	2020/21	2021/22	2022/23	2023/24	2024/25	Total
Construction	\$1,171,674	\$2,231,134	-	\$530,000	\$450,000	\$450,000	\$450,000	\$5,282,808
Engineering	\$2,270	\$80,000	-	-	-	-	-	\$82,270
Equipment	\$499,450	\$202,000	-	-	-	-	-	\$701,450
Meal Allowance	\$184	-	-	-	-	-	-	\$184
S & W - O.T. Vacation Relief	\$8,112	-	-	-	-	-	-	\$8,112
Salary And Wages - Regular	\$277,384	\$356,088	-	-	-	-	-	\$633,472
Total Expenditures	\$1,959,074	\$2,869,222	-	\$530,000	\$450,000	\$450,000	\$450,000	\$6,708,296

Operating Impacts	-	-	-	-	-	-	-	-
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FUNDED | NEW BUSINESS ESTIMATE WORK

Project Number:	2005	Theme / Category:	Electric Utility
Year Initiated:	2016	Project Manager:	Sachin Bajracharya
Planned Completion Year:	Ongoing	Department:	Electric Utility
Project Type:	Ongoing	Fund:	591 - Electric Utility
Strategic Pillar	 02 - Deliver and Enhance High Quality Efficient Services and Infrastructure		
Location	Citywide		



Project Description This project designs and constructs new electric distribution facilities to serve new and existing customers' requests for increased electric load. The scope involves: coordinating with customer/developer to design substructures; SVP equipment installation drawings; purchasing the equipment and SVP resources to install equipment.

Funding Sources	All Prior Actuals	2019/20	2020/21	2021/22	2022/23	2023/24	2024/25	Total
Total Sources	\$16,626,500	\$5,400,483	\$3,750,000	\$3,862,500	\$3,978,375	\$4,097,726	\$4,220,658	\$41,936,242

Expenditures	All Prior Actuals	2019/20	2020/21	2021/22	2022/23	2023/24	2024/25	Total
Construction	\$9,006,309	\$3,300,000	\$3,750,000	\$3,862,500	\$3,978,375	\$4,097,726	\$4,220,658	\$32,215,568
Engineering	\$1,275,705	\$311,019	-	-	-	-	-	\$1,586,724
Equipment	\$25,542	-	-	-	-	-	-	\$25,542
Meal Allowance	\$61,209	-	-	-	-	-	-	\$61,209
S & W - O.T. Vacation Relief	\$1,954,937	-	-	-	-	-	-	\$1,954,937
Salary And Wages - As Needed	\$116,770	-	-	-	-	-	-	\$116,770
Salary And Wages - Regular	\$4,186,028	\$1,789,464	-	-	-	-	-	\$5,975,492
Total Expenditures	\$16,626,500	\$5,400,483	\$3,750,000	\$3,862,500	\$3,978,375	\$4,097,726	\$4,220,658	\$41,936,242

Operating Impacts	-	-	-	-	-	-	-	-
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FUNDED | TRANSMISSION AND DISTRIBUTION CAPITAL MAINTENANCE AND BETTERMENTS

Project Number:	2006	Theme / Category:	Electric Utility
Year Initiated:	2015	Project Manager:	Joseph Bruzzone
Planned Completion Year:	Ongoing	Department:	Electric Utility
Project Type:	Ongoing	Fund:	591 - Electric Utility
Strategic Pillar	 02 - Deliver and Enhance High Quality Efficient Services and Infrastructure		
Location	Citywide		



Project Description

This project performs ongoing transmission and distribution work to correct findings identified during field patrols and detailed inspections as well as complete system improvements. Work currently scheduled consists of four (4) 12kV under crossings: two (2) will cross Central Expressway - one at Coronado Dr. and another at Lawson Ln, one (1) will cross El Camino Real at Halford Ave, and one (1) will cross Great America Parkway at the intersection of Great America Way. Each crossing will be constructed through directional boring with five (5) 6-inch diameter PVC conduits, placed in a steel casing. Further expansion will occur via open trench beyond each crossing. Additionally, SVP will expand its distribution system from Rodonovan Rd near the intersection of Stevens Creek Blvd and Stern Ave using open trench construction for approximately 0.7 miles. The installation shall consist of SVP's standard UG-1000 conduit layout of five (5) 5-inch diameter PVC conduits, one (1) 4-inch PVC conduit, and one (1) 2-inch conduit. Future as-needed repairs and modifications will be completed based on field inspections.

Funding Sources	All Prior Actuals	2019/20	2020/21	2021/22	2022/23	2023/24	2024/25	Total
Total Sources	\$4,810,766	\$4,769,932	\$3,645,000	\$1,000,000	\$2,000,000	\$2,000,000	\$2,000,000	\$20,225,698

Expenditures	All Prior Actuals	2019/20	2020/21	2021/22	2022/23	2023/24	2024/25	Total
Construction	\$3,820,806	\$4,459,652	\$3,070,000	\$950,000	\$1,950,000	\$1,950,000	\$1,950,000	\$18,150,458
Contingencies/Misc	\$52,485	-	\$575,000	-	-	-	-	\$627,485
Engineering	\$177,838	(\$68,688)	-	\$50,000	\$50,000	\$50,000	\$50,000	\$309,150
Equipment	\$149,999	-	-	-	-	-	-	\$149,999
Meal Allowance	\$7,622	-	-	-	-	-	-	\$7,622
S & W - O.T. Vacation Relief	\$224,557	-	-	-	-	-	-	\$224,557
Salary And Wages - As Needed	\$18,069	-	-	-	-	-	-	\$18,069
Salary And Wages - Regular	\$359,390	\$310,280	-	-	-	-	-	\$669,670
Trs Out - CIP	-	\$68,688	-	-	-	-	-	\$68,688
Total Expenditures	\$4,810,766	\$4,769,932	\$3,645,000	\$1,000,000	\$2,000,000	\$2,000,000	\$2,000,000	\$20,225,698

Operating Impacts	-	-	-	-	-	-	-	-
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FUNDED | ELECTRIC YARD BUILDINGS AND GROUNDS

Project Number:	2010	Theme / Category:	Electric Utility
Year Initiated:	2015	Project Manager:	Mark Gervacio
Planned Completion Year:	Ongoing	Department:	Electric Utility
Project Type:	Ongoing	Fund:	591 - Electric Utility
Strategic Pillar	 02 - Deliver and Enhance High Quality Efficient Services and Infrastructure		
Location	1710 Martin Ave, Santa Clara		



Project Description

This project is designed for general improvements at the Utilities Corporation Yard. The buildings at this site were constructed in the late 1960's. Planned improvements include: new women's restroom including fire alarm modifications, yard gate improvements, HVAC system, and facility assessment of the buildings occupied by SVP and Water and Sewer personnel. The new restroom will be Americans with Disabilities Act (ADA) compliant, equipped with several stalls/wash basins, and include upgrades to the fire alarm. Replacement yard gates are expected to be more robust to minimize downtime due to operation failures and the replacement personnel gates will be self-closing to optimize the yard perimeter security. Current HVAC systems have been deemed "end of life" by the City's Department of Public Works Building Maintenance staff and a replacement is recommended. The proposed system offers climate control to employees in the Control Room space that is manned 24/7, and cooling to computer systems located in the Computer Room. A facility assessment aims to provide a blueprint on how to best optimize the corporation yard and outline future upgrades. It will evaluate structural, mechanical, and fire/safety build of the existing Utility Field Service Center and conduct a space needs analysis for SVP Operations personnel in the Corporation Yard. SVP facilities covered by this assessment include the Utility Field Service building and the areas occupied by the Utility Operations Division.

Funding Sources	All Prior Actuals	2019/20	2020/21	2021/22	2022/23	2023/24	2024/25	Total
Total Sources	\$126,367	\$735,541	\$575,000	\$300,000	\$200,000	\$200,000	\$200,000	\$2,336,908

Expenditures	All Prior Actuals	2019/20	2020/21	2021/22	2022/23	2023/24	2024/25	Total
Construction	\$56,690	\$735,541	\$475,000	\$247,700	\$200,000	\$200,000	\$200,000	\$2,114,931
Contingencies/Misc	-	-	-	\$52,300	-	-	-	\$52,300
Engineering	\$51,113	-	\$100,000	-	-	-	-	\$151,113
Equipment	\$18,564	-	-	-	-	-	-	\$18,564
Total Expenditures	\$126,367	\$735,541	\$575,000	\$300,000	\$200,000	\$200,000	\$200,000	\$2,336,908

Operating Impacts	-	-	-	-	-	-	-	-
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FUNDED | IMPLEMENTATION OF ADVANCED METERING INFRASTRUCTURE (AMI)

Project Number:	2111	Theme / Category:	Electric Utility
Year Initiated:	2007	Project Manager:	Tim Lynch
Planned Completion Year:	FY 2022/23	Department:	Electric Utility
Project Type:	Distinct	Fund:	591 - Electric Utility
Strategic Pillar	 02 - Deliver and Enhance High Quality Efficient Services and Infrastructure		
Location	Citywide		



Project Description This project implements hardware, software, and infrastructure to obtain electric metering data from Service Delivery Points (SDP's), read over the air, and processed by head-end systems (hardware and software that receives meter data) for usage billing. Required infrastructure includes: meters, gatekeepers, fiber backhaul, City-wide Wi-Fi, servers, switches, firewalls, and other required materials. Project also includes purchase of equipment to improve reliability for meter data and billing support.

Funding Sources	All Prior Actuals	2019/20	2020/21	2021/22	2022/23	2023/24	2024/25	Total
Total Sources	\$32,220,715	\$2,015,367	\$2,984,200	\$3,688,840	\$417,560	-	-	\$41,326,682

Expenditures	All Prior Actuals	2019/20	2020/21	2021/22	2022/23	2023/24	2024/25	Total
Construction	\$15,015,674	(\$193,108)	\$855,000	\$301,840	\$33,120	-	-	\$16,012,526
Contingencies/Misc	\$67,200	-	\$216,000	\$66,000	\$42,440	-	-	\$391,640
Engineering	\$3,421,349	-	\$1,467,000	\$441,000	\$342,000	-	-	\$5,671,349
Equipment	\$13,403,323	\$2,208,475	\$446,200	\$2,880,000	-	-	-	\$18,937,998
Meal Allowance	\$571	-	-	-	-	-	-	\$571
S & W - O.T. Vacation Relief	\$50,100	-	-	-	-	-	-	\$50,100
Salary And Wages - Regular	\$262,498	-	-	-	-	-	-	\$262,498
Total Expenditures	\$32,220,715	\$2,015,367	\$2,984,200	\$3,688,840	\$417,560	-	-	\$41,326,682

Operating Impacts	-	-	-	-	-	-	-	-
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FUNDED | GENERATION CAPITAL MAINTENANCE AND BETTERMENTS

Project Number:	2119	Theme / Category:	Electric Utility
Year Initiated:	2009	Project Manager:	Chris Karwick
Planned Completion Year:	Ongoing	Department:	Electric Utility
Project Type:	Ongoing	Fund:	591 - Electric Utility
Strategic Pillar	 02 - Deliver and Enhance High Quality Efficient Services and Infrastructure		
Location	In City and Remote Properties		



Project Description This project designs and constructs modifications to electric generation facilities owned by the City. SVP owns and operates three (3) facilities located in the city including Donald Von Raesfeld Power Plant (DVR), Cogeneration Plant #1 (Cogen), and Gianera Generating Station (Gianera). SVP also owns and manages numerous remote properties. Modifications consist of upgrades, major maintenance, and facility work. Upcoming major work includes an over \$6 million investment at Grizzly Hydro Power plant to perform major repairs such as the replacement of wicket gates, linkage bushings, pins, protective relays, batteries, chargers, and seal replacements. The Grizzly Wicket Gate project began in 2018 and is expected to be complete in 2021. Funds from this project will also be used to perform catalyst replacements for generating facilities located in the City.

Funding Sources	All Prior Actuals	2019/20	2020/21	2021/22	2022/23	2023/24	2024/25	Total
Total Sources	\$6,756,878	\$1,824,794	\$7,550,000	\$3,725,000	\$2,000,000	\$2,000,000	\$2,000,000	\$25,856,672

Expenditures	All Prior Actuals	2019/20	2020/21	2021/22	2022/23	2023/24	2024/25	Total
Construction	\$5,940,970	\$500,000	\$7,550,000	\$3,725,000	\$2,000,000	\$2,000,000	\$2,000,000	\$23,715,970
Engineering	\$240,184	\$1,174,794	-	-	-	-	-	\$1,414,978
Equipment	\$536,354	\$150,000	-	-	-	-	-	\$686,354
Meal Allowance	\$851	-	-	-	-	-	-	\$851
S & W - O.T. Vacation Relief	\$8,497	-	-	-	-	-	-	\$8,497
Salary And Wages - As Needed	\$2,108	-	-	-	-	-	-	\$2,108
Salary And Wages - Regular	\$23,934	-	-	-	-	-	-	\$23,934
Srvc From Other Funds- Alloc OH	\$2,935	-	-	-	-	-	-	\$2,935
Srvc From Othr Funds- Alloc Ben	\$1,045	-	-	-	-	-	-	\$1,045
Total Expenditures	\$6,756,878	\$1,824,794	\$7,550,000	\$3,725,000	\$2,000,000	\$2,000,000	\$2,000,000	\$25,856,672

Operating Impacts	-	-	-	-	-	-	-	-
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FUNDED | MAJOR ENGINE OVERHAUL AND REPAIR

Project Number:	2127	Theme / Category:	Electric Utility
Year Initiated:	2008	Project Manager:	Paul Manchester
Planned Completion Year:	Ongoing	Department:	Electric Utility
Project Type:	Ongoing	Fund:	591 - Electric Utility
Strategic Pillar	 02 - Deliver and Enhance High Quality Efficient Services and Infrastructure		
Location	Santa Clara Owned Generating Facilities		



Project Description SVP owns and operates three in-city combustion turbine generating facilities including Donald Von Raesfeld Power Plant (DVR), Cogeneration Plant #1 (Cogen), and Gianera Generating Station (Gianera), and multiple remote facilities. SVP utilizes a time and condition-based maintenance philosophy to ensure these assets are dependable and available when called upon. Maintenance activities follow Original Equipment Manufacturer (OEM) recommendations and best utility practices. Repairs can include turbine and generator inspections; and overhauls due to hours of operations, time in service, number of starts per engine, and condition-based assessments.

Funding Sources	All Prior Actuals	2019/20	2020/21	2021/22	2022/23	2023/24	2024/25	Total
Total Sources	\$27,045,578	\$17,381,795	\$2,200,000	\$4,600,000	\$4,975,000	\$4,500,000	\$4,975,000	\$65,677,373

Expenditures	All Prior Actuals	2019/20	2020/21	2021/22	2022/23	2023/24	2024/25	Total
Construction	\$22,102,893	\$16,581,795	\$2,200,000	\$4,600,000	\$4,975,000	\$4,500,000	\$4,975,000	\$59,934,688
Equipment	\$4,939,509	\$800,000	-	-	-	-	-	\$5,739,509
S & W - O.T. Vacation Relief	\$2,063	-	-	-	-	-	-	\$2,063
Salary And Wages - Regular	\$1,113	-	-	-	-	-	-	\$1,113
Total Expenditures	\$27,045,578	\$17,381,795	\$2,200,000	\$4,600,000	\$4,975,000	\$4,500,000	\$4,975,000	\$65,677,373

Operating Impacts	-	-	-	-	-	-	-	-
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FUNDED | NETWORK AND CYBER SECURITY INFRASTRUCTURE

Project Number:	2390	Theme / Category:	Electric Utility
Year Initiated:	2011	Project Manager:	Tim Lynch
Planned Completion Year:	FY 2021/22	Department:	Electric Utility
Project Type:	Distinct	Fund:	591 - Electric Utility
Strategic Pillar	 02 - Deliver and Enhance High Quality Efficient Services and Infrastructure		
Location	881 Martin Ave., Santa Clara		



Project Description This project implements the SVP cyber security master plan to meet Federal Energy Regulatory Commission (FERC) security guidelines and infrastructure as outlined by industry and regulatory requirements included in the Federal Energy Power Act of 2005. Core activities include upgrading end of life network, server, and desktop technologies. These improvements will extend wireless capabilities, improve disaster recovery capabilities throughout the utility, modernize the overall Operational Technology/Information Technology (OT/IT) enterprise compute platform to align with industry standards, and improve reliability for downstream capabilities such as Advanced Metering Infrastructure (AMI).

Funding Sources	All Prior Actuals	2019/20	2020/21	2021/22	2022/23	2023/24	2024/25	Total
Total Sources	\$3,993,434	\$1,303,824	\$4,462,000	\$2,208,000	-	-	-	\$11,967,258

Expenditures	All Prior Actuals	2019/20	2020/21	2021/22	2022/23	2023/24	2024/25	Total
Construction	\$1,891,259	-	\$1,105,000	\$229,000	-	-	-	\$3,225,259
Engineering	\$128,353	-	\$293,000	\$70,000	-	-	-	\$491,353
Equipment	\$1,972,964	\$1,303,824	\$3,064,000	\$1,909,000	-	-	-	\$8,249,788
S & W - O.T. Vacation Relief	\$521	-	-	-	-	-	-	\$521
Salary And Wages - Regular	\$337	-	-	-	-	-	-	\$337
Total Expenditures	\$3,993,434	\$1,303,824	\$4,462,000	\$2,208,000	-	-	-	\$11,967,258

Operating Impacts			\$17,000	\$202,000	\$208,100	\$214,400	\$220,900	\$862,400
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FUNDED | OPERATIONS AND PLANNING TECHNOLOGY

Project Number:	2395	Theme / Category:	Electric Utility
Year Initiated:	2006	Project Manager:	Riad Sleiman
Planned Completion Year:	FY 2022/23	Department:	Electric Utility
Project Type:	Distinct	Fund:	591 - Electric Utility
Strategic Pillar	 02 - Deliver and Enhance High Quality Efficient Services and Infrastructure		
Location	City Hall		



Project Description This project implements technology solutions for operations and planning including: Work Management System, Automated Utility Design (AUD), Geographic Information Systems (GIS) update, and Advanced Metering Infrastructure (AMI) analytics software. The Work Management System (WMS) will be used to track work orders, maintenance activities, commercial construction, and facilitate communication across all groups. Additionally, the WMS will assist with long-term financial planning by forecasting the utility's infrastructure needs including identifying aging infrastructure and providing maintenance and repair schedules in accordance with useful life. The AUD will integrate with multiple existing applications providing up-to-date pricing for customer work orders. The GIS version currently used by SVP is no longer supported by the software vendor. SVP proposes to implement a current version for support and the ability to use the latest software integrations. The implementation of AMI analytics software uses AMI meter data to enable predictive analytics which leads to the identification and repair/replacement of overloaded assets prior to failure.

Funding Sources	All Prior Actuals	2019/20	2020/21	2021/22	2022/23	2023/24	2024/25	Total
Total Sources	\$5,229,454	\$673,303	\$1,955,000	-	-	-	-	\$7,857,757

Expenditures	All Prior Actuals	2019/20	2020/21	2021/22	2022/23	2023/24	2024/25	Total
Construction	\$75,019	-	-	-	-	-	-	\$75,019
Employee Benefits	(\$3,028)	-	-	-	-	-	-	(\$3,028)
Engineering	\$3,868,194	\$665,464	-	-	-	-	-	\$4,533,658
Equipment	\$77,032	-	-	-	-	-	-	\$77,032
S & W - O.T. Vacation Relief	\$231,096	-	-	-	-	-	-	\$231,096
Salary And Wages - As Needed	\$53,430	-	-	-	-	-	-	\$53,430
Salary And Wages - Regular	\$927,711	\$7,839	-	-	-	-	-	\$935,550
Technology Budget	-	-	\$1,955,000	-	-	-	-	\$1,955,000
Total Expenditures	\$5,229,454	\$673,303	\$1,955,000	-	-	-	-	\$7,857,757

Operating Impacts	-	-	-	-	-	-	-	-
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FUNDED | CLEAN ENERGY AND CARBON REDUCTION

Project Number:	2398	Theme / Category:	Electric Utility
Year Initiated:	2011	Project Manager:	Erica van Dyck
Planned Completion Year:	FY 2022/23	Department:	Electric Utility
Project Type:	Distinct	Fund:	591 - Electric Utility
Strategic Pillar	 07 - Promote Sustainability and Environmental Protection		
Location	Santa Clara University		



Project Description

The project will demonstrate the use-case for lithium-ion batteries as longer-duration uninterruptible power supply for data centers that are instantaneous and reliable, delaying the use of diesel generators as backup power. It will demonstrate the economic viability and flexibility of a 2 megawatt/4 megawatt hour battery energy storage system (BESS) that can be simultaneously dispatched at a 2 megawatt capacity to support critical loads during a power quality event or outage.

The project combines multiple use storage applications to reduce the operational time and need of diesel generation, optimize greenhouse gas emissions reductions through the increased use of renewable energy on the grid to charge the battery, and to reduce the need for natural gas generation dispatch during the evening peak demand hours, through the cycling of a fully dispatchable battery. The project aims to foster innovation, while reducing greenhouse gas emissions in vulnerable communities, and will develop a pilot program to be used for new data center builds in the Bay Area, focusing on the City of Santa Clara as a leader in innovative sustainable solutions.

Funding Sources	All Prior Actuals	2019/20	2020/21	2021/22	2022/23	2023/24	2024/25	Total
Total Sources	\$489,662	\$1,475,020	\$1,350,000	-	-	-	-	\$3,314,682

Expenditures	All Prior Actuals	2019/20	2020/21	2021/22	2022/23	2023/24	2024/25	Total
Construction	\$303,861	\$1,456,270	\$300,000	-	-	-	-	\$2,060,131
Engineering	\$159,921	\$18,750	-	-	-	-	-	\$178,671
Equipment	-	-	\$1,050,000	-	-	-	-	\$1,050,000
Salary And Wages - As Needed	\$816	-	-	-	-	-	-	\$816
Salary And Wages - Regular	\$25,064	-	-	-	-	-	-	\$25,064
Total Expenditures	\$489,662	\$1,475,020	\$1,350,000	-	-	-	-	\$3,314,682

Operating Impacts	-	-	-	-	-	-	-	-
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FUNDED | ESPERANCA SUBSTATION

Project Number:	2418	Theme / Category:	Electric Utility
Year Initiated:	2015	Project Manager:	Albert Saenz
Planned Completion Year:	FY 2023/24	Department:	Electric Utility
Project Type:	Distinct	Fund:	591 - Electric Utility
Strategic Pillar	 02 - Deliver and Enhance High Quality Efficient Services and Infrastructure		
Location	4952 Bill Walsh Way, Santa Clara		



Project Description This project designs and constructs a 12kV distribution substation in Silicon Valley Power's (SVP's) existing Northern Receiving Station. It will serve The Related Santa Clara project that includes commercial and mixed-use development. Report to Council 19-1355 provides the agreement between the Related and the City that includes additional details for this project. The City will procure a control building, circuit breakers, switchgear and two substation transformers. Upon completion SVP will own and operate this substation.

Funding Sources	All Prior Actuals	2019/20	2020/21	2021/22	2022/23	2023/24	2024/25	Total
Total Sources	-	\$750,000	\$1,350,000	\$16,640,000	\$925,000	-	-	\$19,665,000

Expenditures	All Prior Actuals	2019/20	2020/21	2021/22	2022/23	2023/24	2024/25	Total
Construction	-	-	-	\$6,630,500	\$925,000	-	-	\$7,555,500
Contingencies/Misc	-	-	\$210,000	\$839,500	-	-	-	\$1,049,500
Engineering	-	\$750,000	\$1,140,000	-	-	-	-	\$1,890,000
Equipment	-	-	-	\$9,170,000	-	-	-	\$9,170,000
Total Expenditures	-	\$750,000	\$1,350,000	\$16,640,000	\$925,000	-	-	\$19,665,000

Operating Impacts	-	-	-	-	-	-	-	-
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FUNDED | HOMESTEAD SUBSTATION REBUILD

Project Number:	2431	Theme / Category:	Electric Utility
Year Initiated:	2017	Project Manager:	Kevin Keating
Planned Completion Year:	FY 2024/25	Department:	Electric Utility
Project Type:	Distinct	Fund:	591 - Electric Utility
Strategic Pillar	 02 - Deliver and Enhance High Quality Efficient Services and Infrastructure		
Location	2831 Homestead Road, Santa Clara		



Project Description This project reconstructs the Homestead Substation and replaces 60 kV bus work, breakers, old metal clad switchgear with new 12 kV switchgear and transformers. Existing switchgear is 45 years old and obsolete; spare parts are no longer available. Some replacement items are custom built, requiring specialized vendors for procurement that include long delivery timeframes.

Funding Sources	All Prior Actuals	2019/20	2020/21	2021/22	2022/23	2023/24	2024/25	Total
Total Sources	-	\$500,000	\$1,050,000	\$7,250,000	\$8,750,000	\$1,500,000	-	\$19,050,000

Expenditures	All Prior Actuals	2019/20	2020/21	2021/22	2022/23	2023/24	2024/25	Total
Construction	-	-	-	-	\$7,750,000	\$1,500,000	-	\$9,250,000
Contingencies/Misc	-	-	\$150,000	-	\$1,000,000	-	-	\$1,150,000
EIR	-	-	\$50,000	-	-	-	-	\$50,000
Engineering	-	\$500,000	\$850,000	-	-	-	-	\$1,350,000
Equipment	-	-	-	\$7,250,000	-	-	-	\$7,250,000
Total Expenditures	-	\$500,000	\$1,050,000	\$7,250,000	\$8,750,000	\$1,500,000	-	\$19,050,000

Operating Impacts	-	-	-	-	-	-	-	-
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FUNDED | OAKS JUNCTION

Project Number:	2440	Theme / Category:	Electric Utility
Year Initiated:	2020	Project Manager:	Billy Quach
Planned Completion Year:	FY 2021/22	Department:	Electric Utility
Project Type:	Distinct	Fund:	591 - Electric Utility
Strategic Pillar	 02 - Deliver and Enhance High Quality Efficient Services and Infrastructure		
Location	1150 Walsh Ave., Santa Clara		



Project Description A new data center facility will be served by SVP from Oaks Junction. SVP will design the control building and design and construct the 60kV transmission line interconnection to Oaks Junction. These will be completed in accordance with the City's design and procurement standards and perform testing and commissioning activity on the completed 60kV station.

Funding Sources	All Prior Actuals	2019/20	2020/21	2021/22	2022/23	2023/24	2024/25	Total
Total Sources	-	\$450,000	\$2,500,000	-	-	-	-	\$2,950,000

Expenditures	All Prior Actuals	2019/20	2020/21	2021/22	2022/23	2023/24	2024/25	Total
Construction	-	\$450,000	\$2,300,000	-	-	-	-	\$2,750,000
Salary And Wages - Regular	-	-	\$200,000	-	-	-	-	\$200,000
Total Expenditures	-	\$450,000	\$2,500,000	-	-	-	-	\$2,950,000

Operating Impacts	-	-	-	-	-	-	-	-
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FUNDED | ELECTRIC VEHICLE (EV) CHARGING

Project Number:	2441	Theme / Category:	Electric Utility
Year Initiated:	2019	Project Manager:	Kathleen Hughes
Planned Completion Year:	FY 2029/2030	Department:	Electric Utility
Project Type:	Distinct	Fund:	591 - Electric Utility
Strategic Pillar	 07 - Promote Sustainability and Environmental Protection		
Location	Various Citywide		



Project Description This project designs and builds Electric Vehicle (EV) charging infrastructure to support the City of Santa Clara's conversion to clean transportation. In order to build the infrastructure to support clean transportation, SVP will need to determine current and future infrastructure needs for fleet, employee, and public charging. This project will replace seven (7) existing EV chargers at (1) one location and install eighteen (18) new EV chargers at seven (7) locations throughout the City of Santa Clara. This project will be used for future installations to meet California standards.

Funding Sources	All Prior Actuals	2019/20	2020/21	2021/22	2022/23	2023/24	2024/25	Total
Total Sources	-	\$1,500,000	-	\$1,000,000	\$1,000,000	\$1,000,000	\$1,000,000	\$5,500,000

Expenditures	All Prior Actuals	2019/20	2020/21	2021/22	2022/23	2023/24	2024/25	Total
Construction	-	-	-	\$600,000	\$600,000	\$600,000	\$600,000	\$2,400,000
Contingencies/Misc	-	-	-	\$60,000	\$60,000	\$60,000	\$60,000	\$240,000
Engineering	-	\$1,500,000	-	\$100,000	\$100,000	\$100,000	\$100,000	\$1,900,000
Equipment	-	-	-	\$240,000	\$240,000	\$240,000	\$240,000	\$960,000
Total Expenditures	-	\$1,500,000	-	\$1,000,000	\$1,000,000	\$1,000,000	\$1,000,000	\$5,500,000

Operating Impacts		\$30,000	\$60,000	\$90,000	\$120,000	\$150,000	\$450,000
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FUNDED | SAN TOMAS JUNCTION

Project Number:	2442	Theme / Category:	Electric Utility
Year Initiated:	2019	Project Manager:	Billy Quach
Planned Completion Year:	FY 2022/23	Department:	Electric Utility
Project Type:	Distinct	Fund:	591 - Electric Utility
Strategic Pillar	 02 - Deliver and Enhance High Quality Efficient Services and Infrastructure		
Location	2201 Laurelwood Rd, Santa Clara		



Project Description A customer is requesting a new data center that will require a new substation. It is anticipated that the initial energy demand for this data center will be 99MVA. Silicon Valley Power (SVP) was requested to provide electric service to the site through a 60kV single customer substation. Costs incurred by SVP related to the design, procurement, construction, inspection and testing associated with San Tomas Junction will be paid in full by the customer. The completed San Tomas Junction will be owned, operated and maintained by SVP at SVP's sole cost and expense.

Funding Sources	All Prior Actuals	2019/20	2020/21	2021/22	2022/23	2023/24	2024/25	Total
Total Sources	-	\$1,300,000	\$3,750,000	\$1,000,000	-	-	-	\$6,050,000

Expenditures	All Prior Actuals	2019/20	2020/21	2021/22	2022/23	2023/24	2024/25	Total
Construction	-	\$1,300,000	\$3,650,000	\$900,000	-	-	-	\$5,850,000
Salary And Wages - Regular	-	-	\$100,000	\$100,000	-	-	-	\$200,000
Total Expenditures	-	\$1,300,000	\$3,750,000	\$1,000,000	-	-	-	\$6,050,000

Operating Impacts	-	-	-	-	-	-	-	-
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FUNDED | ELECTRIC CAPITAL PROJECT MANAGEMENT

Project Number:	2499	Theme / Category:	Electric
Year Initiated:	2021	Project Manager:	Various
Planned Completion Year:	Ongoing	Department:	Electric
Project Type:	Ongoing	Fund:	591 - Electric Utility
Strategic Pillar	 02 - Deliver and Enhance High Quality Efficient Services and Infrastructure		
Location	Various		



Project Description This project accounts for Electric Department's staff time utilized for general project management, design, engineering and inspection services for all of its CIP projects. The majority of staff are from Customer Development and Project Management Division's SVP Engineering program and one staff person from Resource Planning and Engagement Division, with focus on Greenhouse Gas reduction-related projects.

Funding Sources	All Prior Actuals	2019/20	2020/21	2021/22	2022/23	2023/24	2024/25	Total
Total Sources	-	-	\$4,341,402	\$4,209,313	\$4,401,742	\$4,545,266	\$4,702,665	\$22,200,388

Expenditures	All Prior Actuals	2019/20	2020/21	2021/22	2022/23	2023/24	2024/25	Total
Salary And Wages - Regular	-	-	\$4,341,402	\$4,209,313	\$4,401,742	\$4,545,266	\$4,702,665	\$22,200,388
Total Expenditures	-	-	\$4,341,402	\$4,209,313	\$4,401,742	\$4,545,266	\$4,702,665	\$22,200,388

Operating Impacts	-	-	-	-	-	-	-	-
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FUNDED | MISCELLANEOUS STREET LIGHTING

Project Number:	2871	Theme / Category:	Electric Utility	
Year Initiated:	2009	Project Manager:	Mark Gervacio	
Planned Completion Year:	Ongoing	Department:	Electric Utility	
Project Type:	Ongoing	Fund:	534 - Street Lighting	
Strategic Pillar	 02 - Deliver and Enhance High Quality Efficient Services and Infrastructure			
Location	Citywide			

Project Description This project installs additional streetlights and replaces existing street lighting facilities to maintain adequate street lighting levels. The work may include installing new streetlight foundations, new streetlight poles, new LED luminaires, new pull boxes and wiring. The work is expected to occur in various locations in the City.

Funding Sources	All Prior Actuals	2019/20	2020/21	2021/22	2022/23	2023/24	2024/25	Total
Total Sources	\$480,353	\$70,070	\$125,000	\$125,000	\$75,000	\$75,000	\$75,000	\$1,025,423

Expenditures	All Prior Actuals	2019/20	2020/21	2021/22	2022/23	2023/24	2024/25	Total
Construction	\$387,672	\$59,007	\$125,000	\$125,000	\$75,000	\$75,000	\$75,000	\$921,679
Engineering	\$23,729	\$5,000	-	-	-	-	-	\$28,729
Meal Allowance	\$994	-	-	-	-	-	-	\$994
S & W - O.T. Vacation Relief	\$22,214	-	-	-	-	-	-	\$22,214
Salary And Wages - As Needed	\$1,770	-	-	-	-	-	-	\$1,770
Salary And Wages - Regular	\$42,827	\$6,063	-	-	-	-	-	\$48,890
Srv From Other Funds- Alloc OH	\$846	-	-	-	-	-	-	\$846
Srv From Othr Funds- Alloc Ben	\$301	-	-	-	-	-	-	\$301
Total Expenditures	\$480,353	\$70,070	\$125,000	\$125,000	\$75,000	\$75,000	\$75,000	\$1,025,423

Operating Impacts	-	-	-	-	-	-	-	-
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FUNDED | GREAT AMERICA STREET LIGHT REPLACEMENT PROJECT

Project Number:	2875	Theme / Category:	Electric Utility
Year Initiated:	2015	Project Manager:	Mark Gervacio
Planned Completion Year:	FY 2022/23	Department:	Electric Utility
Project Type:	Distinct	Fund:	534 - Street Lighting
Strategic Pillar	 02 - Deliver and Enhance High Quality Efficient Services and Infrastructure		
Location	Tasman Drive and Great America Parkway, Santa Clara		



Project Description This project involves replacing over 280 aged steel square streetlight poles and luminaires along Tasman Drive and Great America Parkway with new aluminum round tapered streetlight poles and LED luminaires. New reinforced concrete foundations will be required that involve re-wiring the existing street lighting circuits to help balance the load across the power sources. Approximately 110 streetlights along Tasman Drive and approximately 170 streetlights along Great America Parkway are expected to be replaced.

Funding Sources	All Prior Actuals	2019/20	2020/21	2021/22	2022/23	2023/24	2024/25	Total
Total Sources	\$745,259	\$4,109,796	-	\$2,500,000	-	-	-	\$7,355,055

Expenditures	All Prior Actuals	2019/20	2020/21	2021/22	2022/23	2023/24	2024/25	Total
Construction	\$724,860	\$4,109,796	-	\$2,500,000	-	-	-	\$7,334,656
Meal Allowance	\$147	-	-	-	-	-	-	\$147
S & W - O.T. Vacation Relief	\$15,198	-	-	-	-	-	-	\$15,198
Salary And Wages - Regular	\$5,054	-	-	-	-	-	-	\$5,054
Total Expenditures	\$745,259	\$4,109,796	-	\$2,500,000	-	-	-	\$7,355,055

Operating Impacts	-	-	-	-	-	-	-	-
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FUNDED | LAURELWOOD SUBSTATION

Project Number:	NEW - 242	Theme / Category:	Electric Utility
Year Initiated:	2019	Project Manager:	Billy Quach
Planned Completion Year:	FY 2022/23	Department:	Electric Utility
Project Type:	Distinct	Fund:	591 - Electric Utility
Strategic Pillar	 02 - Deliver and Enhance High Quality Efficient Services and Infrastructure		
Location	651 Walsh Ave., Santa Clara		



Project Description A customer will be constructing a new data center that requires a new substation. It is anticipated that the initial energy demand for this data center will be 50MVA with a potential maximum future demand of 100MVA. Silicon Valley Power (SVP) was requested to provide electric service to the site through a 100 MVA single customer substation. Costs incurred by SVP related to the design, procurement, installation, construction, fabrication, inspection and testing associated with Laurelwood Substation will be paid in full by the customer. The completed Laurelwood Substation will be owned, operated and maintained by SVP at SVP's sole cost and expense.

Funding Sources	All Prior Actuals	2019/20	2020/21	2021/22	2022/23	2023/24	2024/25	Total
Total Sources	-	\$1,000,000	\$12,750,000	\$2,500,000	-	-	-	\$16,250,000

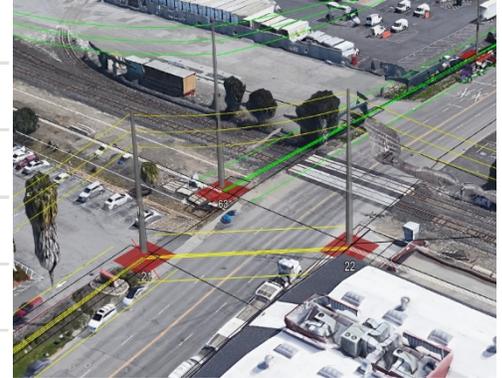
Expenditures	All Prior Actuals	2019/20	2020/21	2021/22	2022/23	2023/24	2024/25	Total
Construction	-	\$1,000,000	\$4,975,000	\$1,750,000	-	-	-	\$7,725,000
Contingencies/Misc	-	-	\$575,000	\$250,000	-	-	-	\$825,000
Equipment	-	-	\$7,000,000	-	-	-	-	\$7,000,000
Salary And Wages - Regular	-	-	\$200,000	\$500,000	-	-	-	\$700,000
Total Expenditures	-	\$1,000,000	\$12,750,000	\$2,500,000	-	-	-	\$16,250,000

Operating Impacts	-	-	-	-	-	-	-	-
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FUNDED | NORTHWEST LOOP CAPACITY UPGRADE

Project Number:	NEW - 243	Theme / Category:	Electric Utility
Year Initiated:	2020	Project Manager:	Billy Quach
Planned Completion Year:	FY 2024/25	Department:	Electric Utility
Project Type:	Distinct	Fund:	591 - Electric Utility
Strategic Pillar	 02 - Deliver and Enhance High Quality Efficient Services and Infrastructure		
Location	2201 Laurelwood Rd., Santa Clara		



Project Description A new data center is being constructed and it is anticipated the maximum energy demand will be 99MVA. A 60kV electric service was requested for this data center through a 60kV single customer substation (San Tomas Junction). To meet this demand, the northwest loop has 50 MVA of available capacity (July 2019) and needs to be upgraded to provide an additional 49 MVA of capacity for the new data center. It is necessary to reconductor approximately 2.62 miles of an overhead 60kV line from 954 All Aluminum Conductor (AAC) to 715.5 Aluminum Conductor Composite Reinforced (ACCR) and will add approximately 137 MVA of capacity to the Northwest loop. This project is funded with customer service revenue and developer contributions.

Funding Sources	All Prior Actuals	2019/20	2020/21	2021/22	2022/23	2023/24	2024/25	Total
Total Sources	-	-	-	\$300,000	\$1,300,000	\$3,500,000	\$385,000	\$5,485,000

Expenditures	All Prior Actuals	2019/20	2020/21	2021/22	2022/23	2023/24	2024/25	Total
Construction	-	-	-	-	\$25,000	\$3,200,000	\$385,000	\$3,610,000
Contingencies/Misc	-	-	-	\$10,000	-	\$300,000	-	\$310,000
Engineering	-	-	-	\$290,000	-	-	-	\$290,000
Equipment	-	-	-	-	\$1,275,000	-	-	\$1,275,000
Total Expenditures	-	-	-	\$300,000	\$1,300,000	\$3,500,000	\$385,000	\$5,485,000

Operating Impacts	-	-	-	-	-	-	-	-
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FUNDED | NRS BREAKER 382 ADDITION

Project Number:	NEW - 244	Theme / Category:	Electric Utility
Year Initiated:	2020	Project Manager:	Allan Agatep
Planned Completion Year:	FY 2021/22	Department:	Electric Utility
Project Type:	Distinct	Fund:	591 - Electric Utility
Strategic Pillar	 02 - Deliver and Enhance High Quality Efficient Services and Infrastructure		
Location	4952 Bill Walsh Way, Santa Clara		



Project Description This project installs new 115kV bus structures and related bus switches and breakers at the Northern Receiving Station (NRS). This includes procuring and installing new Breaker 392 and related control panels in the NRS control building with new relays and panels in accordance with current SVP specifications.

Funding Sources	All Prior Actuals	2019/20	2020/21	2021/22	2022/23	2023/24	2024/25	Total
Total Sources	-	-	\$2,860,000	-	-	-	-	\$2,860,000

Expenditures	All Prior Actuals	2019/20	2020/21	2021/22	2022/23	2023/24	2024/25	Total
Construction	-	-	\$1,949,000	-	-	-	-	\$1,949,000
Contingencies/Misc	-	-	\$386,000	-	-	-	-	\$386,000
Engineering	-	-	\$150,000	-	-	-	-	\$150,000
Equipment	-	-	\$375,000	-	-	-	-	\$375,000
Total Expenditures	-	-	\$2,860,000	-	-	-	-	\$2,860,000

Operating Impacts	-	-	-	-	-	-	-	-
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FUNDED | RENEWABLE ENERGY MICROGRID

Project Number:	NEW - 247	Theme / Category:	Electric Utility
Year Initiated:	2020	Project Manager:	Erica Van Dyck
Planned Completion Year:	FY 2020/21	Department:	Electric Utility
Project Type:	Distinct	Fund:	591 - Electric Utility
Strategic Pillar	 07 - Promote Sustainability and Environmental Protection		
Location	Fire Stations		


Project Description

This project supports the City of Santa Clara's mission critical sites with resilient microgrid for 2 fire stations for up to 4 hours of run time. The first priority will be Fire Station #2 (Walsh Ave.) to enable the community microgrid concept, tied into the City's Emergency Operations Center, and the Utilities Corporation Yard. The project will demonstrate installation of solar and storage integrated with smart microgrid components for up to 4 hours of run-time.

The microgrid system will utilize local solar photovoltaic (PV) renewable energy coupled to the battery energy storage system to increase the resiliency of a critical facility (fire stations) by providing generation during emergencies and decrease operational and energy costs associated with avoided diesel generator run-times.

Funding Sources	All Prior Actuals	2019/20	2020/21	2021/22	2022/23	2023/24	2024/25	Total
Total Sources	-	-	\$600,000	\$3,725,000	-	-	-	\$4,325,000

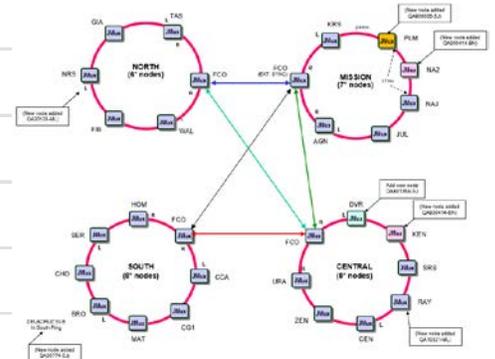
Expenditures	All Prior Actuals	2019/20	2020/21	2021/22	2022/23	2023/24	2024/25	Total
Construction	-	-	-	\$472,500	-	-	-	\$472,500
Contingencies/Misc	-	-	\$60,000	\$52,500	-	-	-	\$112,500
Engineering	-	-	\$540,000	-	-	-	-	\$540,000
Equipment	-	-	-	\$3,200,000	-	-	-	\$3,200,000
Total Expenditures	-	-	\$600,000	\$3,725,000	-	-	-	\$4,325,000

Operating Impacts	-	-	-	-	-	-	-	-
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FUNDED | SUBSTATION CONTROL AND COMMUNICATION SYSTEM REPLACEMENT

Project Number:	NEW - 248	Theme / Category:	Electric Utility
Year Initiated:	2020	Project Manager:	Son Le
Planned Completion Year:	FY 2023/24	Department:	Electric Utility
Project Type:	Distinct	Fund:	591 - Electric Utility
Strategic Pillar	 02 - Deliver and Enhance High Quality Efficient Services and Infrastructure		
Location	Santa Clara		



Project Description

This project will provide the assessment, planning, and implementation for a new control and communication system replacing the General Electric (GE) JungleMUX (JMUX) Synchronous Optical Networking (SONET) Multiplexer. The JMUX is used for several services to SVP facilities including video surveillance, phone systems, badge readers, electrical protection systems, Supervisory Control and Data Acquisition (SCADA) system, Advanced Metering Infrastructure (AMI), Remote Terminal Unit (RTU), and Power Quality (PQ) system. There have been several failures in the past year which have led to a decrease in reliability and disabling of some of these services. The existing system has been in production for over 20 years and the manufacturer, GE, no longer supports some system hardware or can provide replacement parts.

Funding Sources	All Prior Actuals	2019/20	2020/21	2021/22	2022/23	2023/24	2024/25	Total
Total Sources	-	-	\$200,000	-	-	-	-	\$200,000

Expenditures	All Prior Actuals	2019/20	2020/21	2021/22	2022/23	2023/24	2024/25	Total
Construction	-	-	\$180,000	-	-	-	-	\$180,000
Contingencies/Misc	-	-	\$20,000	-	-	-	-	\$20,000
Total Expenditures	-	-	\$200,000	-	-	-	-	\$200,000

Operating Impacts	-	-	-	-	-	-	-	-
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Other Community Projects

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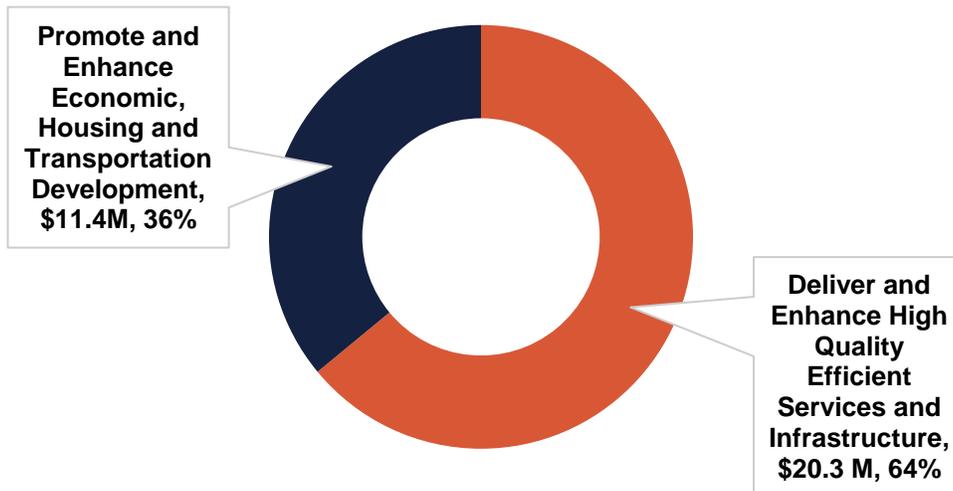


INTRODUCTION

The capital projects within the Other Community Projects category include those that do not easily fit within one of the other CIP categories such as the Public Works Capital Projects Management costs, Downtown Master Plan and the Related Santa Clara Project. The goal of projects in this category is to provide general management, engineering and inspection services for the City’s CIP projects as well as to explore opportunities, through public-private partnerships and community engagement that would guide the growth of the City by maximizing the overall economic benefit of future land uses and create a more vibrant community.

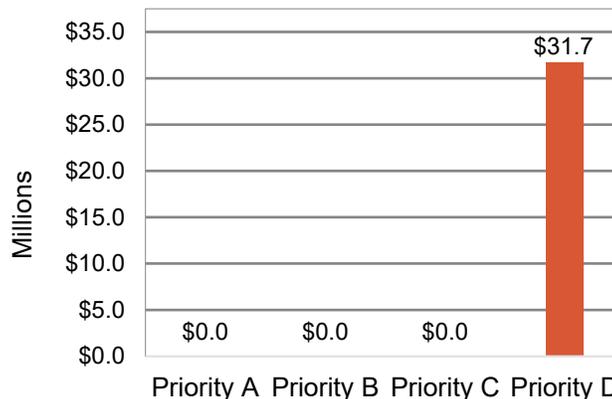
Funding Our Top Priorities

The projects included in the proposed CIP were determined in accordance with both the City Council’s Strategic Pillars and the budget priorities. The chart below illustrates the funding amount per Strategic Pillar within the Other Community Projects category.



The proposed five-year CIP Other Community Projects budget totals \$31.7 million. The CIP projects were prioritized by the following criteria:

Priority	Description
A	critical need mandated, emergency, or under construction
B	maintenance system reliability and/or ongoing operations
C	future system needs or future regulatory requirements
D	not critical, but achieve efficiencies and/or sustainability



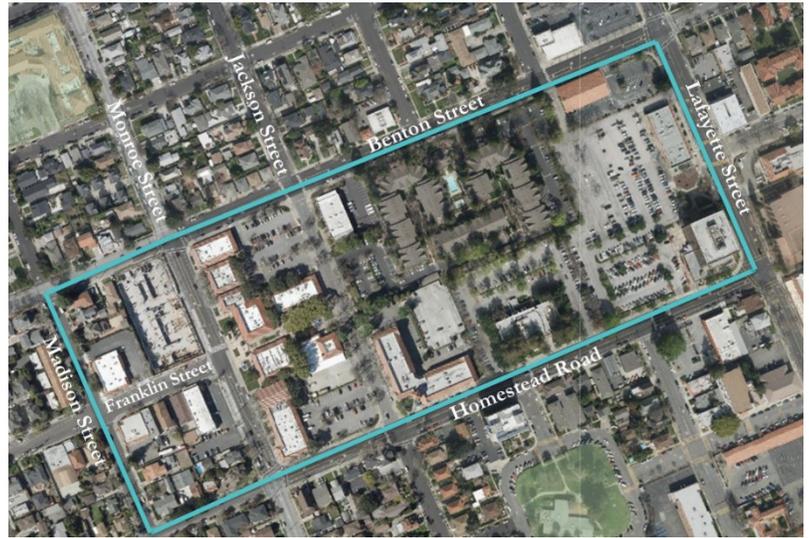


Project Highlights

Major projects in the Other Community Projects category are detailed below.

Downtown Master Plan

The City of Santa Clara is in the process of planning for the revitalization of our Downtown by developing a Precise Plan that will provide guidance for new development within a portion of the Downtown Focus Area, as defined in the 2010-2035 General Plan, through policies, guidelines and illustrations that implement the community vision and objectives for a vibrant, pedestrian-oriented destination Downtown. Following an initial community engagement effort through task force meetings, a workshop, and online engagement activities, three land use scenarios/conceptual plans have been developed. Staff is proposing funding of \$100,000 for additional review and testing of the land use/design options, a larger context study to understand compatibility and competition from other large development projects, and an El Camino Real intersection study to understand multi modal connectivity from regional transit to downtown. This work will focus on market feasibility, retail strategy and connectivity.



Downtown Master Plan Implementation

The City and community have actively explored the opportunity of revitalizing Downtown Santa Clara. One of the key elements of the both the community’s vision and preliminary land use work completed by staff was to restore the street grid system, including constructing Franklin and Washington streets to two-lane roads with parking and sidewalks. The reconstruction of Franklin Street poses many challenges as a significant portion of future Franklin is owned by a private party. As such, the recommended funding of \$1,800,000 would enable the City to acquire a right-of-way easement on Franklin and Washington Street.

Related Santa Clara Project

The Related Santa Clara project, previously referred to as City Place, is envisioned to be an approximately 240-acre, multi-phased urban city development located in the heart of the Silicon Valley, in the northern part of the City of Santa Clara. The project will create a new center of activity for the community and region, providing significant mixed-use development, retail offerings, office buildings, hotel rooms, and rental residential dwellings at an urban scale, as well as accessible outdoor spaces and new infrastructure to support the development. City staff is providing development services for the project, offset by reimbursement from the Related Santa Clara project.



Public Works Capital Projects Management

The Public Works Capital Management allocation is used to recoup the Public Works Department staff time and non-personnel costs used for general project management, design, engineering and inspection services for the City’s CIP projects. These projects are planned and built for a variety of public purposes, such as transportation, stormwater management and parks.

Major Accomplishments

- Successfully held Community engagement activities for the development of the Downtown Master Plan: created an online survey; held six Downtown Community Task Force (DCTF) meetings; held a retail 101 community meeting; and held property owner, stakeholder, and developer roundtable meetings.
- Developed an initial draft land use framework for the Downtown Master Plan project.
- Completed and produced an Existing Conditions Report for the Downtown Master Plan project.
- Completed improvements to Fire Station 8 to accommodate the temporary relocations of crews and equipment from Fire Station 10 in January 2020, and the crews from Fire Station 10 were moved into the newly expanded Fire Station 8 at the end of February 2020.

Planning Process Timeline



Financing Sources

General Fund (Capital Projects Reserve)

The General Fund (Capital Projects Reserve) is used to support the City’s general capital projects. Revenues are generated from tax revenues, fees from licenses and permits, fines and investment earnings.

General Fund (Land Sale Reserve)

This reserve allocates proceeds from the sale of City-owned land.

Developer Contributions

The Related Santa Clara Project Developer has agreed to provide funding for City staff positions specifically added to support the Related Santa Clara project. This will ensure that the City has timely and sufficient resources for plan checks and permit reviews.



Operating Budget Impacts

Recommended projects within the Other Community Projects category are not expected to have additional operating and maintenance costs.

Unfunded Needs

There are currently no identified unfunded needs in the Other Community Projects category.



FUNDED | PROJECTS BUDGETED COST SUMMARY

Other Community Projects	2019/20	2020/21	2021/22	2022/23	2023/24	2024/25	Five-Year CIP Total
1199 - PW Capital Projects Management - Streets and Highways	\$1,578,562	\$1,824,697	\$1,925,635	\$2,005,306	\$2,072,436	\$2,137,061	\$9,965,135
1899 - PW Capital Projects Management - Storm Drain	\$230,506	\$299,447	\$314,551	\$327,582	\$338,544	\$349,091	\$1,629,215
1979 - PW Capital Projects Management - Sewer	\$780,594	\$744,199	\$789,658	\$828,878	\$1,018,935	\$1,050,677	\$4,432,347
3199 - PW Capital Projects Management - Parks & Recreation	\$806,595	\$646,878	\$679,257	\$707,290	\$730,985	\$753,825	\$3,518,235
4099 - PW Capital Projects Management - Fire	\$20,049	\$3,795	\$3,928	\$4,066	\$4,208	\$4,355	\$20,352
4511 - Related General Admin Project	\$332,850	-	\$394,696	\$409,624	\$420,666	\$432,244	\$1,657,230
4512 - Related Permit Work Project	\$1,189,983	\$162,062	\$1,261,156	\$2,091,961	\$2,148,283	\$2,201,999	\$7,865,461
5099 - PW Capital Projects Management - Library	\$10,633	\$8,699	-	-	-	-	\$8,699
6153 - ADA Self Evaluation and Transition Plan Update	\$10,529	-	-	-	-	-	-
6199 - PW Capital Projects Management - Public Buildings	\$100,571	\$102,751	\$107,815	\$112,231	\$115,999	\$119,645	\$558,441
6559 - Downtown Master Plan	\$578,346	\$100,000	-	-	-	-	\$100,000
6599 - PW Capital Projects Management - General Government	\$39,092	\$34,269	\$35,736	\$37,104	\$38,373	\$39,641	\$185,123
New - 6560 - Downtown Master Plan Implementation	-	\$1,800,000	-	-	-	-	\$1,800,000
Total Other Community Projects	\$5,678,310	\$5,726,797	\$5,512,432	\$6,524,042	\$6,888,429	\$7,088,538	\$31,740,238



FUNDED | PW CAPITAL PROJECTS MANAGEMENT – STREETS AND HIGHWAYS

Project Number:	1199	Theme / Category:	Other Community Projects
Year Initiated:	2019	Project Manager:	Various
Planned Completion Year:	Ongoing	Department:	Public Works
Project Type:	Ongoing	Fund:	533 - Streets and Highways
Strategic Pillar	 02 - Deliver and Enhance High Quality Efficient Services and Infrastructure		
Location	Various		



Project Description The Public Works Capital Projects Management allocation is used to recoup the Public Works Department staff time and non-personnel costs used for general project management, design, engineering and inspection services for the City's CIP projects. This allocation is for work on projects within the Streets and Highways fund including, but not limited to, the Americans with Disabilities Act (ADA) Transition Plan, Pedestrian and Bicycle Enhancement Facilities and the Uncontrolled Crosswalks Improvement Project.

Funding Sources	All Prior Actuals	2019/20	2020/21	2021/22	2022/23	2023/24	2024/25	Total
Total Sources	-	\$1,578,562	\$1,824,697	\$1,925,635	\$2,005,306	\$2,072,436	\$2,137,061	\$11,543,697

Expenditures	All Prior Actuals	2019/20	2020/21	2021/22	2022/23	2023/24	2024/25	Total
Engineering Mgt Svcs	-	\$1,578,562	\$1,824,697	\$1,925,635	\$2,005,306	\$2,072,436	\$2,137,061	\$11,543,697
Total Expenditures	-	\$1,578,562	\$1,824,697	\$1,925,635	\$2,005,306	\$2,072,436	\$2,137,061	\$11,543,697

Operating Impacts	-	-	-	-	-	-	-	-
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FUNDED | PW CAPITAL PROJECTS MANAGEMENT – STORM DRAIN

Project Number:	1899	Theme / Category:	Other Community Projects
Year Initiated:	2019	Project Manager:	Various
Planned Completion Year:	Ongoing	Department:	Public Works
Project Type:	Ongoing	Fund:	535 - Storm Drain
Strategic Pillar	 02 - Deliver and Enhance High Quality Efficient Services and Infrastructure		
Location	Various		



Project Description The Public Works Capital Projects Management allocation is used to recoup the Public Works Department staff time and non-personnel costs used for general project management, design, engineering and inspection services for the City’s CIP projects. This allocation is for work on projects within the Storm Drains fund including, but not limited to, the Laurelwood Pump Station Rehabilitation project, Westside Retention Basin Pump Replacement project and the Storm Drain Slide Gate Rehabilitation project.

Funding Sources	All Prior Actuals	2019/20	2020/21	2021/22	2022/23	2023/24	2024/25	Total
Total Sources	-	\$230,506	\$299,447	\$314,551	\$327,582	\$338,544	\$349,091	\$1,859,721

Expenditures	All Prior Actuals	2019/20	2020/21	2021/22	2022/23	2023/24	2024/25	Total
Engineering Mgt Svcs	-	\$230,506	\$299,447	\$314,551	\$327,582	\$338,544	\$349,091	\$1,859,721
Total Expenditures	-	\$230,506	\$299,447	\$314,551	\$327,582	\$338,544	\$349,091	\$1,859,721

Operating Impacts	-	-	-	-	-	-	-	-
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FUNDED | PW CAPITAL PROJECTS MANAGEMENT - SEWER

Project Number:	1979	Theme / Category:	Other Community Projects
Year Initiated:	2019	Project Manager:	Various
Planned Completion Year:	Ongoing	Department:	Water and Sewer Utility Dept
Project Type:	Ongoing	Fund:	594 - Sewer Utility
Strategic Pillar	 02 - Deliver and Enhance High Quality Efficient Services and Infrastructure		
Location	Various		



Project Description The Public Works Capital Projects Management allocation is used to recoup the Public Works Department staff time and non-personnel costs used for general project management, design, engineering and inspection services for the City's CIP projects. This allocation is for work on projects within the Sewer Utility fund including, but not limited to, the San Jose/Santa Clara Regional Wastewater Facility project, Sanitary Sewer Capacity Improvements project and the Sanitary Sewer System Condition Assessment project.

Funding Sources	All Prior Actuals	2019/20	2020/21	2021/22	2022/23	2023/24	2024/25	Total
Total Sources	-	\$780,594	\$744,199	\$789,658	\$828,878	\$1,018,935	\$1,050,677	\$5,212,941

Expenditures	All Prior Actuals	2019/20	2020/21	2021/22	2022/23	2023/24	2024/25	Total
Engineering Mgt Svcs	-	\$780,594	\$744,199	\$789,658	\$828,878	\$1,018,935	\$1,050,677	\$5,212,941
Total Expenditures	-	\$780,594	\$744,199	\$789,658	\$828,878	\$1,018,935	\$1,050,677	\$5,212,941

Operating Impacts	-	-	-	-	-	-	-	-
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FUNDED | PW CAPITAL PROJECTS MANAGEMENT – PARKS & RECREATION

Project Number:	3199	Theme / Category:	Other Community Projects
Year Initiated:	2019	Project Manager:	James Teixeira
Planned Completion Year:	Ongoing	Department:	Parks & Recreation
Project Type:	Distinct	Fund:	532 - Parks and Recreation
Strategic Pillar	 02 - Deliver and Enhance High Quality Efficient Services and Infrastructure		
Location	Various		



Project Description The Public Works Capital Projects Management allocation is used to recoup the Public Works Department staff time and non-personnel costs used for general project management, design, engineering and inspection services for the City’s CIP projects. This allocation is for work on projects within the Parks and Recreation CIP fund including projects that increase play value and expand use. Projects include but are not limited to the Park Playground Rehabilitation project, Community Park North - Phase I project and the Saratoga Creek Trail project.

Funding Sources	All Prior Actuals	2019/20	2020/21	2021/22	2022/23	2023/24	2024/25	Total
Total Sources	-	\$806,595	\$646,878	\$679,257	\$707,290	\$730,985	\$753,825	\$4,324,830

Expenditures	All Prior Actuals	2019/20	2020/21	2021/22	2022/23	2023/24	2024/25	Total
Engineering Mgt Svcs	-	\$806,595	\$646,878	\$679,257	\$707,290	\$730,985	\$753,825	\$4,324,830
Total Expenditures	-	\$806,595	\$646,878	\$679,257	\$707,290	\$730,985	\$753,825	\$4,324,830

Operating Impacts	-	-	-	-	-	-	-	-
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FUNDED | PW CAPITAL PROJECTS MANAGEMENT - FIRE

Project Number:	4099	Theme / Category:	Other Community Projects
Year Initiated:	2019	Project Manager:	Various
Planned Completion Year:	Ongoing	Department:	Fire Department
Project Type:	Ongoing	Fund:	536 - Fire Department
Strategic Pillar	 02 - Deliver and Enhance High Quality Efficient Services and Infrastructure		
Location	Various		



Project Description The Public Works Capital Projects Management allocation is used to recoup the Public Works Department staff time and non-personnel costs used for general project management, design, engineering and inspection services for the City's CIP projects. This allocation is for work on projects within the Fire Department CIP fund including, but not limited to, the Diesel Exhaust Removal Systems project, Protective Equipment Replacement project and the Fire Station 8 Fixture, Furniture & Equipment project.

Funding Sources	All Prior Actuals	2019/20	2020/21	2021/22	2022/23	2023/24	2024/25	Total
Total Sources	-	\$20,049	\$3,795	\$3,928	\$4,066	\$4,208	\$4,355	\$40,401

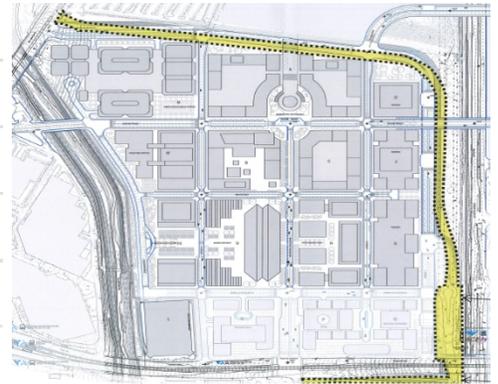
Expenditures	All Prior Actuals	2019/20	2020/21	2021/22	2022/23	2023/24	2024/25	Total
Engineering Mgt Svcs	-	\$20,049	\$3,795	\$3,928	\$4,066	\$4,208	\$4,355	\$40,401
Total Expenditures	-	\$20,049	\$3,795	\$3,928	\$4,066	\$4,208	\$4,355	\$40,401

Operating Impacts	-	-	-	-	-	-	-	-
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FUNDED | RELATED GENERAL ADMIN PROJECT

Project Number:	4511	Theme / Category:	Other Community Projects
Year Initiated:	2019	Project Manager:	Ruth Shikada
Planned Completion Year:	Ongoing	Department:	Non-Departmental
Project Type:	Ongoing	Fund:	540 - Related Santa Clara Dvlpr Fund
Strategic Pillar	 01 - Promote and Enhance Economic, Housing and Transportation Development		
Location	5155 Stars & Stripes Drive Santa Clara, CA 95054		



Project Description

The Related Santa Clara Project consists of construction of a new multi-phased, mixed-use development of up to 9.16 million gross square feet of office buildings, retail and entertainment facilities, residential units, hotel rooms, surface and structured parking facilities, new open space and roads, landscaping and tree replacement, and new/upgraded/expanded infrastructure and utilities. On June 28, 2016, the Council approved a Disposition and Development Agreement (DDA), a Development Agreement (DA) and project entitlements for the Related Santa Clara Project (the "Project," also known as City Place). The Project involves development in several phases on 240 acres of land principally used as Santa Clara Golf & Tennis. The DDA and DA contemplated the need for financial resources from the Developer to fund third party costs to assist City staff in pre-development and permit processing efforts. In addition, the DA provided that the City would provide prompt expedited permit processing.

The Developer has agreed to fund a Priority Project Manager to act as a facilitator for all project approvals and as an intermediary between the City and the Developer for the processing of project approvals; to address issues and concerns during the development of the project; and, to promote consistency across City departments and outside agencies. This project covers the Project Manager costs. Due to the uncertain economic impact of COVID-19, project funding will be phased in based on need and is subject to change.

Funding Sources	All Prior Actuals	2019/20	2020/21	2021/22	2022/23	2023/24	2024/25	Total
Total Sources	-	\$332,850	-	\$394,696	\$409,624	\$420,666	\$432,244	\$1,990,080

Expenditures	All Prior Actuals	2019/20	2020/21	2021/22	2022/23	2023/24	2024/25	Total
Salary And Wages - Regular	-	\$332,850	-	\$394,696	\$409,624	\$420,666	\$432,244	\$1,990,080
Total Expenditures	-	\$332,850	-	\$394,696	\$409,624	\$420,666	\$432,244	\$1,990,080

Operating Impacts	-	-	-	-	-	-	-	-
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FUNDED | RELATED PERMIT WORK PROJECT

Project Number:	4512	Theme / Category:	Other Community Projects
Year Initiated:	2019	Project Manager:	Dolores Montenegro
Planned Completion Year:	Ongoing	Department:	Non-Departmental
Project Type:	Ongoing	Fund:	540 - Related Santa Clara Dvlpr Fund
Strategic Pillar	 01 - Promote and Enhance Economic, Housing and Transportation Development		
Location	5155 Stars & Stripes Drive Santa Clara, CA 95054		



Project Description

The Related Santa Clara Project consists of construction of a new multi-phased, mixed-use development of up to 9.16 million gross square feet of office buildings, retail and entertainment facilities, residential units, hotel rooms, surface and structured parking facilities, new open space and roads, landscaping and tree replacement, and new/upgraded/expanded infrastructure and utilities. On June 28, 2016, the Council approved a Disposition and Development Agreement (DDA), a Development Agreement (DA) and project entitlements for the Related Santa Clara Project (the "Project," also known as City Place). The Project involves development in several phases on 240 acres of land principally used as Santa Clara Golf & Tennis. The DDA and DA contemplated the need for financial resources from the Developer to fund third party costs to assist City staff in pre-development and permit processing efforts. In addition, the DA provided that the City would provide prompt expedited permit processing.

The Project Developer and their design team will be submitting to the City a number of plans and applications for plan review and permitting of Phases 1 and 2. The City does not currently have capacity to process the anticipated volume of plans in a timely fashion without significantly delaying the Project and other permit applications in the normal course of business. In order to meet the objectives set out in the DA and to mitigate impacts caused by the Project on other permit applications, the Developer has agreed to fund eight full-time equivalent positions necessary to plan review, manage the third party contracts and oversee the work and payment of permit fees. This Project initially funded the cost of these eight positions. However, due to the uncertain economic impact of COVID-19, Project funding will be phased in based on need and is subject to change.

Funding Sources	All Prior Actuals	2019/20	2020/21	2021/22	2022/23	2023/24	2024/25	Total
Total Sources	-	\$1,189,983	\$162,062	\$1,261,156	\$2,091,961	\$2,148,283	\$2,201,999	\$9,055,444

Expenditures	All Prior Actuals	2019/20	2020/21	2021/22	2022/23	2023/24	2024/25	Total
Salary And Wages - Regular	-	\$1,189,983	\$162,062	\$1,261,156	\$2,091,961	\$2,148,283	\$2,201,999	\$9,055,444
Total Expenditures	-	\$1,189,983	\$162,062	\$1,261,156	\$2,091,961	\$2,148,283	\$2,201,999	\$9,055,444

Operating Impacts	-	-	-	-	-	-	-	-
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FUNDED | PW CAPITAL PROJECTS MANAGEMENT - LIBRARY

Project Number:	5099	Theme / Category:	Other Community Projects
Year Initiated:	2019	Project Manager:	Various
Planned Completion Year:	Ongoing	Department:	Library Department
Project Type:	Ongoing	Fund:	537 - Library
Strategic Pillar	 02 - Deliver and Enhance High Quality Efficient Services and Infrastructure		
Location	Various		



Project Description The Public Works Capital Projects Management allocation is used to recoup the Public Works Department staff time and non-personnel costs used for general project management, design, engineering and inspection services for the City's CIP projects. This allocation is for work on projects within the Library Department CIP fund.

Funding Sources	All Prior Actuals	2019/20	2020/21	2021/22	2022/23	2023/24	2024/25	Total
Total Sources	-	\$10,633	\$8,699	-	-	-	-	\$19,332

Expenditures	All Prior Actuals	2019/20	2020/21	2021/22	2022/23	2023/24	2024/25	Total
Engineering Mgt Svcs	-	\$10,633	\$8,699	-	-	-	-	\$19,332
Total Expenditures	-	\$10,633	\$8,699	-	-	-	-	\$19,332

Operating Impacts	-	-	-	-	-	-	-	-
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FUNDED | PW CAPITAL PROJECTS MANAGEMENT – PUBLIC BUILDINGS

Project Number:	6199	Theme / Category:	Other Community Projects
Year Initiated:	2019	Project Manager:	Various
Planned Completion Year:	Ongoing	Department:	Public Works
Project Type:	Ongoing	Fund:	538 - Public Buildings
Strategic Pillar	 02 - Deliver and Enhance High Quality Efficient Services and Infrastructure		
Location	Various		



Project Description The Public Works Capital Projects Management allocation is used to recoup the Public Works Department staff time and non-personnel costs used for general project management, design, engineering and inspection services for the City's CIP projects. This allocation is for work on projects within the Public Buildings fund including, but not limited to, the City Building Assessment project, Repair to Historic Buildings project and the Stationary Standby Generators project.

Funding Sources	All Prior Actuals	2019/20	2020/21	2021/22	2022/23	2023/24	2024/25	Total
Total Sources	-	\$100,571	\$102,751	\$107,815	\$112,231	\$115,999	\$119,645	\$659,012

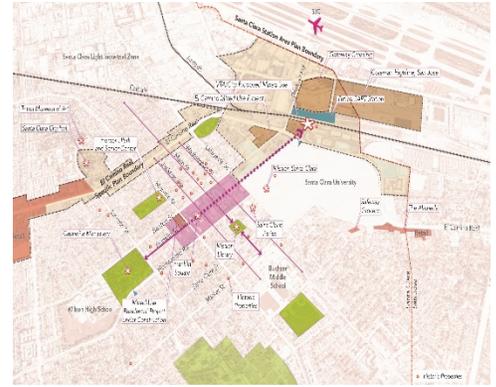
Expenditures	All Prior Actuals	2019/20	2020/21	2021/22	2022/23	2023/24	2024/25	Total
Engineering Mgt Svcs	-	\$100,571	\$102,751	\$107,815	\$112,231	\$115,999	\$119,645	\$659,012
Total Expenditures	-	\$100,571	\$102,751	\$107,815	\$112,231	\$115,999	\$119,645	\$659,012

Operating Impacts	-	-	-	-	-	-	-	-
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FUNDED | DOWNTOWN MASTER PLAN

Project Number:	6559	Theme / Category:	Other Community Projects
Year Initiated:	2018	Project Manager:	Lesley Xavier
Planned Completion Year:	2022	Department:	Community Development Department
Project Type:	Distinct	Fund:	539 - General Government
Strategic Pillar	 01 - Promote and Enhance Economic, Housing and Transportation Development		
Location	Downtown Area		



Project Description The development of a Master Plan for the Downtown area will provide opportunities to restore the City's traditional core. The Downtown Precise Plan shall include the land use planning elements required to support the revitalization and redevelopment of the area as a complete urban neighborhood. Specifically, the plan will define the land use plan for the area as well as policies, design guidelines, streetscape design, open space standards, zoning, etc., to implement the plan vision.

Funding Sources	All Prior Actuals	2019/20	2020/21	2021/22	2022/23	2023/24	2024/25	Total
Total Sources	\$90,000	\$578,346	\$100,000	-	-	-	-	\$768,346

Expenditures	All Prior Actuals	2019/20	2020/21	2021/22	2022/23	2023/24	2024/25	Total
Engineering	\$90,000	\$578,346	\$100,000	-	-	-	-	\$768,346
Total Expenditures	\$90,000	\$578,346	\$100,000	-	-	-	-	\$768,346

Operating Impacts	-	-	-	-	-	-	-	-
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FUNDED | PW CAPITAL PROJECTS MANAGEMENT – GENERAL GOVERNMENT

Project Number:	6599	Theme / Category:	Other Community Projects
Year Initiated:	2019	Project Manager:	Various
Planned Completion Year:	Various	Department:	Public Works
Project Type:	Ongoing	Fund:	539 - General Government
Strategic Pillar	 02 - Deliver and Enhance High Quality Efficient Services and Infrastructure		
Location	Various		



Project Description The Public Works Capital Projects Management allocation is used to recoup the Public Works Department staff time and non-personnel costs used for general project management, design, engineering and inspection services for the City's CIP projects. This allocation is for work on projects within the General Government CIP fund including, but not limited to, the Morse Mansion Maintenance & Repair project and the Convention Center Repairs and Upgrades project.

Funding Sources	All Prior Actuals	2019/20	2020/21	2021/22	2022/23	2023/24	2024/25	Total
Total Sources	-	\$39,092	\$34,269	\$35,736	\$37,104	\$38,373	\$39,641	\$224,215

Expenditures	All Prior Actuals	2019/20	2020/21	2021/22	2022/23	2023/24	2024/25	Total
Engineering Mgt Svcs	-	\$39,092	\$34,269	\$35,736	\$37,104	\$38,373	\$39,641	\$224,215
Total Expenditures	-	\$39,092	\$34,269	\$35,736	\$37,104	\$38,373	\$39,641	\$224,215

Operating Impacts	-	-	-	-	-	-	-	-
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FUNDED | DOWNTOWN MASTER PLAN IMPLEMENTATION

Project Number:	New - 6560	Theme / Category:	Other Community Projects
Year Initiated:	2020	Project Manager:	Lesley Xavier
Planned Completion Year:	2022	Department:	Community Development Department
Project Type:	Ongoing	Fund:	539 - General Government
Strategic Pillar	 01 - Promote and Enhance Economic, Housing and Transportation Development		
Location	Downtown Area		



Project Description

The City and community have actively explored the opportunity of revitalizing Downtown Santa Clara. One of the key elements of both the community's vision and preliminary land use work completed by staff, was to restore the street grid system, including constructing Franklin and Washington streets to two-lane roads with parking and sidewalks. The reconstruction of Franklin street poses many challenges as a significant portion of future Franklin is owned by a private party. In July 2018, the City entered into two option agreements for the Franklin and Washington Street Right-of-way Easement Acquisition. The proposed funding of \$1,800,000 from the Land Sale Reserve is recommended to be allocated for future purchase of the easement.

In August 2015, the City Council adopted a Strategic Objective to evaluate a Santa Clara Downtown Project. The City then hired an urban design consultant to help facilitate a series of workshops and meetings, which were used to gather community input on a vision for the Downtown area's future development. Through this process, the community identified several objectives for the redevelopment of the Downtown area as a vibrant, pedestrian-oriented destination. The restoration of Franklin Street as a right-of-way open to vehicular traffic was identified as a primary objective. Additionally, based on the complexity of rebuilding Franklin Street including existing uses, construction constraints, financial feasibility and property ownership, certain sections of Franklin Street will need to be constructed in phases and will have interim levels of completion.

Funding Sources	All Prior Actuals	2019/20	2020/21	2021/22	2022/23	2023/24	2024/25	Total
Total Sources	-	-	\$1,800,000	-	-	-	-	\$1,800,000

Expenditures	All Prior Actuals	2019/20	2020/21	2021/22	2022/23	2023/24	2024/25	Total
Land	-	-	\$1,800,000	-	-	-	-	\$1,800,000
Total Expenditures	-	-	\$1,800,000	-	-	-	-	\$1,800,000

Operating Impacts	-	-	-	-	-	-	-	-
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Parks and Trails

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INTRODUCTION

The capital projects in the Parks and Trails theme address the City Council’s adopted goal to “enhance community sports, recreational and arts assets” by providing measurably improved play value in inclusionary, environmentally sustainable, age-friendly Parks & Recreation facilities for all residents. It also includes Trails projects that serve a dual purpose of transportation (biking and walking) and recreation.

The Parks & Recreation Department previously conducted a Youth Sports Needs Assessment (Verde Design, Inc. 2014), an Aquatics Facility Needs Assessment (The Sports Management Group, 2014) and a Park and Recreation Facilities Development Impact Fee Study (Willdan, Inc. 2014, update 2019) all of which highlighted the need for expansion based on population growth projections. In addition, Parks & Recreation assets were identified in the Parks & Recreation Facility Condition Assessment (FCA) report (Kitchell, 2018) as in need of replacement and/or renewal to meet current building and accessibility codes, and U.S. Consumer Product Safety Commission guidelines.

Parks CIP projects adhere to City design criteria and incorporate community feedback through community input meetings and surveys during the design phase. Project scopes are scaled based on available funding with priority given to facilities that support youth, seniors and people with special needs. Other priorities may include installation of new, age-appropriate playground equipment, building modifications for accessibility compliance, access control, surfaces and walkway rehabilitation, irrigation system and landscape improvements, parking and building improvements and construction of new facilities. Projects may also include research, studies, and administration of park in-lieu fees for acquisition and development of new neighborhood and community parks.

Trails CIP projects in Santa Clara are existing creek trails that are used by residents and commuters. Of note is the existing San Tomas Aquino Creek Trail (STACT) which connects the San Francisco Bay Trail to Cabrillo Avenue in the center of the City. Within the CIP, there are projects that expand and maintain the City’s creek trail system according to the Trail Master Plan.

The inventory of parks and trails includes the following:

Neighborhood Parks	Neighborhood Parks
Agnew Park	Lick Mill Park
Bowers Park	Live Oak Park
Bracher Park	Machado Park
Bill Wilson Park	Mary Gomez Park & Pool
City Plaza Park	Maywood Park
Earl R. Carmichael Park	Meadow Park (Santa Clara Square)
Everett Souza Park & Community Garden	Montague Park
Everett Alvarez, Jr. Park	Parkway Park
Fairway Glen Park	Steve Carli Park
Fremont Park	Thamien Park
Fuller Street Park	Warburton Park & Pool
Henry Schmidt Park	Westwood Oaks Park
Homeridge Park	Recreational Trails
Jenny Strand Park	San Tomas Aquino/Saratoga Creek Trail
Larry J. Marsalli Park	Redwood Trail (Santa Clara Square)



Community Parks	Public Open Space
Central Park	Civic Center Park
Community Park North (Undeveloped)	Ulistac Natural Area
	Agnews Historic Park
Recreational Facilities	Mini Parks
Raymond G. Gamma Dog Park	Geof Goodfellow Sesquicentennial Park
Reed & Grant Streets Sports Park	Memorial Cross Park
Santa Clara P.A.L. BMX Track	Thomas Barrett Park
Santa Clara Youth Soccer Park	Rotary Park
Rev. Schmitt Youth Activity Center	War Memorial Playground

Funding Our Top Priorities

The projects included in the proposed CIP were determined in accordance with both the City Council’s strategic pillars and the budget priorities. Total funding for Parks & Trails projects falls under two Strategic Pillars as shown in the chart below.



The proposed five-year CIP Parks & Trails budget totals \$24.8 million. The CIP projects were prioritized by the following criteria:

Priority	Description
A	critical need mandated, emergency, or under construction
B	maintenance system reliability and/or ongoing operations
C	future system needs or future regulatory requirements
D	not critical, but achieve efficiencies and/or sustainability





The majority of projects are associated with playground rehabilitation which fall under Priority A. Rehabilitation projects are designed to expand capacity and play value in neighborhoods, bring the facilities up to current U.S. Consumer Product Safety Commission guidelines and make them accessible to all ages and abilities. Most funding of Priority B projects pertains to the Central Park Master Plan Projects which provide major park improvements. Priority C Projects are associated with new residential developments in progress and the need for parks and parkland for new residents. Priority D Projects are generally maintenance, rehabilitation or expansion of trails.

Project Highlights

Major projects in the Parks & Recreation program are detailed below.

Park Playground Rehabilitation

The CIP includes seven playground rehabilitations. The Magical Bridge All Inclusive playground at Central Park is a fully accessible, all-inclusive facility funded by a County of Santa Clara All Inclusive Playground Grant (AIPG) in the amount of \$1.8 million, a City match of \$1.4 million and \$500k Developer Contribution, and \$1.0 million to be fundraised by Magical Bridge Foundation. The neighborhood playgrounds at Westwood Oaks, Warburton, Rotary, Maywood and Earl Carmichael Parks and the entire Montague Park were identified as “Critical” and recommended for immediate replacement in the City’s Facility Condition Assessment Report (Kitchell 2018). They are funded from new residential development impact fees.

Considerations for playground rehabilitation are:

- **Elements of Play:** includes swinging, climbing, brachiating, spinning, sliding, balancing, sensory and free play;
- **Play Value** of the design: how many persons served, how often and for how long equipment is used;
- **Inclusive Play:** thoughtful application of universal design principles that includes provision of diverse activities that address the physical, social-emotional, sensory, cognitive, and communicative needs of all children, including those with disabilities;
- **Youth Physical Activity:** intentionally promote physical activity through active play and encourage the developmental progression of skills through healthy movement; and,
- **Nature Play:** design the play environment with the living landscape to promote higher levels of physical activity, increase play value, and enhance environmental sustainability.

The City works with a landscape/building architects to design new play spaces, incorporating community input through the Parks and Recreation Commission.

Community Park North- Phase I

Per Developer Agreement, a 34.9-acre parcel, formerly part of the City's golf course will be developed into Santa Clara's second community park. Phase I of the project will include community outreach, schematic design & design development, and program planning. This project is funded from Developer Contribution in the amount \$5 million, of which \$500,000 is for community input, a master plan, design and engineering and \$4.5 million is for construction of Phase I improvements.

Phase 1 improvements will be identified after the community outreach process and may include restrooms, a perimeter pathway, a multi-purpose sports field (baseball, cricket, lacrosse, other), a perimeter pathway connected to picnic areas, and other amenities.

Creek Trail Network Expansion Masterplan



In 2013, the City completed the Feasibility Study for the City's Creek Trail Network Expansion. The Feasibility Study analyzed the Calabazas Creek, Saratoga Creek and the San Francisco Public Utilities Commission's (SFPUC) Hetch Hetchy right-of-way (within Santa Clara city limits) regarding the feasibility of expanding the off-street trail network into these areas. Based on the 2013 effort, the City has hired a consultant to advance the Feasibility Study to a Master Plan. The Master Plan includes data collection, stakeholder coordination, preliminary engineering for the trail alignments and crossings, trail standards, public outreach, and preparation of the necessary environmental documents. This project does not include the construction of the expanded trail network.

Saratoga Creek Trail (Homeridge Park to Central Park)

This project will construct a pedestrian and bicycle trail between Homeridge Park, Bill Wilson, Jr. Park, and Central Park. Improvements include a paved trail adjacent to Saratoga Creek, undercrossing beneath Homestead Road and Kiely Boulevard, and a pedestrian bridge over Saratoga Creek adjacent to Kiely Boulevard. Additional elements include retaining walls, drainage facilities and relocations, utility relocations, maintenance access ramps relocations to the creek bed, curb, gutter, and sidewalk at trailheads, and landscape planting. The project is subject to approvals from Valley Water and other resource agencies. The project has grant funding under the One Bay Area Grant - Cycle 2 (OBAG 2) which was awarded funding to be received in FY 2021/22.

Annual Creek Trail Rehabilitation Program

This project performs pavement preventative maintenance and rehabilitation of STACT and the Guadalupe River Trail to extend the useful life of the City's trail system assets. The improvements under this project address the pavement maintenance and rehabilitation backlog according to the following workplan: FY 2020/21 (Guadalupe River Trail and STACT: SR 237 to Tasman Drive); FY 2021/22 (STACT: Tasman Drive to Scott Boulevard); FY 2022/23 (STACT: Scott Boulevard to El Camino Real).



Major Accomplishments

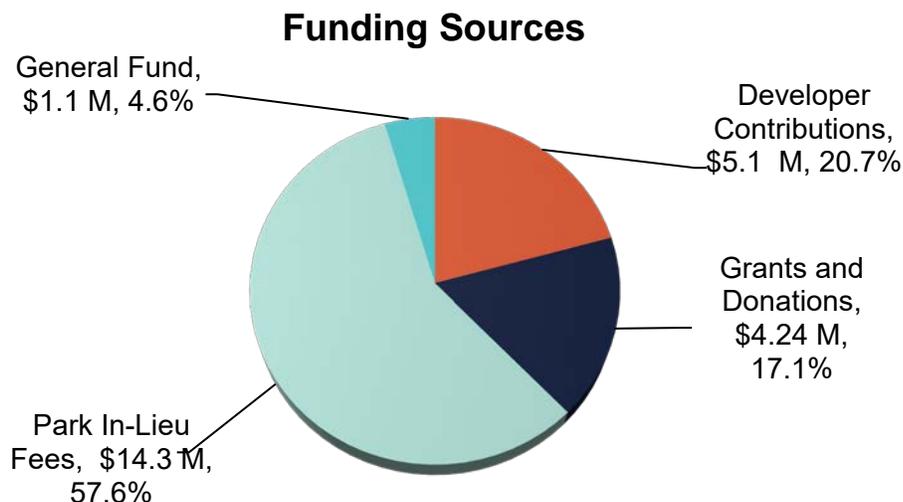
- Completion of the Reed & Grant Sports Park is anticipated once the County Health COVID-19 shelter-in-place order is lifted. The community park facility includes 4 synthetic soccer fields, and a natural grass field. All the fields will be lit to allow for play until 10 pm.
- In August 2014, the City purchased six parcels totaling 8.75 acres adjacent to the Raymond G. Gamma Dog Park. In December 2016, the City purchased another adjoining .29-acre parcel. These acquisitions represent the City’s largest park acquisitions in over a decade. Parkland Dedication Funds are paying for the design and construction of this community wide athletic facility and rehabilitation of the Raymond G. Gamma Dog Park.
- A 3,400 square foot Jerry Marsalli Community Recreation Building will host health and wellness and indoor fitness activities. The site has two parking lots with restrooms, a family picnic area and a playground. The site will host a diversity of park users and age groups and maximizes opportunities to connect as a community.
- Completed the Bowers Park playground rehabilitation and will complete the Machado Park playground rehabilitation once the County Health COVID-19 shelter-in-place order is lifted.
 - These are the first two inclusion based playgrounds in the City.
 - Increased play value and capacity.
 - Community input included on-site meetings with stakeholders and online survey during the design phase.
 - Both projects included grant funding from GameTime/ CPRS Statewide Healthy Play Initiative.





Financing Sources

Funding for CIP Projects is allocated from new residential development impact fees (Quimby Act; Mitigation Fee Act), developer contributions, grants, donations and the General Fund.



General Fund (Capital Project Reserves)

This funding from the General Fund supports the City’s projects that enhance citywide functions. Revenues are generated from tax revenues, fees from licenses and permits, fines and investment earnings.

Park in Lieu Fees (Quimby and MFA)

In July 2014, Council adopted Ordinance No.1928 which added Chapter 17.35 “Park and Recreational Land” to the Santa Clara City Code to require new residential developments after September 13, 2014 to provide developed park and recreational land, and/or pay a fee in-lieu thereof pursuant to the Quimby Act (“Quimby”) and/or the Mitigation Fee Act (“MFA”).

Developer Contributions

The City has proposed Parks and Trails projects supported by payments from developers. It is paid as part of the process of granting planning permission where additional infrastructure is required or to mitigate negative impacts.

Grants and Donations

The Department continues to seek out grant and donation funding for park facilities and trails projects, when available. Notable funding is the One Bay Area Grant - Cycle 2 (OBAG 2) for a creek trail project and donation from the Magical Bridge Foundation fundraising and California Park and Recreation Society (CPRS) Healthy Play Initiative.

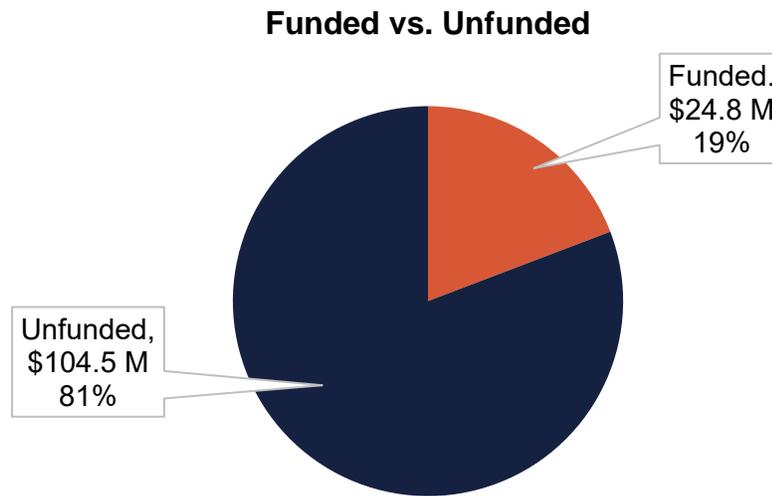
Operating Budget Impacts

For rehabilitation and Central Park Masterplan projects, current staffing is sufficient to maintain the completed projects. For Community Park North, expanded staffing, utilities and ongoing costs will be required to program and maintain the park. Phase I-Planning will inform staff of future needs. In addition, the City requires funding for construction, operation and maintenance for a minimum of 40 years from developer dedicated parkland or creation of a special district to fund operation and maintenance of new parks.

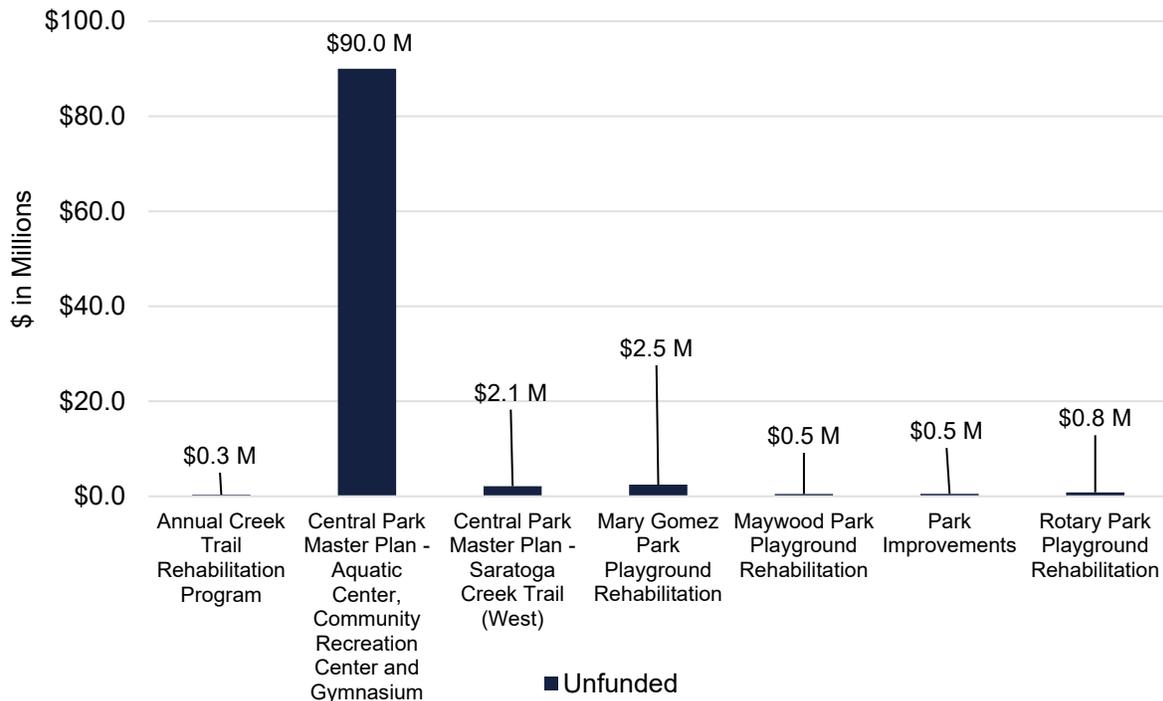


Unfunded Needs

Parks & Trails requested funding for projects totals \$129.3 million. Of this amount, \$24.8 million is funded, leaving \$104.5 million of unfunded needs. This funding request does not include all the project needs identified in the Facility Condition Assessment (Kitchell 2018).



The table below highlights the highest priority projects currently on the unfunded list in the Parks and Trails category. For more project information, please see the Unfunded Projects – Parks and Trails list at the end of this section.



Unfunded Parks projects will be brought forward as funding becomes available. Although \$118 million was requested in the current CIP budget, the Department’s need is greater, based on the Facility Needs Assessment (Kitchell, 2018):

- The funds required to repair or replace, not improve or expand, assets were estimated to be \$108.2 million.
 - This does not include an estimated 5% annual escalation.
 - This does not include improvements to assets; it is replacing like with like.
 - This does not include the need to expand capacity and parkland in line with population increases.
- The average age of park infrastructure was 45 years (the oldest being 116 years and the newest 2 years).
- Of the 28 City playgrounds, 18 (64%) were between 18 and 64 years old.
- The report indicated that 13% of park sites were in critical condition, 34% poor condition, 17% fair condition and 36% good condition.
- The report indicated that 7% of buildings, including the International Swim Center, were in critical condition, 20% poor condition, 20% fair condition and 53% good condition.
- Operating facilities beyond their intended life expectancy is not recommended and does not meet expanded community needs.
- Due to the condition of our aged infrastructure, failure to renew and replace assets on the required schedule may result in total system failure and cause facilities to be taken out of service.
- Delaying projects can increase cost by up to 50% in a 20-year period.

Unfunded Trails projects involve additional funding for the maintenance of the trails particularly for the Annual Creek Trail Rehabilitation Program, which is only funded for the first two years of the CIP. If timely repairs are not performed, future repair costs may be higher and City’s liability may increase.



FUNDED | PROJECTS BUDGETED COST SUMMARY

Parks and Trails Project	2019/20	2020/21	2021/22	2022/23	2023/24	2024/25	Five-Year CIP Total
1203 - Annual Creek Trail Rehabilitation Program	\$300,000	\$360,000	\$580,000	-	-	-	\$940,000
1204 - Saratoga Creek Trail (Homeridge Park to Central Park)	\$4,241,221	-	\$2,025,000	-	-	-	\$2,025,000
3001 - Park Improvements	\$685,564	-	-	-	-	-	-
3008 - Townsend Field, Washington Ball Park, Elmer Johnson Field Rehabilitation	\$115,000	-	-	-	-	-	-
3177 - Athletic Facilities- Reed & Grant Street	\$2,370,310	-	-	-	-	-	-
3178 - Playground Construction	\$1,323,337	-	-	-	-	-	-
3179 - Ulistac Natural Area Maintenance	\$93,513	-	-	-	-	-	-
3180 - Youth Soccer Park Field #3	\$512,750	-	-	-	-	-	-
3181 - Park Impact Fees (Quimby, MFA, Developer) Monitoring Project	\$464,248	\$220,000	\$220,000	\$230,000	\$230,000	\$240,000	\$1,140,000
3182 - New Neighborhood Park & Community Garden (San Tomas & Monroe)	\$126,686	-	-	-	-	-	-
3183 - Central Park Magical Bridge Playground	\$3,700,000	\$1,000,000	-	-	-	-	\$1,000,000
3184 - Montague Park Enhancement	\$2,579,185	\$1,100,000	-	-	-	-	\$1,100,000
3186 - Fairway Glen Park Restroom	\$900,000	-	-	-	-	-	-
3189 - Homeridge Park Playground Rehabilitation	\$1,111,090	-	-	-	-	-	-
NEW - 137 - Hetch-Hetchy Trail Phase 1	-	-	\$790,000	-	-	-	\$790,000
NEW - 147 - San Tomas Aquino Creek Trail Underpass	-	-	\$2,449,000	-	-	-	\$2,449,000
NEW - 311 - Westwood Oaks Park Playground Rehabilitation	-	\$1,149,800	-	-	-	-	\$1,149,800
NEW - 312 - Warburton Park Playground Rehabilitation	-	-	\$1,331,800	-	-	-	\$1,331,800



FUNDED | PROJECTS BUDGETED COST SUMMARY

Parks and Trails Project	2019/20	2020/21	2021/22	2022/23	2023/24	2024/25	Five-Year CIP Total
NEW - 313 - Rotary Park Playground Rehabilitation	-	\$235,000	-	-	-	-	\$235,000
NEW - 315 - Maywood Park Playground Rehabilitation	-	-	\$2,000,000	-	-	-	\$2,000,000
NEW - 317 - Earl Carmichael Park Playground Rehabilitation	-	-	-	\$2,955,700	-	-	\$2,955,700
NEW - 318 - Community Park North - Phase I	-	\$500,000	-	-	-	\$4,500,000	\$5,000,000
NEW - 320 - Central Park Master Plan - New Entrance, Access, and Parking Improvements	-	-	\$2,518,448	-	-	-	\$2,518,448
NEW - 326 - Mission Library Gazebo	-	\$200,000	-	-	-	-	\$200,000
Total Parks and Trails Projects	\$18,522,904	\$4,764,800	\$11,914,248	\$3,185,700	\$230,000	\$4,740,000	\$24,834,748



FUNDED | ANNUAL CREEK TRAIL REHABILITATION PROGRAM

Project Number:	1203	Theme / Category:	Parks and Trails
Year Initiated:	2018	Project Manager:	Vincent Luchessi
Planned Completion Year:	Ongoing	Department:	Public Works
Project Type:	Ongoing	Fund:	533 - Streets and Highways
Strategic Pillar	 02 - Deliver and Enhance High Quality Efficient Services and Infrastructure		
Location	Various locations along creek trails		



Project Description In 2019, the City Council adopted the Bicycle Plan Update 2018. Policy 2.C.1 of the Bicycle Masterplan states: "Develop a trail pavement management plan by 2020 and request funding through the capital budget process to perform necessary pavement maintenance" in order to enhance standard operating practices for bicycle facility maintenance. The trail pavements were inspected by a pavement management consultant in 2019 and a pavement management plan was developed. This project performs pavement preventative maintenance and rehabilitation for the San Tomas Aquino Creek Trail (STACT) and Guadalupe River Trail, including application of seals, removal and replacement of failed asphalt, repair of miscellaneous amenities, and replacing worn-out striping and markings. Preventative maintenance and repair of failed pavements extends the useful life of the City's trail system assets.

Funding Sources	All Prior Actuals	2019/20	2020/21	2021/22	2022/23	2023/24	2024/25	Total
Total Sources		\$300,000	\$360,000	\$580,000	-	-	-	\$1,240,000

Expenditures	All Prior Actuals	2019/20	2020/21	2021/22	2022/23	2023/24	2024/25	Total
Construction	-	\$300,000	\$300,000	\$490,000	-	-	-	\$1,090,000
Engineering	-	-	\$60,000	\$90,000	-	-	-	\$150,000
Total Expenditures	-	\$300,000	\$360,000	\$580,000	-	-	-	\$1,240,000

Operating Impacts			-	-	-	-	-	-
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FUNDED | SARATOGA CREEK TRAIL (HOMERIDGE PARK TO CENTRAL PARK)

Project Number:	1204	Theme / Category:	Parks and Trails
Year Initiated:	2014	Project Manager:	Vincent Luchessi
Planned Completion Year:	FY 2022/23	Department:	Public Works
Project Type:	Distinct	Fund:	533 - Streets and Highways
Strategic Pillar	 02 - Deliver and Enhance High Quality Efficient Services and Infrastructure		
Location	Along Saratoga Creek between Homeridge Park and Central Park		



Project Description This project is to construct a pedestrian and bicycle trail between Homeridge Park, Bill Wilson, Jr. Park, and Central Park. Improvements include a paved trail adjacent to Saratoga Creek, undercrossing beneath Homestead Road and Kiely Boulevard, and a pedestrian bridge over Saratoga Creek adjacent to Kiely Boulevard. Additional elements include retaining walls, drainage facilities and relocations, utility relocations, relocating maintenance access ramps to the creek bed, curb, gutter, and sidewalk at trailheads, and landscape planting. The project is subject to approvals necessary from Valley Water and resource agencies.

Funding Sources	All Prior Actuals	2019/20	2020/21	2021/22	2022/23	2023/24	2024/25	Total
Total Sources	\$577,676	\$4,241,221	-	\$2,025,000	-	-	-	\$6,843,897

Expenditures	All Prior Actuals	2019/20	2020/21	2021/22	2022/23	2023/24	2024/25	Total
Construction	\$375,354	\$3,616,221	-	\$1,340,000	-	-	-	\$5,331,575
Engineering	\$134,038	\$625,000	-	\$685,000	-	-	-	\$1,444,038
Salary And Wages - Regular	\$23,719	-	-	-	-	-	-	\$23,719
Srv From Other Funds- Alloc OH	\$30,807	-	-	-	-	-	-	\$30,807
Srv From Othr Funds- Alloc Ben	\$13,758	-	-	-	-	-	-	\$13,758
Total Expenditures	\$577,676	\$4,241,221	-	\$2,025,000	-	-	-	\$6,843,897

Operating Impacts	-	-	-	-	-	-	-	-
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FUNDED | PARK IMPACT FEES (QUIMBY, MFA, DEVELOPER) MONITORING PROJECT

Project Number:	3181	Theme / Category:	Parks and Trails
Year Initiated:	2014	Project Manager:	James Teixeira
Planned Completion Year:	Ongoing	Department:	Parks & Recreation
Project Type:	Ongoing	Fund:	532 - Parks and Recreation
Strategic Pillar	 03 - Enhance Community Sports, Recreational and Arts Assets		
Location	Parks & Recreation Administration		



Project Description Chapter 17.35 of the City Code adopted by Ordinance #1928 in July 2014 and supported by the California Quimby Act and Mitigation Fee Act established parkland dedication and/or fees due in lieu of dedication. Up to 2% of fees are set aside for program implementation and monitoring activities including park system nexus study, audit, and land valuation/acquisition.

Funding Sources	All Prior Actuals	2019/20	2020/21	2021/22	2022/23	2023/24	2024/25	Total
Total Sources	\$504,280	\$464,248	\$220,000	\$220,000	\$230,000	\$230,000	\$240,000	\$2,108,528

Expenditures	All Prior Actuals	2019/20	2020/21	2021/22	2022/23	2023/24	2024/25	Total
Construction	\$78,616	-	-	-	-	-	-	\$78,616
Contingencies/Misc	\$1,440	-	-	-	-	-	-	\$1,440
Engineering	\$424,224	\$424,248	\$220,000	\$220,000	\$230,000	\$230,000	\$240,000	\$1,988,472
Salary And Wages - Regular	-	\$40,000	-	-	-	-	-	\$40,000
Total Expenditures	\$504,280	\$464,248	\$220,000	\$220,000	\$230,000	\$230,000	\$240,000	\$2,108,528

Operating Impacts	-	-	-	-	-	-	-	-
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FUNDED | CENTRAL PARK MAGICAL BRIDGE PLAYGROUND

Project Number:	3183	Theme / Category:	Parks and Trails	
Year Initiated:	2016	Project Manager:	Huy Nguyen	
Planned Completion Year:	2022	Department:	Parks & Recreation	
Project Type:	Distinct	Fund:	532 - Parks and Recreation	
Strategic Pillar		03 - Enhance Community Sports, Recreational and Arts Assets		
Location	Central Park			

Project Description

In September 2013, the City Council adopted a goal to “enhance community sports and recreational assets.” Since then, the City of Santa Clara has committed to providing measurable play value in inclusionary, environmentally sustainable, age-friendly Parks & Recreation facilities for all residents. This project includes the installation of new playground and amenities at the Central Park that will also meet a diversity of special needs. The all-inclusive, all-access playground will provide a creative play space where people of all ages and abilities can explore at their own pace.

In FY 2016/17, Council allocated \$500,000 in Developer Contribution toward CIP #3183 Central Park Arbor Playground. In 2018, the City’s Facility Condition Assessment Report identified Central Park Arbor Center Playground condition as “Poor” and recommended immediate replacement. In FY2018/19 Council allocated an additional \$500,000 from the Capital Project Reserve. In 2019, the City was awarded a matching County of Santa Clara All Inclusive Playground Grant (AIPG) in the amount of \$1,765,020. In summer 2019, Council approved an agreement with Magical Bridge Foundation and Groundswell architects for community outreach, fundraising and design services.

In 2019, Central Park Master Plan identified the Arbor Center Area as a first year project which includes the Magical Bridge All Inclusive Playground.

Funding Sources	All Prior Actuals	2019/20	2020/21	2021/22	2022/23	2023/24	2024/25	Total
Total Sources		\$3,700,000	\$1,000,000	-	-	-	-	\$4,700,000

Expenditures	All Prior Actuals	2019/20	2020/21	2021/22	2022/23	2023/24	2024/25	Total
Construction	-	\$3,700,000	-	-	-	-	-	\$3,700,000
Engineering	-	-	\$1,000,000	-	-	-	-	\$1,000,000
Total Expenditures	-	\$3,700,000	\$1,000,000	-	-	-	-	\$4,700,000

Operating Impacts			-	-	-	-	-	-
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FUNDED | MONTAGUE PARK ENHANCEMENT

Project Number:	3184	Theme / Category:	Parks and Trails
Year Initiated:	2018	Project Manager:	Falguni Amin
Planned Completion Year:	2022	Department:	Parks & Recreation
Project Type:	Distinct	Fund:	532 - Parks and Recreation
Strategic Pillar	 03 - Enhance Community Sports, Recreational and Arts Assets		
Location	3595 MacGregor Lane		



Project Description The Montague Park has not had any major rehabilitation since its construction in 1970. Many of the existing infrastructure components, including playground equipment, concrete pathway, and building, need to be rehabilitated to meet new building codes and accessibility requirements. The project will rehabilitate existing playground equipment, concrete pathway, turf, landscaping, building, and other site furnishings and amenities. This project increases play value and expands use.

Funding Sources	All Prior Actuals	2019/20	2020/21	2021/22	2022/23	2023/24	2024/25	Total
Total Sources	\$83,037	\$2,579,185	\$1,100,000	-	-	-	-	\$3,762,222

Expenditures	All Prior Actuals	2019/20	2020/21	2021/22	2022/23	2023/24	2024/25	Total
Construction	-	\$2,579,185	\$1,100,000	-	-	-	-	\$3,679,185
Engineering	\$83,037	-	-	-	-	-	-	\$83,037
Total Expenditures	\$83,037	\$2,579,185	\$1,100,000	-	-	-	-	\$3,762,222

Operating Impacts	-	-	-	-	-	-	-	-
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FUNDED | HETCH-HETCHY TRAIL PHASE 1

Project Number:	NEW - 137	Theme / Category:	Parks and Trails
Year Initiated:	2020	Project Manager:	Benison Tran
Planned Completion Year:	FY 2022/23	Department:	Public Works
Project Type:	Distinct	Fund:	533 - Streets and Highways
Strategic Pillar	 02 - Deliver and Enhance High Quality Efficient Services and Infrastructure		
Location	Stars and Stripes Dr.		



Project Description

Staff is currently working on a Creek Trail Network Expansion Master Plan (Project No. 1201) for the potential expansion of the City's trail network onto Calabazas Creek, Saratoga Creek and the San Francisco Public Utilities Commission's (SFPUC) Hetch-Hetchy right-of-way (within Santa Clara city limits). In 2016, the City submitted a grant application under the One Bay Area Grant Cycle 2 (OBAG 2) program which resulted in the City being awarded grant funds to construct the project.

This project will construct Phase 1 of the proposed Hetch-Hetchy trail (as identified in the Creek Trail Network Expansion Master Plan) which may consist of a 12-foot wide asphalt/concrete Class I bicycle and pedestrian facility along 0.3 miles of the Hetch-Hetchy right-of-way from Stars and Stripes Drive to San Tomas Aquino Creek and continuing along 0.6 miles near the top of the eastern bank of the San Tomas Aquino Creek to Agnew Road.

This project is contingent on the City Council approval of the Creek Trail Network Master Plan, the approval of the SFPUC and the Santa Clara Valley Water District. The funding represents the amount of approved OBAG grant funding. This project is partially funded where the required local match funding and estimated remaining project costs required to deliver this project are currently unfunded and discussed in the unfunded section.

Funding Sources	All Prior Actuals	2019/20	2020/21	2021/22	2022/23	2023/24	2024/25	Total
Total Sources	-	-	-	\$790,000	-	-	-	\$790,000

Expenditures	All Prior Actuals	2019/20	2020/21	2021/22	2022/23	2023/24	2024/25	Total
Construction	-	-	-	\$790,000	-	-	-	\$790,000
Total Expenditures	-	-	-	\$790,000	-	-	-	\$790,000

Operating Impacts	-	-	-	-	-	-	-	-
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FUNDED | SAN TOMAS AQUINO CREEK TRAIL UNDERPASS

Project Number:	NEW - 147	Theme / Category:	Parks and Trails
Year Initiated:	2020	Project Manager:	Huy Nguyen
Planned Completion Year:	FY 2024/25	Department:	Public Works
Project Type:	Distinct	Fund:	533 - Streets and Highways
Strategic Pillar	 02 - Deliver and Enhance High Quality Efficient Services and Infrastructure		
Location	West bank of San Tomas Aquino Creek		



Project Description

Currently there are three pedestrian bridges that intersect the San Tomas Aquino Creek Trail just south of Tasman Drive and adjacent to Levi's Stadium. During Levi's Stadium events, the portion of the trail in front of the Stadium is closed due to security and safety concerns and trail users are detoured. The project will construct 0.25 miles of a Class I bicycle and pedestrian underpass facility along the west bank of San Tomas Aquino Creek beginning at the existing Tasman Drive underpass and continuing under three pedestrian bridges adjacent to existing trail and Levi's Stadium. The project will include a minimum 10' wide trail paved with asphalt and concrete with retaining walls to complement the adjacent trail facility. The project will also include striping and signage. This project is contingent on the approval of the Santa Clara Valley Water District. The \$2,449,000 shown funded below only represents the amount of approved OBAG grant funding. The required local match funding and the estimated remaining costs required to deliver this project are currently unfunded and discussed in the unfunded section.

Funding Sources	All Prior Actuals	2019/20	2020/21	2021/22	2022/23	2023/24	2024/25	Total
Total Sources	-	-	-	\$2,449,000	-	-	-	\$2,449,000

Expenditures	All Prior Actuals	2019/20	2020/21	2021/22	2022/23	2023/24	2024/25	Total
Construction	-	-	-	\$2,449,000	-	-	-	\$2,449,000
Total Expenditures	-	-	-	\$2,449,000	-	-	-	\$2,449,000

Operating Impacts	-	-	-	-	-	-	-	-
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FUNDED | WESTWOOD OAKS PARK PLAYGROUND REHABILITATION

Project Number:	NEW - 311	Theme / Category:	Parks and Trails
Year Initiated:	2019	Project Manager:	Falguni Amin
Planned Completion Year:	FY 2022/23	Department:	Parks & Recreation
Project Type:	Distinct	Fund:	532 - Parks and Recreation
Strategic Pillar	 03 - Enhance Community Sports, Recreational and Arts Assets		
Location	Westwood Oaks Park		



Project Description

This project will renovate the Westwood Oaks Park playground to meet accessibility standards, consumer product safety guidelines and measurably improved recreational play value. This project increases play value and expands use. Funding for this project is allocated from new residential development impact fees (Quimby Act) as well as donations received by the Parks & Recreation Department.

In September 2013, the City Council adopted a goal to “Enhance Community Sports, Recreational and Arts Assets.” Since then, the City of Santa Clara has committed to providing measurable play value in inclusionary, environmentally sustainable, age-friendly Parks & Recreation facilities for all residents. In the City’s 2017 Facility Condition Assessment Report (Kitchell), Westwood Oaks Park Playground was identified as “Critical” and recommended for immediate replacement. The Parks & Recreation Commission has prioritized this playground for replacement.

Funding Sources	All Prior Actuals	2019/20	2020/21	2021/22	2022/23	2023/24	2024/25	Total
Total Sources	-	-	\$1,149,800	-	-	-	-	\$1,149,800

Expenditures	All Prior Actuals	2019/20	2020/21	2021/22	2022/23	2023/24	2024/25	Total
Construction	-	-	\$816,900	-	-	-	-	\$816,900
Engineering	-	-	\$332,900	-	-	-	-	\$332,900
Total Expenditures	-	-	\$1,149,800	-	-	-	-	\$1,149,800

Operating Impacts	-	-	-	-	-	-	-	-
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FUNDED | WARBURTON PARK PLAYGROUND REHABILITATION

Project Number:	NEW - 312	Theme / Category:	Parks and Trails
Year Initiated:	2019	Project Manager:	Falguni Amin
Planned Completion Year:	2023	Department:	Parks & Recreation
Project Type:	Distinct	Fund:	532 - Parks and Recreation
Strategic Pillar	 03 - Enhance Community Sports, Recreational and Arts Assets		
Location	Warburton Park		



Project Description This project will renovate the Warburton Park playground to meet accessibility standards, consumer product safety guidelines and measurably improved recreational play value. This project increases play value and expands use. Funding for this project is allocated from new residential development impact fees (Quimby Act) as well as donations received by the Parks & Recreation Department.

In September 2013, the City Council adopted a goal to “Enhance Community Sports, Recreational and Arts Assets.” Since then, the City of Santa Clara has committed to providing measurable play value in inclusionary, environmentally sustainable, age-friendly Parks & Recreation facilities for all residents. In the City’s 2017 Facility Condition Assessment Report (Kitchell), Warburton Park Playground was identified as “Critical” and recommended for immediate replacement. The Parks & Recreation Commission has prioritized this playground for replacement.

Funding Sources	All Prior Actuals	2019/20	2020/21	2021/22	2022/23	2023/24	2024/25	Total
Total Sources	-	-	-	\$1,331,800	-	-	-	\$1,331,800

Expenditures	All Prior Actuals	2019/20	2020/21	2021/22	2022/23	2023/24	2024/25	Total
Construction	-	-	-	\$998,900	-	-	-	\$998,900
Engineering	-	-	-	\$332,900	-	-	-	\$332,900
Total Expenditures	-	-	-	\$1,331,800	-	-	-	\$1,331,800

Operating Impacts	-	-	-	-	-	-	-	-
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FUNDED | ROTARY PARK PLAYGROUND REHABILITATION

Project Number:	NEW - 313	Theme / Category:	Parks and Trails
Year Initiated:	2019	Project Manager:	Falguni Amin
Planned Completion Year:	2023	Department:	Parks & Recreation
Project Type:	Distinct	Fund:	532 - Parks and Recreation
Strategic Pillar	 03 - Enhance Community Sports, Recreational and Arts Assets		
Location	Rotary Park		



Project Description This project will renovate the Rotary Park playground to meet accessibility standards, consumer product safety guidelines and measurably improved recreational play value. This project increases play value and expands use. Funding for this project is allocated from new residential development impact fees (Quimby Act) as well as donations received by the Parks & Recreation Department.

In September 2013, the City Council adopted a goal to “Enhance Community Sports, Recreational and Arts Assets.” Since then, the City of Santa Clara has committed to providing measurable play value in inclusionary, environmentally sustainable, age-friendly Parks & Recreation facilities for all residents. In the City’s 2017 Facility Condition Assessment Report (Kitchell), the Rotary Park Playground was identified as “Critical” and recommended for immediate replacement. The Parks & Recreation Commission has prioritized this playground for replacement.

Funding Sources	All Prior Actuals	2019/20	2020/21	2021/22	2022/23	2023/24	2024/25	Total
Total Sources	-	-	\$235,000	-	-	-	-	\$235,000

Expenditures	All Prior Actuals	2019/20	2020/21	2021/22	2022/23	2023/24	2024/25	Total
Engineering	-	-	\$235,000	-	-	-	-	\$235,000
Total Expenditures	-	-	\$235,000	-	-	-	-	\$235,000

Operating Impacts	-	-	-	-	-	-	-	-
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FUNDED | MAYWOOD PARK PLAYGROUND REHABILITATION

Project Number:	NEW - 315	Theme / Category:	Parks and Trails
Year Initiated:	2019	Project Manager:	Falguni Amin
Planned Completion Year:	2025	Department:	Parks & Recreation
Project Type:	Distinct	Fund:	532 - Parks and Recreation
Strategic Pillar	 03 - Enhance Community Sports, Recreational and Arts Assets		
Location	Maywood Park		



Project Description

This project will renovate the Maywood Park playground to meet accessibility standards, consumer product safety guidelines and measurably improved recreational play value. This project increases play value and expands use. Funding for this Capital Improvement Project is allocated from new residential development impact fees (Quimby Act) as well as donations received by the Parks & Recreation Department.

In September 2013, the City Council adopted a goal to “Enhance Community Sports, Recreational and Arts Assets.” Since then, the City of Santa Clara has committed to providing measurable play value in inclusionary, environmentally sustainable, age-friendly Parks & Recreation facilities for all residents. In the City’s 2017 Facility Condition Assessment Report (Kitchell), the Maywood Park Playground was identified as “Critical” and recommended for immediate replacement. The Parks & Recreation Commission has prioritized this playground for replacement.

Funding Sources	All Prior Actuals	2019/20	2020/21	2021/22	2022/23	2023/24	2024/25	Total
Total Sources	-	-	-	\$2,000,000	-	-	-	\$2,000,000

Expenditures	All Prior Actuals	2019/20	2020/21	2021/22	2022/23	2023/24	2024/25	Total
Construction	-	-	-	\$1,461,600	-	-	-	\$1,461,600
Engineering	-	-	-	\$538,400	-	-	-	\$538,400
Total Expenditures	-	-	-	\$2,000,000	-	-	-	\$2,000,000

Operating Impacts	-	-	-	-	-	-	-	-
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FUNDED | EARL CARMICHAEL PARK PLAYGROUND REHABILITATION

Project Number:	NEW - 317	Theme / Category:	Parks and Trails
Year Initiated:	2019	Project Manager:	Falguni Amin
Planned Completion Year:	2025	Department:	Parks & Recreation
Project Type:	Distinct	Fund:	532 - Parks and Recreation
Strategic Pillar	 03 - Enhance Community Sports, Recreational and Arts Assets		
Location	Earl Carmichael Park		



Project Description This project will renovate the Earl Carmichael Park playground to meet accessibility standards, consumer product safety guidelines and measurably improved recreational play value. This project increases play value and expands use. Funding for this Capital Improvement Project is allocated from new residential development impact fees (Quimby Act) as well as donations received by the Parks & Recreation Department.

In September 2013, the City Council adopted a goal to “Enhance Community Sports, Recreational and Arts Assets.” Since then, the City of Santa Clara has committed to providing measurable play value in inclusionary, environmentally sustainable, age-friendly Parks & Recreation facilities for all residents. In the City’s 2017 Facility Condition Assessment Report (Kitchell), Earl Carmichael Park Playground was identified as “Critical” and recommended for immediate replacement. The Parks & Recreation Commission has prioritized this playground for replacement.

Funding Sources	All Prior Actuals	2019/20	2020/21	2021/22	2022/23	2023/24	2024/25	Total
Total Sources	-	-	-	-	\$2,955,700	-	-	\$2,955,700

Expenditures	All Prior Actuals	2019/20	2020/21	2021/22	2022/23	2023/24	2024/25	Total
Construction	-	-	-	-	\$2,290,700	-	-	\$2,290,700
Engineering	-	-	-	-	\$665,000	-	-	\$665,000
Total Expenditures	-	-	-	-	\$2,955,700	-	-	\$2,955,700

Operating Impacts	-	-	-	-	-	-	-	-
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FUNDED | COMMUNITY PARK NORTH - PHASE I

Project Number:	NEW - 318	Theme / Category:	Parks and Trails
Year Initiated:	2019	Project Manager:	Falguni Amin
Planned Completion Year:	2022	Department:	Parks & Recreation
Project Type:	Distinct	Fund:	532 - Parks and Recreation
Strategic Pillar	 03 - Enhance Community Sports, Recreational and Arts Assets		
Location	Stars and Stripes Dr.		



Project Description

Per the Developer agreement, the City will be receiving a 34.9-acre parcel, formerly part of the City's golf course, to develop into Santa Clara's second community park. Phase I of the project will include community outreach, schematic design and design development, and program planning. Phase 1 improvements will be identified after the community outreach process and may include restrooms, a perimeter pathway, a multi-purpose sports field (baseball, cricket, lacrosse, other), a perimeter pathway connected to picnic areas, and other amenities.

In September 2013, Council adopted a strategic goal to "Enhance Community Sports, Recreational and Arts Assets" to address the immediate demand and the future need for additional community soccer and other recreational and athletic facilities.

Funding Sources	All Prior Actuals	2019/20	2020/21	2021/22	2022/23	2023/24	2024/25	Total
Total Sources	-	-	\$500,000	-	-	-	\$4,500,000	\$5,000,000

Expenditures	All Prior Actuals	2019/20	2020/21	2021/22	2022/23	2023/24	2024/25	Total
Construction	-	-	-	-	-	-	\$4,500,000	\$4,500,000
Engineering	-	-	\$500,000	-	-	-	-	\$500,000
Total Expenditures	-	-	\$500,000	-	-	-	\$4,500,000	\$5,000,000

Operating Impacts	-	-	-	-	-	-	-	-
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FUNDED | CENTRAL PARK MASTER PLAN - NEW ENTRANCE, ACCESS, AND PARKING IMPROVEMENTS

Project Number:	NEW - 320	Theme / Category:	Parks and Trails
Year Initiated:	2019	Project Manager:	Huy Nguyen
Planned Completion Year:	2023	Department:	Parks & Recreation
Project Type:	Distinct	Fund:	532 - Parks and Recreation
Strategic Pillar	 03 - Enhance Community Sports, Recreational and Arts Assets		
Location	Central Park		



Project Description

In accordance with the Central Park Master Plan, this project adds a new, safe and accessible entrance to Central Park near the Magical Bridge Playground and the Veteran's Memorial at the corner of Kaiser Dr. and Kiely Blvd. This project includes installation of the new entrance and related accessibility, landscaping and safety improvements that will enhance safe access/egress for pedestrians, bicyclists and vehicles to the park from Keily Blvd. at Kaiser (currently signized intersection). When complete there will be 39 new parking spaces, new landscaping and accessible pathways to the park and its amenities (Central Park Community Recreation Center (CRC), Veteran's Memorial, Playground).

In September 2013, the City Council adopted a goal to “Enhance Community Sports, Recreational and Arts Assets.” Since then, the City of Santa Clara has committed to providing measurable play value in inclusionary, environmentally sustainable, age-friendly Parks & Recreation facilities for all residents.

In 2018, the City’s Facility Condition Assessment Report (Kitchell), identified the CRC Parking Lot as needing replacement.

Funding Sources	All Prior Actuals	2019/20	2020/21	2021/22	2022/23	2023/24	2024/25	Total
Total Sources	-	-	-	\$2,518,448	-	-	-	\$2,518,448

Expenditures	All Prior Actuals	2019/20	2020/21	2021/22	2022/23	2023/24	2024/25	Total
Construction	-	-	-	\$1,472,776	-	-	-	\$1,472,776
Engineering	-	-	-	\$1,045,672	-	-	-	\$1,045,672
Total Expenditures	-	-	-	\$2,518,448	-	-	-	\$2,518,448

Operating Impacts	-	-	-	-	-	-	-	-
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FUNDED | MISSION LIBRARY GAZEBO

Project Number:	NEW - 326	Theme / Category:	Parks and Trails
Year Initiated:	2020	Project Manager:	Falguni Amin
Planned Completion Year:	2021	Department:	Parks & Recreation
Project Type:	Distinct	Fund:	532 - Parks and Recreation
Strategic Pillar	 02 - Deliver and Enhance High Quality Efficient Services and Infrastructure		
Location	Lexington and Main St.		



Project Description The City Plaza Park Gazebo at Mission Library has been identified as an opportunity to create Placemaking in City facilities. The Parks & Recreation Department is working with a consultant to develop design concepts that were presented to the public in March 2020 in a stakeholder meeting. Once a design is chosen, the design, engineering and construction process will begin.

Funding Sources	All Prior Actuals	2019/20	2020/21	2021/22	2022/23	2023/24	2024/25	Total
Total Sources	-	-	\$200,000	-	-	-	-	\$200,000

Expenditures	All Prior Actuals	2019/20	2020/21	2021/22	2022/23	2023/24	2024/25	Total
Construction	-	-	\$150,000	-	-	-	-	\$150,000
Engineering	-	-	\$50,000	-	-	-	-	\$50,000
Total Expenditures	-	-	\$200,000	-	-	-	-	\$200,000

Operating Impacts	-	-	-	-	-	-	-	-
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UNFUNDED PROJECTS | PARKS AND TRAILS

1203 - Annual Creek Trail Rehabilitation Program

FY 2020/21	FY 2021/22	FY 2022/23	FY 2023/24	FY 2024/25	Five-Year CIP Total
-	-	\$330,000	-	-	\$330,000

In 2019, the City Council adopted the Bicycle Plan Update 2018. Policy 2.C.1 of the Bicycle Masterplan states: "Develop a trail pavement management plan by 2020 and request funding through the capital budget process to perform necessary pavement maintenance" in order to enhance standard operating practices for bicycle facility maintenance. The trail pavements were inspected by a pavement management consultant in 2019 and a pavement management plan was developed. This project would fund pavement preventative maintenance and rehabilitation for the San Tomas Aquino Creek Trail (STACT) and Guadalupe River Trail, including application of seals, removal and replacement of failed asphalt, repair of miscellaneous amenities, and replacing worn-out striping and markings. Preventative maintenance and repair of failed pavements extends the useful life of the City's trail system assets. The project is recommended for funding for the first two years. The third-year cost to complete repairs is unfunded.

3001 - Park Improvements

FY 2020/21	FY 2021/22	FY 2022/23	FY 2023/24	FY 2024/25	Five-Year CIP Total
-	-	\$165,000	\$173,000	\$181,000	\$519,000

This project would fund the refurbishment, reconstruction or repair of park sports courts, pathways, parking lots, signs, picnic areas, irrigation systems, restrooms, windows and building exteriors, sports turf, lighting, HVAC, electrical systems, roofs, security systems and related facilities. Projects are identified during park master planning or as replacement needs arise. Projects move forward as funding becomes available.

NEW - 137 - Hetch-Hetchy Trail Phase 1

FY 2020/21	FY 2021/22	FY 2022/23	FY 2023/24	FY 2024/25	Five-Year CIP Total
\$250,000	\$470,000	-	-	-	\$720,000

Staff is currently working on a Creek Trail Network Expansion Master Plan (Project No. 1201) for the potential expansion of the City's trail network onto Calabazas Creek, Saratoga Creek and the San Francisco Public Utilities Commission's (SFPUC) Hetch-Hetchy right-of-way (within Santa Clara city limits). In 2016, the City submitted a grant application under the One Bay Area Grant Cycle 2 (OBAG 2) program which resulted in the City being awarded grant funds of \$790,000 to construct the project and renders the project partially funded. Additional City funding is needed to cover the city grant match of \$210,000 and the remaining estimated construction project costs of \$510,000. Staff is requesting a grant deadline extension and will seek other funding opportunities.



UNFUNDED PROJECTS | PARKS AND TRAILS

NEW - 147 - San Tomas Aquino Creek Trail Underpass					
FY 2020/21	FY 2021/22	FY 2022/23	FY 2023/24	FY 2024/25	Five-Year CIP Total
\$1,445,282	\$2,628,800	-	-	-	\$4,074,082

Currently there are three pedestrian bridges that intersect the San Tomas Aquino Creek Trail just south of Tasman Drive and adjacent to Levi's Stadium. These intersecting points create potential conflicts with trail users. During Levi's Stadium events, this portion of the trail is closed due to security and safety concerns and trail users are detoured. The project will construct 0.25 miles of a Class I bicycle and pedestrian underpass facility along the west bank San Tomas Aquino Creek beginning at the existing Tasman Drive underpass and continuing under three pedestrian bridges adjacent to existing trail and Levi's Stadium. The project will include a minimum 10' wide trail paved with asphalt and concrete with retaining walls to complement the adjacent trail facility. The project will also include striping and signage. Project costs that cannot be covered with the grant funding available are unfunded. This unfunded amount includes the local city match of \$1,021,200 and additional funding needed to cover engineering and construction costs of \$3,052,882. Per grant requirements, the project's design must be completed by Fall 2021. Staff is requesting a grant deadline extension and will seek other funding opportunities. Public safety staff are investigating alternative options to limit the existing conflicts with trail users thereby reducing the need for this project.

NEW - 313 - Rotary Park Playground Rehabilitation					
FY 2020/21	FY 2021/22	FY 2022/23	FY 2023/24	FY 2024/25	Five-Year CIP Total
\$826,900	-	-	-	-	\$826,900

In the City's 2017 Facility Condition Assessment Report (Kitchell), Rotary Park Playground was identified as "Critical" and recommended for immediate replacement. The Parks & Recreation Commission has prioritized this playground for replacement. The City will be renovating the Rotary Park playground to meet accessibility standards, consumer product safety guidelines and measurably improved recreational play value. This project increases play value and expands use. Funding for this Capital Improvement Project is allocated from new residential development impact fees (Quimby Act) as well as donations received by the Parks & Recreation Department.

NEW - 315 - Maywood Park Playground Rehabilitation					
FY 2020/21	FY 2021/22	FY 2022/23	FY 2023/24	FY 2024/25	Five-Year CIP Total
-	\$493,700	-	-	-	\$493,700

In the City's 2017 Facility Condition Assessment Report (Kitchell), Maywood Park Playground was identified as "Critical" and recommended for immediate replacement. The Parks & Recreation Commission has prioritized this playground for replacement. The City will be renovating the Maywood Park playground to meet accessibility standards, consumer product safety guidelines and measurably improved recreational play value. This project increases play value and expands use. Funding for this Capital Improvement Project is allocated from new residential development impact fees (Quimby Act) as well as donations received by the Parks & Recreation Department. Project will be completed as funds become available.



UNFUNDED PROJECTS | PARKS AND TRAILS

NEW - 316 - Mary Gomez Park Playground Rehabilitation

FY 2020/21	FY 2021/22	FY 2022/23	FY 2023/24	FY 2024/25	Five-Year CIP Total
-	-	-	\$2,446,900	-	\$2,446,900

In the City's 2017 Facility Condition Assessment Report (Kitchell), Mary Gomez Park Playground was identified as "Critical" and recommended for immediate replacement. The Parks & Recreation Commission has prioritized this playground for replacement. This project would renovate the Mary Gomez Park playground to meet accessibility standards, consumer product safety guidelines and measurably improved recreational play value. This project increases play value and expands use.

NEW - 319 - Central Park Master Plan - Saratoga Creek Trail (West)

FY 2020/21	FY 2021/22	FY 2022/23	FY 2023/24	FY 2024/25	Five-Year CIP Total
\$2,126,700	-	-	-	-	\$2,126,700

The proposed project aligns with seven of the Central Park Master Plan Guiding Principles and would provide connectivity and accessibility while enhancing the natural habitat. In 2019 the Department concluded the Central Park Master Plan. If funded, this project would provide an improved trail along the west side of Saratoga Creek which is currently a gravel Emergency Vehicle Access road. It would also provide additional upland habitat and associated fencing, interpretive signage, seating, lighting at pedestrian crossings and other trail enhancements. The project was previously reviewed as part of the Aquatic Center CEQA and would cause less than significant impacts that could be mitigated.

NEW - 321 - Central Park Arbor Center Area Improvements

FY 2020/21	FY 2021/22	FY 2022/23	FY 2023/24	FY 2024/25	Five-Year CIP Total
\$2,933,800	-	-	-	-	\$2,933,800

In 2018, the City's Facility Condition Assessment Report (Kitchell), identified Central Park Arbor Center as "poor" and recommended for renewal. This project will replace the restroom, improve parking lot pathways, lighting, and landscaping. The Central Park Master Plan identifies the Arbor Center Area as a first year project. The restroom and pavilion parking lot will be used by the all-inclusive playground to be constructed in the Central Park. Additional improvements around the new facility will be needed. Funds will be needed for restroom, parking lot and pathway improvements. Project will be undertaken as funding becomes available.



UNFUNDED PROJECTS | PARKS AND TRAILS

NEW - 322 - Central Park Master Plan - Aquatic Center, Community Recreation Center and Gymnasium					
FY 2020/21	FY 2021/22	FY 2022/23	FY 2023/24	FY 2024/25	Five-Year CIP Total
-	-	-	-	\$90,000,000	\$90,000,000

In 2018, the City's Facility Condition Assessment Report (Kitchell), identified the Central Park International Swim Center (ISC) as critical and beyond its useful life, needing replacement. In 2019 the Department concluded the Central Park Master Plan. If funded, this project would provide a new aquatics center, reconfigured parking, site lighting, etc. adjacent to the Community Recreation Center to address traffic, parking, noise and issues with the current Swim Center. In addition, the Community Recreation Center will be refurbished and an indoor gymnasium will be added to the site.

Five-Year CIP Total Unfunded Need:	\$104,471,082
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Sewer Utility

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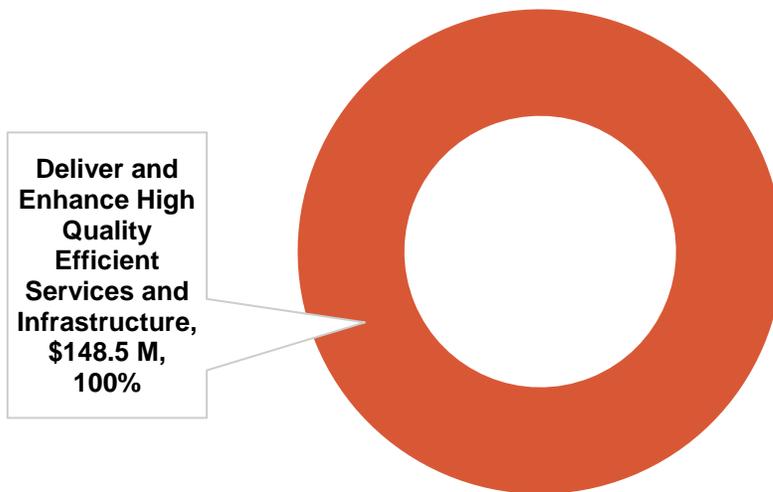
INTRODUCTION

The capital projects within the Sewer Utility category all aim to maintain the sanitary sewer lift/pump stations and assist with maintaining storm water pump stations to ensure reliable service. These projects provide for the maintenance of a sanitary sewage collection system which collects and conveys wastewater to the jointly owned San José-Santa Clara Regional Wastewater Facility. Additionally, this program funds property line clean-outs and the implementation of the Sanitary Sewer Management Plan.

Sewer Utility Program Infrastructure	
Sanitary Sewer Lift/Pump Stations	8
Miles of Sanitary Sewer System	288
Service Connections (approximate)	26,000
Discharge into Collection System	13-14 million gallons

Funding Our Top Priorities

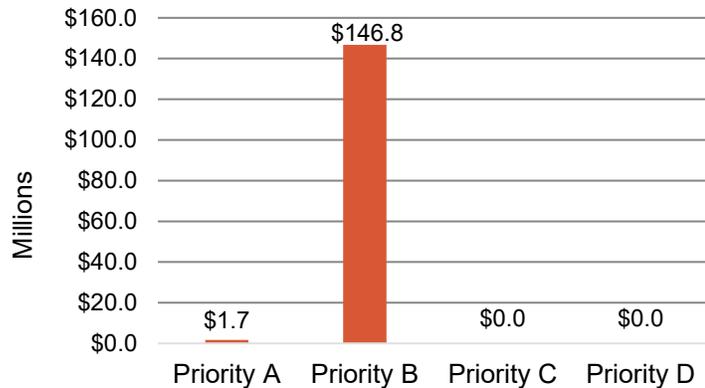
The projects included in the proposed CIP were determined in accordance with both the City Council’s strategic pillars and the budget priorities. Below illustrates the funding amount per Strategic Pillar within the Sewer Utility program. As a joint owner of the Regional Wastewater Facility, the City of Santa Clara coordinates with the City of San José to determine the funding required for this project. Additionally, program priorities are guided by the Sanitary Sewer Master Plan, which is updated every few years. This plan identifies any deficiencies in the sewer infrastructure that need to be addressed to ensure reliability. The following chart depicts the total funding in the Sewer Utility program by strategic pillar.



The proposed five-year CIP Sewer Utility budget totals \$148.5 million. The CIP projects were prioritized by the following criteria:



Priority	Description
A	critical need mandated, emergency, or under construction
B	maintenance system reliability and/or ongoing operations
C	future system needs or future regulatory requirements
D	not critical, but achieve efficiencies and/or sustainability



Project Highlights

Major projects in the Sewer Utility program are detailed below.

San José-Santa Clara Regional Wastewater Facility

This project funds the City of Santa Clara’s share of the 30-year CIP at the San José-Santa Clara Regional Wastewater Facility (RWF). The City co-owns the RWF jointly with the City of San José. Santa Clara has input on the CIP expenditures and budget through staff level collaboration with San José and Council involvement with the Treatment Plant Advisory Committee (TPAC). City of Santa Clara staff operate and maintain the wastewater collection system in collaboration with San José staff.



Sanitary Sewer Capacity Improvements

This project funds the design and construction of sanitary sewer infrastructure based on capacity deficiencies that were identified in the 2016 Sanitary Sewer Master Plan Update. This project is intended to provide sanitary sewer system conveyance capacity and reliable infrastructure to meet current capacity needs and any future increased capacity needs caused by development, the deterioration of existing infrastructure, or any other factors. The need for capacity upgrades continues to be analyzed and will continue to be identified based on changing field conditions, updated hydraulic modeling, or additional study.



Sanitary Sewer Condition Assessment



This project funds repairs to the sanitary sewer system that were identified by cleaning and CCTV inspections of the sewer collection system funded by the Sanitary Sewer System Condition Assessment project also in this program. The assessment of the system is on a four-year cycle and deficiencies will be rated based on accepted industry ratings systems, namely the National Association of Sewer Service Companies (NASSCO) system. The most serious deficiencies will be prioritized each year.

Major Accomplishments

- Certification of update to Sewer System Management Plan (SSMP).
- Ongoing assessment of sewer infrastructure by CCTV. Approximately 310,000 linear feet completed in FY 2018/19.
- Completed emergency sewer repair and replacement of major infrastructure on Lafayette Street.
- Department of Public Works completed repair and replacement of approximately 3.7 million linear feet of sanitary sewer main.
- Active participation in Treatment Plant Advisory Committee (TPAC) including review, coordination, and oversight of capital projects at co-owned RWF.

Financing Sources

Customer Service Charges

The Sewer Utility program is funding through transfers from the Sewer Utility Fund. This utility generates revenue primarily through customer service charges from both residences and businesses.

Debt Issuance

The San José-Santa Clara Regional Wastewater Facility project has significant costs. In accordance with costs estimates provided to the City by the City of San José, the Water and Sewer Utilities Department anticipates a \$50.0 million debt issuance prior to the close of FY 2019/20 to help fund this project.

Operating Budget Impacts

There are no additional operating budget impacts associated with the funded projects.

Unfunded Needs

Through this five-year CIP, all capital needs in the Sewer Utility program are fully funded.



FUNDED | PROJECTS BUDGETED COST SUMMARY

Sewer Utility Project	2019/20	2020/21	2021/22	2022/23	2023/24	2024/25	Five-Year CIP Total
1907 - Development Extensions	\$220,445	-	-	-	-	-	-
1908 - S.J.-S.C. Regional Wastewater Facility	\$50,017,057	\$31,759,400	\$25,374,842	\$31,599,037	\$5,900,965	\$15,751,414	\$110,385,658
1909 - Sanitary Sewer Capacity Improvements	\$19,082,282	\$3,000,000	\$4,110,000	\$3,000,000	\$3,000,000	\$3,000,000	\$16,110,000
1911 - Sanitary Sewer System Condition Assessment	\$2,793,613	\$3,500,000	\$1,500,000	\$1,500,000	\$500,000	-	\$7,000,000
1912 - Sanitary Sewer System Improvements	\$7,511,732	\$2,615,000	\$2,718,000	\$2,830,000	\$2,501,700	\$2,601,600	\$13,266,300
1913 - Sanitary Sewer Pump Station Improvements	\$60,000	-	-	-	-	-	-
1916 - Walsh Avenue @ San Tomas Aquino Creek Sanitary Sewer Siphon Relocation	\$750,000	-	-	-	-	-	-
1919 - Sanitary Sewer Hydraulic Modeling As Needed Support	\$76,361	\$495,752	\$300,000	\$70,752	\$70,752	\$300,000	\$1,237,256
NEW - 191 - Sanitary Sewer Master Plan Update	-	-	-	\$500,000	-	-	\$500,000
Total Sewer Utility Projects	\$80,511,490	\$41,370,152	\$34,002,842	\$39,499,789	\$11,973,417	\$21,653,014	\$148,499,214



FUNDED | S.J.-S.C. REGIONAL WASTEWATER FACILITY

Project Number:	1908	Theme / Category:	Sewer Utility
Year Initiated:	2008	Project Manager:	Shilpa Mehta
Planned Completion Year:	FY 2043	Department:	Water and Sewer Utility Dept
Project Type:	Distinct	Fund:	594 - Sewer Utility
Strategic Pillar	 02 - Deliver and Enhance High Quality Efficient Services and Infrastructure		
Location	Los Esteros Road, San Jose		



Project Description

The Regional Wastewater Facility (RWF) is jointly owned by the City of San José and the City of Santa Clara (City). This project funds the City of Santa Clara’s portion of the RWF infrastructure rebuild. This project is based on the 2013 Plant Master Plan that had an anticipated 30-year rebuilding period. The next five years of this CIP, approximately \$100 million is scheduled. With guidance from City Council and the Treatment Plant Advisory Committee, City staff works closely with City of San José staff on the RWF capital expenditures. The City is expecting to issue debt proceeds (particularly a line-of-credit in the amount of \$50.0 million, with an initial drawdown amount of \$20.0 million in the first year) in FY 2019/20 to provide funding for a portion of the planned expenditures of this CIP project.

Funding Sources	All Prior Actuals	2019/20	2020/21	2021/22	2022/23	2023/24	2024/25	Total
Total Sources	\$102,272,967	\$50,017,057	\$31,759,400	\$25,374,842	\$31,599,037	\$5,900,965	\$15,751,414	\$262,675,682

Expenditures	All Prior Actuals	2019/20	2020/21	2021/22	2022/23	2023/24	2024/25	Total
Construction	\$102,272,967	\$50,017,057	\$31,759,400	\$25,374,842	\$31,599,037	\$5,900,965	\$15,751,414	\$262,675,682
Total Expenditures	\$102,272,967	\$50,017,057	\$31,759,400	\$25,374,842	\$31,599,037	\$5,900,965	\$15,751,414	\$262,675,682

Operating Impacts	-	-	-	-	-	-	-	-
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FUNDED | SANITARY SEWER CAPACITY IMPROVEMENTS

Project Number:	1909	Theme / Category:	Sewer Utility
Year Initiated:	2008	Project Manager:	Evelyn Liang
Planned Completion Year:	Ongoing	Department:	Public Works
Project Type:	Ongoing	Fund:	594 - Sewer Utility
Strategic Pillar	 02 - Deliver and Enhance High Quality Efficient Services and Infrastructure		
Location	Citywide		



Project Description This project funds the study, design, and construction of sanitary sewer infrastructure improvements based on capacity deficiencies identified in the 2016 Sanitary Sewer Master Plan Update (Master Plan) and other capacity deficiencies discovered outside of the Master Plan. It is intended to provide sanitary sewer system conveyance capacity and reliable infrastructure to meet increased capacity needs caused by development and expansion of properties. This project also funds additional studies of the current and potential conveyance capacity issues in the sanitary sewer system. The upcoming capacity improvement items scheduled in FY 2020/21 and FY 2021/22 are to address the deficiency due to differing pipe size in field along Calabazas Creek sewer line, deficiency due to high inflow and infiltration in the Chromite, Machado and Cabrillo tributary areas.

Funding Sources	All Prior Actuals	2019/20	2020/21	2021/22	2022/23	2023/24	2024/25	Total
Total Sources	\$9,193,421	\$19,082,282	\$3,000,000	\$4,110,000	\$3,000,000	\$3,000,000	\$3,000,000	\$44,385,703

Expenditures	All Prior Actuals	2019/20	2020/21	2021/22	2022/23	2023/24	2024/25	Total
Construction	\$5,816,125	\$13,381,576	\$1,915,000	\$2,570,000	\$1,760,000	\$1,900,000	\$1,900,000	\$29,242,701
Contingencies/Misc	\$69,122	\$3,197,543	\$485,000	\$713,000	\$613,000	\$480,000	\$480,000	\$6,037,665
Engineering	\$2,170,629	\$1,284,025	\$310,000	\$435,000	\$335,000	\$320,000	\$320,000	\$5,174,654
Engineering Mgt Svcs	-	\$71,393	\$71,393	\$71,393	\$71,393	-	-	\$285,572
S & W - O.T. Vacation Relief	\$719	-	-	-	-	-	-	\$719
Salary And Wages - As Needed	\$9,054	-	-	-	-	-	-	\$9,054
Salary And Wages - Regular	\$480,428	\$1,147,745	\$218,607	\$320,607	\$220,607	\$300,000	\$300,000	\$2,987,994
Serv From Othr Funds- Alloc Ben	\$196,514	-	-	-	-	-	-	\$196,514
Srvc From Other Funds- Alloc OH	\$450,830	-	-	-	-	-	-	\$450,830
Total Expenditures	\$9,193,421	\$19,082,282	\$3,000,000	\$4,110,000	\$3,000,000	\$3,000,000	\$3,000,000	\$44,385,703

Operating Impacts	-	-	-	-	-	-	-	-
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FUNDED | SANITARY SEWER SYSTEM CONDITION ASSESSMENT

Project Number:	1911	Theme / Category:	Sewer Utility
Year Initiated:	2009	Project Manager:	Susan Pan
Planned Completion Year:	Ongoing	Department:	Water and Sewer Utility Dept
Project Type:	Ongoing	Fund:	594 - Sewer Utility
Strategic Pillar	 02 - Deliver and Enhance High Quality Efficient Services and Infrastructure		
Location	Citywide		



Project Description This project funds the condition assessment of the sanitary sewer system. The assessment is conducted through a systematic inspection and involves the cataloging of defects and the prioritization of repairs and replacements. Staff expects to conduct assessments every five years. Additionally, staff will also be conducting an assessment of the sanitary sewer force main in FY2020/21. In August 2019, City Council awarded a \$5.0 million contract through June 30, 2024 for sewer cleaning and assessment.

Funding Sources	All Prior Actuals	2019/20	2020/21	2021/22	2022/23	2023/24	2024/25	Total
Total Sources	\$3,581,387	\$2,793,613	\$3,500,000	\$1,500,000	\$1,500,000	\$500,000	-	\$13,375,000

Expenditures	All Prior Actuals	2019/20	2020/21	2021/22	2022/23	2023/24	2024/25	Total
Construction	\$597,928	\$733,870	\$3,150,000	\$1,350,000	\$1,350,000	\$450,000	-	\$7,631,798
Contingencies/Misc	\$1,949	\$133,000	\$350,000	\$150,000	\$150,000	\$50,000	-	\$834,949
Engineering	\$2,633,011	\$1,378,309	-	-	-	-	-	\$4,011,320
Equipment	\$5,018	\$7,000	-	-	-	-	-	\$12,018
S & W - O.T. Vacation Relief	\$2,745	-	-	-	-	-	-	\$2,745
Salary And Wages - As Needed	\$108	-	-	-	-	-	-	\$108
Salary And Wages - Regular	\$175,917	\$541,434	-	-	-	-	-	\$717,351
Serv From Othr Funds- Alloc Ben	\$15,314	-	-	-	-	-	-	\$15,314
Srvc From Other Funds- Alloc OH	\$119,680	-	-	-	-	-	-	\$119,680
Srvc From Othr Funds- Alloc Ben	\$29,717	-	-	-	-	-	-	\$29,717
Total Expenditures	\$3,581,387	\$2,793,613	\$3,500,000	\$1,500,000	\$1,500,000	\$500,000	-	\$13,375,000

Operating Impacts	-	-	-	-	-	-	-	-
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FUNDED | SANITARY SEWER SYSTEM IMPROVEMENTS

Project Number:	1912	Theme / Category:	Sewer Utility
Year Initiated:	2012	Project Manager:	Shilpa Mehta
Planned Completion Year:	Ongoing	Department:	Water and Sewer Utility Dept
Project Type:	Ongoing	Fund:	594 - Sewer Utility
Strategic Pillar	 02 - Deliver and Enhance High Quality Efficient Services and Infrastructure		
Location	Citywide		



Project Description This project funds the necessary repairs and replacements to the sanitary sewer system as identified by inspections resulting from the Sanitary Sewer System Condition Assessment project. There are design and construction components to this effort as all new infrastructure must go through an engineering design process before construction can begin. The scope of this project covers both of those phases of improvements to the sanitary sewer system. Improvement projects that are scheduled for the next fiscal years include locations at Walsh Avenue, Kiely Boulevard, and Coleman Avenue.

Funding Sources	All Prior Actuals	2019/20	2020/21	2021/22	2022/23	2023/24	2024/25	Total
Total Sources	\$4,683,531	\$7,511,732	\$2,615,000	\$2,718,000	\$2,830,000	\$2,501,700	\$2,601,600	\$25,461,563

Expenditures	All Prior Actuals	2019/20	2020/21	2021/22	2022/23	2023/24	2024/25	Total
Construction	\$3,408,836	\$3,461,478	\$1,830,500	\$1,902,600	\$1,981,000	\$2,060,200	\$2,142,600	\$16,787,214
Contingencies/Misc	(\$104,368)	\$660,456	\$261,500	\$271,800	\$283,000	-	-	\$1,372,388
Engineering	\$542,389	\$2,866,425	\$392,250	\$407,700	\$424,500	\$441,500	\$459,000	\$5,533,764
Engineering Mgt Svcs	-	\$85,671	\$85,671	\$85,671	\$85,671	-	-	\$342,684
Equipment	\$65,920	-	-	-	-	-	-	\$65,920
Meal Allowance	\$705	-	-	-	-	-	-	\$705
S & W - O.T. Vacation Relief	\$26,625	-	-	-	-	-	-	\$26,625
Salary And Wages - As Needed	\$1,079	-	-	-	-	-	-	\$1,079
Salary And Wages - Regular	\$320,731	\$437,702	\$45,079	\$50,229	\$55,829	-	-	\$909,570
Srvc From Other Funds- Alloc OH	\$298,370	-	-	-	-	-	-	\$298,370
Srvc From Othr Funds- Alloc Ben	\$123,244	-	-	-	-	-	-	\$123,244
Total Expenditures	\$4,683,531	\$7,511,732	\$2,615,000	\$2,718,000	\$2,830,000	\$2,501,700	\$2,601,600	\$25,461,563

Operating Impacts	-	-	-	-	-	-	-	-
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FUNDED | SANITARY SEWER HYDRAULIC MODELING AS NEEDED SUPPORT

Project Number:	1919	Theme / Category:	Sewer Utility
Year Initiated:	2019	Project Manager:	Evelyn Liang
Planned Completion Year:	Ongoing	Department:	Public Works
Project Type:	Ongoing	Fund:	594 - Sewer Utility
Strategic Pillar	 02 - Deliver and Enhance High Quality Efficient Services and Infrastructure		
Location	Citywide		



Project Description This project provides funding for sanitary sewer hydraulic modeling consultant support. These services include, but are not limited to, analyzing capacity impacts of development projects on the sanitary sewer system, providing ongoing model general maintenance, updating the model per completed sanitary sewer system improvements, and providing hydraulic modeling services related to sanitary sewer system capacity planning. With increased developments that have expanded the land use beyond the City's General Plan, projects require additional review of the capacity of the sanitary sewer system. The sanitary sewer system hydraulic model needs to be maintained and reflect the latest land use information to be a reliable planning tool for future sewer system infrastructure. In FY 2020/21, updates to the Sanitary Sewer Master Plan will be done to reflect current field conditions. Additionally, the City will collect information to develop an extreme dry weather event scenario to identify potential impacts to the sanitary sewer system and develop a strategy should this type of event arise.

Funding Sources	All Prior Actuals	2019/20	2020/21	2021/22	2022/23	2023/24	2024/25	Total
Total Sources	\$63,029	\$76,361	\$495,752	\$300,000	\$70,752	\$70,752	\$300,000	\$1,376,646

Expenditures	All Prior Actuals	2019/20	2020/21	2021/22	2022/23	2023/24	2024/25	Total
Engineering	\$63,029	\$76,361	\$495,752	\$300,000	\$70,752	\$70,752	\$300,000	\$1,376,646
Total Expenditures	\$63,029	\$76,361	\$495,752	\$300,000	\$70,752	\$70,752	\$300,000	\$1,376,646

Operating Impacts	-	-	-	-	-	-	-	-
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FUNDED | SANITARY SEWER MASTER PLAN UPDATE

Project Number:	NEW - 191	Theme / Category:	Sewer Utility
Year Initiated:	2022	Project Manager:	Evelyn Liang
Planned Completion Year:	FY 2023	Department:	Public Works
Project Type:	Distinct	Fund:	594 - Sewer Utility
Strategic Pillar	 02 - Deliver and Enhance High Quality Efficient Services and Infrastructure		
Location	Citywide		



Project Description The last Sanitary Sewer Master Plan (Plan) Update started in 2014 and was completed in 2016. The Sanitary Sewer Master Plan is recommended to be updated every seven years. There are several recommended capacity improvement projects as part of the current Plan anticipated to be completed by 2022. A reassessment of the City's future sewer demands is recommended to plan for a 2035 build-out. The reassessment of the Sanitary Sewer Master Plan includes flow monitoring, water usage data analysis, model calibration, design criteria evaluation, capacity and demand analysis. Following the reassessment, capacity improvement projects, including conceptual design and cost estimates, will be recommended to address deficiencies.

Funding Sources	All Prior Actuals	2019/20	2020/21	2021/22	2022/23	2023/24	2024/25	Total
Total Sources	-	-	-	-	\$500,000	-	-	\$500,000

Expenditures	All Prior Actuals	2019/20	2020/21	2021/22	2022/23	2023/24	2024/25	Total
Engineering	-	-	-	-	\$500,000	-	-	\$500,000
Total Expenditures	-	-	-	-	\$500,000	-	-	\$500,000

Operating Impacts	-	-	-	-	-	-	-	-
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Solid Waste

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INTRODUCTION

The capital project within the Solid Waste theme provides for monitoring, data collection, reporting, repairs and maintenance at the City’s closed landfill. These efforts are performed as required by the Regional Water Quality Control Board, Bay Area Air Quality Management District, CalRecycle, and Santa Clara County Department of Environmental Health.

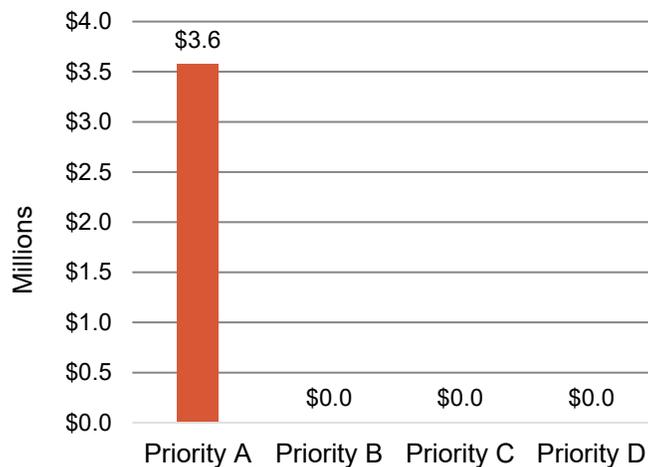
Funding Our Top Priorities

The project included in the proposed CIP was determined in accordance with both the City Council’s strategic pillars and the budget priorities. The total funding for the lone project in the Solid Waste program falls under the strategic pillar “Deliver and Enhance High Quality Efficient Services and Infrastructure” and shown in the chart below.



The proposed five-year CIP Solid Waste program budget totals \$3.6 million. The CIP project was prioritized by the following criteria:

Priority	Description
A	critical need mandated, emergency, or under construction
B	maintenance system reliability and/or ongoing operations
C	future system needs or future regulatory requirements
D	not critical, but achieve efficiencies and/or sustainability





Project Highlights

Major project in the Solid Waste program is detailed below.

Sanitary Landfill Development – Post Closure

Regular monitoring, data collection, reporting, maintenance and repairs are required to keep the closed landfill in compliance with regulations. A landfill gas collection system directs methane gas to the Ameresco power plant that converts the gas into electricity and the City maintains a flare to serve as a back-up control device. The City is required to provide financial assurance to CalRecycle on an annual basis for post closure maintenance expenses and non-water corrective action for initiating and completing corrective action for all known or foreseeable releases from the landfill to waterways.

Major Accomplishments

- All mandated reports were submitted to regulatory agencies on time.
- Access road along Parcel 1 was repaired.

Financing Sources

Customer Service Charges (Garbage Services)

Customer service charges for garbage services are transferred from the Solid Waste Operating Fund to the Solid Waste Capital Fund to cover the funding necessary for the project.

Operating Budget Impacts

There are no operating budget impacts associated with this project.

Unfunded Needs

There are no unfunded needs for the Solid Waste CIP.



FUNDED | PROJECTS BUDGETED COST SUMMARY

Solid Waste Project	2019/20	2020/21	2021/22	2022/23	2023/24	2024/25	Five-Year CIP Total
6109 - Sanitary Landfill Development - Post Closure	\$502,847	\$882,000	\$623,700	\$654,885	\$687,629	\$722,011	\$3,570,225
Total Solid Waste Project	\$502,847	\$882,000	\$623,700	\$654,885	\$687,629	\$722,011	\$3,570,225



FUNDED | SANITARY LANDFILL DEVELOPMENT - POST CLOSURE

Project Number:	6109	Theme / Category:	Solid Waste
Year Initiated:	2014	Project Manager:	Dave Staub
Planned Completion Year:	Ongoing	Department:	Public Works
Project Type:	Ongoing	Fund:	596 - Solid Waste
Strategic Pillar	 02 - Deliver and Enhance High Quality Efficient Services and Infrastructure		
Location	5401/5500 Lafayette Street, Santa Clara CA		



Project Description

This project provides for monthly monitoring, data collection, reporting, and landfill gas flare source testing as required by the Regional Water Quality Control Board, Bay Area Air Quality Management District, CalRecycle, and Santa Clara County Department of Environmental Health. The project also funds the construction of monitoring wells, well abandonments, roadway repairs, fence repairs, landscaping, erosion and settlement repairs, and prevention as required. There is ongoing maintenance and repair of the landfill gas collection system. The State requires a City owned landfill to provide financial assurances on an annual basis for post closure maintenance expenses and non-water corrective action for initiating and completing corrective action for all known or reasonably foreseeable releases from the solid waste landfill to water in accordance with the program required by the State Water Resources Control Board (SWRCB) pursuant to §20380(b). In this project, \$882,000 is budgeted for the post-closure maintenance expenses in FY 2020/21, while an additional \$748,000 is reserved for landfill corrective action costs in FY 2020/21 as reflected in the Solid Waste Capital Fund source and use statement. The combined allocations total \$1,630,000 for post closure landfill maintenance and corrective actions.

Funding Sources	All Prior Actuals	2019/20	2020/21	2021/22	2022/23	2023/24	2024/25	Total
Total Sources	\$8,002,026	\$502,847	\$895,459	\$637,401	\$668,833	\$701,828	\$736,465	\$12,144,859

Expenditures	All Prior Actuals	2019/20	2020/21	2021/22	2022/23	2023/24	2024/25	Total
Construction	\$7,630,873	\$481,539	\$882,000	\$623,700	\$654,885	\$687,629	\$722,011	\$11,682,637
Contingencies/Misc	\$670	\$21,308	-	-	-	-	-	\$21,978
Engineering	\$349,874	-	-	-	-	-	-	\$349,874
Intra Trs Out - Miscellaneous	-	-	\$13,459	\$13,701	\$13,948	\$14,199	\$14,454	\$69,761
Salary And Wages - As Needed	\$20,609	-	-	-	-	-	-	\$20,609
Total Expenditures	\$8,002,026	\$502,847	\$895,459	\$637,401	\$668,833	\$701,828	\$736,465	\$12,144,859

Operating Impacts	-	-	-	-	-	-	-	-
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Storm Drain

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INTRODUCTION

Capital projects within the Storm Drain theme maintain and improve the storm drain infrastructure, which includes, but is not limited to, pipe conveyance system, pump and lift stations, manholes, catch basins, outfalls, retention basins, trash capture devices, and drainage swales. The table below identifies a list of storm drain infrastructure. In addition to infrastructure projects, the CIP includes work related to compliance with the Municipal Regional Stormwater National Pollutant Discharge Elimination System Permit and management of stormwater runoff and collection.

Storm Drain Infrastructure	
Pipe Length (miles)	195
Pump/Lift Stations	22
Nodes (manholes, catch basins, and outfalls)	8,430
Retention Basins	2
Trash Capture Devices	618

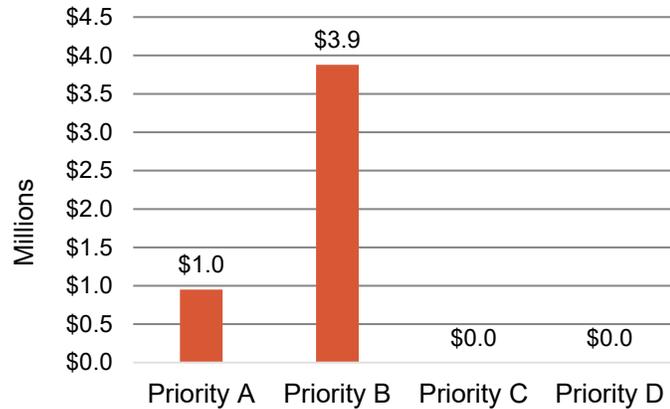
Funding Our Top Priorities

The projects included in the proposed CIP were determined in accordance with both the City Council’s strategic pillars and the budget priorities. Below illustrates the funding amount per Strategic Pillar within the Storm Drain program. The following chart depicts the total funding in the Storm Drain program by strategic pillar.



Based on funding availability, the proposed five-year CIP Storm Drain budget totals \$4.9 million. The CIP projects were prioritized by the following criteria:

Priority	Description
A	critical need mandated, emergency, or under construction
B	maintenance system reliability and/or ongoing operations
C	future system needs or future regulatory requirements
D	not critical, but achieve efficiencies and/or sustainability



Project Highlights

Major projects in the Storm Drain program are detailed below.

Laurelwood Pump Station Rehabilitation

The Laurelwood Storm Drain Pump Station was built in 1986 and collects drainage from approximately 308 acres. No major improvements have been made to the station since it was constructed. Based on recommendations from the station condition assessment report, the project includes a major rehabilitation of the pump station's mechanical, electrical, plumbing, and structural system and structures, including pumps, motors, electric motor control center, generator, building walls and roof, wet well, trash rack, electric wiring and transformer.

Westside Retention Basin Pump Replacement Project

The existing pumps at the station are at their end of life and need to be replaced. The scope of work consists of replacing deteriorated pumps, motors, associated piping, and wiring. Completion of the project is critical to provide ongoing and reliable stormwater conveyance and management for the Westside Retention Basin that has the largest drainage area of 854 acres.



Storm Drain Slide Gate Rehabilitation

The City currently has 22 storm drain pump and lift stations. The pump and lift stations are maintained regularly to clear debris, trash, and other solids that could damage the stations and prevent them from operating at maximum capacity. Routine maintenance is made difficult when continuous water flows into the stations and maintenance staff does not have the ability to stop incoming flow. To increase efficiency and reduce the level of



maintenance effort, existing slide gates used to control storm water coming into the stations need to be replaced or additional slide gates need to be installed to help manage flows.

Lafayette Street Underpass at Subway Pump Station

There is constant ponding of groundwater on Lafayette Street at the underpass located next to the Lafayette Street Subway Pump Station. The scope of work for the first phase of this project consists of evaluation of the existing site condition, which includes geotechnical boring and testing, structural analysis, and preparing schematic design plans and report.



Major Accomplishments

- Completed the Westside Retention Basin Desilting Project.
- Installed numerous trash capture devices to reduce trash loads entering waterways to comply with requirements of the Municipal Regional Stormwater National Pollutant Discharge Elimination Permit.
- The Westside Retention Basin Pump Replacement Project is near construction completion.
- Started design effort for the Laurelwood Pump Station Rehabilitation Project.

Financing Sources

The Storm Drain Capital Improvement Program is mostly funded by the General Fund since there is no dedicated funding source. All other financing sources provide minimal funds for the program.

Capital Projects Reserve

The Capital Projects Reserve is funded entirely by General Fund operating savings and/or surpluses in prior years. These funding is the result of one or a combination of the following: unanticipated revenue from general government revenues, such as sales tax or interest earnings on General Fund balances; unspent appropriations (when available) from annually budgeted programs; and project cost savings on projects funded with monies from the Capital Projects Reserve.

Storm Drainage Outlet Charge

The developer shall pay the City a storm drainage outlet charge. The revenue from the drainage fee shall be used for the purpose of defraying the estimated costs of constructing planned drainage facilities for removal of surface and storm waters from the local drainage areas.

Operating Budget Impacts

There are additional operating budget impacts related to the Urban Runoff Pollution Prevention project for the maintenance costs resulting from the installation of the new full trash capture devices.

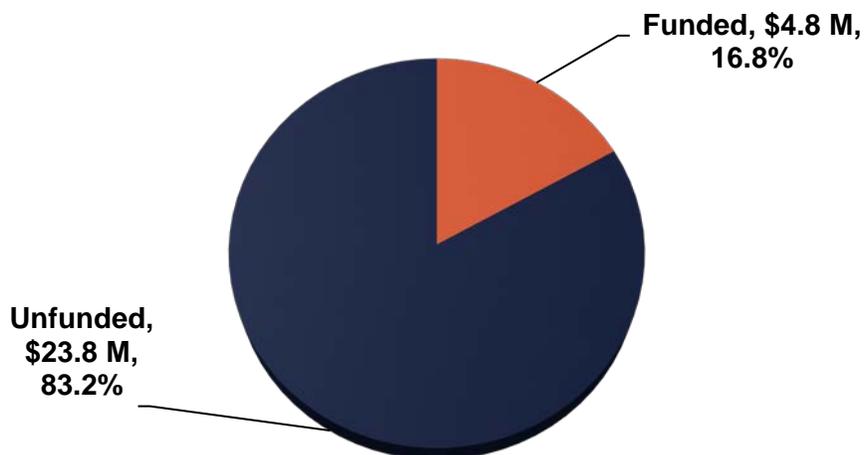


Unfunded Needs

The needs for the Storm Drain Program are divided into two main components: 1) the Storm Drain Master Plan, which primarily focused on pipe deficiencies; and 2) the Storm Drain Pump Station Evaluation, which identified pump station improvements. The Storm Drain Master Plan identified a need for \$290 million (2018 dollars) in projects including almost \$60 million in high priority projects, and the Storm Drain Pump Station Evaluation identified \$3 million (2018 dollars) annually for pump station repair, maintenance and upgrades.

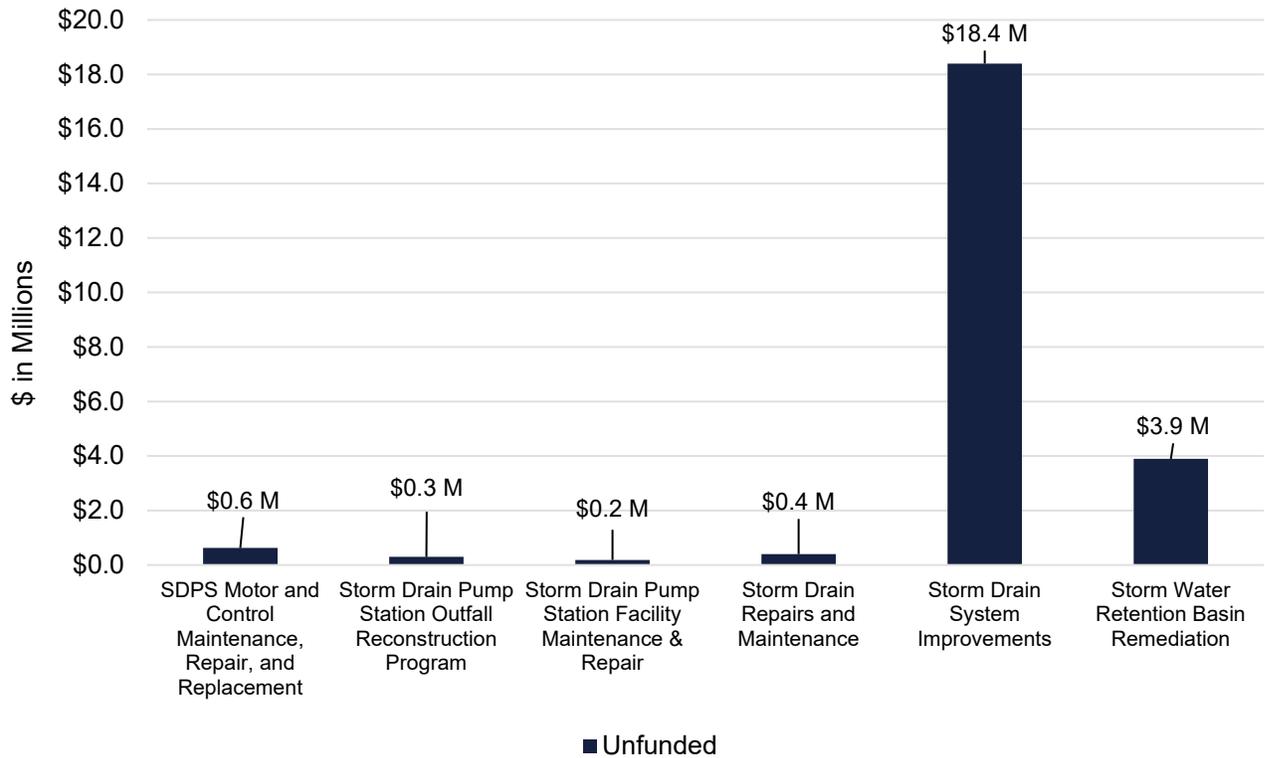
Some of the highest priority projects for the Storm Drain Program total \$28.6 million over the next 5 years. Of this amount, \$4.8 million is funded, leaving \$23.8 million of unfunded highest priority needs. Funded projects include storm drain motor and control repairs, the Laurelwood Pump Station Rehabilitation Project, trash loading management, pump replacement at the Westside Retention Basin Pump Station, ponding of water at the Lafayette Street Underpass, and replacement of storm drain slide gates. Unfunded projects are capacity improvement projects identified in the Storm Drain Master Plan, Pump Station Outfall Reconstruction Program, Eastside Retention Basin Desilting Project, and future repairs for storm drain pump stations.

Storm Drain Total Funding Needs = \$28.6 M





The table below highlights the highest priority projects currently on the unfunded list in the Storm Drain category. For more project information, please see the Unfunded Projects – Storm Drain list at the end of this section.





FUNDED | PROJECTS BUDGETED COST SUMMARY

Storm Drain Project	2019/20	2020/21	2021/22	2022/23	2023/24	2024/25	Five-Year CIP Total
1811 - Storm Drain Pump Station Facility Maintenance & Repair	\$201,887	-	-	-	-	-	-
1831 - Storm Drain Repairs and Maintenance	\$27,723	\$100,000	-	-	-	-	\$100,000
1834 - Storm Drain System Improvements	\$33,268	-	-	-	-	-	-
1835 - Storm Drain Pump Station Outfall Reconstruction Program	\$493,143	-	-	-	-	-	-
1838 - SDPS Motor and Control Maintenance, Repair, and Replacement	\$697,986	-	\$189,000	-	-	-	\$189,000
1839 - Urban Runoff Pollution Prevention Program	\$61,302	\$300,000	\$150,000	-	\$300,000	-	\$750,000
1840 - Kiely Blvd.-Saratoga Creek Storm Drain Outfall Relocation	\$125,500	-	-	-	-	-	-
1841 - Laurelwood Pump Station Rehabilitation	\$4,018,755	\$3,200,000	-	-	-	-	\$3,200,000
1842 - Westside Retention Basin Pump Replacement Project	\$1,049,121	-	-	-	-	-	-
NEW - 181 - Lafayette St. Underpass at Subway Pump Station	-	\$200,000	-	-	-	-	\$200,000
NEW - 182 - Storm Drain Slide Gate Rehabilitation	-	\$390,000	-	-	-	-	\$390,000
Total Storm Drain Projects	\$6,708,685	\$4,190,000	\$339,000	-	\$300,000	-	\$4,829,000



FUNDED | STORM DRAIN REPAIRS AND MAINTENANCE

Project Number:	1831	Theme / Category:	Storm Drain
Year Initiated:	2007	Project Manager:	Huy Nguyen
Planned Completion Year:	On-going	Department:	Public Works
Project Type:	Ongoing	Fund:	535 - Storm Drain
Strategic Pillar	 02 - Deliver and Enhance High Quality Efficient Services and Infrastructure		
Location	Citywide		



Project Description The project consists of repairs and maintenance to address unforeseen issues that arise related to the existing storm drain system. Repairs and maintenance may include replacement and/or repair of pipelines, catch basins, manholes, outlets, junction structures, trash devices, and other storm drain related structures. This project also funds the Storm Drain Fee and Rate Study that evaluates existing storm drain funding and recommends additional storm drain funding mechanisms.

Funding Sources	All Prior Actuals	2019/20	2020/21	2021/22	2022/23	2023/24	2024/25	Total
Total Sources	\$662,234	\$27,723	\$100,000	-	-	-	-	\$789,957

Expenditures	All Prior Actuals	2019/20	2020/21	2021/22	2022/23	2023/24	2024/25	Total
Construction	\$576,882	\$27,723	\$100,000	-	-	-	-	\$704,605
Contingencies/Misc	\$6,936	-	-	-	-	-	-	\$6,936
Engineering	\$63,631	-	-	-	-	-	-	\$63,631
Salary And Wages - Regular	\$12,538	-	-	-	-	-	-	\$12,538
Srvc From Other Funds- Alloc OH	\$1,657	-	-	-	-	-	-	\$1,657
Srvc From Othr Funds- Alloc Ben	\$590	-	-	-	-	-	-	\$590
Trs Out - Miscellaneous	-	-	-	-	-	-	-	-
Total Expenditures	\$662,234	\$27,723	\$100,000	-	-	-	-	\$789,957

Operating Impacts	-	-	-	-	-	-	-	-
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FUNDED | SDPS MOTOR AND CONTROL MAINTENANCE, REPAIR, AND REPLACEMENT

Project Number:	1838	Theme / Category:	Storm Drain
Year Initiated:	2011	Project Manager:	Chris Fazzi
Planned Completion Year:	Ongoing	Department:	Public Works
Project Type:	Ongoing	Fund:	535 - Storm Drain
Strategic Pillar	 02 - Deliver and Enhance High Quality Efficient Services and Infrastructure		
Location	Various locations throughout the City		



Project Description The City has 22 Storm Drain Pump Stations (SDPS). Each station is equipped with multiple pumps, motors and varying control devices including a motor control center (MCC), programmable logic control (PLC), human mechanical interface (HMI) and various other devices. This project will fund the maintenance, replacement and repair of pumps, motors, controls, telemetry, valves, instrumentation and related equipment. Maintenance, replacement, and repairs to pumps and motor controls are necessary to keep storm pump stations operational to minimize the chance of flooding during large storm events.

Funding Sources	All Prior Actuals	2019/20	2020/21	2021/22	2022/23	2023/24	2024/25	Total
Total Sources	\$1,342,331	\$697,986	-	\$189,000	-	-	-	\$2,229,317

Expenditures	All Prior Actuals	2019/20	2020/21	2021/22	2022/23	2023/24	2024/25	Total
Construction	\$1,341,678	\$697,986	-	\$189,000	-	-	-	\$2,328,664
Salary And Wages - Regular	\$653	-	-	-	-	-	-	\$653
Total Expenditures	\$1,342,331	\$697,986	-	\$189,000	-	-	-	\$2,229,317

Operating Impacts	-	-	-	-	-	-	-	-
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FUNDED | URBAN RUNOFF POLLUTION PREVENTION PROGRAM

Project Number:	1839	Theme / Category:	Storm Drain
Year Initiated:	2011	Project Manager:	Dave Staub
Planned Completion Year:	Ongoing	Department:	Public Works
Project Type:	Ongoing	Fund:	535 - Storm Drain
Strategic Pillar	 07 - Promote Sustainability and Environmental Protection		
Location	Citywide		



Project Description This project funds the capital and one-time costs to comply with the Municipal Regional Stormwater National Pollutant Discharge Elimination Permit (MRP) issued by the Regional Water Quality Control Board. The scope of work includes the determination of which catch basin inlets to treat, and the purchase and installation of full trash capture devices necessary to comply with Provision C.10 trashload reduction requirements of the MRP that mandates Permittees to reduce trash loads entering into waterways by 100% by July 1, 2022. This project also funds efforts to comply with the Provision C.3 new and redevelopment requirements that include the implementation of the City's Green Stormwater Infrastructure (GSI) Plan.

Funding Sources	All Prior Actuals	2019/20	2020/21	2021/22	2022/23	2023/24	2024/25	Total
Total Sources	\$1,680,493	61,302	\$300,000	\$150,000	-	\$300,000	-	\$2,491,795

Expenditures	All Prior Actuals	2019/20	2020/21	2021/22	2022/23	2023/24	2024/25	Total
Construction	\$1,631,644	\$61,302	\$300,000	\$150,000	-	\$300,000	-	\$2,442,946
Engineering	\$8,506	-	-	-	-	-	-	\$8,506
S & W - O.T. Vacation Relief	\$92	-	-	-	-	-	-	\$92
Salary And Wages - Regular	\$40,251	-	-	-	-	-	-	\$40,251
Total Expenditures	\$1,680,493	\$61,302	\$300,000	\$150,000	-	\$300,000	-	\$2,491,795

Operating Impacts			\$92,877	\$94,735	\$96,630	\$98,563	\$100,534	\$483,339
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FUNDED | LAURELWOOD PUMP STATION REHABILITATION

Project Number:	1841	Theme / Category:	Storm Drain
Year Initiated:	2015	Project Manager:	Huy Nguyen
Planned Completion Year:	FY 2022/2023	Department:	Public Works
Project Type:	Distinct	Fund:	535 - Storm Drain
Strategic Pillar	 02 - Deliver and Enhance High Quality Efficient Services and Infrastructure		
Location	Corner of Laurelwood Road and Victor Street.		



Project Description The Laurelwood Storm Drain Pump Station was built in 1986 and collects drainage from approximately 308 acres. There have been no major improvements to the station since it was constructed. Based on recommendations from the station condition assessment report, the project includes a major rehabilitation of the pump station's mechanical, electrical, plumbing, and structural system, including pumps, motors, electric motor control center, generator, building walls and roof, wet well, trash rack, electric wiring and transformer.

Funding Sources	All Prior Actuals	2019/20	2020/21	2021/22	2022/23	2023/24	2024/25	Total
Total Sources	\$768,245	\$4,018,755	\$3,200,000	-	-	-	-	\$7,987,000

Expenditures	All Prior Actuals	2019/20	2020/21	2021/22	2022/23	2023/24	2024/25	Total
Construction	-	\$3,887,000	\$3,200,000	-	-	-	-	\$7,087,000
Engineering	\$768,245	\$131,755	-	-	-	-	-	\$900,000
Total Expenditures	\$768,245	\$4,018,755	\$3,200,000	-	-	-	-	\$7,987,000

Operating Impacts	-	-	-	-	-	-	-	-
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FUNDED | LAFAYETTE ST. UNDERPASS AT SUBWAY PUMP STATION

Project Number:	NEW - 181	Theme / Category:	Storm Drain
Year Initiated:	2019	Project Manager:	Huy Nguyen
Planned Completion Year:	FY 2021/22	Department:	Public Works
Project Type:	Distinct	Fund:	535 - Storm Drain
Strategic Pillar	 02 - Deliver and Enhance High Quality Efficient Services and Infrastructure		
Location	Lafayette St.		



Project Description This project was created to address constant ponding of groundwater on Lafayette Street at the underpass located next to the Lafayette Street Subway Pump Station. The issue may be attributed to the Water District's work to replenish the subsurface aquifer. The scope of work for the first phase of this project consists of evaluation of the existing site condition, which includes geotechnical boring and testing, structural analysis, and preparing schematic design plans and report. Based on the result of the evaluation, staff will request additional funding for the next phase of design and construction of the project.

Funding Sources	All Prior Actuals	2019/20	2020/21	2021/22	2022/23	2023/24	2024/25	Total
Total Sources	-	-	\$200,000	-	-	-	-	\$200,000

Expenditures	All Prior Actuals	2019/20	2020/21	2021/22	2022/23	2023/24	2024/25	Total
Engineering	-	-	\$200,000	-	-	-	-	\$200,000
Total Expenditures	-	-	\$200,000	-	-	-	-	\$200,000

Operating Impacts	-	-	-	-	-	-	-	-
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FUNDED | STORM DRAIN SLIDE GATE REHABILITATION

Project Number:	NEW - 182	Theme / Category:	Storm Drain
Year Initiated:	2019	Project Manager:	Huy Nguyen
Planned Completion Year:	FY 2022/23	Department:	Public Works
Project Type:	Distinct	Fund:	535 - Storm Drain
Strategic Pillar	 02 - Deliver and Enhance High Quality Efficient Services and Infrastructure		
Location	Various City locations		



Project Description The City currently has 22 storm drain pump and lift stations. The pump and lift stations are maintained regularly to clear debris, trash, and other solids that could damage the stations and prevent them from operating at maximum capacity. Routine maintenance is made difficult when continuous water flows into the stations and maintenance staff do not have the ability to stop incoming flow. To increase efficiency and reduce the level of maintenance effort, existing slide gates used to control storm water coming into the stations need to be replaced or additional slide gates need to be installed to help manage flows. Approximately 10 slide gates will be replaced and installed with the budgeted funds.

Funding Sources	All Prior Actuals	2019/20	2020/21	2021/22	2022/23	2023/24	2024/25	Total
Total Sources	-	-	\$390,000	-	-	-	-	\$390,000

Expenditures	All Prior Actuals	2019/20	2020/21	2021/22	2022/23	2023/24	2024/25	Total
Construction	-	-	\$312,000	-	-	-	-	\$312,000
Engineering	-	-	\$78,000	-	-	-	-	\$78,000
Total Expenditures	-	-	\$390,000	-	-	-	-	\$390,000

Operating Impacts	-	-	-	-	-	-	-	-
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UNFUNDED PROJECTS | STORM DRAIN

1811 - Storm Drain Pump Station Facility Maintenance & Repair

FY 2020/21	FY 2021/22	FY 2022/23	FY 2023/24	FY 2024/25	Five-Year CIP Total
-	-	\$58,433	\$64,354	\$64,422	\$187,209

The Department of Public Works oversees 22 Storm Drain Pump Station (SDPS) facilities. This project, previously titled Storm Station Rehabilitation, would support SDPS facility maintenance and repair. This would include items such as painting, landscape and security improvements, perimeter fence and roof repairs, minor facility modifications, minor repairs to check valves, and OSHA safety compliance (fall protection, confined space air testing, etc.). The project does not cover maintenance, repair or modifications to SDPS motors, controls or outfalls.

1831 - Storm Drain Repairs and Maintenance

FY 2020/21	FY 2021/22	FY 2022/23	FY 2023/24	FY 2024/25	Five-Year CIP Total
-	\$100,000	\$100,000	\$100,000	\$100,000	\$400,000

The project would consist of repairs and maintenance to address unforeseen issues that arise related to the existing storm drain system. Repairs and maintenance may include replacement and/or repair of pipelines, catch basins, manholes, outlets, junction structures, trash devices, and other storm drain related structures. This project would also fund the Storm Drain Fee and Rate Study that evaluates existing storm drain funding and recommends additional storm drain funding mechanisms. This project is funded in FY 2020/21.

1834 - Storm Drain System Improvements

FY 2020/21	FY 2021/22	FY 2022/23	FY 2023/24	FY 2024/25	Five-Year CIP Total
\$1,800,000	\$10,000,000	\$600,000	\$3,000,000	\$3,000,000	\$18,400,000

In 2015, the City completed a Storm Drain Master Plan that evaluated the City's storm drain system for capacity deficiencies and identified over \$270 million of Capital Improvement Projects. The projects identified would meet the various service level goals, which include eliminating significant flooding for 2-year, 10-year, and 100-year storm events. This project would provide ongoing storm drain system rehabilitation identified in the Master Plan. The first project in this program would include upsizing of storm drain pipelines located on Benton Street, Los Padres Boulevard, Homestead Road, Sherwin Avenue, and Malarin Avenue.

1835 - Storm Drain Pump Station Outfall Reconstruction Program

FY 2020/21	FY 2021/22	FY 2022/23	FY 2023/24	FY 2024/25	Five-Year CIP Total
-	-	\$100,000	\$200,000	-	\$300,000

The City has 22 Storm Drain Pump Stations (SDPS). Of the 22 SDPS, 13 of the SDPS have outfalls that flow into various creeks. There are 188 outfalls throughout the City, but this project would only cover outfalls from 13 SDPS that discharge directly into creeks and rivers that are subject to inspection by the United States Army Corps of Engineers. This project would also fund the reconstruction of failing SDPS outfall structures and erosion repair in waterways caused by outfall discharges. The scope of work would include items such as concrete patching, crack repair, check valve repair, etc.



UNFUNDED PROJECTS | STORM DRAIN

1837 - Storm Water Retention Basin Remediation

FY 2020/21	FY 2021/22	FY 2022/23	FY 2023/24	FY 2024/25	Five-Year CIP Total
\$782,418	-	\$3,112,200	-	-	\$3,894,618

This project would include desilting work for both the Westside and Eastside Retention Basins. The project would remove silt, debris, and other deposits in order to restore the retention basins to their original capacity. While the desilting work is occurring and the wetwell is dewatered, a condition assessment of the existing stormwater pumps will be performed. In 2018, the Westside Retention Basin was successfully desilted. In summer 2020, all stormwater pumps at the Westside Retention Basin will be replaced due to its deteriorated condition through Project No. 538-1842. The Eastside Retention Basin desilting design work is scheduled to start in FY 20/21.

1838 - SDPS Motor and Control Maintenance, Repair, and Replacement

FY 2020/21	FY 2021/22	FY 2022/23	FY 2023/24	FY 2024/25	Five-Year CIP Total
-	-	\$198,000	\$208,000	\$219,000	\$625,000

The City has 22 Storm Drain Pump Stations (SDPS). Each station is equipped with multiple pumps, motors and varying control devices including a motor control center (MCC), programmable logic control (PLC), human mechanical interface (HMI) and various other devices. This project would fund the maintenance, replacement and repair of pumps, motors, controls, telemetry, valves, instrumentation and related equipment. Maintenance, replacement, and repairs to pumps and motor controls are necessary to keep storm pump stations operational to minimize the chance of flooding during large storm events. This project is funded through FY 2021/22.

Five-Year CIP Total Unfunded Need: \$23,806,827

Technology and Equipment

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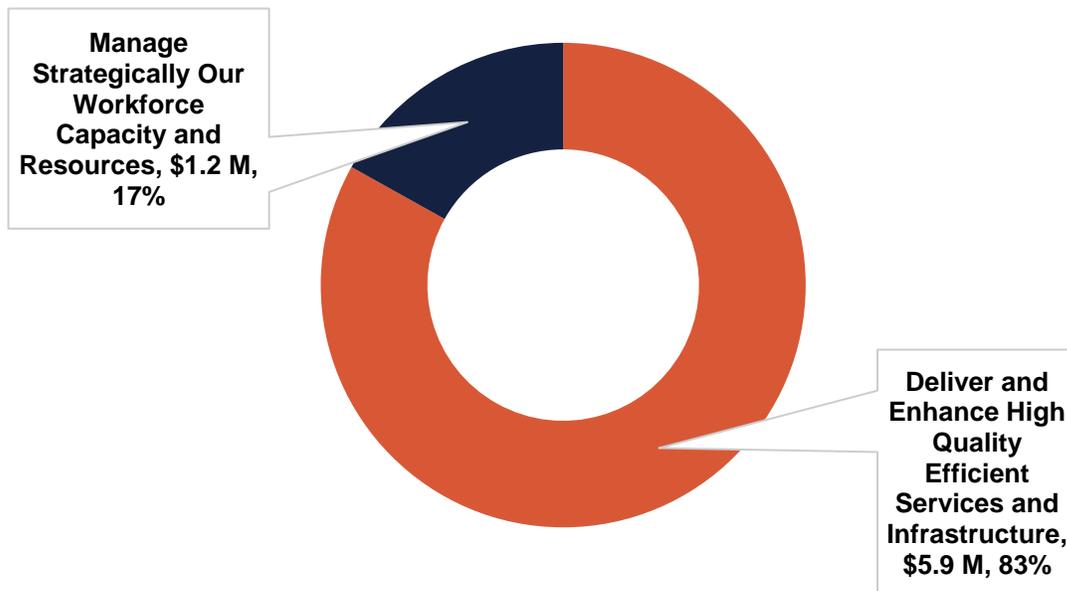
INTRODUCTION

The projects within the Technology and Equipment category all serve to increase efficiency, security, and functionality throughout the City.

Technology and Equipment Program Infrastructure	
Software Applications	\$2,629,400
Safety Equipment	\$ 516,311
Infrastructure Hardware	\$ 660,000

Funding Our Top Priorities

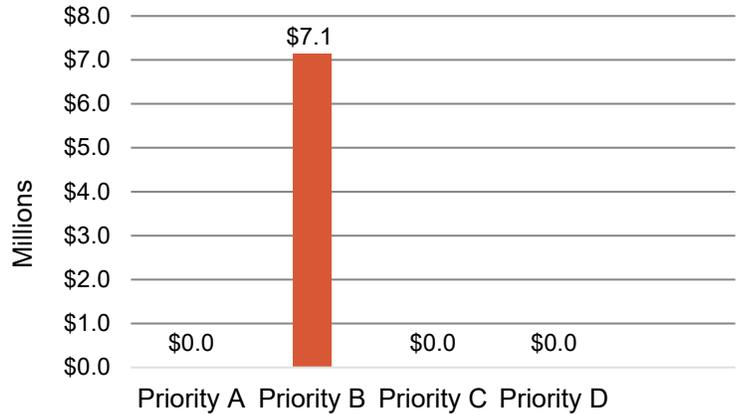
The projects included in the proposed CIP were determined in accordance with both the City Council’s strategic pillars and the budget priorities. Below illustrates the funding amount per Strategic Pillar within the Technology and Equipment program. A majority of the projects within this program are related to replacement of equipment that is at the end of its useful life. Replacement schedules, along with consultant studies, and Council directives helped guide these priorities. The following chart depicts the total funding in the Technology and Equipment program by strategic pillar.





The proposed five-year CIP Technology and Equipment budget totals \$7.1 million. The CIP projects were prioritized by the following criteria:

Priority	Description
A	critical need mandated, emergency, or under construction
B	maintenance system reliability and/or ongoing operations
C	future system needs or future regulatory requirements
D	not critical, but achieve efficiencies and/or sustainability



The projects funded under this program all coincide under Priority B.

Project Highlights

Major projects in the Technology and Equipment program are detailed below.

Protective Equipment Replacement

The Fire Department requires personal protective equipment and clothing for its firefighters. This project provides funding for the regular replacement of this type of equipment and clothing once it reaches the end of its useful life. The Department does an annual review of the equipment and clothing in its inventory to determine any critical replacements needed.

Permit Information System

This project provides funding for the Permit tracking system to automate permitting and workflow, allowing other departments and the public to access information online, on the City network or mobile devices. This system is anticipated to improve permit streamlining and coordination for both staff and applicants, improve integration and technology including mobile solutions, and provide online information for applicants. City business processes will be redesigned to take advantage of the functionality of the new system.

FHRMS Update Project

This project provides funding for upgrading various Financials and HR/Payroll business applications to maintain vendor support for software and hardware in addition to modernizing and updating business processes in line with current requirements. Upgrading will ensure proper support through FY 2023/24 as well as provide technology upgrades and business process enhancements.



Agenda and Document Management Systems

This project updates the City's document management system and agenda management system that have been in place since 2005 and 2008, respectively. The upgrade will increase functionality and reliability for the City as well as improve technology and business processes including mobile solutions, workflow and public facing portals. This project was initiated in 2016 in a phased manner and is anticipated to be implemented in 2021.

Major Accomplishments

- The Public Works and Police Departments went live with their permitting processes in July 2019 and November 2019, respectively.
- The Utility Management Information System (UMIS) was upgraded in July 2019; customer self-service portal design for commercial and enterprise customers was completed.
- The City's budget system was upgraded in August 2019.
- The Windows 10/ Microsoft O365 upgrade was completed, replaced or upgraded all 1,000 desktop computers or laptops within the City.
- Built a location-based inventory and mapping of the 220 City-owned Properties and completed mapping of Water Backflow Preventers.

Financing Sources

General Fund – Capital Projects Reserve

Because the nature of the projects in this program are to support and enhance general citywide functions, the primary funding source is the General Fund Capital Projects Reserve.

Enterprise Fund Contributions

Some projects within the Technology and Equipment program serve various departments, including the City's Electric, Water, and Sewer Utilities. As these departments benefit from the services being enhanced or provided through these projects, there are proportionate contributions made from the enterprise funds.



Operating Budget Impacts

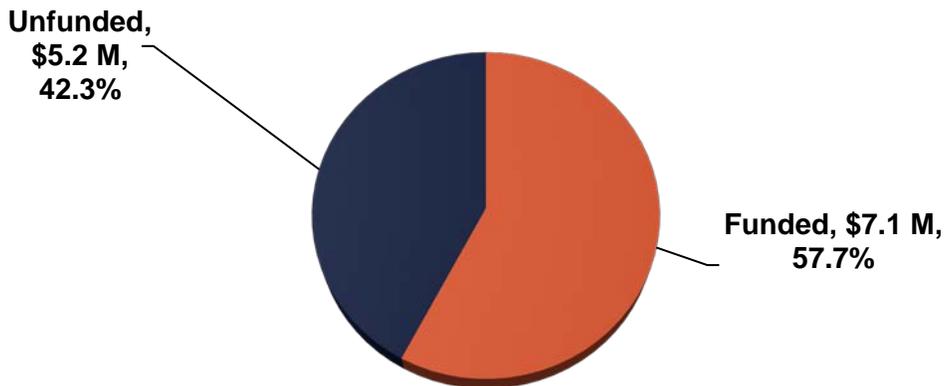
Most capital projects affect future operating budgets due to maintenance costs or by providing additional resources such as labor to commission new programs. Such impacts vary from project to project and are taken into consideration during the project review process. The cost of operating new or expanded facilities or infrastructure is included in the operating budget in the fiscal year the asset becomes operational. The table below summarizes operating and maintenance costs in the Technology and Equipment program for the next five fiscal years. This reflects the additional costs to maintain the Permit Information System.

Summary of Operating Impact	FY 2020/21	FY 2021/22	FY 2022/23	FY 2023/24	FY 2024/25	Total Five-Year
Contractual Services	-	\$118,700	\$118,700	\$118,700	\$20,200	\$376,300
Total	-	\$118,700	\$118,700	\$118,700	\$20,200	\$376,300

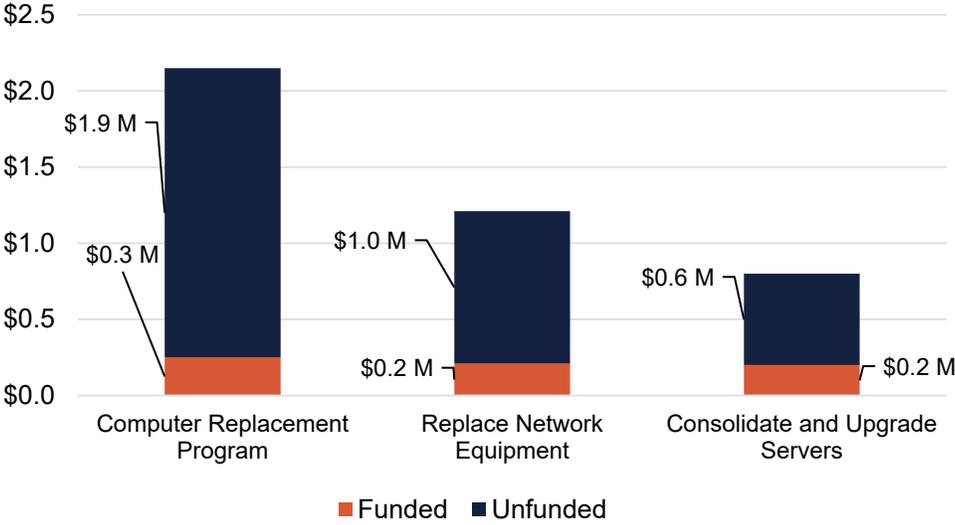
Unfunded Needs

The total funding needs for the Technology and Equipment program totals \$12.3 million. Of this amount, \$7.1 million is funded, leaving \$5.2 million of unfunded needs. The unfunded needs are comprised of information technology related projects, including computer replacement, server replacement, network equipment replacement, and cybersecurity tools. The five-year request for cybersecurity funding totaled \$1.5 million. While cybersecurity funding requests were initially part of this CIP program, these requests are being transitioned to the operating budget as part of the Information Technology Department’s internal service fund. Cybersecurity funding will be addressed as part of the City’s operating budget cycle next fiscal year.

Technology and Equipment Total Funding Needs = \$12.3 M



The table below highlights the highest priority projects currently that are either partially funded or unfunded in the Technology and Equipment program. For more project information, please see the Unfunded Projects – Technology and Equipment list later in this section.





FUNDED | PROJECTS BUDGETED COST SUMMARY

Technology and Equipment Project	2019/20	2020/21	2021/22	2022/23	2023/24	2024/25	Five-Year CIP Total
4084 - Protective Equipment Replacement	\$484,986	\$227,001	\$289,310	\$247,536	\$234,374	\$234,374	\$1,232,595
4085 - Emergency Operations Center Communications System Upgrade	\$40,599	-	-	-	-	-	-
4088 - EMS System First Responder Projects	\$13,116	-	-	-	-	-	-
4094 - Computer Aided Dispatch (CAD) Alerting System Upgrade	\$538,456	-	-	-	-	-	-
4095 - Emergency Response Technology Updates	\$11,148	-	-	-	-	-	-
6053 - Telephone System Enhancements	\$52,118	-	-	-	-	-	-
6072 - Institutional Telecommunications Networks and Broadcast Infrastructure	\$661,963	-	-	-	-	-	-
6075 - Permit Information System	\$1,476,517	\$204,400	-	-	-	-	\$204,400
6103 - Utility Management Information System (UMIS) Enhancements	\$500,000	\$500,000	\$500,000	\$500,000	\$500,000	\$500,000	\$2,500,000
6118 - CAD/RMS System Replacement	\$1,398,863	-	-	-	-	-	-
6501 - FHRMS Update Project	\$792,201	\$250,000	\$75,000	\$75,000	\$75,000	\$75,000	\$550,000
6505 - Replace Network Equipment	\$725,876	\$210,000	-	-	-	-	\$210,000
6513 - Computer Replacement Program	\$145,646	\$100,000	\$150,000	-	-	-	\$250,000
6514 - Consolidate and Upgrade Servers	\$416,460	\$50,000	\$150,000	-	-	-	\$200,000
6521 - Web Content Management System	\$151,114	-	-	-	-	-	-
6532 - Intranet Collaboration Suite Implementation	\$175,375	-	-	-	-	-	-
6534 - Geospatial Information System (GIS) Enterprise System	\$753,598	\$300,000	\$300,000	\$300,000	\$300,000	\$300,000	\$1,500,000
6549 - Agenda and Document Management Systems	\$202,320	\$500,000	-	-	-	-	\$500,000



FUNDED | PROJECTS BUDGETED COST SUMMARY

Technology and Equipment Project	2019/20	2020/21	2021/22	2022/23	2023/24	2024/25	Five-Year CIP Total
6550 - Cyber Security Risk Mitigation	\$140,118	-	-	-	-	-	-
6551 - End User/Desktop Transformation	\$95,851	-	-	-	-	-	-
6552 - Financial Management System Replacement	\$695,991	-	-	-	-	-	-
6557 - Fire Station Video Conferencing	\$198,961	-	-	-	-	-	-
Total Technology and Equipment Projects	\$9,671,277	\$2,341,401	\$1,464,310	\$1,122,536	\$1,109,374	\$1,109,374	\$7,146,995



FUNDED | PROTECTIVE EQUIPMENT REPLACEMENT

Project Number:	4084	Theme / Category:	Technology and Equipment
Year Initiated:	2008	Project Manager:	Jeremy Ray
Planned Completion Year:	Ongoing	Department:	Fire Department
Project Type:	Ongoing	Fund:	536 - Fire Department
Strategic Pillar	 06 - Manage Strategically Our Workforce Capacity and Resources		
Location	Fire Stations		



Project Description This project funds the ongoing replacement of protective firefighting equipment that has reached the limit of its service life. This equipment includes personal protective equipment/clothing (PPE) and the funding provides for PPE repairs, equipment service and replacements costs on an annual basis. On average, over a five-year span, approximately 50 sets of PPE must be replaced annually to ensure the safety of all full-time and reserve firefighters.

Funding Sources	All Prior Actuals	2019/20	2020/21	2021/22	2022/23	2023/24	2024/25	Total
Total Sources	\$2,334,267	\$484,986	\$227,001	\$289,310	\$247,536	\$234,374	\$234,374	\$4,051,848

Expenditures	All Prior Actuals	2019/20	2020/21	2021/22	2022/23	2023/24	2024/25	Total
Contingencies/Misc	\$1,933	-	-	-	-	-	-	\$1,933
Equipment	\$2,332,334	\$484,986	\$227,001	\$289,310	\$247,536	\$234,374	\$234,374	\$4,049,915
Total Expenditures	\$2,334,267	\$484,986	\$227,001	\$289,310	\$247,536	\$234,374	\$234,374	\$4,051,848

Operating Impacts	-	-	-	-	-	-	-	-
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FUNDED | PERMIT INFORMATION SYSTEM

Project Number:	6075	Theme / Category:	Technology and Equipment
Year Initiated:	2016	Project Manager:	Veronica Keller
Planned Completion Year:	FY 2021	Department:	Community Development Department
Project Type:	Distinct	Fund:	539 - General Government
Strategic Pillar	 02 - Deliver and Enhance High Quality Efficient Services and Infrastructure		
Location	Citywide		



Project Description

This project provides funding for the permit information system. This system is used to establish business licenses for the Permit Center's daily operations. The Center is transitioning from its current software, Tidemark, and implementing Accela, a land and property management software. With implementation, comes costs for additional software and consulting in order to maintain and improve business operations.

Services include a call processing/Interactive Voice Response upgrade which will enable City staff to provide automated phone services to customers. Callers are able to schedule, cancel, modify appointments or reach a staff member through an automated phone workflow. There will also be a Technical Account Manager (TAM) brought on to provide dedicated, on site Accela support. The TAM's role will be to provide scripting, reporting, configuration, and day-to-day guidance for staff.

Track It is a module which provides more detailed, streamlined processing for the Permit Center's customers. This tool captures customer activity at the reception desk, routes the customer to appropriate departmental staff, records length of each visit, and provides the customer with their position in the queue.

Funding Sources	All Prior Actuals	2019/20	2020/21	2021/22	2022/23	2023/24	2024/25	Total
Total Sources	\$1,786,619	\$1,476,517	\$204,400	-	-	-	-	\$3,467,536

Expenditures	All Prior Actuals	2019/20	2020/21	2021/22	2022/23	2023/24	2024/25	Total
Contingencies/Misc	\$8,385	-	-	-	-	-	-	\$8,385
Employee Benefits	\$5,749	-	-	-	-	-	-	\$5,749
Engineering	\$1,240,900	-	\$204,400	-	-	-	-	\$1,445,300
Equipment	\$391,743	\$1,476,517	-	-	-	-	-	\$1,868,260
S & W - O.T. Vacation Relief	\$5,800	-	-	-	-	-	-	\$5,800
Salary And Wages - As Needed	\$88,260	-	-	-	-	-	-	\$88,260
Salary And Wages - Regular	\$45,782	-	-	-	-	-	-	\$45,782
Total Expenditures	\$1,786,619	\$1,476,517	\$204,400	-	-	-	-	\$3,467,536

Operating Impacts	-	\$118,700	\$118,700	\$118,700	\$20,200	\$376,300
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**FUNDED | UTILITY MANAGEMENT INFORMATION SYSTEM (UMIS)
ENHANCEMENTS**

Project Number:	6103	Theme / Category:	Technology and Equipment
Year Initiated:	2001	Project Manager:	Michelle Eglesia
Planned Completion Year:	Ongoing	Department:	Finance Department
Project Type:	Ongoing	Fund:	539 - General Government
Strategic Pillar	 02 - Deliver and Enhance High Quality Efficient Services and Infrastructure		
Location	1500 Warburton Ave. - City Hall		



Project Description The Departments of Electric Utility, Water & Sewer Utilities, Public Works and Finance use the City's Utility Management Information System (UMIS) as a centralized billing and customer service tool to service over 65,000 utility accounts. Due to the complexity of the system, the UMIS environment is continually being upgraded or enhanced to support required changes. Upcoming improvements and updates to UMIS include, but are not limited to, implementation of a new customer self-service portal, an update to NorthStar, replacement of the call recording system (OASYS), an upgrade to the Enterprise Contact Center (ECC-VoIP), and replacement of the meter reading system (MV-RS).

Funding Sources	All Prior Actuals	2019/20	2020/21	2021/22	2022/23	2023/24	2024/25	Total
Total Sources	\$10,735,257	\$500,000	\$500,000	\$500,000	\$500,000	\$500,000	\$500,000	\$13,735,257

Expenditures	All Prior Actuals	2019/20	2020/21	2021/22	2022/23	2023/24	2024/25	Total
Contingencies/Misc	\$30,399	\$5,000	\$5,000	\$5,000	\$5,000	\$5,000	\$5,000	\$60,399
Employee Benefits	\$28,611	-	-	-	-	-	-	\$28,611
Engineering	\$8,706,447	\$495,000	\$495,000	\$495,000	\$495,000	\$495,000	\$495,000	\$11,676,447
Equipment	\$1,030,826	-	-	-	-	-	-	\$1,030,826
Meal Allowance	\$3	-	-	-	-	-	-	\$3
Medicare	\$5,904	-	-	-	-	-	-	\$5,904
S & W - O.T. Vacation Relief	\$95,285	-	-	-	-	-	-	\$95,285
Salary And Wages - As Needed	\$728,100	-	-	-	-	-	-	\$728,100
Salary And Wages - Regular	\$109,682	-	-	-	-	-	-	\$109,682
Total Expenditures	\$10,735,257	\$500,000	\$500,000	\$500,000	\$500,000	\$500,000	\$500,000	\$13,735,257

Operating Impacts	-	-	-	-	-	-	-	-
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FUNDED | FHRMS UPDATE PROJECT

Project Number:	6501	Theme / Category:	Technology and Equipment
Year Initiated:	2005	Project Manager:	David Noce
Planned Completion Year:	Ongoing	Department:	Finance Department
Project Type:	Ongoing	Fund:	539 - General Government
Strategic Pillar	 02 - Deliver and Enhance High Quality Efficient Services and Infrastructure		
Location	City Hall		



Project Description Oracle-PeopleSoft is the City's Financial and Human Resources software system, used by all departments to process financial transactions, payroll operations, budget activities, and human resources administration. The software is a vital component of the City's daily business processes that requires continuous upgrades and enhancements to ensure uninterrupted services and business process improvements. This project provides funding to support the full implementation of the PeopleSoft system modules to improve integration and minimize risk within the City's financial and human resources system. Additionally, the upgrades will ensure that the City is in compliance with new reporting laws and labor requirements.

Specifically, the project will automate retroactive calculations for Memorandum of Understanding (MOU) and merit increases, out of class pay and ongoing premium pay calculations relating to the Fair Labor Standards Act.

Funding Sources	All Prior Actuals	2019/20	2020/21	2021/22	2022/23	2023/24	2024/25	Total
Total Sources	\$3,508,876	\$792,201	\$250,000	\$75,000	\$75,000	\$75,000	\$75,000	\$4,851,077

Expenditures	All Prior Actuals	2019/20	2020/21	2021/22	2022/23	2023/24	2024/25	Total
Engineering	\$2,880,616	\$792,201	-	-	-	-	-	\$3,672,817
Equipment	\$454,347	-	\$250,000	\$75,000	\$75,000	\$75,000	\$75,000	\$1,004,347
Medicare	\$285	-	-	-	-	-	-	\$285
S & W - O.T. Vacation Relief	\$14,344	-	-	-	-	-	-	\$14,344
Salary And Wages - As Needed	\$105,259	-	-	-	-	-	-	\$105,259
Salary And Wages - Regular	\$54,025	-	-	-	-	-	-	\$54,025
Total Expenditures	\$3,508,876	\$792,201	\$250,000	\$75,000	\$75,000	\$75,000	\$75,000	\$4,851,077

Operating Impacts	-	-	-	-	-	-	-	-
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FUNDED | REPLACE NETWORK EQUIPMENT

Project Number:	6505	Theme / Category:	Technology and Equipment
Year Initiated:	2008	Project Manager:	Raj Singh
Planned Completion Year:	Ongoing	Department:	Information Technology
Project Type:	Ongoing	Fund:	539 - General Government
Strategic Pillar	 02 - Deliver and Enhance High Quality Efficient Services and Infrastructure		
Location	Citywide		



Project Description This project provides funding for the replacement of the City's network equipment including firewalls, critical communication and data switches. The City's network equipment was installed in FY 2010/11 and has reached the end of its useful life. An assessment was completed in 2019 by a third-party consultant where various gaps were identified and recommendations were made to improve the City's network and cybersecurity. The replacements that will be done as part of this project are critical for City operations and security.

Funding Sources	All Prior Actuals	2019/20	2020/21	2021/22	2022/23	2023/24	2024/25	Total
Total Sources	\$1,882,917	\$725,876	\$210,000	-	-	-	-	\$2,818,793

Expenditures	All Prior Actuals	2019/20	2020/21	2021/22	2022/23	2023/24	2024/25	Total
Engineering	\$150,803	-	-	-	-	-	-	\$150,803
Equipment	\$1,732,114	\$725,876	\$210,000	-	-	-	-	\$2,667,990
Total Expenditures	\$1,882,917	\$725,876	\$210,000	-	-	-	-	\$2,818,793

Operating Impacts	-	-	-	-	-	-	-	-
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FUNDED | COMPUTER REPLACEMENT PROGRAM

Project Number:	6513	Theme / Category:	Technology and Equipment
Year Initiated:	2006	Project Manager:	Chris Jackson
Planned Completion Year:	Ongoing	Department:	Information Technology
Project Type:	Ongoing	Fund:	539 - General Government
Strategic Pillar	 02 - Deliver and Enhance High Quality Efficient Services and Infrastructure		
Location	Citywide		



Project Description This project provides funding for the replacement of older and obsolete desktop and laptop computers. The City's current computer inventory includes over 1,000 computers. The replacement cycle of desktops has been calculated using a six-year schedule, the replacement cycle of laptops has been calculated using a four-year schedule. The funding recommended will address the critical replacement needs based on end of useful life and potential failure after warranty.

As part of the FY 2019/20 and 2020/21 Adopted Biennial Operating Budget, an internal service fund was established for the Information Technology Department. Future needs related to computer replacements will be considered and presented as part of this new fund in the operating budget.

Funding Sources	All Prior Actuals	2019/20	2020/21	2021/22	2022/23	2023/24	2024/25	Total
Total Sources	\$2,479,354	\$145,646	\$100,000	\$150,000	-	-	-	\$2,875,000

Expenditures	All Prior Actuals	2019/20	2020/21	2021/22	2022/23	2023/24	2024/25	Total
Engineering	\$116,821	-	-	-	-	-	-	\$116,821
Equipment	\$2,362,533	\$145,646	\$100,000	\$150,000	-	-	-	\$2,758,179
Total Expenditures	\$2,479,354	\$145,646	\$100,000	\$150,000	-	-	-	\$2,875,000

Operating Impacts	-	-	-	-	-	-	-	-
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FUNDED | CONSOLIDATE AND UPGRADE SERVERS

Project Number:	6514	Theme / Category:	Technology and Equipment
Year Initiated:	2006	Project Manager:	Raj Singh
Planned Completion Year:	Ongoing	Department:	Information Technology
Project Type:	Ongoing	Fund:	539 - General Government
Strategic Pillar	 02 - Deliver and Enhance High Quality Efficient Services and Infrastructure		
Location	Citywide		



Project Description This project provides funding for the replacement of the City’s storage and back-up servers. The current storage servers were installed in 2012, while the back-up servers were installed in 2010. These servers have reached end of life status and are no longer supported by the vendor and have been on aftermarket third-party support for some time. This current solution poses risks to continuous operations and the ability to recover data in the event of any type of data loss in case of hardware failure. This funding will address the City’s need for a long-term solution with updated storage and back-up technology options. This project is partially funded through FY 2021/22.

Funding Sources	All Prior Actuals	2019/20	2020/21	2021/22	2022/23	2023/24	2024/25	Total
Total Sources	\$1,733,540	\$416,460	\$50,000	\$150,000	-	-	-	\$2,350,000

Expenditures	All Prior Actuals	2019/20	2020/21	2021/22	2022/23	2023/24	2024/25	Total
Engineering	\$118,675	-	-	-	-	-	-	\$118,675
Equipment	\$1,614,865	\$416,460	\$50,000	\$150,000	-	-	-	\$2,231,325
Total Expenditures	\$1,733,540	\$416,460	\$50,000	\$150,000	-	-	-	\$2,350,000

Operating Impacts	-	-	-	-	-	-	-	-
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FUNDED | GEOSPATIAL INFORMATION SYSTEM (GIS) ENTERPRISE SYSTEM

Project Number:	6534	Theme / Category:	Technology and Equipment
Year Initiated:	2013	Project Manager:	Gill Norris
Planned Completion Year:	Ongoing	Department:	Information Technology
Project Type:	Ongoing	Fund:	539 - General Government
Strategic Pillar	 02 - Deliver and Enhance High Quality Efficient Services and Infrastructure		
Location	Citywide		



Project Description

This project provides funding towards the City's investment in GIS technologies to build a citywide GIS enterprise system. The goal of Enterprise GIS is to distribute geospatial data and functionality throughout the City while leveraging and integrating department layers. Enterprise GIS streamlines engineering, permitting and inspection processes; improves land management; improves asset utilization of public services; and helps engage the community through increasing shared information.

Primary benefits of a GIS system include cost savings resulting from greater efficiency, better recordkeeping and decision making, improved communication, and managing projects geographically rather than departmentally task based.

This project is funded by the Electric Utility and Water and Sewer Utilities Departments. There is also additional ongoing funding of approximately \$0.5 million that is part of the Information Technology Department's operating budget.

Funding Sources	All Prior Actuals	2019/20	2020/21	2021/22	2022/23	2023/24	2024/25	Total
Total Sources	\$3,047,647	\$753,598	\$300,000	\$300,000	\$300,000	\$300,000	\$300,000	\$5,301,245

Expenditures	All Prior Actuals	2019/20	2020/21	2021/22	2022/23	2023/24	2024/25	Total
Engineering	\$946,888	\$753,598	-	-	-	-	-	\$1,700,486
Equipment	\$2,085,655	-	\$300,000	\$300,000	\$300,000	\$300,000	\$300,000	\$3,585,655
Medicare	\$216	-	-	-	-	-	-	\$216
Salary And Wages - As Needed	\$14,888	-	-	-	-	-	-	\$14,888
Total Expenditures	\$3,047,647	\$753,598	\$300,000	\$300,000	\$300,000	\$300,000	\$300,000	\$5,301,245

Operating Impacts	-	-	-	-	-	-	-	-
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FUNDED | AGENDA AND DOCUMENT MANAGEMENT SYSTEMS

Project Number:	6549	Theme / Category:	Technology and Equipment
Year Initiated:	2016	Project Manager:	Simrat Dhadli
Planned Completion Year:	FY 2021	Department:	City Clerk's Office
Project Type:	Distinct	Fund:	539 - General Government
Strategic Pillar	 02 - Deliver and Enhance High Quality Efficient Services and Infrastructure		
Location	City Hall		



Project Description This project provides funding to replace SIRE, the City's previous document management system that is no longer being maintained by the vendor. The replacement system would provide modern technology, functionality, and reliability for the City, enhancing efficiency for staff and improving the interface for the public. The Laserfiche Rio EDMS replaces the SIRE Document Management system and increases functionality. A more robust system will enable the City to manage all its documents in a flexible and secure manner while introducing such features as automated documents.

Funding Sources	All Prior Actuals	2019/20	2020/21	2021/22	2022/23	2023/24	2024/25	Total
Total Sources	\$551,580	\$202,320	\$500,000	-	-	-	-	\$1,253,900

Expenditures	All Prior Actuals	2019/20	2020/21	2021/22	2022/23	2023/24	2024/25	Total
Construction	\$408,542	\$202,320	-	-	-	-	-	\$610,862
Engineering	\$98,155	-	\$500,000	-	-	-	-	\$598,155
Equipment	\$403	-	-	-	-	-	-	\$403
Salary And Wages - As Needed	\$44,480	-	-	-	-	-	-	\$44,480
Total Expenditures	\$551,580	\$202,320	\$500,000	-	-	-	-	\$1,253,900

Operating Impacts	-	-	-	-	-	-	-	-
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UNFUNDED PROJECTS | TECHNOLOGY AND EQUIPMENT

6003 - Broadband Community System General Government Network (GGNET)

FY 2020/21	FY 2021/22	FY 2022/23	FY 2023/24	FY 2024/25	Five-Year CIP Total
-	-	\$50,000	-	\$50,000	\$100,000

This project would address the design, construction and reconfiguration of the existing General Government network to allow City facilities to be interconnected in a reliable and fault-tolerant manner. The funding requested in the out-years would be used for the maintenance of the network connectivity throughout City facilities.

6505 - Replace Network Equipment

FY 2020/21	FY 2021/22	FY 2022/23	FY 2023/24	FY 2024/25	Five-Year CIP Total
\$390,000	\$300,000	\$100,000	\$100,000	\$100,000	\$990,000

This project is partially funded in FY 2020/21 of the CIP. An assessment was completed by a third-party consultant in 2019 and various gaps were identified, and recommendations were made. The recommended funding in the first year of the CIP provides funding for the items that were identified as the most at-risk of failure. The unfunded portion of this project would have replaced the remaining equipment and added other tools to increase the City's already robust cybersecurity program.

6513 - Computer Replacement Program

FY 2020/21	FY 2021/22	FY 2022/23	FY 2023/24	FY 2024/25	Five-Year CIP Total
\$317,227	\$267,227	\$417,227	\$475,639	\$475,639	\$1,952,959

This project is partially funded in FY 2020/21 and FY 2021/22 of the CIP. The Information Technology Department's budget was moved from the General Fund to its own internal service fund, effective FY 2019/20. The funded amounts in the first two years of the CIP are to replace inventory that is past its useful life and at a higher risk of failure. The unfunded portion of this project captures is the amount needed based on a three and five-year replacement schedule for laptops and desktops, respectively. Additional funding requirements for the replacement of the City's computer inventory will be considered as part of the operating budget in future years.

6514 - Consolidate and Upgrade Servers

FY 2020/21	FY 2021/22	FY 2022/23	FY 2023/24	FY 2024/25	Five-Year CIP Total
-	\$150,000	\$150,000	\$150,000	\$150,000	\$600,000

This project is partially funded in FY 2021/22 of the CIP. The City has a data center comprised of storage and back-up servers. While both are still at the end of its useful life, the funded portion of the project provides for the items that have been identified as the highest risk of failure in next two years, while additional funding has been deferred, thus stretching out the useful life of some of the City's servers.



UNFUNDED PROJECTS | TECHNOLOGY AND EQUIPMENT

6550 - Cyber Security Risk Mitigation

FY 2020/21	FY 2021/22	FY 2022/23	FY 2023/24	FY 2024/25	Five-Year CIP Total
\$300,000	\$305,000	\$300,000	\$300,000	\$300,000	\$1,505,000

This project would provide additional funding for the City’s cybersecurity program to address recommendations that resulted from a risk assessment completed in FY 2018/19. Recommendations that were to be addressed as part of this project included an additional protection layer for cloud services, threat detection, and protection against ransomware. Additional security, control, and monitoring of the access of privileged information and the monitoring of where sensitive data is stored was also recommended. As part of the FY 2019/20 and 2020/21 Adopted Biennial Operating Budget, one-time funding of \$240,000 was added to the Information Technology Department’s budget for cybersecurity.

Five-Year CIP Total Unfunded Need: \$5,147,959

Transportation

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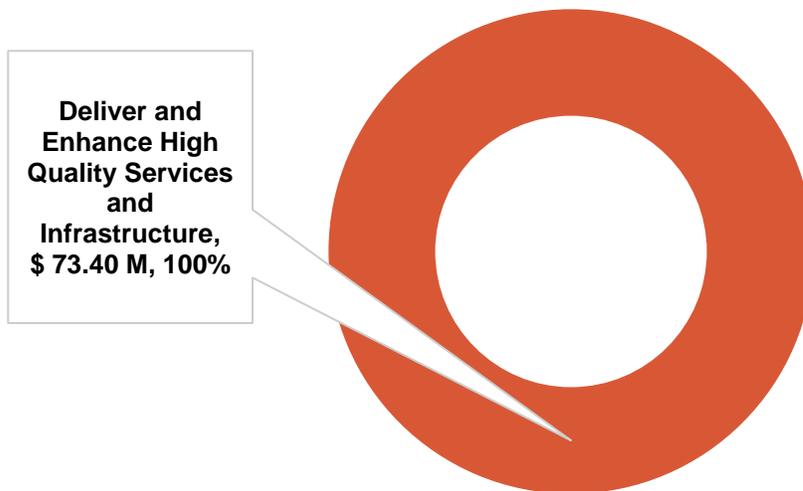
INTRODUCTION

The capital projects within the Transportation theme design, construct, and maintain local transportation facilities. Transportation facilities include but are not limited to public streets, sidewalks, curb/gutters, handicap ramps, bicycle facilities, street intersections, crosswalks, traffic operations devices (i.e. pedestrian beacons), traffic signals and equipment, traffic and street signage and pavement markings, and landscaped areas. Below are some key statistics relative to existing transportation facilities in Santa Clara.

Transportation Program Infrastructure	
Miles of Paved Streets	250
Traffic Signals	144
Miles of Sidewalks	550
Bridges	49
HAWK Beacon Crosswalks	10
Miles of Bicycle Lanes	70

Funding Our Top Priorities

The projects included in the proposed CIP were determined in accordance with both the City Council’s strategic pillars, budget priorities, and the recently adopted Santa Clara Bicycle Plan Update 2018 and the Santa Clara Pedestrian Masterplan. As illustrated in the chart below, all proposed funded projects align to the “Deliver and Enhance High Quality Efficient Services and Infrastructure” strategic pillar.



The proposed Transportation CIP totals \$73.4 million. CIP projects were prioritized by the following criteria:

Priority	Description
A	critical need mandated, emergency, or under construction
B	maintenance system reliability and/or ongoing operations
C	future system needs or future regulatory requirements
D	not critical, but achieve efficiencies and/or sustainability



Project Highlights

Major projects in the Transportation program are detailed below.

Annual Street Maintenance and Rehabilitation Program

The City has an ongoing allocation for the maintenance and repair of City streets. The City uses the Pavement Management System (PMS) to determine which streets are in critical need of repair or maintenance. The repairs include placing surface seals, replacement of curbs and gutters, as well as installation of roadway striping. This project is partially funded throughout the five-year CIP. The City's current PCI is 75, while without full funding, the PCI is expected to drop to 71.



Pedestrian and Bicycle Enhancement Facilities

In conjunction with the recently approved Santa Clara Bicycle Plan 2018 and Santa Clara Pedestrian Master Plan, this project serves as the local funding match to be used by the City to obtain local, state, and federal grants to complete the priority bicycle and pedestrian projects identified by the City. This project is funded by Traffic Mitigation Fees as identified in the Traffic Impact Fee update approved by City Council in 2018.

Public Right-of-Way ADA Improvements (Settlement Agreement)

The City entered into a settlement agreement based on litigation requiring the City to perform improvements in the public right-of-way to remove barriers to accessibility under the Americans with Disabilities Act (ADA). The improvements include removal of concrete sidewalks, repair of asphalt, curb ramps and pedestrian street crossings. Based on accessibility standards, additional improvements may be identified and later incorporated into this project.



Uncontrolled Crosswalks Improvements Project

This project is designed to develop a program and address some of the approximately 200 uncontrolled crosswalks in Santa Clara. These crosswalks are existing, but do not contain stop controls for vehicles. To improve pedestrian comfort and safety at these crosswalks, this project provides funding for the study, prioritization, and improvement of some uncontrolled crosswalks in Santa Clara. This project is not fully funded.

Major Accomplishments

- Completed the update to the Santa Clara Bicycle Plan 2018 (adopted by City Council in September 2019).
- Completed the Santa Clara Pedestrian Master Plan (adopted by City Council in February 2020).
- Completed construction work for six traffic signal timing projects along major transportation corridors in Santa Clara (Lick Mill Boulevard, Homestead Road, Agnew Road, De La Cruz Boulevard, Lafayette Street, and Bowers Avenue).
- Completed inspections of the City's street conditions and identified areas that have impacts on safety, durability, and appearance.
- Completed preventative maintenance and rehabilitation for approximately 50 lane miles of street pavement in 2018 and 2019.

Financing Sources

Gas Tax

The Gas Tax Fund accounts for revenues and expenditures received from the State of California under Street and Highway Code Sections 2105, 2106, and 2107. Gas tax is imposed by the State as a per-gallon excise tax on gasoline and diesel fuel. Cities and counties receive an allocation from the State based on population and the proportion of registered vehicles. This funding is restricted for street maintenance, construction, and a limited amount for engineering.

Vehicle Registration Fee

On November 2, 2010, Santa Clara County voters approved Measure B, which levies a \$10 annual vehicle registration fee (VRF). The statute requires that fees collected be used only to pay for programs and projects that have a relationship or benefit to the owners of motor vehicles paying the fee and are consistent with the regional transportation plan. All revenue collected through the VRF is allocated on a population basis to cities in Santa Clara County. The City has committed and used the revenue from Measure B towards various street maintenance and traffic signal timing upgrade projects. VRF is reported in the Streets and Highways Improvement Capital Projects Fund.

Grants

The City applies for regional, state, and federal grants to support various transportation programs including road repair/maintenance, bicycle and pedestrian projects, and traffic signal timing projects. These grant opportunities have time constraints and often include a local match in terms of funding.

Measure B

In 2016, Santa Clara County voters approved "Measure B," a 30-year half-cent county-wide sales tax to fund improvements for nine transportation-related program categories. The program categories are defined as: BART



Silicon Valley Phase II, Caltrain Corridor Capacity Improvements, County of Santa Clara Expressways, Local Streets and Roads, Transit Operations, Bicycle/Pedestrian, Caltrain Grade Separations, Highway Interchanges and State Route 85 Corridor. The Santa Clara Valley Transportation Authority administers these funds and the City is eligible to receive either guaranteed funds or competitive funds depending upon the requirements of the individual transportation-related program categories. For example, under the Local Streets and Roads program, the City’s current share of guaranteed annual funding is approximately \$2.3 million, which over the next 30 years is projected to provide approximately \$69 million in aggregate funding for the City’s local streets and roads projects. This estimated \$69 million will vary depending upon adjustments to the funding formula, which accounts for actual taxes collected, changes to population, and adjustment for current roadway lane miles.

Traffic Mitigation Fee

The Traffic Mitigation Fee is levied against private development to fund improvements or programs to mitigate City traffic problems that result either directly or indirectly from development projects. Traffic Mitigation Fee revenues may be used for upgrades, expansions or additions to the City’s system of street improvements and related transit, bicycle and pedestrian facilities.

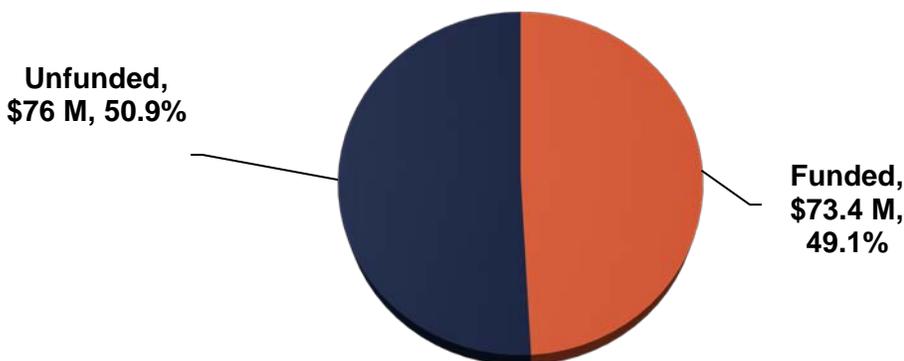
Operating Budget Impacts

There are no additional operating budget impacts associated with the funded projects.

Unfunded Needs

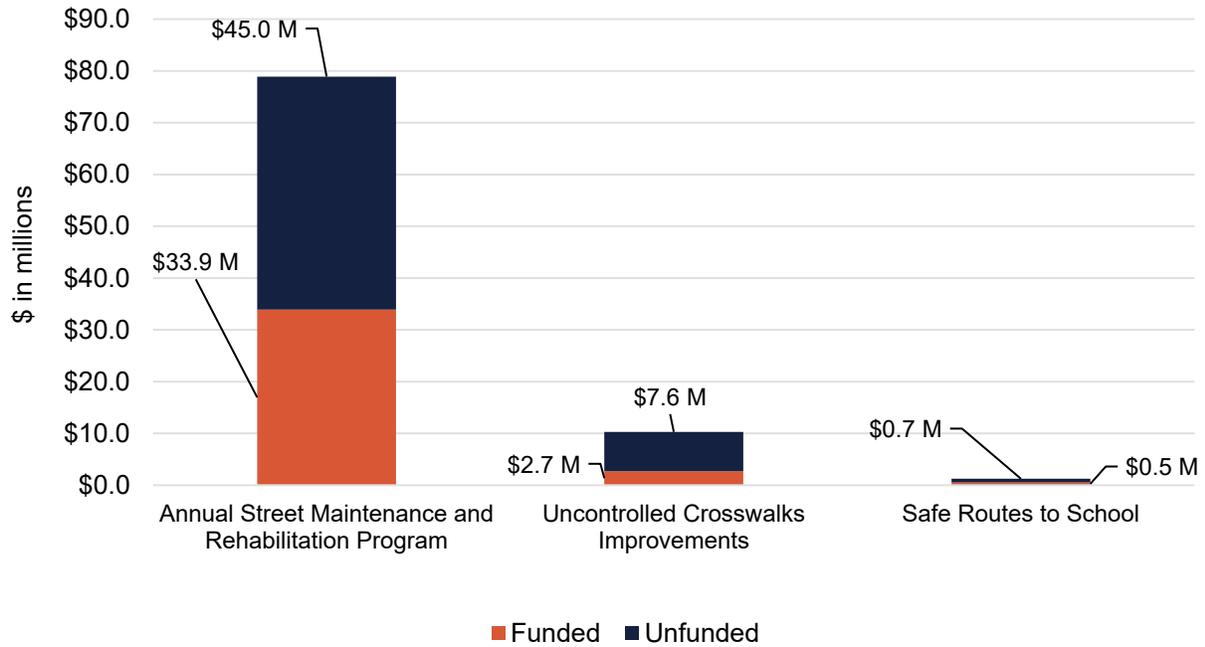
The total funding needs for the Transportation program totals \$149.4 million (not including the bulk of the recommendations identified in the Bicycle Master Plan, Pedestrian Master Plan, and the ADA Transition Plan). Of this amount, \$73.4 million is funded, leaving \$76.0 million of unfunded needs. Some of the unfunded needs include projects such as uncontrolled crosswalks, pavement maintenance for streets and alleyways, high priority projects identified in the Bicycle Masterplan and Pedestrian Masterplan, and Mission College Boulevard/Great America Parkway Intersection Improvements.

Transportation Total Funding Needs = \$149.4 M





Below are some examples of projects that are partially funded. To see the full list of unfunded projects, please see the Unfunded Projects – Transportation list later in this section.





FUNDED | PROJECTS BUDGETED COST SUMMARY

Transportation Project	2019/20	2020/21	2021/22	2022/23	2023/24	2024/25	Five-Year CIP Total
1202 - Agnew Road At-Grade Crossing	\$569,067	-	-	-	-	-	-
1205 - Pepper Tree Neighborhood Traffic Calming Study	\$89,745	-	-	-	-	-	-
1206 - Pruneridge Ave.-Lawrence Expwy. Bicycle Lanes Improvement	\$346,047	-	-	-	-	-	-
1210 - Mission College Bike Lanes Project	\$677	-	-	-	-	-	-
1211 - Neighborhood Traffic Calming	\$540,206	-	\$250,000	\$262,500	\$275,625	\$289,406	\$1,077,531
1212 - LED Traffic Signal & Safety Light Replacements	\$806,692	-	-	\$350,000	-	-	\$350,000
1213 - Annexed Neighborhood Street Improvements	\$1,464,436	-	-	-	-	-	-
1214 - Coleman Avenue Widening	\$189,797	-	-	-	-	-	-
1215 - Sidewalk Installation Program	\$114,062	-	-	-	-	-	-
1216 - Traffic Studies and Signal Needs Assessment/Upgrade	\$221,533	-	\$100,000	-	\$100,000	-	\$200,000
1217 - Traffic Signal Management Software Upgrade/Replacement	\$698,216	\$525,000	\$353,071	-	-	-	\$878,071
1218 - Traffic Pre-Emptors	\$582,265	\$150,000	\$150,000	-	-	-	\$300,000
1219 - Traffic Signal Enhancements	\$59,224	-	-	\$400,000	\$425,000	\$425,000	\$1,250,000
1220 - Pedestrian and Bicycle Enhancement Facilities	\$413,944	\$1,200,000	\$1,200,000	\$850,000	\$850,000	\$850,000	\$4,950,000
1221 - Traffic Monitoring at Various Locations - Phase "C"	\$238,217	-	-	-	-	-	-
1222 - Citywide Accessible Pedestrian Signal Installation	\$188,768	-	-	-	-	-	-
1224 - Replacement of Traffic Signals in ECR-Lafayette St.-Newhall St.-Scott Blvd. Area	\$1,288,490	-	-	-	-	-	-
1225 - Santa Clara VTA - Congestion Management Program	\$256,666	\$244,560	\$245,000	\$261,979	\$265,000	\$280,638	\$1,297,177



FUNDED | PROJECTS BUDGETED COST SUMMARY

Transportation Project	2019/20	2020/21	2021/22	2022/23	2023/24	2024/25	Five-Year CIP Total
1226 - Uncontrolled Crosswalks Improvements Project	\$1,849,708	\$600,000	\$400,000	\$400,000	\$400,000	\$854,831	\$2,654,831
1227 - Changeable Message Signs	\$1,706,055	\$2,063,796	-	-	-	-	\$2,063,796
1228 - Replacement of Underground Traffic Signal Infrastructure	\$120,122	-	\$50,000	\$50,000	\$50,000	-	\$150,000
1230 - Public Right-of-Way Landscaping Improvement	\$309,566	-	-	-	-	-	-
1231 - 2020 Pavement Preservation Project (OBAG II)	\$3,956,000	-	-	-	-	-	-
1232 - Traffic Signal Interconnect Upgrade	-	\$100,000	\$350,000	\$450,000	\$400,000	\$550,000	\$1,850,000
1234 - Travel Demand Model Update	-	\$200,000	-	\$50,000	-	\$55,125	\$305,125
1235 - Annual Street Maintenance and Rehabilitation Program	\$9,786,818	\$8,090,085	\$6,459,711	\$6,459,711	\$6,459,711	\$6,459,711	\$33,928,929
1236 - Traffic Signal Modification - El Camino-Lafayette	\$1,321,840	-	-	-	-	-	-
1237 - MCB/GAP Intersection Improvement Project	\$6,700,447	-	-	-	-	-	-
1238 - Pedestrian Crosswalk Sensors	\$300,000	-	-	-	-	-	-
1239 - Adaptive Signal System	\$1,850,000	-	-	-	-	-	-
1240 - Lawrence Grade Separation	\$15,000	-	-	-	-	-	-
1244 - Scott Blvd Traffic Signal Interconnect & Coordination	\$418,300	-	-	-	-	-	-
1245 - Benton Bike Lanes	\$150,000	\$176,000	-	-	-	-	\$176,000
1246 - Lafayette Bassett Bike Lane	\$600,000	-	-	-	-	-	-
1247 - Lick Mill-East River Parkway Crosswalk Improvements	\$750,000	-	-	-	-	-	-
1249 - Related Multimodal Improvement	\$740,000	-	-	-	-	-	-



FUNDED | PROJECTS BUDGETED COST SUMMARY

Transportation Project	2019/20	2020/21	2021/22	2022/23	2023/24	2024/25	Five-Year CIP Total
1250 - Annual Curb Ramp Installation	-	\$300,000	\$300,000	-	-	-	\$600,000
1325 - Bridge Maintenance Program	\$259,894	\$140,000	\$330,000	\$640,000	\$820,000	\$790,000	\$2,720,000
1357 - Traffic Signal Controller Upgrade/Replacement	\$480,872	-	-	\$65,000	\$100,000	\$100,000	\$265,000
1376 - Safe Routes to School	\$57,824	\$271,626	\$67,531	\$67,531	\$67,531	\$67,531	\$541,750
1382 - Sidewalk, Curb and Gutter Repair	\$434,592	\$380,000	\$380,000	\$380,000	\$380,000	\$380,000	\$1,900,000
1385 - Santa Clara Citywide ITS Project 1	\$265,390	-	-	-	-	-	-
1386 - Santa Clara Citywide ITS Project 2	\$435,504	-	-	-	-	-	-
1392 - SRTS Pedestrian Infrastructure Improvements Project	\$2,222	-	-	-	-	-	-
NEW - 131 - Public Right-of-Way ADA Improvements (Settlement Agreement)	\$750,000	\$5,750,000	\$5,000,000	-	-	-	\$10,750,000
NEW - 132 - Adaptive Signal System (Santana West Settlement Agreement)	-	\$950,000	-	-	-	-	\$950,000
NEW - 134 - Traffic Engineering Consultant Support	-	\$125,000	\$125,000	-	-	-	\$250,000
NEW - 140 - Multimodal Improvement Plan Phase 2 Projects	-	\$295,000	\$295,000	-	-	-	\$590,000
NEW - 141 - Monroe - Los Padres Traffic Signal Modification	-	-	\$145,000	\$535,000	-	-	\$680,000
NEW - 143 - Traffic Calming (Santana West Settlement Agreement)	-	\$250,000	-	-	-	-	\$250,000
NEW - 144 - Santa Clara School Access Improvements	-	\$200,000	\$1,450,000	-	-	-	\$1,650,000
NEW - 145 - Scott - Benton Traffic Signal Timing	-	\$165,000	-	-	-	-	\$165,000
NEW - 146 - Scott - Homestead Traffic Signal Modification	-	-	-	\$130,000	\$500,000	-	\$630,000
Total Transportation Projects	\$41,328,206	\$22,176,067	\$17,650,313	\$11,351,721	\$11,092,867	\$11,102,242	\$73,373,210



FUNDED | NEIGHBORHOOD TRAFFIC CALMING

Project Number:	1211	Theme / Category:	Transportation
Year Initiated:	2008	Project Manager:	Blossom Dulos
Planned Completion Year:	Ongoing	Department:	Public Works
Project Type:	Ongoing	Fund:	533 - Streets and Highways
Strategic Pillar	 02 - Deliver and Enhance High Quality Efficient Services and Infrastructure		
Location	Citywide		



Project Description This project provides funding for traffic calming studies to address residents' concerns. The City receives approximately 20 traffic calming study requests per year due to complaints of speeding or an increase in traffic volume in existing neighborhoods. The result of these studies may include recommendations to install traffic calming devices such as signage, striping, markings and/or curb extensions. Project funds can be used for the following: 1) study, design, and implementation of traffic calming measures based on resident request, 2) repair existing traffic calming measures such as Speed Radar Feedback Signs, or 3) future efforts to update the City's Traffic Calming Program guidelines.

Funding Sources	All Prior Actuals	2019/20	2020/21	2021/22	2022/23	2023/24	2024/25	Total
Total Sources	\$167	\$540,206	-	\$250,000	\$262,500	\$275,625	\$289,406	\$1,617,904

Expenditures	All Prior Actuals	2019/20	2020/21	2021/22	2022/23	2023/24	2024/25	Total
Construction	-	\$540,206	-	\$250,000	\$262,500	\$275,625	\$289,406	\$1,617,737
Salary And Wages - As Needed	\$167	-	-	-	-	-	-	\$167
Total Expenditures	\$167	\$540,206	-	\$250,000	\$262,500	\$275,625	\$289,406	\$1,617,904

Operating Impacts	-	-	-	-	-	-	-	-
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FUNDED | LED TRAFFIC SIGNAL & SAFETY LIGHT REPLACEMENTS

Project Number:	1212	Theme / Category:	Transportation
Year Initiated:	2008	Project Manager:	Joel Roque
Planned Completion Year:	Ongoing	Department:	Public Works
Project Type:	Ongoing	Fund:	533 - Streets and Highways
Strategic Pillar	 02 - Deliver and Enhance High Quality Efficient Services and Infrastructure		
Location	Citywide		



Project Description

This project will upgrade and replace failing Light Emitting Diode (LED) traffic signal heads (i.e. signal indications) and replace High Pressure Sodium (HPS) safety lights with LED safety lights. Safety lights are street lights which are placed on top of traffic signal poles.

There are currently 144 traffic signals, and 10 High Intensity Activated Crosswalk (HAWKS) beacons in the City of Santa Clara. These signals and HAWKS are typically equipped with LED traffic signal heads, however, these LEDs are near the end of life and are in need of replacement as a preventative maintenance measure. Replacing the HPS lights to LED lights will reduce the City's energy costs, is a more environmentally sustainable facility, and will provide better lighting at the signalized intersections.

Funding Sources	All Prior Actuals	2019/20	2020/21	2021/22	2022/23	2023/24	2024/25	Total
Total Sources	-	\$806,692	-	-	\$350,000	-	-	\$1,156,692

Expenditures	All Prior Actuals	2019/20	2020/21	2021/22	2022/23	2023/24	2024/25	Total
Construction	-	\$766,692	-	-	\$350,000	-	-	\$1,116,692
Salary And Wages - Regular	-	\$40,000	-	-	-	-	-	\$40,000
Total Expenditures	-	\$806,692	-	-	\$350,000	-	-	\$1,156,692

Operating Impacts	-	-	-	-	-	-	-	-
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FUNDED | TRAFFIC STUDIES AND SIGNAL NEEDS ASSESSMENT/UPGRADE

Project Number:	1216	Theme / Category:	Transportation
Year Initiated:	2007	Project Manager:	Nguyen Cam
Planned Completion Year:	Ongoing	Department:	Public Works
Project Type:	Ongoing	Fund:	533 - Streets and Highways
Strategic Pillar	 02 - Deliver and Enhance High Quality Efficient Services and Infrastructure		
Location	Various locations throughout the City.		



Project Description Several of the City's existing traffic signals have very old infrastructure and need to be improved to current standards. Older signal equipment will often result in inefficiency with signal operations. This project provides funding to perform traffic studies and needs assessments to upgrade the traffic signal system, as necessary. The City has 144 traffic signals within the its limits and approximately 30 signals have been identified in need of an upgrade due to age and updated traffic signal standards.

Funding Sources	All Prior Actuals	2019/20	2020/21	2021/22	2022/23	2023/24	2024/25	Total
Total Sources	\$2,649	\$221,533	-	\$100,000	-	\$100,000	-	\$424,182

Expenditures	All Prior Actuals	2019/20	2020/21	2021/22	2022/23	2023/24	2024/25	Total
Construction	\$2,649	\$221,533	-	-	-	-	-	\$224,182
Engineering	-	-	-	\$100,000	-	\$100,000	-	\$200,000
Total Expenditures	\$2,649	\$221,533	-	\$100,000	-	\$100,000	-	\$424,182

Operating Impacts	-	-	-	-	-	-	-	-
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**FUNDED | TRAFFIC SIGNAL MANAGEMENT SOFTWARE
UPGRADE/REPLACEMENT**

Project Number:	1217	Theme / Category:	Transportation
Year Initiated:	2009	Project Manager:	Nguyen Cam
Planned Completion Year:	FY 2022	Department:	Public Works
Project Type:	Distinct	Fund:	533 - Streets and Highways
Strategic Pillar	 02 - Deliver and Enhance High Quality Efficient Services and Infrastructure		
Location	Citywide		



Project Description

This project was previously known as the Central Control Traffic Signal Upgrade Project. The City's current traffic signal management software is in need of an upgrade due to compatibility issues with the City's operating system. This project provides funding for the software and hardware costs for a new system. In addition, this project will replace traffic signal controller processing units at signalized intersections throughout the City with a newer/more compatible version. This upgrade will modernize how staff will monitor and manage traffic within the City to improve traffic progression, which will reduce congestion and air pollution. Additionally, this new software will provide staff with the ability to adjust signal timing in real time.

Phase I of this project is software selection and is underway. Phase II is to procure and install the software (i.e. license) and hardware. Phase III of this project is to procure the new processing units and any additional software licenses necessary to connect the units to the Traffic Signal Management Software.

Funding Sources	All Prior Actuals	2019/20	2020/21	2021/22	2022/23	2023/24	2024/25	Total
Total Sources	\$29,006	\$698,216	\$525,000	\$353,071	-	-	-	\$1,605,293

Expenditures	All Prior Actuals	2019/20	2020/21	2021/22	2022/23	2023/24	2024/25	Total
Construction	\$18,219	\$638,560	-	-	-	-	-	\$656,779
Contingencies/Misc	\$344	\$29,656	-	-	-	-	-	\$30,000
Engineering	\$10,443	-	-	-	-	-	-	\$10,443
Equipment	-	-	\$525,000	\$353,071	-	-	-	\$878,071
Salary And Wages - Regular	-	\$30,000	-	-	-	-	-	\$30,000
Total Expenditures	\$29,006	\$698,216	\$525,000	\$353,071	-	-	-	\$1,605,293

Operating Impacts	-	-	-	-	-	-	-
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FUNDED | TRAFFIC PRE-EMPTORS

Project Number:	1218	Theme / Category:	Transportation
Year Initiated:	2009	Project Manager:	Nguyen Cam
Planned Completion Year:	FY 2022	Department:	Public Works
Project Type:	Distinct	Fund:	533 - Streets and Highways
Strategic Pillar	 02 - Deliver and Enhance High Quality Efficient Services and Infrastructure		
Location	Strategic traffic intersections throughout the City.		



Project Description

This project provides funding for the installation of new traffic signal pre-emptor devices in public safety vehicles and at select strategic signalized intersections within the City. Traffic signal pre-emption will allow vehicles equipped with a pre-emptor device to more easily obtain a green light as the vehicle approaches a pre-determined "pre-empted" signalized intersection. Pre-emptors decrease public safety response time and enhance the safety of emergency vehicles moving through traffic.

Phase I of this project is to procure vehicle pre-emption equipment and install these devices in the City's fire engines and police motorcycles. Phase II will procure and install pre-emption equipment at signalized intersections.

Funding Sources	All Prior Actuals	2019/20	2020/21	2021/22	2022/23	2023/24	2024/25	Total
Total Sources	\$1,127	\$582,265	\$150,000	\$150,000	-	-	-	\$883,392

Expenditures	All Prior Actuals	2019/20	2020/21	2021/22	2022/23	2023/24	2024/25	Total
Construction	-	\$522,265	\$150,000	\$150,000	-	-	-	\$822,265
Contingencies/Misc	\$344	-	-	-	-	-	-	\$344
Engineering	\$783	\$60,000	-	-	-	-	-	\$60,783
Total Expenditures	\$1,127	\$582,265	\$150,000	\$150,000	-	-	-	\$883,392

Operating Impacts	-	-	-	-	-	-	-	-
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FUNDED | TRAFFIC SIGNAL ENHANCEMENTS

Project Number:	1219	Theme / Category:	Transportation
Year Initiated:	2011	Project Manager:	Nguyen Cam
Planned Completion Year:	Ongoing	Department:	Public Works
Project Type:	Ongoing	Fund:	533 - Streets and Highways
Strategic Pillar	 02 - Deliver and Enhance High Quality Efficient Services and Infrastructure		
Location	Citywide		



Project Description

This project will enhance traffic signals throughout the City by installing traffic monitoring cameras, Bluetooth velocity readers, pedestrian crosswalk sensors, video detection systems, and audible pedestrian signal devices. These enhancements will allow staff to remotely monitor traffic, make timing changes remotely, and improve efficiencies at signalized intersections. Additionally, installing pedestrian crosswalk sensors and ADA compliant pedestrian signals will provide pedestrians with an improved walking environment.

This funding will provide video detection systems and Bluetooth velocity readers at approximately 28 intersections and video cameras for traffic monitoring at 46 locations. Funding will also be used for the installation of accessible pedestrian signal equipment at various intersections, with a primary focus on intersections with high pedestrian traffic. Pedestrian crosswalk sensors will also be installed at various locations including Great America Parkway, Tasman Drive, El Camino Real, and Stevens Creek Boulevard.

Funding Sources	All Prior Actuals	2019/20	2020/21	2021/22	2022/23	2023/24	2024/25	Total
Total Sources	\$2,127	\$59,224	-	-	\$400,000	\$425,000	\$425,000	\$1,311,351

Expenditures	All Prior Actuals	2019/20	2020/21	2021/22	2022/23	2023/24	2024/25	Total
Construction	-	\$59,224	-	-	\$400,000	\$425,000	\$425,000	\$1,309,224
Contingencies/Misc	\$344	-	-	-	-	-	-	\$344
Engineering	\$1,783	-	-	-	-	-	-	\$1,783
Total Expenditures	\$2,127	\$59,224	-	-	\$400,000	\$425,000	\$425,000	\$1,311,351

Operating Impacts	-	-	-	-	-	-	-	-
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FUNDED | PEDESTRIAN AND BICYCLE ENHANCEMENT FACILITIES

Project Number:	1220	Theme / Category:	Transportation
Year Initiated:	2011	Project Manager:	Carol Shariat
Planned Completion Year:	Ongoing	Department:	Public Works
Project Type:	Ongoing	Fund:	533 - Streets and Highways
Strategic Pillar	 02 - Deliver and Enhance High Quality Efficient Services and Infrastructure		
Location	Citywide		



Project Description City Council approved the Santa Clara Bicycle Plan Update in 2019, and staff is currently working on a Pedestrian Master Plan. Both plans have or will recommend improvement projects. These improvements are unfunded and thus, staff will be pursuing various grant opportunities for project funding. This project would be used to fund the local match associated with grant applications for bicycle and pedestrian facilities. This project will also supplement funding for the bicycle lane improvements being done under the Pruneridge Avenue at Lawrence Expressway project and provide the local match for the Santa Clara School Access Improvements project.

Funding Sources	All Prior Actuals	2019/20	2020/21	2021/22	2022/23	2023/24	2024/25	Total
Total Sources	\$1,500	\$413,944	\$1,200,000	\$1,200,000	\$850,000	\$850,000	\$850,000	\$5,365,444

Expenditures	All Prior Actuals	2019/20	2020/21	2021/22	2022/23	2023/24	2024/25	Total
Construction	-	\$353,944	\$1,100,000	\$1,100,000	\$750,000	\$750,000	\$750,000	\$4,803,944
Contingencies/Misc	-	\$30,000	-	-	-	-	-	\$30,000
Engineering	\$1,500	-	\$100,000	\$100,000	\$100,000	\$100,000	\$100,000	\$501,500
Salary And Wages - As Needed	-	\$20,000	-	-	-	-	-	\$20,000
Salary And Wages - Regular	-	\$10,000	-	-	-	-	-	\$10,000
Total Expenditures	\$1,500	\$413,944	\$1,200,000	\$1,200,000	\$850,000	\$850,000	\$850,000	\$5,365,444

Operating Impacts	-	-	-	-	-	-	-	-
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FUNDED | SANTA CLARA VTA - CONGESTION MANAGEMENT PROGRAM

Project Number:	1225	Theme / Category:	Transportation
Year Initiated:	2014	Project Manager:	Carol Shariat
Planned Completion Year:	Ongoing	Department:	Public Works
Project Type:	Ongoing	Fund:	533 - Streets and Highways
Strategic Pillar	 02 - Deliver and Enhance High Quality Efficient Services and Infrastructure		
Location	Citywide		



Project Description This project was previously known as the Santa Clara Valley Transportation Authority - Congestion Management Program project. Per Proposition 111, every county with an urbanized population of more than 50,000 must establish a Congestion Management Agency (CMA). The Valley Transportation Authority (VTA) is the designated CMA for Santa Clara County and it administers the Congestion Management Program (CMP). CMA's are designed to meet the goals of increasing the efficiency of existing transit and roadway systems, planning the best capital improvements to these systems, and improving the local land use decision-making process to support and complement the transportation system investments. The City is a member agency of the VTA CMP. The CMP is funded through assessments to local jurisdictions (member agencies). This project provides funding for the fees assessed by VTA every year for being a member agency.

Funding Sources	All Prior Actuals	2019/20	2020/21	2021/22	2022/23	2023/24	2024/25	Total
Total Sources	\$228,299	\$256,666	\$244,560	\$245,000	\$261,979	\$265,000	\$280,638	\$1,782,142

Expenditures	All Prior Actuals	2019/20	2020/21	2021/22	2022/23	2023/24	2024/25	Total
Engineering	\$228,299	\$256,666	\$244,560	\$245,000	\$261,979	\$265,000	\$280,638	\$1,782,142
Total Expenditures	\$228,299	\$256,666	\$244,560	\$245,000	\$261,979	\$265,000	\$280,638	\$1,782,142

Operating Impacts	-	-	-	-	-	-	-	-
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FUNDED | UNCONTROLLED CROSSWALKS IMPROVEMENTS PROJECT

Project Number:	1226	Theme / Category:	Transportation
Year Initiated:	2017	Project Manager:	Pratyush Bhatia
Planned Completion Year:	Ongoing	Department:	Public Works
Project Type:	Ongoing	Fund:	533 - Streets and Highways
Strategic Pillar	 02 - Deliver and Enhance High Quality Efficient Services and Infrastructure		
Location	Various locations throughout the City		



Project Description In 2016, per the direction of City Council, staff identified approximately 200 uncontrolled crosswalks throughout the City. This project funds the development of a master plan that will include prioritizing the study of these uncontrolled crosswalks for potential improvements in FY 2020/21. In addition, this project funds minor crosswalks improvements based on a traffic engineering study in the outyears of this five-year CIP. Improvements can include Rectangular Rapid Flashing Beacons (RRFBs), bulb-outs, signing and striping improvements.

Funding Sources	All Prior Actuals	2019/20	2020/21	2021/22	2022/23	2023/24	2024/25	Total
Total Sources	\$27,600	\$1,849,708	\$600,000	\$400,000	\$400,000	\$400,000	\$854,831	\$4,532,139

Expenditures	All Prior Actuals	2019/20	2020/21	2021/22	2022/23	2023/24	2024/25	Total
Construction	\$27,600	\$1,693,358	\$497,156	\$366,523	\$366,236	\$365,818	\$781,782	\$4,098,473
Contingencies/Misc	-	\$10,300	-	-	-	-	-	\$10,300
Engineering	-	\$135,750	\$102,844	\$33,477	\$33,764	\$34,182	\$73,049	\$413,066
Salary And Wages - Regular	-	\$10,300	-	-	-	-	-	\$10,300
Total Expenditures	\$27,600	\$1,849,708	\$600,000	\$400,000	\$400,000	\$400,000	\$854,831	\$4,532,139

Operating Impacts	-	-	-	-	-	-	-	-
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FUNDED | CHANGEABLE MESSAGE SIGNS

Project Number:	1227	Theme / Category:	Transportation
Year Initiated:	2017	Project Manager:	Nguyen Cam
Planned Completion Year:	FY 2022	Department:	Public Works
Project Type:	Distinct	Fund:	533 - Streets and Highways
Strategic Pillar	 02 - Deliver and Enhance High Quality Efficient Services and Infrastructure		
Location	Great America Parkway, Lafayette Street, Tasman Drive		



Project Description This project provides funding for the installation of permanent Changeable Message Signs (CMS) at strategic locations on major corridors (Great America Parkway, Tasman Drive, Lafayette Street, Mission College Boulevard) in the north Bayshore area of the City. The purpose of a CMS is to provide real-time traffic way-finding to the Convention Center, Great America Theme Park, Mission College, Levi's Stadium and hotels in the area. Two of the signs will span the full width of Great America Parkway. A CMS can also be used to provide guidance information to motorists in case of an emergency.

Funding Sources	All Prior Actuals	2019/20	2020/21	2021/22	2022/23	2023/24	2024/25	Total
Total Sources	\$131,343	\$1,706,055	\$2,063,796	-	-	-	-	\$3,901,194

Expenditures	All Prior Actuals	2019/20	2020/21	2021/22	2022/23	2023/24	2024/25	Total
Construction	\$28,595	\$1,706,055	\$2,063,796	-	-	-	-	\$3,798,446
Engineering	\$102,748	-	-	-	-	-	-	\$102,748
Total Expenditures	\$131,343	\$1,706,055	\$2,063,796	-	-	-	-	\$3,901,194

Operating Impacts	-	-	-	-	-	-	-	-
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FUNDED | REPLACEMENT OF UNDERGROUND TRAFFIC SIGNAL INFRASTRUCTURE

Project Number:	1228	Theme / Category:	Transportation
Year Initiated:	2011	Project Manager:	Nguyen Cam
Planned Completion Year:	Ongoing	Department:	Public Works
Project Type:	Ongoing	Fund:	533 - Streets and Highways
Strategic Pillar	 02 - Deliver and Enhance High Quality Efficient Services and Infrastructure		
Location	Various signalized intersections		



Project Description

This project provides funding for the replacement of the underground traffic signal infrastructure, such as conduits, detection loops, pull boxes and other traffic signal substructures that are no longer maintainable or usable due to age, corrosion, mechanical/environmental damage, or conduits that have reached full capacity. Replacing the damaged underground infrastructure will allow the intersection to operate as it was designed, thereby reducing delays and inconveniences to the general public.

This project has two phases. Phase I will consist of traffic signal loop replacements at 8 to 10 locations in the City. Phase II will use funding from this project to supplement the budget for the traffic signal maintenance contract.

Funding Sources	All Prior Actuals	2019/20	2020/21	2021/22	2022/23	2023/24	2024/25	Total
Total Sources	-	\$120,122	-	\$50,000	\$50,000	\$50,000	-	\$270,122

Expenditures	All Prior Actuals	2019/20	2020/21	2021/22	2022/23	2023/24	2024/25	Total
Construction	-	\$120,122	-	\$50,000	\$50,000	\$50,000	-	\$270,122
Total Expenditures	-	\$120,122	-	\$50,000	\$50,000	\$50,000	-	\$270,122

Operating Impacts	-	-	-	-	-	-	-	-
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FUNDED | TRAFFIC SIGNAL INTERCONNECT UPGRADE

Project Number:	1232	Theme / Category:	Transportation
Year Initiated:	2018	Project Manager:	Joel Roque
Planned Completion Year:	FY 2025	Department:	Public Works
Project Type:	Distinct	Fund:	533 - Streets and Highways
Strategic Pillar	 02 - Deliver and Enhance High Quality Efficient Services and Infrastructure		
Location	Great America Pkwy, Tasman Dr. Corridors		



Project Description This project provides funding to replace the copper interconnect cables with fiber optic cables along Great America Parkway and Tasman Drive. The City's existing traffic signal interconnect network is comprised of both fiber optic cables and copper wires. Fiber optic cables are the newer standard for data transmission and are capable of transmitting one gigabyte of data per second while copper wires can only transmit up to 30 megabytes of data per second. Currently, Great America Parkway and Tasman Drive are interconnected via copper cables. The traffic signals along these two major corridors are equipped with traffic monitoring cameras that stream high definition video back to the City's Traffic Management Center. Streaming video and other data from these traffic signals over copper wires results in noticeably slower streaming speeds and quality compared to other traffic signals in the City that are connected over fiber optic cables.

Funding Sources	All Prior Actuals	2019/20	2020/21	2021/22	2022/23	2023/24	2024/25	Total
Total Sources	-	-	\$100,000	\$350,000	\$450,000	\$400,000	\$550,000	\$1,850,000

Expenditures	All Prior Actuals	2019/20	2020/21	2021/22	2022/23	2023/24	2024/25	Total
Construction	-	-	-	\$350,000	\$350,000	\$400,000	\$450,000	\$1,550,000
Engineering	-	-	\$100,000	-	\$100,000	-	\$100,000	\$300,000
Total Expenditures	-	-	\$100,000	\$350,000	\$450,000	\$400,000	\$550,000	\$1,850,000

Operating Impacts	-	-	-	-	-	-	-	-
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FUNDED | TRAVEL DEMAND MODEL UPDATE

Project Number:	1234	Theme / Category:	Transportation
Year Initiated:	2018	Project Manager:	Carol Shariat
Planned Completion Year:	Ongoing	Department:	Public Works
Project Type:	Ongoing	Fund:	533 - Streets and Highways
Strategic Pillar	 02 - Deliver and Enhance High Quality Efficient Services and Infrastructure		
Location	Citywide		



Project Description This project provides funding to update and maintain the City's travel demand model. The model was last updated in 2015 and a comprehensive update is necessary every five years over the life of the City's General Plan. Minor updates to the model should also occur every other year and is currently anticipated for FY 2022/23. Travel demand models need to be updated on a regular basis to accurately reflect and approve changes to the General Plan land-use diagram and/or the City's transportation roadway network. Project funds will be used to hire a consultant to update the model in FY 2020/21 which includes collecting new traffic counts to validate and calibrate the model against the model's projected traffic data. In addition, software to view the model results will be purchased for City staff. This software will enable staff to quickly and easily review the outputs of a model run performed by land use consultants and provide direction to these consultants should refinements be needed.

Funding Sources	All Prior Actuals	2019/20	2020/21	2021/22	2022/23	2023/24	2024/25	Total
Total Sources	-	-	\$200,000	-	\$50,000	-	\$55,125	\$305,125

Expenditures	All Prior Actuals	2019/20	2020/21	2021/22	2022/23	2023/24	2024/25	Total
Contingencies/Misc	-	-	\$20,000	-	\$5,000	-	\$5,513	\$30,513
Engineering	-	-	\$180,000	-	\$45,000	-	\$49,612	\$274,612
Total Expenditures	-	-	\$200,000	-	\$50,000	-	\$55,125	\$305,125

Operating Impacts	-	-	-	-	-	-	-	-
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FUNDED | ANNUAL STREET MAINTENANCE AND REHABILITATION PROGRAM

Project Number:	1235	Theme / Category:	Transportation
Year Initiated:	2019	Project Manager:	Vincent Luchessi
Planned Completion Year:	Ongoing	Department:	Public Works
Project Type:	Ongoing	Fund:	533 - Streets and Highways
Strategic Pillar	 02 - Deliver and Enhance High Quality Efficient Services and Infrastructure		
Location	Citywide		



Project Description This project provides ongoing roadway infrastructure preservation and rehabilitation to maintain the City's street network of approximately 250 center line miles. Specific yearly projects for streets selected for treatment under the program are based upon condition surveys and analysis through the Pavement Management System (PMS). The PMS prioritizes pavement maintenance projects in order to provide the most cost-effective maintenance program based upon street condition, remaining service life, and available funds. The yearly projects include placing surface seals, resurfacing, and/or reconstruction. Ancillary work includes removing and replacing failed pavement, replacement of concrete curbs, gutters, sidewalks, and curb ramps, and installation of roadway striping and pavement markings.

Funding Sources	All Prior Actuals	2019/20	2020/21	2021/22	2022/23	2023/24	2024/25	Total
Total Sources	\$4,515,316	\$9,786,818	\$8,090,085	\$6,459,711	\$6,459,711	\$6,459,711	\$6,459,711	\$48,231,063

Expenditures	All Prior Actuals	2019/20	2020/21	2021/22	2022/23	2023/24	2024/25	Total
Construction	\$4,490,106	\$8,712,897	\$8,080,085	\$6,449,711	\$6,449,711	\$6,449,711	\$6,449,711	\$47,081,932
Contingencies/Misc	\$4,674	\$15,326	\$10,000	\$10,000	\$10,000	\$10,000	\$10,000	\$70,000
Engineering	\$20,536	\$1,058,595	-	-	-	-	-	\$1,079,131
Total Expenditures	\$4,515,316	\$9,786,818	\$8,090,085	\$6,459,711	\$6,459,711	\$6,459,711	\$6,459,711	\$48,231,063

Operating Impacts	-	-	-	-	-	-	-	-
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FUNDED | BENTON BIKE LANES

Project Number:	1245	Theme / Category:	Transportation
Year Initiated:	2019	Project Manager:	Evelyn Liang
Planned Completion Year:	FY 2021	Department:	Public Works
Project Type:	Distinct	Fund:	533 - Streets and Highways
Strategic Pillar	 02 - Deliver and Enhance High Quality Efficient Services and Infrastructure		
Location	Benton Street (between Monroe Street and El Camino Real)		



Project Description This project provides funding for the installation of Class II bicycle lanes on Benton Street between Monroe Street and El Camino Real. Consistent with the City’s Bicycle Master Plan, this project will provide a needed bicycle connection between existing bicycle lanes on Monroe Street and the Santa Clara Caltrain Station, thereby improving multi-modal transportation options.

Funding Sources	All Prior Actuals	2019/20	2020/21	2021/22	2022/23	2023/24	2024/25	Total
Total Sources	-	\$150,000	\$176,000	-	-	-	-	\$326,000

Expenditures	All Prior Actuals	2019/20	2020/21	2021/22	2022/23	2023/24	2024/25	Total
Construction	-	\$150,000	\$176,000	-	-	-	-	\$326,000
Total Expenditures	-	\$150,000	\$176,000	-	-	-	-	\$326,000

Operating Impacts	-	-	-	-	-	-	-	-
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FUNDED | ANNUAL CURB RAMP INSTALLATION

Project Number:	1250	Theme / Category:	Transportation
Year Initiated:	2018	Project Manager:	Vincent Luchessi
Planned Completion Year:	Ongoing	Department:	Public Works
Project Type:	Ongoing	Fund:	533 - Streets and Highways
Strategic Pillar	 02 - Deliver and Enhance High Quality Efficient Services and Infrastructure		
Location	Various locations citywide in the public right-of-way.		



Project Description This project provides funding for the replacement of existing concrete curb ramps that do not comply with the current Americans with Disabilities Act (ADA) guidelines, and the installation of new curb ramps where they do not exist in order to remove barriers for accessibility in the public right-of-way.

Funding Sources	All Prior Actuals	2019/20	2020/21	2021/22	2022/23	2023/24	2024/25	Total
Total Sources	-	-	\$300,000	\$300,000	-	-	-	\$600,000

Expenditures	All Prior Actuals	2019/20	2020/21	2021/22	2022/23	2023/24	2024/25	Total
Construction	-	-	\$226,815	\$226,815	-	-	-	\$453,630
Contingencies/Misc	-	-	\$22,983	\$22,546	-	-	-	\$45,529
Engineering	-	-	\$50,202	\$50,639	-	-	-	\$100,841
Total Expenditures	-	-	\$300,000	\$300,000	-	-	-	\$600,000

Operating Impacts	-	-	-	-	-	-	-	-
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FUNDED | BRIDGE MAINTENANCE PROGRAM

Project Number:	1325	Theme / Category:	Transportation
Year Initiated:	2007	Project Manager:	Vincent Luchessi
Planned Completion Year:	Ongoing	Department:	Public Works
Project Type:	Ongoing	Fund:	533 - Streets and Highways
Strategic Pillar	 02 - Deliver and Enhance High Quality Efficient Services and Infrastructure		
Location	Various bridges throughout the City		



Project Description This project funds preventative maintenance and rehabilitation for the City's existing bridge inventory. The bridge inventory includes approximately 49 bridges. Of these bridges, two are jointly owned with the City of San Jose, and seven are jointly owned with the City of Sunnyvale. There are also three additional bridges owned by the State of California for which the City has responsibilities under maintenance agreements. The State of California Department of Transportation (Caltrans) is responsible for performing regular inspections of the bridges involving public streets and identifying recommendations for maintenance and rehabilitation work. The Department of Public Works prioritizes the work recommendations for implementation and performs the design and construction of those recommendations under this project.

Funding Sources	All Prior Actuals	2019/20	2020/21	2021/22	2022/23	2023/24	2024/25	Total
Total Sources	\$561,750	\$259,894	\$140,000	\$330,000	\$640,000	\$820,000	\$790,000	\$3,541,644

Expenditures	All Prior Actuals	2019/20	2020/21	2021/22	2022/23	2023/24	2024/25	Total
Construction	\$559,085	\$259,894	-	\$200,000	\$460,000	\$630,000	\$640,000	\$2,748,979
Engineering	-	-	\$140,000	\$130,000	\$180,000	\$190,000	\$150,000	\$790,000
Salary And Wages - Regular	\$1,634	-	-	-	-	-	-	\$1,634
Srvc From Other Funds- Alloc OH	\$760	-	-	-	-	-	-	\$760
Srvc From Other Funds- Alloc Ben	\$271	-	-	-	-	-	-	\$271
Total Expenditures	\$561,750	\$259,894	\$140,000	\$330,000	\$640,000	\$820,000	\$790,000	\$3,541,644

Operating Impacts	-	-	-	-	-	-	-	-
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FUNDED | TRAFFIC SIGNAL CONTROLLER UPGRADE/REPLACEMENT

Project Number:	1357	Theme / Category:	Transportation
Year Initiated:	2013	Project Manager:	Nguyen Cam
Planned Completion Year:	Ongoing	Department:	Public Works
Project Type:	Ongoing	Fund:	533 - Streets and Highways
Strategic Pillar	 02 - Deliver and Enhance High Quality Efficient Services and Infrastructure		
Location	Various locations		



Project Description

This project provides funding for the upgrade/replacement of approximately 15 older citywide traffic signal controllers to the current standard 2070 controllers. These older controllers cannot communicate with the City's Traffic Management Center. Additionally, there is no longer technical vendor support or replacement parts for these controllers. Funding from this project can also be used to replace other obsolete traffic signal infrastructure, software, firmware, and cabinets to the current City's standard.

This project has two phases. Phase I will complete the upgrade/replace controllers and cabinets at eight intersections in FY 2020/21, and Phase II will upgrade/replace controllers and cabinets at seven intersections in FY 22/23.

Funding Sources	All Prior Actuals	2019/20	2020/21	2021/22	2022/23	2023/24	2024/25	Total
Total Sources	\$301,628	\$480,872	-	-	\$65,000	\$100,000	\$100,000	\$1,047,500

Expenditures	All Prior Actuals	2019/20	2020/21	2021/22	2022/23	2023/24	2024/25	Total
Construction	\$301,628	\$440,872	-	-	\$65,000	\$100,000	\$100,000	\$1,007,500
Contingencies/Misc	-	\$10,000	-	-	-	-	-	\$10,000
Engineering	-	\$30,000	-	-	-	-	-	\$30,000
Total Expenditures	\$301,628	\$480,872	-	-	\$65,000	\$100,000	\$100,000	\$1,047,500

Operating Impacts	-	-	-	-	-	-	-	-
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FUNDED | SAFE ROUTES TO SCHOOL

Project Number:	1376	Theme / Category:	Transportation
Year Initiated:	2015	Project Manager:	Carol Shariat
Planned Completion Year:	Ongoing	Department:	Public Works
Project Type:	Ongoing	Fund:	533 - Streets and Highways
Strategic Pillar	 02 - Deliver and Enhance High Quality Efficient Services and Infrastructure		
Location	Various Schools in Santa Clara.		



Project Description

This project was previously known as Vehicle Emissions Reductions Based in School (VERBS) Phase 2. This project provides funds for the City's Safe Routes to School program and can be used to hire a consultant to assist with implementation of the program. For the past three fiscal years, the City's Safe Routes to School program has been funded by a Vehicle Emissions Reduction Based in School grant provided by the Metropolitan Transportation Commission's (MTC) One Bay Area Safe Routes to School program. This VERBS grant will no longer provide funding for non-infrastructure community needs, such as the City's Safe Routes to School education program. FY 2019/20 is the final year the City will receive VERBS grant funding for the program. The City will be using Measure B funding to continue this program, with full funding in FY 2020/21 and partial funding in the out-years. There are currently 12 schools participating in the program. In the first year, the City will hire a consultant, meet with the school district, select schools to participate, and develop/update safe routes to school maps. School walk audits will also be conducted, with safety training offered to parents, teachers, and the community.

Funding Sources	All Prior Actuals	2019/20	2020/21	2021/22	2022/23	2023/24	2024/25	Total
Total Sources	\$130,013	\$57,824	\$271,626	\$67,531	\$67,531	\$67,531	\$67,531	\$729,587

Expenditures	All Prior Actuals	2019/20	2020/21	2021/22	2022/23	2023/24	2024/25	Total
Construction	\$128,468	\$57,824	\$271,626	\$67,531	\$67,531	\$67,531	\$67,531	\$728,042
Salary And Wages - Regular	\$1,545	-	-	-	-	-	-	\$1,545
Total Expenditures	\$130,013	\$57,824	\$271,626	\$67,531	\$67,531	\$67,531	\$67,531	\$729,587

Operating Impacts	-	-	-	-	-	-	-	-
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FUNDED | SIDEWALK, CURB AND GUTTER REPAIR

Project Number:	1382	Theme / Category:	Transportation
Year Initiated:	2016	Project Manager:	Chris Fazzi
Planned Completion Year:	Ongoing	Department:	Public Works
Project Type:	Ongoing	Fund:	533 - Streets and Highways
Strategic Pillar	 02 - Deliver and Enhance High Quality Efficient Services and Infrastructure		
Location	Citywide		



Project Description This project funds the repair of concrete sidewalks, curbs, and gutters to maintain accessibility and minimize trip and fall hazards at locations identified throughout the City. New ADA ramps are installed through this project to meet requirements as necessary. The sidewalk, curb, and gutter repairs are identified, prioritized, and completed throughout the year. An agreement is in place with a contractor to perform these repairs. There are currently 350 repair sites on the backlog for sidewalk, curb, and gutter repair. The typical time to complete these repairs is between 12-16 months.

Funding Sources	All Prior Actuals	2019/20	2020/21	2021/22	2022/23	2023/24	2024/25	Total
Total Sources	\$849,753	\$434,592	\$380,000	\$380,000	\$380,000	\$380,000	\$380,000	\$3,184,345

Expenditures	All Prior Actuals	2019/20	2020/21	2021/22	2022/23	2023/24	2024/25	Total
Construction	\$849,753	\$434,592	\$380,000	\$380,000	\$380,000	\$380,000	\$380,000	\$3,184,345
Total Expenditures	\$849,753	\$434,592	\$380,000	\$380,000	\$380,000	\$380,000	\$380,000	\$3,184,345

Operating Impacts	-	-	-	-	-	-	-	-
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FUNDED | PUBLIC RIGHT-OF-WAY ADA IMPROVEMENTS (SETTLEMENT AGREEMENT)

Project Number:	NEW - 131	Theme / Category:	Transportation
Year Initiated:	2019	Project Manager:	Vincent Luchessi
Planned Completion Year:	FY 2022	Department:	City Attorney's Office
Project Type:	Distinct	Fund:	533 - Streets and Highways
Strategic Pillar	 02 - Deliver and Enhance High Quality Efficient Services and Infrastructure		
Location	Surrounding neighborhood at Levi's Stadium		



Project Description In 2020, the City entered into a settlement agreement based on litigation that requires the City to perform improvements in the public right-of-way to remove barriers to accessibility under the Americans with Disabilities Act (ADA). The barriers to be removed generally involve concrete sidewalks, curb ramps, driveways, asphalt repairs, pedestrian street crossings, parking lots, landscape areas, rail crossings, traffic signals, and other barriers. Based upon accessibility standards, changes to drainage, utilities, grades, and improvements on private property may be necessary. These potential changes will be further identified as the project design process progresses. This project is to perform the improvements in the public right-of-way per the terms of the settlement agreement.

Funding Sources	All Prior Actuals	2019/20	2020/21	2021/22	2022/23	2023/24	2024/25	Total
Total Sources	-	\$750,000	\$5,750,000	\$5,000,000	-	-	-	\$11,500,000

Expenditures	All Prior Actuals	2019/20	2020/21	2021/22	2022/23	2023/24	2024/25	Total
Construction	-	-	\$5,000,000	\$5,000,000	-	-	-	\$10,000,000
Engineering	-	\$750,000	\$750,000	-	-	-	-	\$1,500,000
Total Expenditures	-	\$750,000	\$5,750,000	\$5,000,000	-	-	-	\$11,500,000

Operating Impacts	-	-	-	-	-	-	-	-
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FUNDED | ADAPTIVE SIGNAL SYSTEM (SANTANA WEST SETTLEMENT AGREEMENT)

Project Number:	NEW - 132	Theme / Category:	Transportation
Year Initiated:	2019	Project Manager:	Nguyen Cam
Planned Completion Year:	FY 2021	Department:	Public Works
Project Type:	Distinct	Fund:	533 - Streets and Highways
Strategic Pillar	 02 - Deliver and Enhance High Quality Efficient Services and Infrastructure		
Location	Stevens Creek Boulevard, Saratoga Avenue and Cypress Avenue, Pruneridge Avenue		



Project Description Traditional traffic signals operate on fixed vehicle timing, based on estimates of traffic volume. The amount of time for each vehicular movement is timed with a minimum and a maximum value. When actual traffic volumes exceed the maximum time needed to clear the intersection, the traffic signal controller does not have the capability to adjust the timing for the next cycle. Adaptive signals provide automated real-time management of a traffic signal system and will reduce traffic congestion, fuel consumption, emissions, and associated traffic delays. The project will design, procure, and install adaptive signal systems in addition to video cameras and Bluetooth/WiFi travel time readers at specific intersections along Stevens Creek Boulevard and Saratoga Avenue and at the intersection of Cypress Avenue and Pruneridge Avenue. This project will complement the City's Adaptive Signal System project.

Funding Sources	All Prior Actuals	2019/20	2020/21	2021/22	2022/23	2023/24	2024/25	Total
Total Sources	-	-	\$950,000	-	-	-	-	\$950,000

Expenditures	All Prior Actuals	2019/20	2020/21	2021/22	2022/23	2023/24	2024/25	Total
Construction	-	-	\$850,000	-	-	-	-	\$850,000
Engineering	-	-	\$100,000	-	-	-	-	\$100,000
Total Expenditures	-	-	\$950,000	-	-	-	-	\$950,000

Operating Impacts	-	-	-	-	-	-	-	-
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FUNDED | TRAFFIC ENGINEERING CONSULTANT SUPPORT

Project Number:	NEW - 134	Theme / Category:	Transportation
Year Initiated:	2019	Project Manager:	Carol Shariat
Planned Completion Year:	Ongoing	Department:	Public Works
Project Type:	Ongoing	Fund:	533 - Streets and Highways
Strategic Pillar	 02 - Deliver and Enhance High Quality Efficient Services and Infrastructure		
Location	Citywide		



Project Description This project provides funding to assist Traffic Division staff with the current heavy workload due to the large number of incoming development projects, resident concerns, and City Council requests. Consultant services, as an extension of staff, would be utilized to assist Traffic Division staff with completing tasks such as traffic operations studies, traffic calming studies, speed studies, grant application preparation, resident requests, review of development traffic impact studies, review of traffic control plans, review of development site plans, and review of transportation planning documents.

Funding Sources	All Prior Actuals	2019/20	2020/21	2021/22	2022/23	2023/24	2024/25	Total
Total Sources	-	-	\$125,000	\$125,000	-	-	-	\$250,000

Expenditures	All Prior Actuals	2019/20	2020/21	2021/22	2022/23	2023/24	2024/25	Total
Engineering	-	-	\$125,000	\$125,000	-	-	-	\$250,000
Total Expenditures	-	-	\$125,000	\$125,000	-	-	-	\$250,000

Operating Impacts	-	-	-	-	-	-	-	-
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FUNDED | MULTIMODAL IMPROVEMENT PLAN PHASE 2 PROJECTS

Project Number:	NEW - 140	Theme / Category:	Transportation
Year Initiated:	2020	Project Manager:	Pratyush Bhatia
Planned Completion Year:	FY 2022	Department:	Public Works
Project Type:	Distinct	Fund:	533 - Streets and Highways
Strategic Pillar	 02 - Deliver and Enhance High Quality Efficient Services and Infrastructure		
Location	Various locations		



Project Description

On September 18, 2018, the City Council adopted the Multi-Modal Improvement Plan (MIP) for the Related Santa Clara Development (Project). The MIP was prepared to address projected transportation impacts to Congestion Management Program (CMP) facilities in Santa Clara resulting from the City’s approval of the Project and associated EIR in June 2016. Specifically, seven CMP intersections are projected to be impacted with the build out of the Project: 1) Great America Parkway and Tasman Drive (City), 2) Great America Parkway and Mission College Boulevard (City), 3) Agnew Road/De La Cruz Boulevard and Montague Expressway (City/County), 4) Scott Boulevard and Central Expressway (City/County), 5) De La Cruz Boulevard and Central Expressway (City/County), 6) San Tomas Expressway and Monroe Street (City/County), and 7) Lafayette Street and El Camino Real (City/Caltrans). As the Project’s impacts on these intersections cannot be fully mitigated, the City prepared and adopted the MIP, which would evaluate proposed multi-modal improvements at or near these intersections. The MIP was also approved by the Valley Transportation Authority (VTA) who administers the CMP.

The Project will install Phase 2 MIP improvements including bike lockers/racks, traffic monitoring cameras, install travel time data collection systems, crosswalk motion sensors, accessible pedestrian signals and upgrade safety lighting at intersections.

Funding Sources	All Prior Actuals	2019/20	2020/21	2021/22	2022/23	2023/24	2024/25	Total
Total Sources	-	-	\$295,000	\$295,000	-	-	-	\$590,000

Expenditures	All Prior Actuals	2019/20	2020/21	2021/22	2022/23	2023/24	2024/25	Total
Construction	-	-	\$295,000	\$295,000	-	-	-	\$590,000
Total Expenditures	-	-	\$295,000	\$295,000	-	-	-	\$590,000

Operating Impacts	-	-	-	-	-	-	-	-
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FUNDED | MONROE - LOS PADRES TRAFFIC SIGNAL MODIFICATION

Project Number:	NEW - 141	Theme / Category:	Transportation
Year Initiated:	2021	Project Manager:	Huy Nguyen
Planned Completion Year:	FY 2023	Department:	Public Works
Project Type:	Distinct	Fund:	533 - Streets and Highways
Strategic Pillar	 02 - Deliver and Enhance High Quality Efficient Services and Infrastructure		
Location	Monroe Street and Los Padres		



Project Description Members of the public contacted the City requesting the left turn from Monroe Street onto Los Padres Boulevard to have its own signal phase. Motorists indicated their confusion as the left turn lane is striped, however, the signal does not provide left turn indicators (i.e. arrows) for this movement. The project includes modification to the existing traffic signal at this intersection to provide "protective" left turns from Monroe Street onto Los Padres Boulevard. This adds left turn signal indications, installs vehicle detection system, and re-programs the existing traffic signal controller.

Funding Sources	All Prior Actuals	2019/20	2020/21	2021/22	2022/23	2023/24	2024/25	Total
Total Sources	-	-		\$145,000	\$535,000	-	-	\$680,000

Expenditures	All Prior Actuals	2019/20	2020/21	2021/22	2022/23	2023/24	2024/25	Total
Construction	-	-	-		\$535,000	-	-	\$535,000
Engineering	-	-		\$145,000		-	-	\$145,000
Total Expenditures	-	-		\$145,000	\$535,000	-	-	\$680,000

Operating Impacts			-	-	-	-	-	-
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FUNDED | TRAFFIC CALMING (SANTANA WEST SETTLEMENT AGREEMENT)

Project Number:	NEW - 143	Theme / Category:	Transportation
Year Initiated:	2019	Project Manager:	Vincent Luchessi
Planned Completion Year:	FY 2022	Department:	Public Works
Project Type:	Distinct	Fund:	533 - Streets and Highways
Strategic Pillar	 02 - Deliver and Enhance High Quality Efficient Services and Infrastructure		
Location	Forest Avenue		



Project Description In January 2018, the City of Santa Clara and the City of San Jose entered into a Settlement Agreement regarding the proposed Santana West development in San Jose. This project will study, perform public outreach, and implement traffic calming features in the South of Forest Neighborhood to discourage cut through traffic due to the increase of development in the City of San Jose. Neighborhood outreach is anticipated to be completed in the second half of FY 2019/20. Design of improvements is anticipated to occur in FY 2020/21 with construction in FY 2021/22.

Funding Sources	All Prior Actuals	2019/20	2020/21	2021/22	2022/23	2023/24	2024/25	Total
Total Sources	-	-	\$250,000	-	-	-	-	\$250,000

Expenditures	All Prior Actuals	2019/20	2020/21	2021/22	2022/23	2023/24	2024/25	Total
Construction	-	-	\$175,000	-	-	-	-	\$175,000
Engineering	-	-	\$75,000	-	-	-	-	\$75,000
Total Expenditures	-	-	\$250,000	-	-	-	-	\$250,000

Operating Impacts	-	-	-	-	-	-	-	-
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FUNDED | SANTA CLARA SCHOOL ACCESS IMPROVEMENTS

Project Number:	NEW - 144	Theme / Category:	Transportation
Year Initiated:	2020	Project Manager:	Vincent Luchessi
Planned Completion Year:	FY 2022	Department:	Public Works
Project Type:	Distinct	Fund:	533 - Streets and Highways
Strategic Pillar	 02 - Deliver and Enhance High Quality Efficient Services and Infrastructure		
Location	Various locations		



Project Description The project provides funding for the study, design, and installation of various improvements to enhance bicycle and pedestrian access for multiple schools within Santa Clara. Improvements may include additional sidewalks, curb ramps, high visibility crosswalks, bulb-outs, Rectangular Rapid Flashing Beacons (RRFBs), High Intensity Activated Crosswalks (HAWKs) signals, pedestrian activated signal upgrades, and upgraded school zone signage. The budget for the project has been set by the grant and local match. The first step of design services will be to finalize locations and proposed improvements.

Funding Sources	All Prior Actuals	2019/20	2020/21	2021/22	2022/23	2023/24	2024/25	Total
Total Sources	-	-	\$200,000	\$1,450,000	-	-	-	\$1,650,000

Expenditures	All Prior Actuals	2019/20	2020/21	2021/22	2022/23	2023/24	2024/25	Total
Construction	-	-	-	\$1,450,000	-	-	-	\$1,450,000
Engineering	-	-	\$200,000	-	-	-	-	\$200,000
Total Expenditures	-	-	\$200,000	\$1,450,000	-	-	-	\$1,650,000

Operating Impacts	-	-	-	-	-	-	-	-
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FUNDED | SCOTT - BENTON TRAFFIC SIGNAL TIMING

Project Number:	NEW - 145	Theme / Category:	Transportation
Year Initiated:	2020	Project Manager:	Joel Roque
Planned Completion Year:	FY 2021	Department:	Public Works
Project Type:	Distinct	Fund:	533 - Streets and Highways
Strategic Pillar	 02 - Deliver and Enhance High Quality Efficient Services and Infrastructure		
Location	Scott Boulevard and Benton Street		



Project Description This project provides funding for the replacement of the existing traffic signal cabinet that is obsolete at the intersection of Scott Boulevard and Benton Street with the City's current standard. This project will also update the signal timing and connect the intersection to City's Traffic Management Center. Upgrading the controller and cabinet at the same time with a new signal timing plan will provide better signal operation and coordination.

Funding Sources	All Prior Actuals	2019/20	2020/21	2021/22	2022/23	2023/24	2024/25	Total
Total Sources	-	-	\$165,000	-	-	-	-	\$165,000

Expenditures	All Prior Actuals	2019/20	2020/21	2021/22	2022/23	2023/24	2024/25	Total
Construction	-	-	\$135,000	-	-	-	-	\$135,000
Engineering	-	-	\$30,000	-	-	-	-	\$30,000
Total Expenditures	-	-	\$165,000	-	-	-	-	\$165,000

Operating Impacts	-	-	-	-	-	-	-	-
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FUNDED | SCOTT - HOMESTEAD TRAFFIC SIGNAL MODIFICATION

Project Number:	NEW - 146	Theme / Category:	Transportation
Year Initiated:	2020	Project Manager:	Nguyen Cam
Planned Completion Year:	FY 2024	Department:	Public Works
Project Type:	Distinct	Fund:	533 - Streets and Highways
Strategic Pillar	 02 - Deliver and Enhance High Quality Efficient Services and Infrastructure		
Location	Scott Boulevard and Homestead Road		



Project Description In late 2017, members of the public contacted the City requesting the left turn from Homestead Road onto Scott Boulevard to have its own signal phase. This project provides funding for the modification of the existing traffic signal at this intersection to provide "protective" left turns from Homestead Road onto Scott Boulevard. This modification adds two new traffic signal poles and mast arms, installs vehicle detection system, and re-programs the existing traffic signal controller.

Funding Sources	All Prior Actuals	2019/20	2020/21	2021/22	2022/23	2023/24	2024/25	Total
Total Sources	-	-	-	-	\$130,000	\$500,000	-	\$630,000

Expenditures	All Prior Actuals	2019/20	2020/21	2021/22	2022/23	2023/24	2024/25	Total
Construction	-	-	-	-	-	\$500,000	-	\$500,000
Engineering	-	-	-	-	\$130,000	-	-	\$130,000
Total Expenditures	-	-	-	-	\$130,000	\$500,000	-	\$630,000

Operating Impacts	-	-	-	-	-	-	-	-
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UNFUNDED PROJECTS | TRANSPORTATION

1213 - Annexed Neighborhood Street Improvements

FY 2020/21	FY 2021/22	FY 2022/23	FY 2023/24	FY 2024/25	Five-Year CIP Total
\$5,000,000	-	-	-	-	\$5,000,000

This project would upgrade streets previously annexed into the City to current City standards. The streets part of this project include Jill Avenue, Rodonovan Drive, Serena Way, Woodland Avenue, El Sobrante Street, and Via Dondera. The work may include the removal, replacement, or installation of new concrete improvements such as curbs, gutters, sidewalks, curb ramps, or driveways. Funding will also be used for the rehabilitation or construction of new pavement, and replacement or installation of other related street infrastructure.

1226 - Uncontrolled Crosswalks Improvements Project

FY 2020/21	FY 2021/22	FY 2022/23	FY 2023/24	FY 2024/25	Five-Year CIP Total
\$1,453,651	\$1,427,497	\$1,485,168	\$1,576,072	\$1,705,991	\$7,648,379

In 2016, at the direction of City Council, staff identified approximately 200 uncontrolled crosswalks through the City. While the funded portion covers the master plan and some minor improvements, the unfunded component of the Project includes complete implementation of the master plan. Improvements may include Rectangular Rapid Flashing Beacons (RRFBs), bulb-outs, signing and striping improvements at all locations identified by the master plan. This project is only partially funded through the General Fund (\$200,000), Gas Tax, and VRF and the amounts above represent the overall unfunded amounts.

1230 - Public Right-of-Way Landscaping Improvement

FY 2020/21	FY 2021/22	FY 2022/23	FY 2023/24	FY 2024/25	Five-Year CIP Total
-	-	-	-	\$100,000	\$100,000

This project would include the installation of new landscape, irrigation, and surface treatments to various median islands, parking strips and other areas within the public right-of-way. This project would also include the purchase of hanging banners and flower baskets for the streetlight pole along El Camino Real. Currently, the only funding in this project is allocated for the replacement of some hanging baskets in FY 2020/21; no hanging banners are budgeted to be installed. The unfunded amount of \$100,000 for FY 2024/25 would have been for replacement of hanging baskets. No additional public right-of-way landscape projects, purchase of new hanging banners or replacement of hanging flower baskets are planned unless this project receives new funding.



UNFUNDED PROJECTS | TRANSPORTATION

1235 - Annual Street Maintenance and Rehabilitation Program

FY 2020/21	FY 2021/22	FY 2022/23	FY 2023/24	FY 2024/25	Five-Year CIP Total
\$6,835,696	\$9,540,289	\$9,540,289	\$9,540,289	\$9,540,289	\$44,996,852

This program would provide ongoing roadway infrastructure preservation and rehabilitation to maintain the City's street network of approximately 250 centerline miles. This unfunded amount represents the additional funding needed to maintain the current Pavement Condition Index (PCI) at 75 for City street network. With the amount of funding currently budgeted for this project, the PCI is expected to decrease annually.

1237 - MCB/GAP Intersection Improvement Project

FY 2020/21	FY 2021/22	FY 2022/23	FY 2023/24	FY 2024/25	Five-Year CIP Total
\$6,230,000	-	-	-	-	\$6,230,000

The project scope would include work to widen Mission College Blvd. (MCB) and Great America Parkway (GAP) intersection by adding an additional left-turn lane from westbound MCB to southbound GAP and from northbound GAP to westbound MCB. Other associated work includes utility relocation, traffic signal modifications, ADA and bus stop improvements at the intersection. The project also includes pavement rehabilitation on GAP from the intersection to Hwy 101 bridge abutment adjacent to the street widening area. This project is 35% partially funded by Traffic Mitigation Fees and other existing funding sources and the amounts shown above represent the overall unfunded amounts for the project. Staff is working to identify opportunities for additional developer fees/contributions to provide additional funding.

1250 - Annual Curb Ramp Installation

FY 2020/21	FY 2021/22	FY 2022/23	FY 2023/24	FY 2024/25	Five-Year CIP Total
\$196,000	\$220,800	-	-	-	\$416,800

This project would replace existing concrete curb ramps that do not comply with the current Americans with Disabilities Act (ADA) guidelines and installs new curb ramps where they do not exist in order to remove barriers for accessibility in the public right of way. This project is partially funded in FY 2020/21 and FY 2021/22, while the outyears remain unfunded. With the partial funding in the first two years of the CIP, a reduced number of curb ramps will be installed, and no curb ramps would be installed in the unfunded outyears.

1376 - Safe Routes to School

FY 2020/21	FY 2021/22	FY 2022/23	FY 2023/24	FY 2024/25	Five-Year CIP Total
-	\$160,843	\$182,469	\$182,469	\$182,469	\$708,250

This project has been retitled to Safe Routes to Schools (previously listed as Vehicle Emissions Reductions Based in School (VERBS) Phase 2) and would continue to fund the City's Safe Routes to School program and be used to hire a consultant to assist with implementation of the program. This project is fully funded in FY 2020/21 and partially funded in FY 2021/22 through the use of the 2016 VTA Measure B funds. Partial funding will result in a downsized Safe Routes to School program for Santa Clara (i.e. less school events, training items for parents).



UNFUNDED PROJECTS | TRANSPORTATION

NEW - 133 - Benton Bike Lane Project (San Tomas-Dunford)					
FY 2020/21	FY 2021/22	FY 2022/23	FY 2023/24	FY 2024/25	Five-Year CIP Total
\$121,000	\$159,752	\$1,076,331	-	-	\$1,357,083

The City's Bicycle Plan Update 2018 (Plan) was approved by City Council in September 2019 and includes approximately 160 projects for implementation. This project would fund implementation of bicycle facilities on Benton Street between San Tomas Expressway and Dunford Way and was identified as a high priority project in the Plan. The Plan identifies this section of Benton Street between San Tomas Expressway and Dunford Way as a corridor where a Class IIB Buffered Bicycle Lane and a Class IIIB Bicycle Boulevard should be installed. Additionally, the Plan states that in order to accommodate the desired bicycle facilities along Benton Street, that a potential roadway reallocation (i.e. lane removal) may be required. This project is 100% unfunded as proposed in this budget which will delay completion of the priority projects identified in the Plan. However, staff will determine if there are local, regional, or state grant opportunities to fund this priority project.

NEW - 134 - Traffic Engineering Consultant Support					
FY 2020/21	FY 2021/22	FY 2022/23	FY 2023/24	FY 2024/25	Five-Year CIP Total
\$125,000	\$125,000	\$250,000	\$250,000	\$250,000	\$1,000,000

This project would be used to assist Traffic Division staff with the current heavy workload due to large number of incoming development projects, resident concerns, and City Council requests. This project is partially funded in first two fiscal years which will extend the timeline to resolve the workload issues mentioned above.

NEW - 136 - El Camino Real Bike Lane Project					
FY 2020/21	FY 2021/22	FY 2022/23	FY 2023/24	FY 2024/25	Five-Year CIP Total
\$724,876	\$4,832,506	-	-	-	\$5,557,382

The City's Bicycle Plan Update 2018 (Plan) was approved by City Council in September 2019 and includes approximately 160 projects for implementation. This project would fund implementation of bicycle facilities on El Camino Real within City limits and was identified as a high priority project in the Plan. The Plan identifies this section El Camino Real within City limits as a corridor where a Class IV Separated Bicycle Lane should be installed. Additionally, the Plan states that in order to accommodate the desired bicycle facilities along El Camino Real, that street parking may need to be removed. This project is 100% unfunded as proposed in this budget which will delay completion of the priority projects identified in the Plan. However, staff will determine if there are local, regional, or state grant opportunities to fund this priority project.

NEW - 138 - Lick Mill Pedestrian Beacons Upgrade					
FY 2020/21	FY 2021/22	FY 2022/23	FY 2023/24	FY 2024/25	Five-Year CIP Total
\$100,000	\$350,000	-	-	-	\$450,000

There are currently four flashing beacons on Lick Mill Boulevard between Tasman Drive and Montague Expressway. Two of these beacons are constantly flashing to warn motorists when they approach an uncontrolled crosswalk or entering a curve on the roadway. The other two are activated when pedestrians push a pedestrian push button indicating that they would like to cross the street. The City is currently in the process to upgrade the pedestrian activated beacon on Lick Mill Boulevard at East River Parkway to a High Intensity Activated Crosswalk (HAWK). This project would upgrade the remaining two uncontrolled crosswalk beacons on Lick Mill Boulevard at Fitzpatrick Way and at Lick Mill Park to the newer Rectangular Rapid Flashing Beacons (RRFB). Additionally, this project will study and implement new technology to upgrade the curve warning flashing beacon.



UNFUNDED PROJECTS | TRANSPORTATION

NEW - 148 - Vision Zero Plan					
FY 2020/21	FY 2021/22	FY 2022/23	FY 2023/24	FY 2024/25	Five-Year CIP Total
-	\$300,000	-	-	-	\$300,000

This project would create a Vision Zero Plan for the City of Santa Clara. Vision Zero is a strategy to eliminate all traffic fatalities and severe injuries, while increasing safe, healthy, equitable mobility for all. The primary goal of the Vision Zero Plan is to develop strategies and actions that will help select programs and projects to reduce traffic injuries and fatalities in the City. The recently approved Bicycle Plan Update 2018 contains a goal that the City study implementing a Vision Zero policy by 2024. The intent of the project is to reach that goal ahead of schedule. This project is a priority for the Bicycle and Pedestrian Advisory Committee (BPAC) and members of the public.

NEW - 150 - Bicycle Route Wayfinding - Phase I					
FY 2020/21	FY 2021/22	FY 2022/23	FY 2023/24	FY 2024/25	Five-Year CIP Total
\$300,000	-	-	-	-	\$300,000

The City's Bicycle Plan Update 2018 (Plan) was approved by City Council in September 2019 and includes six objectives supported by 22 proposed policies. One of these goals is to increase bicycle mode share to five percent by 2026. To support this goal, the Plan includes Policy 3.A.2, which is to develop a city-wide bicycle way-finding system providing access to various City destinations such as schools, commercial centers, libraries, government facilities, and parks. At the September 2019 Bicycle and Pedestrian Advisory Committee (BPAC), BPAC members formed a BPAC subcommittee to investigate and provide a proposal to the full BPAC and staff on how an initial way-finding program could be completed in short order. At the October 2019 BPAC meeting, the subcommittee provided a full presentation on proposed way-finding routes, cost estimates, and designs.

NEW - 401 - Public Alley Pavement Maintenance and Rehabilitation					
FY 2020/21	FY 2021/22	FY 2022/23	FY 2023/24	FY 2024/25	Five-Year CIP Total
\$250,000	\$1,040,000	\$215,000	\$215,000	\$215,000	\$1,935,000

This project would fund preventative maintenance and rehabilitation of pavements in public alleys that have been dedicated as public right-of-way even though they only provide access to apartment and commercial properties. In the past, these public alleys have not been part of the City's rehabilitation program. The inventory of public alleys maintained by the City includes approximately 20 with a total center line length of 2.4 miles. The pavement maintenance and rehabilitation work performed under this project includes placing surface seals, resurfacing, and/or reconstruction.

Five-Year CIP Total Unfunded Need: \$75,999,746

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Water and Recycled Water Utilities

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INTRODUCTION

The projects within the Water and Recycled Water Utilities category all aim to efficiently manage water assets within the City, including groundwater wells, storage tanks, water mains, and the recycled water system. These projects provide for the planning, design, construction, and maintenance of the City’s water and recycled water distribution system. This program oversees improvements to seismic safety of the water utility as well as engineering studies to evaluate the condition and reliability of the City’s water assets. Projects also include the construction of new recycled water pipelines, connection of water services for various areas within the City, and coordination of planning efforts for future expansions of recycled water systems in the County.

Water and Recycled Water Utilities Program Infrastructure	
Miles of Water Mains	335
Miles of Distribution Pipelines	33
Service Connections (Potable Water)	27,000
Service Connections (Recycled Water)	280
Groundwater Wells	26
Water Reservoir Tanks	7

Funding Our Top Priorities

The projects included in the proposed CIP were determined in accordance with both the City Council’s strategic pillars and the budget priorities. Below illustrates the funding amount per Strategic Pillar within the Water and Recycled Water Utilities program. The development of a Water Master Plan is anticipated to be completed in this CIP cycle. Various risk assessments and studies conducted and completed by third-party consultants have helped guide the Water and Sewer Utilities in prioritizing required replacements and upgrades of the water utility infrastructure. The following chart depicts the total funding in the Water and Recycled Water Utilities program by strategic pillar. While the majority of the projects primarily address delivering and enhancing high quality efficient services and infrastructure, many projects also have a sustainability component as well.



The proposed five-year CIP Water and Recycled Water Utilities budget totals \$25.9 million. The CIP projects were prioritized by the following criteria:

Priority	Description
A	critical need mandated, emergency, or under construction
B	maintenance system reliability and/or ongoing operations
C	future system needs or future regulatory requirements
D	not critical, but achieve efficiencies and/or sustainability



Project Highlights

Major projects in the Water and Recycled Water Utilities program are detailed below.

Asset Management Program

This project funds the implementation of a formal, comprehensive Asset Management Program for the City's Water and Sewer Utilities, which includes risk and resiliency assessments, hydraulic modeling, and a rate study for the water and sewer systems. This formalized Asset Management Program will provide for the efficient management of the water and sewer infrastructure as well as manage risk to the systems and ensure resiliency into the future.

Distribution System Replacement/Restoration

The Water & Sewer Utilities Department aims to replace an average of 10,000 linear feet (LF) of water utility mains in the City each year. This project is intended to fund the construction, replacement, rehabilitation or relocation of City water mains, backflow preventers, hydrants, meters and related appurtenances not funded by developer contributions. By analyzing the frequency and location of water main breaks and taking into account information about the age of water infrastructure, replacements can be targeted to the infrastructure in the worst condition.



New and Replacement Wells

This project funds the study of the feasibility of two new replacement groundwater wells for the City, as well as the associated design, engineering, and construction management services. The aim is to replace groundwater wells that are no longer serviceable and construct new wells to maintain the adequacy of water supply and the diversity of the City's water portfolio into the future. This project will only be budgeted in the second budget year, FY 2021/22.

SCADA Improvements

This project funds improvements and upgrades to the existing Supervisory Control and Data Acquisition (SCADA) system. Once this system is fully upgraded, it will allow for the real time collection of data regarding



the operation of the water, sewer, and storm systems, including alarms to warn of dangerous, or soon to be dangerous conditions, and the ability to control the operation of certain assets remotely.

Recycled Water System Mains and Services



This project funds the installation/upgrade/extension of recycled water services and mains for incoming developments as financially and practically feasible. Recycled water supports the broadening of the City's water portfolio and offsets the public's reliance on potable water for non-portable uses (such as irrigation, industrial processing, cooling towers, and toilet flushing), and supports the City's overall sustainability strategy. Currently, there are over 280 service connections and 33 miles of distribution pipelines.

Tank Rehabilitation

This project funds the construction, rehabilitation, design, and inspection of City water tanks, including site improvements, electrical systems upgrades. This project includes the site improvements to ensure regulatory compliance, interior and exterior coating replacement, new cathodic protection, ventilation systems, and various other instrumentation and functionality improvements. Design has been completed on the largest of these projects, at the Serra Tank sites, and construction is beginning. Construction will be completed within two years.

Major Accomplishments

- Risk & Resiliency Assessment report completed and certified with Environmental Protection Agency.
- Design completed for Serra Tanks Rehabilitation Project.
- Design completed for two new groundwater wells.
- Ongoing replacement of water main infrastructure and hydraulic model implementation.
- Requested and received proposals for Water Supply Master Plan and Asset Management Plan.
- Allocation of recycled water resources increased from South Bay Water Recycling (SBWR).
- Approval of future projects with potential annual demand of 521 million gallons (MG).
- Nearing completion on design of recycled water extensions and construction of extensions including the Reed & Grant Sports Park development.

Financing Sources

Customer Service Charges

The Water and Recycled Water Utilities program is funded through transfers from the Water Utility Fund and the Recycled Water Utility Fund. Both of these utilities generate revenue primarily through customer service charges from both residences and businesses.

Operating Budget Impacts

There are no additional operating budget impacts associated with the funded projects.

Unfunded Needs

Through this five-year CIP, all capital needs in the Water and Recycled Water Utilities program are fully funded.



FUNDED | PROJECTS BUDGETED COST SUMMARY

Water and Recycled Water Utilities Project	2019/20	2020/21	2021/22	2022/23	2023/24	2024/25	Five-Year CIP Total
7005 - Buildings and Grounds	\$794,569	\$485,000	\$135,000	\$185,000	\$185,000	\$185,000	\$1,175,000
7054 - Distribution System Replacement/Restoration	\$7,659,090	\$1,875,000	\$2,000,000	\$2,175,000	\$2,272,875	\$2,275,154	\$10,598,029
7057 - Asset Management Program	\$1,659,410	\$1,250,000	\$150,000	-	-	-	\$1,400,000
7058 - SCADA Improvements	\$2,772,919	\$1,000,000	\$1,000,000	\$1,000,000	\$500,000	\$500,000	\$4,000,000
7059 - New and Replacement Wells	\$3,353,003	-	\$3,000,000	\$3,000,000	\$1,000,000	\$1,000,000	\$8,000,000
7060 - Tank Rehabilitation	\$9,740,918	-	-	-	-	-	-
7505 - Recycled Water System Mains and Services	\$50,000	\$550,000	\$50,000	\$50,000	\$50,000	\$50,000	\$750,000
Total Water and Recycled Water Utilities Projects	\$26,029,909	\$5,160,000	\$6,335,000	\$6,410,000	\$4,007,875	\$4,010,154	\$25,923,029



FUNDED | BUILDINGS AND GROUNDS

Project Number:	7005	Theme / Category:	Water and Recycled Water Utilities
Year Initiated:	2016	Project Manager:	Shilpa Mehta
Planned Completion Year:	Ongoing	Department:	Water and Sewer Utility Dept
Project Type:	Ongoing	Fund:	592 - Water Utility
Strategic Pillar	 02 - Deliver and Enhance High Quality Efficient Services and Infrastructure		
Location	Citywide		



Project Description This project funds improvements at various Water and Sewer Utilities grounds and facilities, including the Corporation Yard and well/pump station sites. Types of improvements include roofing, fencing, landscape maintenance, and paving. These improvements maintain appearance and safety. Portions of the funding in this project are allocated to the Department’s current landscaping agreement and to the Department’s share of the Corporation Yard re-pavement, which is split with Silicon Valley Power. The Department has recently completed the Risk and Resilience Assessment which has provided some recommendations for improvements at the wells and tank sites. In addition to the projects currently planned, the Department will be completing a formal asset management plan that will initially focus on assessing water-related assets and will help to inform the five-year CIP.

Funding Sources	All Prior Actuals	2019/20	2020/21	2021/22	2022/23	2023/24	2024/25	Total
Total Sources	-	\$794,569	\$485,000	\$135,000	\$185,000	\$185,000	\$185,000	\$1,969,569

Expenditures	All Prior Actuals	2019/20	2020/21	2021/22	2022/23	2023/24	2024/25	Total
Construction	-	\$794,569	\$485,000	\$135,000	\$185,000	\$185,000	\$185,000	\$1,969,569
Total Expenditures	-	\$794,569	\$485,000	\$135,000	\$185,000	\$185,000	\$185,000	\$1,969,569

Operating Impacts	-	-	-	-	-	-	-	-
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FUNDED | DISTRIBUTION SYSTEM REPLACEMENT/RESTORATION

Project Number:	7054	Theme / Category:	Water and Recycled Water Utilities
Year Initiated:	2010	Project Manager:	Susan Pan
Planned Completion Year:	Ongoing	Department:	Water and Sewer Utility Dept
Project Type:	Ongoing	Fund:	592 - Water Utility
Strategic Pillar	 02 - Deliver and Enhance High Quality Efficient Services and Infrastructure		
Location	Various, Citywide		



Project Description

This project provides funding for the construction, replacement, rehabilitation or relocation of City water mains, backflow preventers, hydrants, meters and related appurtenance. The Water and Sewer Utilities Department aims to replace an average of 10,000 linear feet (LF) of water distribution mains in the City each year. The City has over 300 miles of water mains and the Department analyzes the frequency and location of water main breaks. The Department gathers information about the age and leak history of water infrastructure to target water main replacements around the City each year. The upcoming Asset Management Program as part of the Sustainable Water Master Plan being done under the Asset Management Project will provide the priority of the ongoing replacement cycle. This will also prioritize the location of infrastructure replacement. The work is currently done by in-house staff. The Department continues to analyze staff capacity and resources and the value of having some work done by contract, which may happen more in the future if the condition of the infrastructure and/or Master Planning dictates a replacement cycle that cannot be supported by current staffing resources.

Funding Sources	All Prior Actuals	2019/20	2020/21	2021/22	2022/23	2023/24	2024/25	Total
Total Sources	\$16,641,859	\$7,659,090	\$1,875,000	\$2,000,000	\$2,175,000	\$2,272,875	\$2,275,154	\$34,898,978
Expenditures	All Prior Actuals	2019/20	2020/21	2021/22	2022/23	2023/24	2024/25	Total
Construction	\$12,292,633	\$6,433,717	\$1,593,750	\$1,700,000	\$1,848,750	\$1,931,944	\$2,018,881	\$27,819,675
Contingencies/Misc	\$4,118	-	-	-	-	-	-	\$4,118
Engineering	\$1,207,091	\$1,225,373	\$281,250	\$300,000	\$326,250	\$340,931	\$256,273	\$3,937,168
Equipment	\$35,345	-	-	-	-	-	-	\$35,345
Meal Allowance	\$1,494	-	-	-	-	-	-	\$1,494
S & W - O.T. Vacation Relief	\$126,288	-	-	-	-	-	-	\$126,288
Salary And Wages - As Needed	\$130,049	-	-	-	-	-	-	\$130,049
Salary And Wages - Regular	\$2,692,750	-	-	-	-	-	-	\$2,692,750
Serv From Othr Funds	\$152,091	-	-	-	-	-	-	\$152,091
Total Expenditures	\$16,641,859	\$7,659,090	\$1,875,000	\$2,000,000	\$2,175,000	\$2,272,875	\$2,275,154	\$34,898,978
Operating Impacts			-	-	-	-	-	-



FUNDED | ASSET MANAGEMENT PROGRAM

Project Number:	7057	Theme / Category:	Water and Recycled Water Utilities
Year Initiated:	2015	Project Manager:	Shilpa Mehta
Planned Completion Year:	FY 2022	Department:	Water and Sewer Utility Dept
Project Type:	Distinct	Fund:	592 - Water Utility
Strategic Pillar	 02 - Deliver and Enhance High Quality Efficient Services and Infrastructure		
Location	Citywide		



Project Description This project provides funding for the implementation of a formal, comprehensive Asset Management Program for the City's Water and Sewer Utilities, which includes risk and resiliency assessments, hydraulic modeling, and a rate study for the water and sewer systems. The Department will maintain a Computerized Maintenance Management System (CMMS) and upgrade the software as necessary. The CMMS assists with record keeping and regulatory requirements. Under this project, the Department will fund a Risk and Resiliency Assessment, Sustainable Water Master Plan, Asset Management Plan, and updates to the 2020 Urban Water Management Plan.

Funding Sources	All Prior Actuals	2019/20	2020/21	2021/22	2022/23	2023/24	2024/25	Total
Total Sources	\$94,278	\$1,659,410	\$1,250,000	\$150,000	-	-	-	\$3,153,688

Expenditures	All Prior Actuals	2019/20	2020/21	2021/22	2022/23	2023/24	2024/25	Total
Engineering	\$74,229	\$1,659,410	\$1,250,000	\$150,000	-	-	-	\$3,133,639
Salary And Wages - Regular	\$20,049	-	-	-	-	-	-	\$20,049
Total Expenditures	\$94,278	\$1,659,410	\$1,250,000	\$150,000	-	-	-	\$3,153,688

Operating Impacts	-	-	-	-	-	-	-	-
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FUNDED | SCADA IMPROVEMENTS

Project Number:	7058	Theme / Category:	Water and Recycled Water Utilities
Year Initiated:	2015	Project Manager:	Franz Mortensen
Planned Completion Year:	Ongoing	Department:	Water and Sewer Utility Dept
Project Type:	Ongoing	Fund:	592 - Water Utility
Strategic Pillar	 02 - Deliver and Enhance High Quality Efficient Services and Infrastructure		
Location	Citywide		



Project Description This project provides funding for improvements and upgrades to the existing HSQ Supervisory Control and Data Acquisition (SCADA) system. This system, when fully upgraded, will allow for the real time collection of data regarding the operation of the water, sewer, and storm systems including alarms to warn of dangerous, or soon to be dangerous conditions, and the ability to control the operation of certain assets remotely. It is anticipated that these improvements will occur in stages throughout the five-year CIP.

Funding Sources	All Prior Actuals	2019/20	2020/21	2021/22	2022/23	2023/24	2024/25	Total
Total Sources	\$1,140,081	\$2,772,919	\$1,000,000	\$1,000,000	\$1,000,000	\$500,000	\$500,000	\$7,913,000

Expenditures	All Prior Actuals	2019/20	2020/21	2021/22	2022/23	2023/24	2024/25	Total
Construction	-	\$1,156,000	\$500,000	\$500,000	\$500,000	\$500,000	\$500,000	\$3,656,000
Contingencies/Misc	\$1,138,081	\$669,000	-	-	-	-	-	\$1,807,081
Engineering	\$2,000	\$947,919	\$500,000	\$500,000	\$500,000	-	-	\$2,449,919
Total Expenditures	\$1,140,081	\$2,772,919	\$1,000,000	\$1,000,000	\$1,000,000	\$500,000	\$500,000	\$7,913,000

Operating Impacts	-	-	-	-	-	-	-	-
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FUNDED | NEW AND REPLACEMENT WELLS

Project Number:	7059	Theme / Category:	Water and Recycled Water Utilities
Year Initiated:	2015	Project Manager:	Nelson Lui
Planned Completion Year:	Ongoing	Department:	Water and Sewer Utility Dept
Project Type:	Ongoing	Fund:	592 - Water Utility
Strategic Pillar	 02 - Deliver and Enhance High Quality Efficient Services and Infrastructure		
Location	Citywide		



Project Description This project provides funding for a feasibility study for two new replacement groundwater wells for the City, as well as for the associated design, engineering, and construction management services. The aim is to replace groundwater wells that are no longer serviceable and construct new wells to maintain the adequacy of water supply and the diversity of the City's water portfolio. The Department expects to have the two new wells drilled and the construction of pump stations in FY 2022/23. Site improvements are anticipated in FY 2022/23. Funding in the out-years of this CIP is to cover costs of any needed replacement wells.

Funding Sources	All Prior Actuals	2019/20	2020/21	2021/22	2022/23	2023/24	2024/25	Total
Total Sources	\$410,207	\$3,353,003	-	\$3,000,000	\$3,000,000	\$1,000,000	\$1,000,000	\$11,763,210

Expenditures	All Prior Actuals	2019/20	2020/21	2021/22	2022/23	2023/24	2024/25	Total
Construction	-	\$2,853,003	-	\$2,500,000	\$2,500,000	\$500,000	\$500,000	\$8,853,003
Engineering	\$387,404	\$500,000	-	\$500,000	\$500,000	\$500,000	\$500,000	\$2,887,404
S & W - O.T. Vacation Relief	\$115	-	-	-	-	-	-	\$115
Salary And Wages - Regular	\$22,688	-	-	-	-	-	-	\$22,688
Total Expenditures	\$410,207	\$3,353,003	-	\$3,000,000	\$3,000,000	\$1,000,000	\$1,000,000	\$11,763,210

Operating Impacts	-	-	-	-	-	-	-	-
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FUNDED | RECYCLED WATER SYSTEM MAINS AND SERVICES

Project Number:	7505	Theme / Category:	Water and Recycled Water Utilities
Year Initiated:	2014	Project Manager:	Susan Pan
Planned Completion Year:	Ongoing	Department:	Water and Sewer Utility Dept
Project Type:	Ongoing	Fund:	597 - Recycled Water
Strategic Pillar	 07 – Promote Sustainability and Environmental Protection		
Location	Various, Citywide		



Project Description This project provides funding for the installation, upgrade, or extension of recycled water mains for developments on a case by case basis and as approved by the Director. The City supports the use of recycled water in new and existing developments for irrigation and other non-potable uses. The majority of the funding in FY 2020/21 will be for the design of a recycled water reservoir to address increased recycled water demand. Once the design has been completed, additional funding will be brought forward for the construction of the reservoir. Recycled water supports the City’s overall sustainability strategy by preserving and reducing the dependency of potable water which offsets and reduces potable water use.

Funding Sources	All Prior Actuals	2019/20	2020/21	2021/22	2022/23	2023/24	2024/25	Total
Total Sources	\$493,802	\$50,000	\$550,000	\$50,000	\$50,000	\$50,000	\$50,000	\$1,293,802

Expenditures	All Prior Actuals	2019/20	2020/21	2021/22	2022/23	2023/24	2024/25	Total
Construction	\$316,572	\$50,000	\$550,000	\$50,000	\$50,000	\$50,000	\$50,000	\$1,116,572
Contingencies/Misc	\$230	-	-	-	-	-	-	\$230
Engineering	\$6,572	-	-	-	-	-	-	\$6,572
Equipment	\$2,886	-	-	-	-	-	-	\$2,886
Meal Allowance	\$617	-	-	-	-	-	-	\$617
S & W - O.T. Vacation Relief	\$15,165	-	-	-	-	-	-	\$15,165
Salary And Wages - As Needed	\$2,408	-	-	-	-	-	-	\$2,408
Salary And Wages - Regular	\$136,647	-	-	-	-	-	-	\$136,647
Srvc From Other Funds- Alloc OH	\$8,773	-	-	-	-	-	-	\$8,773
Srvc From Other Funds- Alloc Ben	\$3,932	-	-	-	-	-	-	\$3,932
Total Expenditures	\$493,802	\$50,000	\$550,000	\$50,000	\$50,000	\$50,000	\$50,000	\$1,293,802

Operating Impacts	-	-	-	-	-	-	-	-
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BUDGET AMENDMENTS TO THE FY 2020/21 ADOPTED OPERATING BUDGET

The FY 2019/20 and FY 2020/21 Biennial Operating Budget was approved in June 2019. While there is an adopted operating budget for FY 2020/21, adjustments are needed to reflect revised revenue estimates and updated costs. This section provides details on those recommended adjustments and includes the following:

Summary of Revenue Changes by Fund

This document summarizes the FY 2020/21 Adopted Revenue estimate, the proposed changes, and the FY 2020/21 Amended Revenue estimate by fund.

Summary of Expenditure Changes by Fund

This document summarizes the FY 2020/21 Adopted Expenditures, the proposed changes, and the FY 2020/21 Amended Expenditures by fund.

FY 2020/21 Operating Budget Amendments Detail by Fund

This document provides details on the recommended revenue and expenditure changes by fund. These amendments reflect changes such as negotiated salary agreements and required contributions to the California Public Employee Retirement System (CalPERS) across all operating funds. The recommended amendments also incorporate items identified by departments as critical adjustments needed in their operating budget. The document is sorted by fund type.

Department Operating Changes

This document provides summaries of operating changes by department. Each department summary displays the budget by category and by fund. The FY 2020/21 Adopted and FY 2020/21 Amended budgets are shown for each line item, along with the change between the two budgets. The detail of the budget amendment column is reflected in the FY 2020/21 Operating Budget Amendments section.



OPERATING BUDGET CHANGE SUMMARY | REVENUE

Fund Type	FY 2020/21 Adopted	Budget Amendments	FY 2020/21 Amended
General Fund			
General Fund (001)	257,268,380	(9,327,604)	247,940,776
Total General Fund	257,268,380	(9,327,604)	247,940,776
Special Revenue Funds			
Certified Access Specialist (CASp) Certification and Training Fund (220)	44,995	0	44,995
City Affordable Housing Fund (165)	697,228	84,475	781,703
Community Facilities District No. 2019-1 (Lawrence Station) Fund (027)	354,706	(231,306)	123,400
Convention Center Maintenance District Fund (026)	1,850,949	0	1,850,949
Downtown Parking Maintenance Fund (025)	165,717	4,054	169,771
Endowment Care Fund (077)	110,000	(2,000)	108,000
Gas Tax Fund (121)	2,100,000	400,000	2,500,000
Housing and Urban Development Fund (562)	2,494,812	801,319	3,296,131
Housing Authority Fund (164)	260,350	24,650	285,000
Housing Successor Agency Fund (169)	382,750	148,250	531,000
Library Operating Grant Trust Fund (112)	47,500	0	47,500
Parks and Recreation Operating Grant Trust Fund (111)	147,984	0	147,984
Perpetual Care Fund (076)	500	0	500
Public, Educational, and Governmental Fee Fund (221)	0	150,000	150,000
Road Maintenance and Rehabilitation (SB1) Fund (122)	2,050,000	(25,000)	2,025,000
Traffic Mitigation Fund (123)	1,531,500	150,000	1,681,500
Total Special Revenue Funds	12,238,991	1,504,442	13,743,433
Enterprise Funds			
Cemetery Fund (093)	1,440,566	(50,297)	1,390,269
Convention Center Enterprise Fund (860)	0	21,912,206	21,912,206
Electric Operating Grant Trust Fund (191)	35,929,751	(1,472,716)	34,457,035
Electric Utility Fund (091)	524,175,995	(31,627,659)	492,548,336
Sewer Utility Fund (094)	68,864,975	(23,369,875)	45,495,100
Solid Waste Fund (096)	29,130,892	2,088,108	31,219,000
Water Recycling Fund (097)	7,087,260	(22,550)	7,064,710
Water Utility Fund (092)	57,263,887	(43,600)	57,220,287
Total Enterprise Funds	723,893,326	(32,586,383)	691,306,943
Internal Service Funds			
Communication Acquisitions Fund (048)	400,000	136,853	536,853
Fleet Operations Fund (053)	5,228,695	(63,974)	5,164,721



OPERATING BUDGET CHANGE SUMMARY | REVENUE

Fund Type	FY 2020/21 Adopted	Budget Amendments	FY 2020/21 Amended
Information Technology Services Fund (045)	13,252,509	(3,904)	13,248,605
Public Works Capital Projects Management Fund (044)	3,763,943	57,856	3,821,799
Special Liability Insurance Fund (082)	4,531,862	1,469,308	6,001,170
Vehicle Replacement Fund (050)	3,501,727	(43,438)	3,458,289
Workers' Compensation Fund (081)	4,947,090	625,648	5,572,738
Total Internal Service Funds	35,625,826	2,178,349	37,804,175
Debt Service Funds			
Electric Utility (491)	19,866,481	(6,447,270)	13,419,211
Public Facilities Financing Co (431)	2,505,844	0	2,505,844
Sewer Utility (494)	4,694,557	(3,261,758)	1,432,799
Total Debt Service Funds	27,066,882	(9,709,028)	17,357,854
Grand Total Revenues	1,056,093,405	(47,940,224)	1,008,153,181
Less Transfers In and Interfund Revenues*	(148,829,755)	(57,649,252)	(120,771,060)
Net Total Operating Revenues	907,263,650	(105,589,476)	887,382,121

* Excludes internal services fund operating budget, non-City Convention Center activities, and all transfers



OPERATING BUDGET CHANGE SUMMARY

EXPENDITURES

Fund Type	FY 2020/21 Adopted	Budget Amendments	FY 2020/21 Amended
General Fund			
General Fund (001)	269,399,009	16,359,746	285,758,755
Total General Fund	269,399,009	16,359,746	285,758,755
Special Revenue Funds			
Certified Access Specialist (CASp) Certification and Training Fund (220)	44,995	0	44,995
City Affordable Housing Fund (165)	1,537,416	19,356	1,556,772
Community Facilities District No. 2019-1 (Lawrence Station) Fund (027)	283,765	(185,045)	98,720
Convention Center Maintenance District Fund (026)	1,875,680	(14,300)	1,861,380
Downtown Parking Maintenance Fund (025)	174,660	(21,898)	152,762
Endowment Care Fund (077)	20,000	(2,000)	18,000
Engineering Operating Grant Trust Fund (144)	0	49,621	49,621
Gas Tax Fund (121)	2,073,457	494,399	2,567,856
Housing and Urban Development Fund (562)	2,494,812	801,319	3,296,131
Housing Authority Fund (164)	544,875	7,347	552,222
Housing Successor Agency Fund (169)	946,158	5,018,786	5,964,944
Library Operating Grant Trust Fund (112)	47,500	11,500	59,000
Parks and Recreation Operating Grant Trust Fund (111)	147,984	0	147,984
Perpetual Care Fund (076)	500	0	500
Road Maintenance and Rehabilitation (SB1) Fund (122)	2,050,000	50,000	2,100,000
Traffic Mitigation Fund (123)	1,531,500	472,000	2,003,500
Total Special Revenue Funds	13,773,302	6,701,085	20,474,387
Enterprise Funds			
Cemetery Fund (093)	1,473,341	(57,647)	1,415,694
Convention Center Enterprise Fund (860)	576,911	18,780,637	19,357,548
Electric Operating Grant Trust Fund (191)	35,675,622	(1,335,891)	34,339,731
Electric Utility Fund (091)	539,729,795	(61,728,754)	478,001,041
Sewer Utility Fund (094)	67,649,567	(95,046)	67,554,521
Solid Waste Fund (096)	29,918,572	1,536,750	31,455,322
Water Recycling Fund (097)	8,420,283	(2,002,345)	6,417,938
Water Utility Fund (092)	56,727,496	(3,298,109)	53,429,387
Total Enterprise Funds	740,171,587	(48,200,405)	691,971,182
Internal Service Funds			
Communication Acquisitions Fund (048)	532,654	4,199	536,853
Fleet Operations Fund (053)	5,170,050	101,665	5,271,715



OPERATING BUDGET CHANGE SUMMARY

EXPENDITURES

Fund Type	FY 2020/21 Adopted	Budget Amendments	FY 2020/21 Amended
Information Technology Services Fund (045)	13,252,509	(3,904)	13,248,605
Public Works Capital Projects Management Fund (044)	3,763,943	71,533	3,835,476
Special Liability Insurance Fund (082)	4,531,862	1,469,308	6,001,170
Unemployment Insurance Fund (087)	71,000	0	71,000
Vehicle Replacement Fund (050)	2,995,500	0	2,995,500
Workers' Compensation Fund (081)	4,947,090	625,648	5,572,738
Total Internal Service Funds	35,264,608	2,268,449	37,533,057
Debt Service Funds			
Electric Utility (491)	19,657,372	(6,341,993)	13,315,379
Public Facilities Financing Co (431)	2,505,844	0	2,505,844
Sewer Utility (494)	4,694,557	(3,261,758)	1,432,799
Total Debt Service Funds	26,857,773	(9,603,751)	17,254,022
Grand Total of Expenditures by Fund	1,085,466,279	(32,474,876)	1,052,991,403
Less Transfers Out and Interfund Expenses*	(238,995,086)	29,554,309	(209,440,777)
Net Total Operating Expenditures	846,471,193	(2,920,567)	843,550,626

* Excludes internal services fund operating budget, non-City Convention Center activities, and all transfers



DEPARTMENT SUMMARY | MAYOR AND CITY COUNCIL
OFFICES

	FY 2020/21 Adopted	Budget Amendments	FY 2020/21 Amended
Dollars by Category			
Salary and Benefits			
Salary	500,009	(88,898)	411,111
As-Needed	32,000	1,120	33,120
Overtime	500	17	517
Retirement	153,521	(32,621)	120,900
Health Allocation	37,752	(14,005)	23,747
Medicare	7,377	(1,114)	6,263
Social Security	20,789	(4,950)	15,839
Other Benefits	18,223	(5,543)	12,680
Total Salary and Benefits	770,171	(145,994)	624,177
Non-Personnel			
Materials/Services/Supplies	114,963	3,977	118,940
Interfund Services	65,023	26,101	91,124
Total Non-Personnel	179,986	30,078	210,064
Total by Category	950,157	(115,916)	834,241
Dollars by Fund			
General Fund	950,157	(115,916)	834,241
Total by Fund	950,157	(115,916)	834,241



DEPARTMENT SUMMARY | CITY ATTORNEY'S OFFICE

	FY 2020/21 Adopted	Budget Amendments	FY 2020/21 Amended
Dollars by Category			
Salary and Benefits			
Salary	1,495,812	16,519	1,512,331
As-Needed	47,143	0	47,143
Retirement	468,314	3,570	471,884
Health Allocation	98,092	(3,106)	94,986
Medicare	23,842	841	24,683
Social Security	62,842	3,717	66,559
Other Benefits	61,264	960	62,224
Total Salary and Benefits	2,257,309	22,501	2,279,810
Non-Personnel			
Materials/Services/Supplies	99,247	0	99,247
Interfund Services	247,528	39,540	287,068
Total Non-Personnel	346,775	39,540	386,315
Total by Category	2,604,084	62,041	2,666,125
Dollars by Fund			
General Fund	2,604,084	62,041	2,666,125
Total by Fund	2,604,084	62,041	2,666,125



DEPARTMENT SUMMARY | ELECTED CITY CLERK'S OFFICE

	FY 2020/21 Adopted	Budget Amendments	FY 2020/21 Amended
Dollars by Category			
Salary and Benefits			
Salary	24,000	0	24,000
Retirement	7,656	(269)	7,387
Medicare	348	0	348
Total Salary and Benefits	32,004	(269)	31,735
Non-Personnel			
Materials/Services/Supplies	450,000	0	450,000
Interfund Services	0	1,341	1,341
Total Non-Personnel	450,000	1,341	451,341
Total by Category	482,004	1,072	483,076
Dollars by Fund			
General Fund	482,004	1,072	483,076
Total by Fund	482,004	1,072	483,076



DEPARTMENT SUMMARY | ASSISTANT CITY CLERK'S OFFICE

	FY 2020/21 Adopted	Budget Amendments	FY 2020/21 Amended
Dollars by Category			
Salary and Benefits			
Salary	463,714	242,010	705,724
As-Needed	138,838	0	138,838
Overtime	9,000	0	9,000
Retirement	149,651	76,733	226,384
Health Allocation	49,820	22,007	71,827
Medicare	7,169	3,828	10,997
Social Security	27,346	15,598	42,944
Other Benefits	27,726	11,516	39,242
Total Salary and Benefits	873,264	371,692	1,244,956
Non-Personnel			
Materials/Services/Supplies	241,260	0	241,260
Interfund Services	94,922	6,341	101,263
Total Non-Personnel	336,182	6,341	342,523
Total by Category	1,209,446	378,033	1,587,479
Dollars by Fund			
General Fund	1,209,446	378,033	1,587,479
Total by Fund	1,209,446	378,033	1,587,479



DEPARTMENT SUMMARY | CITY AUDITOR'S OFFICE

	FY 2020/21 Adopted	Budget Amendments	FY 2020/21 Amended
Dollars by Category			
Salary and Benefits			
Salary	554,419	(7,235)	547,184
Retirement	176,909	(8,446)	168,463
Health Allocation	40,703	(1,066)	39,637
Medicare	8,562	(288)	8,274
Social Security	26,277	(764)	25,513
Other Benefits	24,421	(903)	23,518
Total Salary and Benefits	831,291	(18,702)	812,589
Non-Personnel			
Materials/Services/Supplies	326,120	0	326,120
Interfund Services	110,823	(9,186)	101,637
Total Non-Personnel	436,943	(9,186)	427,757
Total by Category	1,268,234	(27,888)	1,240,346
Dollars by Fund			
General Fund	1,268,234	(27,888)	1,240,346
Total by Fund	1,268,234	(27,888)	1,240,346



DEPARTMENT SUMMARY | CITY MANAGER'S OFFICE

	FY 2020/21 Adopted	Budget Amendments	FY 2020/21 Amended
Dollars by Category			
Salary and Benefits			
Salary	2,692,894	(292,643)	2,400,251
As-Needed	123,200	0	123,200
Retirement	880,119	(22,207)	857,912
Health Allocation	173,400	(6,856)	166,544
Medicare	45,801	842	46,643
Social Security	101,341	7,338	108,679
Other Benefits	230,645	1,684	232,329
Total Salary and Benefits	4,247,400	(311,842)	3,935,558
Non-Personnel			
Materials/Services/Supplies	1,608,007	(40,545)	1,567,462
Interfund Services	594,075	27,939	622,014
Total Non-Personnel	2,202,082	(12,606)	2,189,476
Total by Category	6,449,482	(324,448)	6,125,034
Dollars by Fund			
General Fund	6,449,482	(324,448)	6,125,034
Total by Fund	6,449,482	(324,448)	6,125,034



DEPARTMENT SUMMARY | COMMUNITY DEVELOPMENT
DEPARTMENT

	FY 2020/21 Adopted	Budget Amendments	FY 2020/21 Amended
Dollars by Category			
Salary and Benefits			
Salary	8,427,588	293,310	8,720,898
As-Needed	312,081	(54,181)	257,900
Overtime	65,000	0	65,000
Retirement	2,710,729	(6,168)	2,704,561
Health Allocation	817,386	(22,964)	794,422
Medicare	129,189	3,373	132,562
Social Security	484,899	25,864	510,763
Other Benefits	372,661	(14,260)	358,401
Total Salary and Benefits	13,319,533	224,974	13,544,507
Non-Personnel			
Materials/Services/Supplies	3,436,302	7,216,231	10,652,533
Interfund Services	1,390,528	29,923	1,420,451
Other Expenditures	1,992,939	793,900	2,786,839
Transfers to Other Funds	1,765	0	1,765
Total Non-Personnel	6,821,534	8,040,054	14,861,588
Total by Category	20,141,067	8,265,028	28,406,095
Dollars by Fund			
General Fund	14,848,790	2,384,973	17,233,763
Housing Authority Fund	489,678	7,369	497,047
City Affordable Housing Fund	1,427,025	19,390	1,446,415
Housing Successor Agency Fund	835,767	5,018,820	5,854,587
CASp Training and Certification Fund	44,995	0	44,995
Engineering Operating Grant Trust Fund	0	33,157	33,157
Housing and Urban Development Fund	2,494,812	801,319	3,296,131
Total by Fund	20,141,067	8,265,028	28,406,095



DEPARTMENT SUMMARY | ELECTRIC UTILITY DEPARTMENT

	FY 2020/21 Adopted	Budget Amendments	FY 2020/21 Amended
Dollars by Category			
Salary and Benefits			
Salary	29,856,198	(1,838,172)	28,018,026
As-Needed	845,990	100,119	946,109
Overtime	3,513,845	0	3,513,845
Retirement	9,977,787	(936,398)	9,041,389
Health Allocation	2,387,945	243,294	2,631,239
Medicare	467,945	(27,791)	440,154
Social Security	1,512,185	(51,478)	1,460,707
Other Benefits	1,138,281	(63,509)	1,074,772
Total Salary and Benefits	49,700,176	(2,573,935)	47,126,241
Non-Personnel			
Materials/Services/Supplies	46,231,144	1,982,544	48,213,688
Resource/Production	355,770,922	(29,270,701)	326,500,221
Interfund Services	12,909,684	1,345,720	14,255,404
Transfers to Other Funds	84,323,566	(32,926,633)	51,396,933
Contribution In Lieu	26,343,925	(2,000,440)	24,343,485
Capital Outlay	126,000	378,800	504,800
Total Non-Personnel	525,705,241	(60,490,710)	465,214,531
Total by Category	575,405,417	(63,064,645)	512,340,772
Dollars by Fund			
Electric Operating Grant Trust Fund	35,675,622	(1,335,891)	34,339,731
Electric Utility Fund	539,729,795	(61,728,754)	478,001,041
Total by Fund	575,405,417	(63,064,645)	512,340,772



DEPARTMENT SUMMARY | FINANCE DEPARTMENT

	FY 2020/21 Adopted	Budget Amendments	FY 2020/21 Amended
Dollars by Category			
Salary and Benefits			
Salary	6,747,079	688,547	7,435,626
As-Needed	92,000	15,000	107,000
Overtime	16,020	0	16,020
Retirement	2,276,884	216,956	2,493,840
Health Allocation	757,728	67,351	825,079
Medicare	109,717	14,185	123,902
Social Security	412,450	63,140	475,590
Other Benefits	360,363	31,194	391,557
Total Salary and Benefits	10,772,241	1,096,373	11,868,614
Non-Personnel			
Materials/Services/Supplies	2,779,781	0	2,779,781
Interfund Services	1,563,607	4,072	1,567,679
Total Non-Personnel	4,343,388	4,072	4,347,460
Total by Category	15,115,629	1,100,445	16,216,074
Dollars by Fund			
General Fund	15,115,629	1,100,445	16,216,074
Total by Fund	15,115,629	1,100,445	16,216,074



DEPARTMENT SUMMARY | FIRE DEPARTMENT

	FY 2020/21 Adopted	Budget Amendments	FY 2020/21 Amended
Dollars by Category			
Salary and Benefits			
Salary	29,121,346	1,201,878	30,323,224
As-Needed	30,000	0	30,000
Overtime	1,553,042	1,500,000	3,053,042
Retirement	14,690,871	618,190	15,309,061
Health Allocation	1,707,177	(133,406)	1,573,771
Medicare	455,405	(5,191)	450,214
Social Security	117,630	17,578	135,208
Other Benefits	1,169,734	(12,175)	1,157,559
Total Salary and Benefits	48,845,205	3,186,874	52,032,079
Non-Personnel			
Materials/Services/Supplies	1,615,024	0	1,615,024
Interfund Services	4,407,112	527,351	4,934,463
Capital Outlay	149,973	0	149,973
Total Non-Personnel	6,172,109	527,351	6,699,460
Total by Category	55,017,314	3,714,225	58,731,539
Dollars by Fund			
General Fund	55,017,314	3,714,225	58,731,539
Total by Fund	55,017,314	3,714,225	58,731,539



DEPARTMENT SUMMARY | HUMAN RESOURCES DEPARTMENT

	FY 2020/21 Adopted	Budget Amendments	FY 2020/21 Amended
Dollars by Category			
Salary and Benefits			
Salary	2,050,449	(2,383)	2,048,066
As-Needed	135,000	0	135,000
Retirement	680,423	(26,640)	653,783
Health Allocation	195,231	(7,604)	187,627
Medicare	32,248	(77)	32,171
Social Security	110,228	2,709	112,937
Other Benefits	98,128	1,414	99,542
Total Salary and Benefits	3,301,707	(32,581)	3,269,126
Non-Personnel			
Materials/Services/Supplies	774,374	40,545	814,919
Interfund Services	399,500	(5,612)	393,888
Total Non-Personnel	1,173,874	34,933	1,208,807
Total by Category	4,475,581	2,352	4,477,933
Dollars by Fund			
General Fund	4,475,581	2,352	4,477,933
Total by Fund	4,475,581	2,352	4,477,933



DEPARTMENT SUMMARY | INFORMATION TECHNOLOGY
DEPARTMENT

	FY 2020/21 Adopted	Budget Amendments	FY 2020/21 Amended
Dollars by Category			
Salary and Benefits			
Salary	1,061,842	3,269	1,065,111
As-Needed	170,000	102,000	272,000
Retirement	406,679	(15,785)	390,894
Health Allocation	87,572	(3,725)	83,847
Medicare	18,943	(83)	18,860
Social Security	54,439	3,444	57,883
Other Benefits	53,863	(2,177)	51,686
Total Salary and Benefits	1,853,338	86,943	1,940,281
Non-Personnel			
Materials/Services/Supplies	11,354,306	(102,980)	11,251,326
Interfund Services	44,865	12,133	56,998
Total Non-Personnel	11,399,171	(90,847)	11,308,324
Total by Category	13,252,509	(3,904)	13,248,605
Dollars by Fund			
Information Technology Services Fund	13,252,509	(3,904)	13,248,605
Total by Fund	13,252,509	(3,904)	13,248,605



DEPARTMENT SUMMARY | **LIBRARY DEPARTMENT**

	FY 2020/21 Adopted	Budget Amendments	FY 2020/21 Amended
Dollars by Category			
Salary and Benefits			
Salary	4,930,807	132,644	5,063,451
As-Needed	920,191	0	920,191
Overtime	7,576	0	7,576
Retirement	1,593,649	(15,815)	1,577,834
Health Allocation	578,111	(21,012)	557,099
Medicare	76,059	1,239	77,298
Social Security	308,082	6,960	315,042
Other Benefits	244,030	(11,160)	232,870
Total Salary and Benefits	8,658,505	92,856	8,751,361
Non-Personnel			
Materials/Services/Supplies	2,154,354	11,500	2,165,854
Interfund Services	1,004,537	43,096	1,047,633
Total Non-Personnel	3,158,891	54,596	3,213,487
Total by Category	11,817,396	147,452	11,964,848
Dollars by Fund			
General Fund	11,769,896	135,952	11,905,848
Library Operating Grant Fund	47,500	11,500	59,000
Total by Fund	11,817,396	147,452	11,964,848



DEPARTMENT SUMMARY | **PARKS AND RECREATION**
DEPARTMENT

	FY 2020/21 Adopted	Budget Amendments	FY 2020/21 Amended
Dollars by Category			
Salary and Benefits			
Salary	8,111,492	42,698	8,154,190
As-Needed	2,819,207	2,000	2,821,207
Overtime	56,320	0	56,320
Retirement	2,677,586	(84,315)	2,593,271
Health Allocation	1,025,331	15,197	1,040,528
Medicare	127,681	294	127,975
Social Security	527,719	4,244	531,963
Other Benefits	426,024	(38,513)	387,511
Total Salary and Benefits	15,771,360	(58,395)	15,712,965
Non-Personnel			
Materials/Services/Supplies	6,558,033	(87,045)	6,470,988
Interfund Services	2,385,670	(84,292)	2,301,378
Transfers to Other Funds	79,155	(54,469)	24,686
Capital Outlay	2,000	0	2,000
Total Non-Personnel	9,024,858	(225,806)	8,799,052
Total by Category	24,796,218	(284,201)	24,512,017
Dollars by Fund			
General Fund	22,870,628	(39,509)	22,831,119
Perpetual Care Fund	500	0	500
Endowment Care Fund	20,000	(2,000)	18,000
Park and Rec Grant Trust Fund	147,984	0	147,984
Community Facilities District 2019-1 Fund	283,765	(185,045)	98,720
Cemetery Fund	1,473,341	(57,647)	1,415,694
Total by Fund	24,796,218	(284,201)	24,512,017



DEPARTMENT SUMMARY | POLICE DEPARTMENT

	FY 2020/21 Adopted	Budget Amendments	FY 2020/21 Amended
Dollars by Category			
Salary and Benefits			
Salary	37,443,228	89,016	37,532,244
As-Needed	686,934	1,500	688,434
Overtime	2,013,540	0	2,013,540
Retirement	19,132,323	(186,474)	18,945,849
Health Allocation	3,172,454	(143,232)	3,029,222
Medicare	597,159	3,510	600,669
Social Security	695,850	7,787	703,637
Other Benefits	2,614,766	219,717	2,834,483
Total Salary and Benefits	66,356,254	(8,176)	66,348,078
Non-Personnel			
Materials/Services/Supplies	3,249,499	0	3,249,499
Interfund Services	7,455,412	980,083	8,435,495
Capital Outlay	532,654	4,199	536,853
Total Non-Personnel	11,237,565	984,282	12,221,847
Total by Category	77,593,819	976,106	78,569,925
Dollars by Fund			
General Fund	77,061,165	971,907	78,033,072
Communication Acquisitions Fund	532,654	4,199	536,853
Total by Fund	77,593,819	976,106	78,569,925



DEPARTMENT SUMMARY | DEPARTMENT OF PUBLIC WORKS

	FY 2020/21 Adopted	Budget Amendments	FY 2020/21 Amended
Dollars by Category			
Salary and Benefits			
Salary	14,658,993	158,330	14,817,323
As-Needed	225,000	0	225,000
Overtime	226,848	0	226,848
Retirement	4,897,675	(133,801)	4,763,874
Health Allocation	1,583,817	34,981	1,618,798
Medicare	233,942	989	234,931
Social Security	956,749	10,461	967,210
Other Benefits	681,920	(66,811)	615,109
Total Salary and Benefits	23,464,944	4,149	23,469,093
Non-Personnel			
Materials/Services/Supplies	12,352,549	73,762	12,426,311
Resource/Production	22,411,180	1,869,200	24,280,380
Interfund Services	5,859,271	77,602	5,936,873
Transfers to Other Funds	7,870,787	555,019	8,425,806
Capital Outlay	2,995,500	0	2,995,500
Total Non-Personnel	51,489,287	2,575,583	54,064,870
Total by Category	74,954,231	2,579,732	77,533,963
Dollars by Fund			
General Fund	25,400,869	(126,881)	25,273,988
Downtown Parking Maintenance District Fund	174,660	(21,898)	152,762
Convention Center Maintenance District Fund	1,875,680	(14,300)	1,861,380
Public Works Capital Projects Management Services Fund	3,763,943	71,533	3,835,476
Vehicle Replacement Fund	2,995,500	0	2,995,500
Fleet Operations Fund	5,170,050	101,665	5,271,715
Solid Waste Fund	29,918,572	1,536,750	31,455,322
Gas Tax Fund	2,073,457	494,399	2,567,856
Road Repair and Accountability Act of 2017 SB1 Fund	2,050,000	50,000	2,100,000
Traffic Mitigation Fund	1,531,500	472,000	2,003,500
Engineering Operating Grant Fund	0	16,464	16,464
Total by Fund	74,954,231	2,579,732	77,533,963



DEPARTMENT SUMMARY | NON-DEPARTMENTAL

	FY 2020/21 Adopted	Budget Amendments	FY 2020/21 Amended
Dollars by Category			
Salary and Benefits			
Salary	4,414,034	810,192	5,224,226
As-Needed	1,471,700	0	1,471,700
Overtime	2,878,400	0	2,878,400
Retirement	531,222	61,736	592,958
Health Allocation	113,256	6,065	119,321
Medicare	24,491	4,451	28,942
Social Security	66,225	12,170	78,395
Other Benefits	233,490	91,372	324,862
Total Salary and Benefits	9,732,818	985,986	10,718,804
Non-Personnel			
Materials/Services/Supplies	4,769,713	18,751,757	23,521,470
Interfund Services	367,024	120,064	487,088
Capital Outlay	62,900	0	62,900
Transfers to Other Funds	15,796,165	7,166,128	22,962,293
Total Non-Personnel	20,995,802	26,037,949	47,033,751
Total by Category	30,728,620	27,023,935	57,752,555
Dollars by Fund			
General Fund	29,875,730	8,243,388	38,119,118
Housing Authority Fund	55,197	(22)	55,175
City Affordable Housing Fund	110,391	(34)	110,357
Housing Successor Agency Fund	110,391	(34)	110,357
Convention Center Enterprise Fund	576,911	18,780,637	19,357,548
Total by Fund	30,728,620	27,023,935	57,752,555



DEPARTMENT SUMMARY | WATER AND SEWER UTILITIES
DEPARTMENT

	FY 2020/21 Adopted	Budget Amendments	FY 2020/21 Amended
Dollars by Category			
Salary and Benefits			
Salary	8,473,239	93,621	8,566,860
As-Needed	230,000	0	230,000
Overtime	252,000	0	252,000
Retirement	2,697,421	(64,525)	2,632,896
Health Allocation	906,248	26,584	932,832
Medicare	127,520	890	128,410
Social Security	518,921	7,653	526,574
Other Benefits	392,946	(33,799)	359,147
Total Salary and Benefits	13,598,295	30,424	13,628,719
Non-Personnel			
Materials/Services/Supplies	7,484,100	0	7,484,100
Resource/Production	53,521,385	0	53,521,385
Interfund Services	7,125,327	120,539	7,245,866
Transfers to Other Funds	51,068,239	(5,546,463)	45,521,776
Total Non-Personnel	119,199,051	(5,425,924)	113,773,127
Total by Category	132,797,346	(5,395,500)	127,401,846
Dollars by Fund			
Water Utility Fund	56,727,496	(3,298,109)	53,429,387
Water Recycling Program Fund	8,420,283	(2,002,345)	6,417,938
Sewer Utility Fund	67,649,567	(95,046)	67,554,521
Total by Fund	132,797,346	(5,395,500)	127,401,846



FY 2020/21 OPERATING BUDGET AMENDMENTS DETAIL BY FUND | GENERAL FUND

Department	Source of Funds	Use of Funds	Explanation
Personnel - Salary and Benefit Changes		2,502,926	Increases personnel costs to reflect updated salary and benefit costs based on negotiated salary agreements, required contributions to the CalPERS, the latest benefit costs, and actual incumbents.
Transfers to Other Funds		7,166,128	Increases the transfer to other funds based on updated FY 2020/21 proposed capital projects as well as minor changes to contributions to other funds (Cemetery Fund, Downtown Parking Maintenance District Fund).
Interfund Services		1,556,423	Reflects updated costs for interfund services (Information Technology Department services, special liability fund, workers' compensation, Public Works capital project management, vehicle equipment and maintenance, fleet purchases, and communications equipment).
Tax Revenues	(9,586,732)		Adjusts the tax revenue projections, including a slight increase to the Franchise Taxes category (\$0.2 M) and decreases to the Transient Occupancy Tax (\$6.2 M), Property Tax (\$1.9 M), Sales Tax (\$1.2 M), and Documentary Transfer Tax (\$0.5 M) categories primarily related to the projected COVID-19 impacts.
Rents Revenue	(4,022,528)		Decreases the estimate for rent revenue, including downward adjustments to the Stadium Performance Rent (\$2.7 million) and the Related project lease revenue (\$1.5 million) estimates, partially offset by net upward adjustments of \$0.2 million to various lease agreements.
Other Revenue Adjustments	294,085		Adjusts various revenue estimates based on revised projections for permit and fees for service activity, contribution in-lieu payments from the electric utility, interest earnings, fines and penalties, and other revenue.
Transfers From Other Funds	2,523,654		Increases the transfer from the Parks and Recreation Capital Fund for the partial repayment of the General Fund loan for the Reed Street - Grant Street Sports Park Project. The Parks and Recreation Capital Fund transfers 25% of the Mitigation Fee Act revenue to the General Fund to repay this loan. This funding is allocated to the Land Sale Reserve that was used to fund the loan.
Subtotal All Departments	(10,791,521)	11,225,477	
Community Development - Building Division - Materials/Services/Supplies		2,449,000	Add contractual services funding for third-party plan check and inspection services. With the number of large development projects, this additional capacity is needed to provide adequate plan review and inspection services in a responsive, timely manner. This addition is funded by the Building Inspection Reserve.
Community Development - Planning Division - Personnel		74,181	Adds 0.75 Part-Time Office Specialist II position to assist with the day-to-day activities in the Planning Division. This function has been partially addressed with as-needed staffing. However, because of turnover in these positions, the Division has had to repeatedly train new personnel to perform these activities. Budgeting a part-time benefited position will enhance retention and improve efficiencies. This addition is fully offset by reductions to as-needed and summer intern personnel funding and supplies.
Community Development - Planning Division - As-Needed		(74,181)	Reduces funding for as-needed staffing (\$41.7K), summer interns (\$12.5K), and operating supplies (\$20K) in the Planning Division to fully offset the cost of the proposed 0.75 Part-Time Office Specialist II position.
Community Development - Non-Personnel		(204,510)	Decreases the Contractual Services budget to correct an inadvertent carryover of funding for the one-time costs associated with the Climate Action Plan agreement.
Subtotal Community Development	0	2,244,490	



FY 2020/21 OPERATING BUDGET AMENDMENTS DETAIL BY FUND | GENERAL FUND

Department	Source of Funds	Use of Funds	Explanation
Human Resources - Contractual Services		40,545	Reallocates funds for the Santa Clara Leadership Program from the City Manager's Office to the Human Resources Department.
Subtotal Human Resources	0	40,545	
City Attorney's Office - Personnel		45,673	Increases personnel funding to reflect the cost of reclassifying an Assistant City Attorney to Chief Assistant City Attorney as approved by City Council on February 25, 2020.
Subtotal City Attorney's Office	0	45,673	
City Clerk's Office - Personnel		183,178	Reallocates 1.0 Public Records Manager position from Non-Departmental to the City Clerk's Office. This action also deletes 1.0 Public Records Manager position and adds 1.0 Deputy City Clerk Position.
City Clerk's Office - Personnel		147,851	Reallocates 1.0 Office Records Specialist position to the City Clerk's Office from the Mayor and City Council Offices.
Subtotal City Clerk's Office	0	331,029	
Mayor & City Council Offices		(147,851)	Reallocates 1.0 Office Records Specialist from the Mayor & City Council Offices to the City Clerk's Office.
Mayor & City Council Offices - Non-Personnel		3,977	Increases the Mayor and City Council Offices Non-Personnel budget as a result of miscellaneous inflation adjustments.
Subtotal Mayor & City Council Offices	0	(143,874)	
Finance Department - Personnel	969,018	969,018	Adds 1.0 Sr. Management Analyst, 1.0 Utility Services Technician, 1.0 Customer Service Supervisor, and 2.0 Customer Service Representatives to support the Electric Utility Billing System and 1.0 Contracts Manager to focus on procurements and ensure contract compliance as approved by City Council on November 5, 2019. These additions are offset by a reimbursement from the Electric Utility Fund.
Finance Department - Personnel	184,838	184,838	Adds 1.0 Management Analyst position approved on March 24, 2020 as part of the Stadium Authority Fiscal Year 2020/21 Budget. This position is offset by reimbursement from the Stadium Authority.
Finance Department - Personnel	132,853	132,853	Adds 1.0 Accounting Technician II position approved on March 24, 2020 as part of the Stadium Authority Fiscal Year 2020/21 Budget. This position is offset by reimbursement from the Stadium Authority.
Subtotal Finance Department	1,286,709	1,286,709	
Fire Department - Personnel		(245,359)	Reallocates 1.0 Emergency Services Coordinator from the Fire Department to Non-Departmental. This allows for the centralized coordination of emergency services.
Fire Department - Overtime		1,500,000	Increases overtime funding to right-size the budget to meet minimum staffing needs.
Subtotal Fire Department	0	1,254,641	



FY 2020/21 OPERATING BUDGET AMENDMENTS DETAIL BY FUND | GENERAL FUND

Department	Source of Funds	Use of Funds	Explanation
City Manager's Office - Contractual Services		(40,545)	Reallocates funding for the Leadership Santa Clara Program from City Manager's Office to Human Resources Department.
City Manager's Office - Personnel		(67,377)	Decreases the personnel budget to recognize vacancy savings from the Assistant City Manager position in the City Manager's Office. These savings will be used to offset the net additional cost associated with the reclassification of the position that will oversee Emergency Services coordination described below.
Subtotal City Manager's Office	0	(107,922)	
Non-Departmental - Personnel	126,743	305,276	Adds 1.0 Assistant to the City Manager position. This action reallocates 1.0 Emergency Services Coordinator position from the Fire Department and reclassifies the position to an Assistant to the City Manager position. This allows for the centralized coordination of emergency services coordination. A portion of this position cost will be offset by contributions from other funds.
Non-Departmental - Personnel	150,430	277,468	Adds 1.0 Assistant to the City Manager position. This position will be responsible for Stadium support, ADA compliance and prevailing wage items. This position will be partially offset by a Stadium reimbursement and contributions from capital funds.
Non-Departmental - Personnel		(183,178)	Shifts 1.0 Public Records Manager position from Non-Departmental to the City Clerk.
Non-Departmental - Non-Personnel		200	Increases the Non-Departmental Non-Personnel budget to account for slightly higher costs for per diem background checks related to outside agency police officers working Stadium events.
Subtotal Non-Departmental	277,173	399,766	
Parks & Recreation - Miscellaneous Charges for Services	(100,000)		Decreases the gymnastics fee revenue based on actual activity.
Parks & Recreation - As-Needed		2,000	Increases the As-Needed budget by \$2,000 as a technical adjustment to true up the budget between the As-Needed and Contractual Services categories. This is offset by a \$2,000 reduction to contractual services.
Parks & Recreation - Contractual Services		(102,000)	Decreases the contractual services budget by \$100,000 for the gymnastics program based on the lower actual activity, and decreases consultant services budget by \$2,000 as a technical adjustment to true up the budget between the As-Needed and contractual services categories.
Parks & Recreation - Utilities		200,000	Increases the utilities budget based on higher actual costs.
Subtotal Parks & Recreation Department	(100,000)	100,000	
Public Works - Personnel		(316,788)	Decreases the personnel budget to reflect the reallocation of a Principal Engineer position to the Related project.
Subtotal Department of Public Works	0	(316,788)	



FY 2020/21 OPERATING BUDGET AMENDMENTS DETAIL BY FUND | GENERAL FUND

Department	Source of Funds	Use of Funds	Explanation
Advanced Planning Fee Reserve		(100,000)	Decreases the reserve to fund the Downtown Master Plan capital project recommended in the FY 2020/21 Proposed Budget.
Building Inspection Reserve Offset		(651,988)	Reflects the following net changes: increase of \$1,771,000 to account for the projected base revenues above base costs in FY 2020/21; and decrease of \$2,422,988 to offset the transactions recommended in the Proposed Budget above the level assumed in the FY 2020/21 Adopted Budget approved as part of the FY 2019/20 and FY 2020/21 Biennial Operating Budget. Any excess building development revenues over expenditures is set aside in this reserve.
Capital Projects Reserve		(4,937,745)	Decreases the Capital Projects Reserve to fund capital projects proposed in FY 2020/21. This reflects the additional amount above the level assumed in the FY 2020/21 Adopted Budget approved as part of the FY 2019/20 and FY 2020/21 Biennial Operating Budget.
Land Sale Reserve		723,654	Reflects the following net changes: an increase of \$2,523,654 to reflect the transfer from the Parks and Recreation Capital Fund for the partial repayment of the General Fund loan for the Reed Street - Grant Street Sports Park Project from Park Mitigation Fees; and a decrease of \$1,800,000 to reflect the use of funds for the Downtown Master Plan Implementation capital project recommended in the FY 2020/21 Proposed Budget.
Technology Fee Reserve		201,000	Increases the Technology Fee Reserve to account for the projected revenues about the budgeted costs in FY 2020/21. Any excess revenues over expenditures is set aside in this reserve.
Budget Stabilization Reserve		(20,922,306)	Decreases the Budget Stabilization Reserve (BSR) to balance the budget. This reflects the additional budget balancing amount above the level assumed in the FY 2020/21 Adopted Budget approved as part of the FY 2019/20 and FY 2020/21 Biennial Operating Budget.
Subtotal Use of Reserves	0	(25,687,385)	
Total General Fund	(9,327,639)	(9,327,639)	



FY 2020/21 OPERATING BUDGET AMENDMENTS DETAIL BY FUND | SPECIAL REVENUE FUNDS

Department	Source of Funds	Use of Funds	Explanation
Downtown Parking Maintenance District Fund (025)			
Public Works - Interests	2,591		Increases the interest earnings revenue estimate to reflect actual collection trends while also accounting for the expected reduction in interest rates.
Public Works - Misc. Charges for Current Services	(3,045)		Decreases the property owners payments towards the District's costs and expenses due to higher interest earned from prior years' property owners assessments that are applied to the FY 2020/21 payment.
Public Works - Salary and Benefits		(3,727)	Decreases personnel costs to account for current incumbents.
Public Works - Contractual Services		(15,362)	Decreases the routine maintenance expenses based on an assessment of needs. The revised cost is consistent with the Director's Report brought forward for the District.
Public Works - Interfund Services		(2,809)	Decreases the allocation for technical services maintenance based on the FY 2020/21 distribution of costs across City funds.
Transfer from General Fund	4,508		Increases the transfer from the General Fund to cover the City share of the District's costs and expenses per the Director's Report.
Ending Fund Balance		25,952	Offsets the actions recommended above.
Total Downtown Parking Maintenance District Fund	4,054	4,054	
Convention Center Maintenance District Fund (026)			
Public Works - Salary and Benefits		394	Increases personnel costs to reflect updated salary and benefit costs based on negotiated salary agreements, required contributions to the CalPERS, the latest benefit costs, and actual incumbents.
Public Works - Contractual Services		(13,940)	Decreases the routine maintenance expenses based on an assessment of needs. The revised cost is consistent with the Director's Report brought forward for the District.
Public Works - Interfund Services		(754)	Decreases the allocation for technical services maintenance based on the FY 2020/21 distribution of costs across City funds.
Ending Fund Balance		14,300	Offsets the actions recommended above.
Total Convention Center Maintenance District Fund	0	0	
Community Facilities District 2019-1 Fund (027)			
Special Tax Revenues	(231,306)		Decreases the Mello-Roos tax revenue estimate based on the revised number of units that are assessed the special tax. These assessments are expected to increase in the future as building permits are issued for additional units and additional parcels are annexed into the District.
Public Works - Maintenance		(185,045)	Decrease the maintenance costs to align with the actual number of units in the District.
Ending Fund Balance		(46,261)	Offsets the actions recommended above.
Total Community Facilities District 2019-1 Fund	(231,306)	(231,306)	
Endowment Care Fund (077)			
Cemetery - Interests	(2,000)		Decreases the interest earnings estimate based on the declining interest rate environment.
Transfer to Cemetery Operating Fund		(2,000)	Decreases the transfer to the Cemetery Operating Fund due to the reduced interest income estimate. Interest earnings are transferred annually to Cemetery Operating Fund.
Total Endowment Care Fund	(2,000)	(2,000)	



FY 2020/21 OPERATING BUDGET AMENDMENTS DETAIL BY FUND | SPECIAL REVENUE FUNDS

Department	Source of Funds	Use of Funds	Explanation
Library Operating Grant Fund (112)			
Library - Contractual Services		11,500	Increases contractual services funding for services related to the Adult Literacy program.
Ending Fund Balance		(11,500)	Offsets the actions recommended above.
Total Library Operating Grant Fund	0	0	
Gas Tax Fund (121)			
Public Works - Gas Tax	300,000		Increases the budget estimate for gas tax collections based upon revised activity projections and initial impacts from COVID-19. The California Local Government Finance Almanac provides revenue estimates to local jurisdictions. Revisions to these estimates may be necessary depending on the long-term impacts of COVID-19 on gas usage.
Public Works - Interests	100,000		Establishes a revenue estimate for interest earnings that will be received in this fund.
Transfer to the Streets and Highways Capital Fund		494,399	Increases the transfer from the Traffic Mitigation Fund to the Streets and Highways Capital Fund to provide additional funding required for various Transportation capital projects.
Ending Fund Balance		(94,399)	Offsets the actions recommended above.
Total Gas Tax Fund	400,000	400,000	
Road Repair and Accountability Act of 2017 SB1 Fund (122)			
Public Works - SB 1 Revenue	(50,000)		Decreases the revenue estimate for SB1 collections based upon updated information included in the California Local Government Finance Almanac. Revisions to these estimates may be necessary depending on the long-term impacts of COVID-19 on gas usage.
Public Works - Interests	25,000		Establishes a revenue estimate for interest earnings that will be received in this fund.
Transfer to Streets and Highways Capital Fund		50,000	Increases the transfer from the Traffic Mitigation Fund to the Streets and Highways Capital Fund to provide additional funding required for various Transportation capital projects.
Ending Fund Balance		(75,000)	Offsets the actions recommended above.
Total Road Repair and Accountability Act of 2017 SB1 Fund	(25,000)	(25,000)	
Traffic Mitigation Fund (123)			
Public Works - Interests	150,000		Establishes a revenue estimate for interest earnings that will be received in this fund.
Transfer to the Streets and Highways Capital Fund		472,000	Increases the transfer from the Traffic Mitigation Fund to the Streets and Highways Capital Fund to provide additional funding required for various Transportation capital projects.
Ending Fund Balance		(322,000)	Offsets the actions recommended above.
Total Traffic Mitigation Fund	150,000	150,000	



FY 2020/21 OPERATING BUDGET AMENDMENTS DETAIL BY FUND | SPECIAL REVENUE FUNDS

Department	Source of Funds	Use of Funds	Explanation
Engineering Operating Grant Trust Fund (144)			
One Bay Area Grant 15-16 City Match		33,157	Adjust funding for the One Bay Area Grant that will be used for the El Camino Specific Plan Development.
VRF Countywide ITS 15-16		16,464	Adjusts funding for the Vehicle Registration Fee Countrywide Program for transportation network and communications repairs for the City.
Ending Fund Balance		(49,621)	Offsets the actions recommended above.
Total Engineering Operating Grant Trust Fund	0	0	

Housing Authority Fund (164)			
Housing Authority - Salary and Benefits		6,877	Increases personnel costs to reflect updated salary and benefit costs based on negotiated salary agreements, required contributions to the CalPERS, the latest benefit costs, and actual incumbents.
Housing Authority - Interests	24,650		Increases the interest earnings revenue estimate to reflect actual collection trends while also accounting for the declining interest rate environment.
Housing Authority - Interfund Services		470	Reflects updated costs for interfund services (Information Technology Department services, special liability fund, workers' compensation, Public Works capital project management, vehicle equipment and maintenance, fleet purchases, and communications equipment).
Ending Fund Balance		17,303	Offsets the actions recommended above.
Total Housing Authority Fund	24,650	24,650	

City Affordable Housing Fund (165)			
City Affordable Housing - Salary and Benefits		18,087	Increases personnel costs to reflect updated salary and benefit costs based on negotiated salary agreements, required contributions to the CalPERS, the latest benefit costs, and actual incumbents.
City Affordable Housing - Interests	84,475		Increases the interest earnings revenue estimate to reflect actual collection trends while also accounting for the declining interest rate environment.
City Affordable Housing - Interfund Services		1,269	Reflects updated costs for interfund services (Information Technology Department services, special liability fund, workers' compensation, Public Works capital project management, vehicle equipment and maintenance, fleet purchases, and communications equipment).
Ending Fund Balance		65,119	Offsets the actions recommended above.
Total City Affordable Housing Fund	84,475	84,475	

Housing Successor Agency Fund (169)			
Housing Successor Agency - Salary and Benefits		17,806	Increases personnel costs to reflect updated salary and benefit costs based on negotiated salary agreements, required contributions to the CalPERS, the latest benefit costs, and actual incumbents.
Housing Successor Agency - Interfund Services		980	Reflects updated costs for interfund services (Information Technology Department services, special liability fund, workers' compensation, Public Works capital project management, vehicle equipment and maintenance, fleet purchases, and communications equipment).



FY 2020/21 OPERATING BUDGET AMENDMENTS DETAIL BY FUND | SPECIAL REVENUE FUNDS

Department	Source of Funds	Use of Funds	Explanation
Housing Successor Agency Fund (169)			
Housing Successor Agency - Loan		5,000,000	In January 2020, the City Council approved the affordable housing development project at 2330 Monroe Street and a City's loan of up to \$5,000,000. This action adds funding necessary for the City to issue the loan.
Housing Successor Agency - Interests	148,250		Increases the interest earnings revenue estimate to reflect actual collection trends while also accounting for the declining interest rate environment.
Ending Fund Balance		(4,870,536)	Offsets the actions recommended above.
Total Housing Successor Agency Fund	148,250	148,250	
Public, Educational and Governmental Fee Fund (221)			
Public, Educational and Governmental (PEG) Fee	150,000		Establishes a revenue estimate from the PEG fee consistent with actual collections in prior years.
Ending Fund Balance		150,000	Offsets the actions recommended above.
Total Public, Educational and Governmental Fee Fund	150,000	150,000	
Housing and Urban Development Fund (562)			
Housing and Urban Development - HOME Investment Partnership Program and Community Development Block Grant Funding	801,319	801,319	Increases revenues to reflect the total expected resources from the U.S. Department of Housing and Urban Development in the form of Community Development Block Grant and HOME Investment Partnerships Program (HOME) funds. Additionally, this action increases the allocation amounts as outlined in the Draft Annual Action Plan for FY 2020/21. These amounts are subject to change pending the City Council's approval of the Final Annual Action Plan on May 12, 2020.
Total Housing and Urban Development	801,319	801,319	
Total Special Revenue Funds	1,508,496	1,508,496	



FY 2020/21 OPERATING BUDGET AMENDMENTS DETAIL BY FUND | ENTERPRISE FUNDS

Department	Source of Funds	Use of Funds	Explanation
Electric Utility Fund (091)			
Electric Department - Customer Service Charge	(27,316,020)		Reduces the revenue estimate due to load forecast change, which is 2.34% lower from original budget due to temperature normalization, customer forecast updates, and departing load due to distributed generation.
Electric Department - Other Interest Income	(1,927,065)		Reduces interest and investment income due to the declining interest rate environment and current economic conditions.
Electric Department - Wholesale and Other Revenues	13,504		Net increase in revenue to reflect the following: increases in Capacity Sales (\$1.9 M) and Congestion Rights (\$0.2 M) revenues; and decreases to Wholesale Power (\$1.5 M), Steam (\$0.2 M), and Renewable Energy Credits (\$0.3 M) revenues.
Electric Department - Salary and Benefits		1,580,568	Increases personnel costs to reflect updated salary and benefit costs based on negotiated salary agreements, required contributions to the CalPERS, the latest benefit costs, and actual incumbents.
Electric Department - Salary and Benefits		139,609	Adds 1.0 Meter Data Analyst position.
Electric Department - Materials/Services/Supplies - Maintenance		1,593,823	Adds funding to cover new operating and maintenance costs resulting from completed Capital Improvement Program projects (namely Hydro Power facilities and Substation Capital Maintenance and Betterments).
Electric Department - Interfund Services		1,464,223	Reflects updated costs for interfund services (Information Technology Department services, special liability fund, workers' compensation, Public Works capital project management, vehicle equipment and maintenance, fleet purchases, and communications equipment).
Electric Department - Materials/Services/Supplies - Contractual Services		1,462,262	Adds funding for latest contract for tree trimming services and legal services for PG&E bankruptcy.
Electric Department - Capital Outlay		378,800	Increases funding for repairs/preventative maintenance to remote properties (e.g., fencing, building structural work) based on updated quotes.
Electric Department - Materials/Services/Supplies - Utilities		108,768	Adjusts funding to reflect actual spending.
Electric Department - As-Needed		59,519	Increases as-needed staffing funding to support the Fiber program (shared fiber engineer with the Green House Gas and Low Carbon Fuel programs).
Electric Department - Materials/Services/Supplies - Supplies and		(64,152)	Adjusts funding to reflect actual spending.
Electric Department - Contribution In-Lieu		(1,961,515)	Reduces contribution in-lieu funding to the General Fund based on Revenue forecast reduction above.
Electric Department - Salary and Benefits		(4,141,320)	Decreases personnel costs to reflect the shift of capital project management labor costs to the Electric Utility Capital Fund.
Electric Department - Resources and Production		(29,270,701)	Reduces the budget for resources and production costs based on the revenue forecast reduction above and reduced costs for Greenhouse Gas production and supplier forecast.
Transfer In from Electric Operating Grant Trust Fund	(2,398,078)		Decreases the transfer from the Electric Operating Grant Trust Fund due to a reduction in the Greenhouse Gas Revenue forecast to pay for resources and production.
Transfer Out to General Government Capital Fund		(1,911,570)	Net decrease due to the deferral of the Financial Management System Replacement CIP Project #6552, increases to Geographic Information System (GIS) CIP Project #6534 and Financial and Human Resources Management System (FHRMS) CIP Project #6501



FY 2020/21 OPERATING BUDGET AMENDMENTS DETAIL BY FUND | ENTERPRISE FUNDS

Department	Source of Funds	Use of Funds	Explanation
Electric Utility Fund (091)			
Transfer Out to Electric Capital Fund		(24,898,630)	Decreases the transfer to the Electric Capital Fund due to a reduction in budgeted CIP project costs and the use of \$10 million of available capital fund balance, partially offset by an increase of \$4.1 million for capital project management associated labor costs.
Transfer Out to Street Lights Capital Fund		75,000	Increases the transfer to the Street Lights Capital Fund to support the Miscellaneous Street Lighting CIP Project #2871.
Transfer Out to Electric Utility Debt Service Fund		(6,343,438)	Decreases the transfer to the Electric Utility Debt Service Fund to align with the funding needs in that fund.
Ending Fund Balance		30,101,095	Offsets the actions recommended above.
Total Electric Utility Fund	(31,627,659)	(31,627,659)	
Water Utility Fund (092)			
Water & Sewer Utility Department - Other Fees for Services	268,500		Increases the revenue estimate for other fees for services to align with actual collections in prior years.
Water & Sewer Utility Department - Interests	(312,100)		Decreases the revenue estimate for interest earnings to align with actual collections in prior years and account for the declining interest rate environment.
Water & Sewer Utility Department - Salary and Benefits		56,951	Increases personnel costs to reflect updated salary and benefit costs based on negotiated salary agreements, required contributions to the CalPERS, the latest benefit costs, and actual incumbents.
Water & Sewer Utility Department - Interfund Services		54,119	Reflects updated costs for interfund services (Information Technology Department services, special liability fund, workers' compensation, Public Works capital project management, vehicle equipment and maintenance, fleet purchases, and communications equipment).
Transfer to the General Government Capital Fund		(640,929)	Decreases the transfer from the Water Utility Fund to the General Government Capital Fund to reflect the updated cost for capital projects programmed in the FY 2020/21 proposed capital budget.
Transfer to the Electric Utility Capital Fund		(298,250)	Decreases the transfer from the Water Utility Fund to the Electric Utility Capital Fund to reflect the updated cost for capital projects programmed in the FY 2020/21 proposed capital budget.
Transfer to the Water Utility Capital Fund		(2,470,000)	Decreases the transfer from the Water Utility Fund to the Water Utility Capital Fund to reflect the updated cost for capital projects programmed in the FY 2020/21 proposed capital budget.
Ending Fund Balance		3,254,509	Offsets the actions recommended above.
Total Water Utility Fund	(43,600)	(43,600)	
Cemetery Fund (093)			
Parks and Recreation - Cemetery - Charges for Services	(59,403)		Decreases revenue to align with actual activity trends.
Parks and Recreation - Cemetery - Salary and Benefits		(6,374)	Decreases personnel costs based on updated benefits costs for the budgeted positions.
Parks and Recreation - Cemetery - Interfund Services		1,196	Reflects updated costs for interfund services (Information Technology Department services, special liability fund, workers' compensation, Public Works capital project management, vehicle equipment and maintenance, fleet purchases, and communications equipment).



FY 2020/21 OPERATING BUDGET AMENDMENTS DETAIL BY FUND | ENTERPRISE FUNDS

Department	Source of Funds	Use of Funds	Explanation
Cemetery Fund (093)			
Transfer to General Government Capital Fund		(52,469)	Decreases the transfer to the General Government Capital Fund to reflect the deferral of the Financial Management System replacement project.
Transfer from General Fund	9,106		Increases the transfer from General Fund to support the budgeted expenditures in the Cemetery Fund.
Ending Fund Balance		7,350	Offsets the actions recommended above.
Total Cemetery Fund	(50,297)	(50,297)	-

Sewer Utility Fund (094)			
Water & Sewer Utility Department - Interests	(1,369,875)		Decreases the revenue estimate for interest earnings to align with actual collections in prior years and account for the declining interest rate environment.
Transfer From the Sewer Utility Capital Fund	(22,000,000)		Eliminates the transfer from the Sewer Utility Capital Fund to the Sewer Utility Fund. During the development of the FY 2020/21 Adopted Operating Budget, it was anticipated that the Sewer Utility Capital Fund would have a significant fund balance at year-end to return to the operating fund. However, as a result of the change in debt issuance for Sewer Utility's Regional Wastewater Facility project, the Sewer Utility Capital Fund unrestricted fund balance will be used to help offset project costs.
Water & Sewer Utility Department - Salary and Benefits		(21,583)	Decreases personnel costs based on updated benefits costs for the budgeted positions.
Water & Sewer Utility Department - Interfund Services		63,821	Reflects updated costs for interfund services (Information Technology Department services, special liability fund, workers' compensation, Public Works capital project management, vehicle equipment and maintenance, fleet purchases, and communications equipment).
Transfer to the General Government Capital Fund		(249,668)	Decreases the transfer from the Sewer Utility Fund to the General Government Capital Fund to reflect the updated cost for capital projects programmed in the FY 2020/21 proposed capital budget.
Transfer to the Electric Utility Capital Fund		(353,500)	Decreases the transfer from the Sewer Utility Fund to the Electric Utility Capital Fund to reflect the updated cost for capital projects programmed in the FY 2020/21 proposed capital budget.
Transfer to the Sewer Utility Capital Fund		3,772,642	Increases the transfer from the Sewer Utility Fund to the Sewer Utility Capital Fund to reflect the updated cost for capital projects programmed in the FY 2020/21 proposed capital budget.
Transfer to the Water Utility Capital Fund		(45,000)	Decreases the transfer from the Sewer Utility Fund to the Water Utility Capital Fund to reflect the updated cost for capital projects programmed in the FY 2020/21 proposed capital budget.
Transfer to the Sewer Utility Debt Service Fund		(3,261,758)	Decreases the transfer from the Sewer Utility Fund to the Sewer Utility Debt Service Fund as a result of the change in debt issuance.
Ending Fund Balance		(23,274,829)	Offsets the actions recommended above.
Total Sewer Utility Fund	(23,369,875)	(23,369,875)	

Solid Waste Fund (096)			
Solid Waste - Salary and Benefits		(1,898)	Decreases personnel costs to reflect updated salary and benefit costs for budgeted positions.
Solid Waste - Interfund Services		44,228	Reflects updated costs for interfund services (Information Technology Department services, special liability fund, workers' compensation, Public Works capital project management, vehicle equipment and maintenance, fleet purchases, and communications equipment).



FY 2020/21 OPERATING BUDGET AMENDMENTS DETAIL BY FUND | ENTERPRISE FUNDS

Department	Source of Funds	Use of Funds	Explanation
Solid Waste Fund (096)			
Solid Waste - Contractual Services		24,600	Increases contractual services for a new subscription to the Recycles cloud-based tracking tool to collect information on hauler service levels, outreach efforts and enforcement activities to comply with SB 1383 reporting requirements. The tool will enable non-exclusive franchise haulers to submit quarterly Certification of Gross Billings reports electronically.
Solid Waste - Contractual Services		40,000	Increases contractual services to conduct a waste audit to determine the amount of residue in the municipal solid waste stream prior to processing at the GWR material recovery facility. This is necessary to corroborate the amount of residue taken to Newby Island Landfill, which the City is contractually obligated through 2024.
Solid Waste - Contractual Services		22,000	Increases contractual services for the Cleanup Campaign (CUC) program collection and disposal. DPW conducted an RFP for CUC trucking services in January 2020. Final proposals came in \$22,000 above the amount that was projected in the FY 19/20 & 20/21 operating budget.
Solid Waste - Garbage Collection, Disposal and Recycling		1,664,900	Increase funding for residential and commercial garbage collection and disposal services. On December 10, 2019, Council approved the new agreement with MTWS that has a 6% adjustment to the collection tariff. Council also approved the new agreement with GWR that added mixed waste processing of residential and commercial garbage.
Solid Waste - Garbage Collection, Disposal and Recycling		199,860	Increases the residential recycling services budget to account for the higher costs associated with the Recology agreement as approved by Council on December 3, 2019.
Solid Waste - Garbage Collection, Disposal and Recycling		4,440	Increases funding for Clean Green collection and composting services. The new agreement with MTWS approved on December 10, 2019 included a 6% adjustment to the collection tariff which was higher than projected.
Solid Waste - Refuse Collection Charges	1,706,962		Increases the revenue estimate for refuse collection charges based on updated customer garbage rates that reflect new agreements with MTWS and Green Waste Recovery, as well as a cost-of-living adjustment for landfill disposal.
Solid Waste - Refuse Collection Charges	199,860		Increases the revenue estimate for refuse collection charges that were adjusted to recoup the increased cost of the Recology agreement as approved by Council on December 3, 2019.
Solid Waste - Refuse Collection Charges	80,048		Increases the revenue estimate for refuse collection charges based on the increase to the Clean Green charge to keep pace with new MTWS agreement costs for collection.
Solid Waste - Customer Serv. Charge -	(4,349)		Trues up the adjustment to the annual Clean-Up Campaign Charge over FY 2019/20 estimates, reflecting 3% growth.
Solid Waste - Refuse Collection Charges	100,587		Increases the residential recycling charge to keep pace with new Recology agreement costs.
Solid Waste - Hazardous Household Waste Charges	5,000		Increases the Hazardous Household Waste charges revenue estimate to reflect additional households; the charge is to remain flat.
Transfer to Solid Waste Capital Fund		235,459	Increases the transfer to the Solid Waste Capital Fund to add funding for the Landfill Post-Closure Maintenance project expenses and the Landfill Corrective Action Costs.
Transfer to General Government Capital Fund		(514,089)	Decreases the transfer to the General Government Capital Fund to reflect the deferral of the Financial Management System replacement project.



FY 2020/21 OPERATING BUDGET AMENDMENTS DETAIL BY FUND | ENTERPRISE FUNDS

Department	Source of Funds	Use of Funds	Explanation
Solid Waste Fund (096)			
Transfer to Electric Capital Fund		(182,750)	Decreases the transfer to the Electric Capital Fund for the Utility Billing CIS Replacement project based on the FY 2020/21 proposed capital budget.
Ending Fund Balance		551,358	Offsets the actions recommended above.
Total Solid Waste Fund	2,088,108	2,088,108	
Water Recycling Fund (097)			
Water & Sewer Utility Department - Interests	(22,550)		Decreases the revenue estimate for interest earnings to align with actual collections in prior years and account for the declining interest rate environment.
Water & Sewer Utility Department - Salary and Benefits		(4,944)	Decreases the salary and benefits category due to benefit adjustments.
Water & Sewer Utility Department - Interfund Services		2,599	Reflects updated costs for interfund services (Information Technology Department services, special liability fund, workers' compensation, Public Works capital project management, vehicle equipment and maintenance, fleet purchases, and communications equipment).
Transfer to the Water Utility Capital Fund		(2,000,000)	Decreases the transfer to the Water Utility Capital Fund to reflect the updated cost for capital projects programmed in the FY 2020/21 proposed capital budget.
Ending Fund Balance		1,979,795	Offsets the actions recommended above.
Total Water Recycling Fund	(22,550)	(22,550)	
Electric Operating Grant Trust Fund (191)			
Electric Department - Low Carbon Fuel Revenue	3,049,100		Increases the revenue estimate based on the current outlook.
Electric Department - Greenhouse Gas	(3,743,310)		Reduces the revenue estimate based on the current outlook.
Electric Department - Customer Service Charge	(778,506)		Reflects a proportionate reduction resulting from the Customer Service Charge revenue reduction in the Electric Utility Operating Fund.
Electric Department - Materials/Services/Supplies -Contractual Services		813,000	Adds funding for higher energy efficiency projects and programs contracts.
Electric Department - As-Needed		40,600	Increases as-needed staffing funding for the Green House Gas and Low Carbon Fuel programs (shared fiber engineer with Fiber program).
Electric Department - Materials/Services/Supplies -Conference, Travel and Training		8,500	Increases funding to reflect actual spending.
Electric Department - Materials/Services/Supplies -Mandated Program Costs		(1,939,657)	Reflects a net reduction in mandated program costs due to a revenue reduction and an increase in the resource and production cost forecast from supplier.
Electric Department - Contribution In-Lieu		(38,925)	Decreases the contribution in-lieu cost to align with the lower revenue estimates.
Electric Department - Salary and Benefits		(52,828)	Decreases salary and benefit costs based on updated position level costs.
Electric Department - Interfund Services		(118,503)	Reflects updated costs for interfund services (Information Technology Department services, special liability fund, workers' compensation, Public Works capital project management, vehicle equipment and maintenance, fleet purchases, and communications equipment).
Electric Department - Salary and Benefits		(200,083)	Decreases personnel costs to reflect the shift of capital project management labor costs to the Electric Utility Capital Fund.



FY 2020/21 OPERATING BUDGET AMENDMENTS DETAIL BY FUND | ENTERPRISE FUNDS

Department	Source of Funds	Use of Funds	Explanation
Electric Operating Grant Trust Fund (191)			
Transfer Out to Electric Utility Operating Fund		(2,398,078)	Decreases the transfer to the Electric Utility Operating Fund to account for the lower Greenhouse Gas revenue to pay for resources and production costs.
Transfer Out to Streets and Highway Capital Fund		400,000	Increases the transfer to the Streets and Highway Capital Fund for the Pedestrian and Bicycle Enhancement Facilities capital project #1220.
Transfer Out to Electric Utility Capital Fund		2,150,083	Increases the transfer to the Electric Utility Capital Fund for the Clean Energy and Carbon Reduction CIP Project #2398, Renewable Energy Microgrid (New), and capital project management costs.
Ending Fund balance		(136,825)	Offsets the actions recommended above.
Total Electric Operating Grant Trust Fund	(1,472,716)	(1,472,716)	
Convention Center Enterprise Fund (860)			
Convention Center - Charges for Services	4,909,837		Establishes a revenue estimate for Charges for Services to reflect the annual budget submission from Spectra, the City's Convention Center management company.
Convention Center - Rent	5,789,533		Establishes a revenue estimate for Rent to reflect the annual budget submission from Spectra, the City's Convention Center management company.
Convention Center - Event Revenue	11,044,000		Establishes a revenue estimate for event-related revenue to reflect the annual budget submission from Levy, the City's Convention Center food and beverage concessionaire.
Convention Center - Other Revenue	168,836		Establishes a revenue estimate for other revenue to reflect the annual budget submission from Spectra, the City's Convention Center management company.
Non-Departmental - Salary and Benefits		(75,151)	Decreases the salary and benefits category due to benefit adjustments.
Convention Center - Interfund Services		14,621	Reflects updated costs for interfund services (Information Technology Department services, special liability fund, workers' compensation, Public Works capital project management, vehicle equipment and maintenance, fleet purchases, and communications equipment).
Convention Center - Event Expenses		7,505,662	Establishes an event expense estimate to reflect the annual budget submission from Levy, the City's Convention Center food and beverage concessionaire.
Convention Center - Indirect Expense		11,335,505	Establishes an indirect expense estimate to reflect the annual budget submission from Spectra, the City's Convention Center management company.
Operating Surplus		3,071,039	Increases the operating surplus for Spectra and Levy based on the projected revenues and expenses.
Ending Fund Balance		60,530	Offsets the actions recommended above.
Total Convention Center Enterprise Fund	21,912,206	21,912,206	
Total Enterprise Funds	(32,586,383)	(32,586,383)	



FY 2020/21 OPERATING BUDGET AMENDMENTS DETAIL BY FUND | INTERNAL SERVICE FUNDS

Department	Source of Funds	Use of Funds	Explanation
Public Works Capital Projects Management Fund (044)			
Public Works - Interdepartmental Services - CIP	57,856		Increases the capital fund allocation charges to cover the increase in staffing and other general expenses.
Public Works - Salary and Benefits		57,856	Increases personnel costs to reflect updated salary and benefit costs based on negotiated salary agreements, required contributions to the CalPERS, the latest benefit costs, and actual incumbents.
Public Works - Interfund Services		13,677	Reflects updated costs for interfund services (Information Technology Department services, special liability fund, workers' compensation, Public Works capital project management, vehicle equipment and maintenance, fleet purchases, and communications equipment).
Ending Fund Balance		(13,677)	Offsets the actions recommended above.
Total Public Works Capital Projects Management Fund	57,856	57,856	
Information Technology Services Fund (045)			
Information Technology - Other Fees For Services	(3,904)		Reflects the lower charges to other funds based on the projected Information Technology Services costs.
Information Technology - Contractual Services		(102,000)	Reduces contractual services funding for training to reflect the shift of training services to an as-needed employee. There is a corresponding increase to as-needed staffing funding.
Information Technology - As-Needed		102,000	Increases as-needed staffing funding for training to reflect the shift of training services from consultant services to an as-needed employee. There is a corresponding decrease to consultant services.
Information Technology - Interfund Services		12,133	Reflects updated costs for interfund services (Information Technology Department services, special liability fund, workers' compensation, Public Works capital project management, vehicle equipment and maintenance, fleet purchases, and communications equipment).
Information Technology - Materials/Services/Supplies		(980)	Decreases the Materials/Services/Supplies budget to reflect various cost adjustments.
Information Technology - Salary and Benefits		(15,057)	Increases personnel costs to reflect updated salary and benefit costs based on negotiated salary agreements, required contributions to the CalPERS, the latest benefit costs, and actual incumbents.
Total Information Technology Services Fund	(3,904)	(3,904)	
Communication Acquisitions Fund (048)			
Other Fees for Services	136,853		Increases the other fees for services revenue estimate to reflect the updated payments from other funds for communication acquisition costs citywide.
Capital Outlay		4,199	Increases the capital outlay budget to reflect updated radio replacement costs citywide.
Ending Fund Balance		132,654	Offsets the actions recommended above.
Total Communication Acquisitions Fund	136,853	136,853	



FY 2020/21 OPERATING BUDGET AMENDMENTS DETAIL BY FUND | INTERNAL SERVICE FUNDS

Department	Source of Funds	Use of Funds	Explanation
Workers' Compensation Fund (081)			
Workers' Compensation - Contractual Services and Insurance Claims Expenses		625,648	Increases funding to account for higher costs for claims administration and claims expenses to reflect actual spend in prior years. The increase in the budget will also fund older maturing claims.
Workers' Compensation - Interdepartmental Services	625,648		Increases the Interdepartmental Services revenue estimate to reflect the additional charges to departments in order to offset expected claims payouts and third party administration for the budget year.
Total Workers' Compensation Fund	625,648	625,648	
Vehicle Replacement Fund (050)			
Public Works - Other Fees for Services	(43,438)		Reflects the lower charges to other funds based on the projected vehicle replacement schedule.
Ending Fund Balance		(43,438)	Offsets the actions recommended above.
Total Vehicle Replacement Fund	(43,438)	(43,438)	
Fleet Operations Fund (053)			
Public Works - Interdepartmental Services	(63,974)		Decreases the charges to other funds due to lesser maintenance of newer fleet and disposal of Golf vehicles and equipment.
Public Works - Salary and Benefits		77,629	Increases personnel costs to reflect updated salary and benefit costs based on negotiated salary agreements, required contributions to the CalPERS, the latest benefit costs, and actual incumbents.
Public Works - Interfund Services		24,036	Reflects updated costs for interfund services (Information Technology Department services, special liability fund, workers' compensation, Public Works capital project management, vehicle equipment and maintenance, fleet purchases, and communications equipment).
Ending Fund Balance		(165,639)	Offsets the actions recommended above.
Total Fleet Operations Fund	(63,974)	(63,974)	
Special Liability Insurance Fund (082)			
Special Liability - Contractual Services and Insurance Claims Expenses		1,469,308	Increases funding to account for anticipated settlements or jury verdicts in litigation matters, insurance premiums and other litigation expenses.
Special Liability - Interdepartmental Services	1,469,308		Increase the Interdepartmental Services account to reflect the additional charges to other funds in order to offset anticipated claims payouts, higher cost of insurance premiums and litigation expenses for the budget year.
Total Special Liability Insurance Fund	1,469,308	1,469,308	
Total Internal Service Funds	2,178,349	2,178,349	



FY 2020/21 OPERATING BUDGET AMENDMENTS DETAIL BY FUND | DEBT SERVICE FUNDS

Department	Source of Funds	Use of Funds	Explanation
Electric Utility Debt Service Fund (491)			
Electric Department - Other Interest Income	(103,832)		Reduces interest income due to the declining interest rate environment.
Electric Department - Debt Service Expenses		(6,341,993)	Decreases debt service funding resulting from the bond refunding that occurred in FY 2019/20 with a lower interest rate and debt retirement.
Transfer In from Electric Utility Operating Fund	(6,343,438)		Reduces the Transfer from the Electric Utility Operating Fund due to the reduction in the debt service expenses resulting from the bond refunding in FY 2019/20.
Ending Fund balance		(105,277)	Offsets the actions recommended above.
Total Electric Utility Debt Service Fund	(6,447,270)	(6,447,270)	
Sewer Utility Debt Service Fund (494)			
Transfer From the Sewer Utility Fund/Debt Service and Interest Expense	(3,261,758)	(3,261,758)	Decreases the transfer from the Sewer Utility Fund and the debt service and interest expense. During the development of the FY 2019/20 and 2020/21 Biennial Operating Budget, it was anticipated the Water and Sewer Utility Department would issue \$50 million in debt for the Regional Wastewater Facility project in FY 2019/20. However, the Department will now be moving forward with a \$20 million variable rate loan. This will reduce the debt service and interest expense and the associated funding need from the Sewer Utility Fund.
Total Sewer Utility Debt Service Fund	(3,261,758)	(3,261,758)	
Total Debt Service Funds	(9,709,028)	(9,709,028)	



CONVENTION CENTER BUDGET

This section includes income statements for the Convention Center provided by Spectra, the City's Convention Center management company.

In accordance with Spectra's agreement with the City, Spectra submits their proposed operating budget in line with the City's budget cycle. Three income statements are provided that break down the information by year, by month, and by event type. This submission includes the revenue and expenditure projections associated with managing and operating the Convention Center. These projections are incorporated into the City's Convention Center Enterprise Fund, which can be found in the Statement of Sources and Uses of Funds section of this budget document.

With the COVID-19 impacts, adjustments to the Convention Center budget may be necessary during the year based on actual activity.



**SANTA CLARA CONVENTION CENTER
FISCAL YEAR 2020/21 BUDGET PROPOSAL
INCOME STATEMENT**

	Spectra BUDGET 2020/2021	Projected @ 12/2019 2019/2020	Budget 2019/20	Budget VARIANCE FAV/(UNFAV)	Proj vs Budget VARIANCE FAV/(UNFAV)
# OF EVENTS	436	427	479	(43)	
ATTENDANCE	344,164		458,770	(114,606)	
DAYS	1,059		904	155	
DIRECT EVENT REVENUE					
RENTAL REVENUE	5,789,533	4,010,700	5,539,867	249,665	1,778,832
SERVICE REVENUE	2,080,242	3,014,331	2,384,925	(304,683)	(934,089)
TOTAL DIRECT EVENT REVENUE	7,869,775	7,025,031	7,924,792	(55,018)	844,743
ANCILLARY REVENUE					
FOOD AND BEVERAGE REVENUE	11,044,000	9,216,320	7,764,960	3,279,040	1,827,680
AUDIO-VISUAL REVENUE	2,304,995	2,053,200	2,620,587	(315,592)	251,794
ELECTRICAL REVENUE	524,600	0	0	524,600	524,600
BUSINESS CENTER REVENUE	0	0	0	0	0
TOTAL ANCILLARY REVENUE	13,873,595	11,269,520	10,385,547	3,488,048	2,604,074
TOTAL EVENT REVENUE	21,743,370	18,294,551	18,310,339	3,433,030	3,448,817
OTHER REVENUE	168,836	186,939	174,200	(5,364)	(18,103)
TOTAL GROSS REVENUE	21,912,206	18,481,490	18,484,539	3,427,666	3,430,714
EVENT EXPENSE					
SERVICE EXPENSE	1,480,000	1,801,098	1,395,080	84,920	(321,098)
FOOD & BEVERAGE EXPENSE	7,505,662	6,082,771	5,349,806	2,155,856	1,422,891
AUDIO-VISUAL EXPENSE	1,675,000	1,363,584	1,729,588	(54,588)	311,416
ELECTRICAL EXPENSE	393,450	0	0	393,450	393,450
BUSINESS CENTER EXPENSE	0	0	0	0	0
TOTAL EVENT EXPENSE	11,054,112	9,247,453	8,474,474	2,579,638	1,806,659
TOTAL EVENT INCOME	10,689,258	9,047,099	9,835,865	853,392	1,642,158
TOTAL INCOME WITH OTHER REVENUE	10,858,094	9,234,037	10,010,065	848,028	1,624,055
INDIRECT EXPENSES					
EXECUTIVE	752,860	670,712	714,027	(38,833)	(82,148)
FINANCE	581,427	305,837	521,694	(59,733)	(275,590)
MARKETING & SALES	598,924	516,274	344,579	(254,345)	(82,650)
EVENTS	530,531	543,225	632,968	102,438	12,694
OPERATIONS	3,844,722	3,701,752	4,128,248	283,526	(142,970)
OVERHEAD	1,482,849	1,464,118	1,384,852	(97,997)	(18,731)
FOOD & BEVERAGE	0	57,200	0	0	57,200
AUDIO VISUAL	0	0	0	0	0
TOTAL INDIRECT EXPENSES	7,791,313	7,259,118	7,726,368	(64,944)	(532,194)
CAPITAL EXPENSE	0	0	0	0	
NET INCOME / (SUBSIDY)	3,066,781	1,974,919	2,283,697	912,971	2,156,249



**SANTA CLARA CONVENTION CENTER
FISCAL YEAR 2020/21 BUDGET PROPOSAL
INCOME STATEMENT BY MONTH**

	TOTAL	July	August	September	October	November	December	January	February	March	April	May	June
# OF EVENTS	436	21	27	47	56	42	27	33	36	48	43	37	19
GENERAL ATTENDANCE	344,164	23,015	17,245	35,970	47,960	25,538	20,166	27,911	29,579	34,070	25,595	35,820	21,295
DAYS	0	0	0	0	0	0	0	0	0	0	0	0	0
TOTAL EVENT REVENUE													
Consumer Shows	349,296	10,910	10,680	19,340	158,975	5,805	6,075	21,535	63,152	45,389	1,935	5,500	0
Conventions	2,651,938	37,775	218,047	60,102	328,431	251,797	161,507	218,901	152,761	57,837	286,079	551,373	327,328
Banquets	116,136	0	55	10,000	26,259	22,665	23,290	5,000	19,600	0	0	9,267	0
Meetings/Conferences	2,715,453	190,389	100,241	283,244	226,639	186,892	137,117	96,456	177,212	368,513	132,961	403,656	412,133
Special Events	320,390	47,922	13,113	15,383	19,624	3,805	47,480	17,031	17,812	24,646	19,176	81,547	12,850
Sporting Events	312,119	0	48,000	7,025	1,580	1,580	1,580	76,789	70,580	54,015	50,970	0	0
Trade Shows	1,404,443	66,831	162,997	267,504	180,767	189,137	24,505	157,988	21,749	50,284	23,241	147,428	112,011
TOTAL DIRECT EVENT REVENUE	7,869,774	353,828	553,134	662,597	942,276	661,681	401,554	593,700	522,866	600,684	514,362	1,198,771	864,322
ANCILLARY REVENUE													
Service Revenue only	2,074,698	72,614	189,374	148,522	241,494	148,556	175,901	99,791	120,541	134,049	168,518	329,586	245,752
Food & Beverage Revenue	11,044,000	107,686	622,048	948,366	2,146,979	1,300,093	1,720,377	229,864	796,841	636,489	1,177,720	725,199	632,338
Audio-Visual Revenue	2,304,995	13,756	74,283	216,119	254,862	78,851	137,615	217,152	249,650	361,927	250,623	435,521	14,637
Parking Revenue	0	0	0	0	0	0	0	0	0	0	0	0	0
Electrical Revenue	524,600	22,000	0	88,000	24,500	60,000	15,000	140,000	22,000	0	38,100	0	115,000
Business Center Revenue	0	0	0	0	0	0	0	0	0	0	0	0	0
TOTAL ANCILLARY REVENUE	13,873,595	143,442	696,331	1,252,485	2,426,341	1,438,944	1,872,993	587,016	1,068,491	998,416	1,466,443	1,160,720	761,975
TOTAL EVENT REVENUE	21,743,370	497,269	1,249,465	1,915,082	3,368,617	2,100,625	2,274,547	1,180,716	1,591,357	1,599,100	1,980,805	2,359,491	1,626,297
OTHER REVENUE													
Advertising & Sponsorship	30,000	2,500	2,500	2,500	2,500	2,500	2,500	2,500	2,500	2,500	2,500	2,500	2,500
Cancellation Fees	97,000	8,083	8,083	8,083	8,083	8,083	8,083	8,083	8,083	8,083	8,083	8,083	8,083
Interest and Dividends	7,500	625	625	625	625	625	625	625	625	625	625	625	625
F&B Vending	0	0	0	0	0	0	0	0	0	0	0	0	0
Other Miscellaneous Revenue	34,336	2,861	2,861	2,861	2,861	2,861	2,861	2,861	2,861	2,861	2,861	2,861	2,861
TOTAL OTHER REVENUE	168,836	14,070	14,070	14,070	14,070	14,070	14,070	14,070	14,070	14,070	14,070	14,070	14,070
TOTAL GROSS REVENUE	21,912,206	511,339	1,263,534	1,929,151	3,382,687	2,114,695	2,288,616	1,194,785	1,605,426	1,613,170	1,994,875	2,373,561	1,640,366
EVENT EXPENSE													
Service Expense	(1,480,000)	(49,109)	(135,512)	(104,837)	(171,778)	(104,618)	(125,542)	(70,041)	(84,575)	(97,853)	(119,634)	(239,268)	(177,231)
Food & Beverage Expense	(7,505,662)	(74,503)	(424,629)	(644,519)	(1,459,161)	(883,543)	(1,164,911)	(156,216)	(542,478)	(432,582)	(800,378)	(492,845)	(429,897)
Audio-Visual Expense	(1,675,000)	(9,216)	(49,769)	(144,800)	(170,757)	(52,830)	(92,202)	(145,492)	(167,266)	(242,491)	(167,918)	(422,453)	(9,807)
Parking Expense	0	0	0	0	0	0	0	0	0	0	0	0	0
Electrical Expense	(393,450)	(16,500)	0	(66,000)	(18,375)	(45,000)	(11,250)	(105,000)	(16,500)	0	(28,575)	0	(86,250)
Business Center Expense	0	0	0	0	0	0	0	0	0	0	0	0	0
TOTAL EVENT EXPENSE	(11,054,112)	(149,328)	(609,910)	(960,156)	(1,820,071)	(1,085,992)	(1,393,906)	(476,748)	(810,819)	(772,926)	(1,116,505)	(1,154,566)	(703,185)
NET EVENT INCOME	10,689,257	347,942	639,555	954,925	1,548,546	1,014,633	880,641	703,967	780,538	826,174	864,300	1,204,925	923,112



**SANTA CLARA CONVENTION CENTER
FISCAL YEAR 2020/21 BUDGET PROPOSAL
INCOME STATEMENT BY MONTH**

	TOTAL	July	August	September	October	November	December	January	February	March	April	May	June
NET INCOME W/OTH REVENUE	10,858,093	362,011	653,624	968,995	1,562,615	1,028,703	894,711	718,037	794,608	840,243	878,369	1,218,994	937,181
INDIRECT EXPENSES													
PERSONNEL EXPENSE													
Salaries	3,441,635	264,741	264,741	264,741	397,112	264,741	264,741	264,741	264,741	397,112	264,741	264,741	264,741
Payroll Taxes	298,659	22,974	22,974	22,974	34,461	22,974	22,974	22,974	22,974	34,461	22,974	22,974	22,974
Employee Benefits	1,243,179	102,110	102,110	102,110	111,038	102,110	102,110	102,110	102,110	111,038	102,110	102,110	102,110
Part-time Wages	70,470	5,873	5,873	5,873	5,873	5,873	5,873	5,873	5,873	5,873	5,873	5,873	5,873
Allocation of Event Labor	40,000	3,333	3,333	3,333	3,333	3,333	3,333	3,333	3,333	3,333	3,333	3,333	3,333
Contract Labor	264,000	22,000	22,000	22,000	22,000	22,000	22,000	22,000	22,000	22,000	22,000	22,000	22,000
TOTAL PERSONNEL EXPENSE	5,357,943	421,031	421,031	421,031	573,816	421,031	421,031	421,031	421,031	573,816	421,031	421,031	421,031
OTHER OPERATING EXPENSE													
Advertising	15,000	1,250	1,250	1,250	1,250	1,250	1,250	1,250	1,250	1,250	1,250	1,250	1,250
Automobile Expense	1,650	138	138	138	138	138	138	138	138	138	138	138	138
Building Supplies	92,259	7,688	7,688	7,688	7,688	7,688	7,688	7,688	7,688	7,688	7,688	7,688	7,688
Computer Services	54,900	4,575	4,575	4,575	4,575	4,575	4,575	4,575	4,575	4,575	4,575	4,575	4,575
Consulting & Professional Fees	20,125	1,677	1,677	1,677	1,677	1,677	1,677	1,677	1,677	1,677	1,677	1,677	1,677
Contracted Services	59,380	4,948	4,948	4,948	4,948	4,948	4,948	4,948	4,948	4,948	4,948	4,948	4,948
Data Processing Fees	128,900	10,742	10,742	10,742	10,742	10,742	10,742	10,742	10,742	10,742	10,742	10,742	10,742
Dues & Subscriptions	8,511	709	709	709	709	709	709	709	709	709	709	709	709
Equipment & Supply Rental	6,600	642	542	542	542	542	542	542	542	542	542	542	542
Equip Maintenance Agreement	110,709	9,226	9,226	9,226	9,226	9,226	9,226	9,226	9,226	9,226	9,226	9,226	9,226
Good & Welfare	6,774	565	565	565	565	565	565	565	565	565	565	565	565
Insurance	288,311	24,026	24,026	24,026	24,026	24,026	24,026	24,026	24,026	24,026	24,026	24,026	24,026
Licenses and Permits	3,825	319	319	319	319	319	319	319	319	319	319	319	319
Management Fee	317,609	26,467	26,467	26,467	26,467	26,467	26,467	26,467	26,467	26,467	26,467	26,467	26,467
Meetings/Conferences	11,189	932	932	932	932	932	932	932	932	932	932	932	932
Office Supplies	18,000	1,500	1,500	1,500	1,500	1,500	1,500	1,500	1,500	1,500	1,500	1,500	1,500
Other - Lighting	27,900	2,325	2,325	2,325	2,325	2,325	2,325	2,325	2,325	2,325	2,325	2,325	2,325
Other - Marketing	5,000	417	417	417	417	417	417	417	417	417	417	417	417
Photography	7,500	625	625	625	625	625	625	625	625	625	625	625	625
Postage	3,500	292	292	292	292	292	292	292	292	292	292	292	292
Printing	50,000	4,167	4,167	4,167	4,167	4,167	4,167	4,167	4,167	4,167	4,167	4,167	4,167
Relocation	10,000	833	833	833	833	833	833	833	833	833	833	833	833
Recruitment	500	42	42	42	42	42	42	42	42	42	42	42	42
Repairs/Maintenance	117,732	9,811	9,811	9,811	9,811	9,811	9,811	9,811	9,811	9,811	9,811	9,811	9,811
Telephone	11,832	986	986	986	986	986	986	986	986	986	986	986	986
Tools/Equipment	65,950	5,496	5,496	5,496	5,496	5,496	5,496	5,496	5,496	5,496	5,496	5,496	5,496
Training	17,729	1,477	1,477	1,477	1,477	1,477	1,477	1,477	1,477	1,477	1,477	1,477	1,477
Trash Hauling	102,279	8,523	8,523	8,523	8,523	8,523	8,523	8,523	8,523	8,523	8,523	8,523	8,523
Travel & Entertainment	22,000	1,833	1,833	1,833	1,833	1,833	1,833	1,833	1,833	1,833	1,833	1,833	1,833
Uniforms	37,000	3,083	3,083	3,083	3,083	3,083	3,083	3,083	3,083	3,083	3,083	3,083	3,083
Utilities	994,455	82,871	82,871	82,871	82,871	82,871	82,871	82,871	82,871	82,871	82,871	82,871	82,871
Allocation of Utilities	(183,750)	(15,313)	(15,313)	(15,313)	(15,313)	(15,313)	(15,313)	(15,313)	(15,313)	(15,313)	(15,313)	(15,313)	(15,313)
TOTAL OPERATING EXPENSES	2,433,369	202,872	202,772	202,772	202,772	202,772	202,772	202,772	202,772	202,772	202,772	202,772	202,772
TOTAL INDIRECT EXPENSES	7,791,312	623,903	623,803	623,803	776,589	623,803	623,803	623,803	623,803	776,589	623,803	623,803	623,803
NET INCOME	3,066,781	(261,892)	29,821	345,192	786,027	404,900	270,907	94,234	170,804	63,655	254,566	595,191	313,378
SURPLUS/(SUBSIDY)	3,066,781	(261,892)	29,821	345,192	786,027	404,900	270,907	94,234	170,804	63,655	254,566	595,191	313,378



**SANTA CLARA CONVENTION CENTER
FISCAL YEAR 2020/21 BUDGET PROPOSAL
INCOME STATEMENT BY EVENT TYPE**

	CONVENTIONS	TRADE SHOWS	CONSUMER SHOWS	SPECIAL EVENTS	MEETINGS	BANQUETS	SPORTING EVENTS	TOTALS
NUMBER OF EVENTS	36	23	21	46	258	32	20	436
GENERAL ATTENDANCE	66,150	39,900	32,000	19,825	142,351	26,088	17,850	344,164
DAYS	332	71	46	73	454	32	51	1,059
DIRECT EVENT REVENUE								
RENTAL REVENUE	2,004,505	957,340	340,120	136,237	1,936,069	108,687	306,575	5,789,533
SERVICE REVENUE	647,433	447,103	9,176	184,153	779,384	7,449	5,544	2,080,242
DIRECT EVENT REVENUE	2,651,938	1,404,443	349,296	320,390	2,715,453	116,136	312,119	7,869,774
EQUIPMENT RENTAL	0	0	0	0	77,360	630	0	77,990
IN HOUSE ELECTRICAL BILLED	0	0	0	700	0	1,400	0	2,100
TELECOMMUNICATIONS BILLED	647,433	447,103	9,176	183,453	702,024	5,267	5,544	2,000,000
OTHER SERVICE BILLED	0	0	0	0	0	152	0	152
TOTAL SERVICE REVENUE	647,433	447,103	9,176	184,153	779,384	7,449	5,544	2,080,242
SERVICE REVENUE TO RENTAL REVENUE RATIO	32.3%	46.7%	2.7%	135.2%	40.3%	6.9%	1.8%	35.9%
LESS SERVICE EXPENSES:								
TELECOMMUNICATIONS EXPENSE	(479,100)	(330,856)	(6,790)	(135,755)	(519,498)	(3,898)	(4,103)	(1,480,000)
TOTAL SERVICE EXPENSES	(479,100)	(330,856)	(6,790)	(135,755)	(519,498)	(3,898)	(4,103)	(1,480,000)
NET SERVICE INCOME/(LOSS)	168,333	116,247	2,386	48,398	259,886	3,551	1,441	600,242
SERVICE REVENUE PROFIT MARGIN	26.0%	26.0%	26.0%	26.3%	33.3%	47.7%	26.0%	28.9%
FOOD & BEVERAGE								
CATERING	5,113,549	1,006,413	186,021	24,309	2,290,669	2,361,629	61,409	11,044,000
GROSS FOOD & BEVERAGE REVENUE	5,113,549	1,006,413	186,021	24,309	2,290,669	2,361,629	61,409	11,044,000
CATERING EXPENSE-Food	(3,477,213)	(684,361)	(126,494)	(16,530)	(1,557,655)	(1,601,651)	(41,758)	(7,505,662)
TOTAL FOOD & BEVERAGE EXPENSE	(3,477,213)	(684,361)	(126,494)	(16,530)	(1,557,655)	(1,601,651)	(41,758)	(7,505,662)
NET FOOD & BEVERAGE INCOME	1,636,336	322,052	59,527	7,779	733,014	759,978	19,651	3,538,338
FOOD & BEVERAGE EVENT PROFIT MARGIN	32.0%	32.0%	32.0%	32.0%	32.0%	32.2%	32.0%	32.0%
AUDIO VISUAL REVENUE								
AV SERVICE REVENUE	441,577	426,358	19,636	280,095	1,045,000	86,450	5,879	2,304,995
AV SERVICE EXPENSE	(295,857)	(285,660)	(13,156)	(187,664)	(830,804)	(57,921)	(3,939)	(1,675,000)
NET AUDIO VISUAL INCOME	145,721	140,698	6,480	92,431	214,197	28,528	1,940	629,995
AUDIO VISUAL PROFIT MARGIN	33.0%	33.0%	33.0%	33.0%	20.5%	33.0%	33.0%	27.3%
ELECTRICAL SERVICES								
ELECTRICAL SERVICES REVENUE	245,100	213,000	15,500	0	51,000	0	0	524,600
ELECTRICAL SERVICES EXPENSE	(183,825)	(159,750)	(11,625)	0	(38,250)	0	0	(393,450)
ELECTRICAL SERVICES INCOME	61,275	53,250	3,875	0	12,750	0	0	131,150
ELECTRICAL SERVICES PROFIT MARGIN	25.0%	25.0%	25.0%	0.0%	25.0%	0.0%	0.0%	25.0%
TOTAL ANCILLARY INCOME	1,843,332	516,000	69,882	100,210	959,961	788,506	21,591	4,299,483
EVENT OPERATING INCOME								
	4,016,169	1,589,587	412,388	284,844	3,155,916	900,745	329,608	10,689,257
TOTAL GROSS REVENUE	8,452,164	3,050,214	570,452	624,794	6,102,123	2,564,215	379,407	21,743,370

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**Project is only partially funded*