



City of Santa Clara

Meeting Minutes

Audit Committee

02/03/2023

9:00 AM

Virtual Meeting

Pursuant to California Government Code Section 54953(e) and City of Santa Clara Resolution 23-9178, the Audit Committee meeting will be held by teleconference only. No physical location will be available for this meeting; however, the City of Santa Clara continues to have methods for the public to participate remotely:

Join from a PC, Mac, iPad, iPhone or Android device:

Please click this URL to join: <https://santaclaraca.zoom.us/j/99199624617>

Webinar ID: 991 9962 4617

Or join by phone:

US: +1 669 900 6833

CALL TO ORDER AND ROLL CALL

The meeting began at 9:05 a.m.

Present 3 - Kevin Park, Kathy Watanabe, and Suds Jain

CONSENT CALENDAR

None

PUBLIC PRESENTATIONS

GENERAL BUSINESS

1. [23-155](#) Overview of the Citywide Risk Assessment and Proposed 2023-2024 Audit Work Plan

Recommendation: Accept the results of the citywide risk assessment report from Baker Tilly and approve the proposed 2023-2024 audit work plan, and recommend that these reports be forwarded for note and file to the full Council at the February 21, 2023 Joint Council and Authorities Concurrent and Stadium Authority Meeting.

Audit Manager Noce introduced staff from outside consultant Baker Tilly.

Baker Tilly staff present were **Adriane D. McCoy, Director, Public Sector Advisory** and **Chiemi Perry, Internal Audit Manager - Public Sector**.

Internal Audit Manager Perry gave a Powerpoint presentation.

Committee Members questions and comments followed related to the risk assessment portion of the Baker Tilly report.

Audit Manager Noce and Baker Tilly staff Perry and McCoy addressed the committee Members questions.

Committee Members had comments and questions surrounding the priority of proposed audit projects and there was discussion on how to prioritize the work plan.

Committee Member Watanabe made a motion to accept staff recommendation. Motion failed as there was no second.

Committee Member Jain made a motion, seconded by **Committee Member Park**, to agree in principle that the items on the audit workplan are important and we should move forward on the items over the next two years, but that staff return to the audit committee to continue discussion about the priority setting.

Approved with conditions

Aye: 2 - Park, and Jain

Nay: 1 - Watanabe

ADJOURNMENT

Chair Park adjourned the meeting at 10:47am.

A motion to adjourn was made by Committee Member Jain and seconded by Committee Member Watanabe.

Adjourned

Aye: 3 - Park, Watanabe, and Jain

Future Audit Committee Meetings will be scheduled at a later date.

MEETING DISCLOSURES

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If a member of the public submits a speaker card for any agenda items, their name will appear in the Minutes. If no speaker card is submitted, the Minutes will reflect "Public Speaker."

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