

2017

California Exempt Organization
Annual Information Return

199

Calendar Year 2017 or fiscal year beginning (mm/dd/yyyy) 7/01/2017, and ending (mm/dd/yyyy) 6/30/2018

Corporation/Organization name
CITY OF SANTA CLARA PUBLIC FACILITIES
FINANCING CORPORATION

California corporation number

2011023

Additional information. See instructions.

FEIN

31-1611044

Street address (suite or room)

1500 WARBURTON AVENUE

PMB no.

City

SANTA CLARA

State

CA

Zip code

95050-3713

Foreign country name

Foreign province/state/county

Foreign postal code

- A** First Return ☐ Yes ☒ No
- B** Amended Return ☐ Yes ☒ No
- C** IRC Section 4947(a)(1) trust ☐ Yes ☒ No
- D** Final Information Return? ☐ Dissolved ☐ Surrendered (Withdrawn) ☐ Merged/Reorganized
- Enter date (mm/dd/yyyy) ☐
- E** Check accounting method:
1 ☐ Cash 2 ☒ Accrual 3 ☐ Other
- F** Federal return filed? 1 ☐ 990T 2 ☐ 990-PF 3 ☐ Sch H (990)
4 ☐ Other 990 series
- G** Is this a group filing? See instructions. ☐ Yes ☒ No
- H** Is this organization in a group exemption? ☐ Yes ☒ No
If 'Yes,' what is the parent's name?
- I** Did the organization have any changes to its guidelines not reported to the FTB? See instructions. ☐ Yes ☒ No

J If exempt under R&TC Section 23701d, has the organization engaged in political activities?

See instructions

☐ Yes ☐ No**K** Is the organization exempt under R&TC Section 23701g? ☐ Yes ☒ No

If 'Yes,' enter the gross receipts from nonmember sources \$

L If organization is exempt under R&TC Section 23701d and meets the filing fee exception, check box.No filing fee is required ☐**M** Is the organization a Limited Liability Company? ☐ Yes ☒ No**N** Did the organization file Form 100 or Form 109 to report taxable income? ☐ Yes ☒ No**O** Is the organization under audit by the IRS or has the IRS audited in a prior year? ☐ Yes ☒ No**P** Is federal Form 1023/1024 pending? ☐ Yes ☐ No

Date filed with IRS

CAC1112L 01/02/18

Part I Complete Part I unless not required to file this form. See General Information B and C.

Receipts and Revenues	1	Gross sales or receipts from other sources. From Side 2, Part II, line 8	1	2,512,900.
	2	Gross dues and assessments from members and affiliates	2	
	3	Gross contributions, gifts, grants, and similar amounts received	3	
	4	Total gross receipts for filing requirement test. Add line 1 through line 3. This line must be completed. If the result is less than \$50,000, see General Information B.	4	2,512,900.
	5	Cost of goods sold	5	
	6	Cost or other basis, and sales expenses of assets sold	6	
	7	Total costs. Add line 5 and line 6	7	
	8	Total gross income. Subtract line 7 from line 4	8	2,512,900.
Expenses	9	Total expenses and disbursements. From Side 2, Part II, line 18	9	2,504,912.
	10	Excess of receipts over expenses and disbursements. Subtract line 9 from line 8	10	7,988.
Filing Fee	11	Total payments	11	
	12	Use tax. See General Information K	12	
	13	Payments balance. If line 11 is more than line 12, subtract line 12 from line 11	13	
	14	Use tax balance. If line 12 is more than line 11, subtract line 11 from line 12	14	
	15	Filing fee \$10 or \$25. See General Information F	15	10.
	16	Penalties and interest. See General Information J	16	
	17	Balance due. Add line 12, line 15, and line 16. Then subtract line 11 from the result	17	10.
Sign Here	Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.			
Paid Preparer's Use Only	Signature of officer	TIMOTHY J KRISCH	Title	DIRECTOR OF FINANCE
	Preparer's signature	TIMOTHY J KRISCH	Date	4/4/19
	Firm's name (or yours, if self-employed) and address	MAZE & ASSOCIATES 3478 BUSKIRK AVE STE 215 PLEASANT HILL, CA 94523-4346		
	Telephone	(408) 615-2368		
☐ PTIN P00283083 ☐ FEIN 94-2590179 ☐ Telephone (925) 930-0902				
May the FTB discuss this return with the preparer shown above? See instructions. ☒ Yes ☐ No				

Part II Organizations with gross receipts of more than \$50,000 and private foundations
regardless of amount of gross receipts – complete Part II or furnish substitute information.

Receipts from Other Sources	1	Gross sales or receipts from all business activities. See instructions	•	1	
	2	Interest	•	2	8,179.
	3	Dividends	•	3	
	4	Gross rents	•	4	
	5	Gross royalties	•	5	
	6	Gross amount received from sale of assets (See Instructions)	•	6	
	7	Other income. Attach schedule	•	7	2,504,721.
	8	Total gross sales or receipts from other sources. Add line 1 through line 7. Enter here and on Side 1, Part I, line 1.	•	8	2,512,900.
	9	Contributions, gifts, grants, and similar amounts paid. Attach schedule	•	9	
Expenses and Disbursements	10	Disbursements to or for members.	•	10	
	11	Compensation of officers, directors, and trustees. Attach schedule.	•	11	0.
	12	Other salaries and wages	•	12	
	13	Interest	•	13	767,178.
	14	Taxes	•	14	
	15	Rents	•	15	
	16	Depreciation and depletion (See instructions)	•	16	
	17	Other Expenses and Disbursements. Attach schedule.	•	17	1,737,734.
	18	Total expenses and disbursements. Add line 9 through line 17. Enter here and on Side 1, Part I, line 9.	•	18	2,504,912.

Schedule L Balance Sheet		Beginning of taxable year		End of taxable year	
		(a)	(b)	(c)	(d)
Assets					
1	Cash		1,480,881.	•	1,487,827.
2	Net accounts receivable			•	
3	Net notes receivable			•	
4	Inventories			•	
5	Federal and state government obligations			•	
6	Investments in other bonds			•	
7	Investments in stock			•	
8	Mortgage loans			•	
9	Other investments. Attach schedule			•	
10a	Depreciable assets				
b	Less accumulated depreciation			•	
11	Land			•	
12	Other assets. Attach schedule	STM 4	21,176,878.	•	19,391,581.
13	Total assets		22,657,759.		20,879,408.
Liabilities and net worth					
14	Accounts payable		1,042.	•	
15	Contributions, gifts, or grants payable			•	
16	Bonds and notes payable	ST 5	16,210,878.	•	15,346,581.
17	Mortgages payable			•	
18	Other liabilities. Attach schedule	STM 6	4,966,000.		4,045,000.
19	Capital stock or principal fund		1,479,839.	•	1,487,827.
20	Paid-in or capital surplus. Attach reconciliation			•	
21	Retained earnings or income fund			•	
22	Total liabilities and net worth		22,657,759.		20,879,408.

Schedule M-1 Reconciliation of income per books with income per return

Do not complete this schedule if the amount on Schedule L, line 13, column (d), is less than \$50,000.

1	Net income per books	•	7,988.	7	Income recorded on books this year not included in this return. Attach schedule.	•	
2	Federal income tax	•		8	Deductions in this return not charged against book income this year. Attach schedule.	•	
3	Excess of capital losses over capital gains	•		9	Total. Add line 7 and line 8		
4	Income not recorded on books this year. Attach schedule.	•		10	Net income per return. Subtract line 9 from line 6		7,988.
5	Expenses recorded on books this year not deducted in this return. Attach schedule.	•					
6	Total. Add line 1 through line 5		7,988.				

CALIFORNIA STATEMENTS
CITY OF SANTA CLARA PUBLIC FACILITIES
FINANCING CORPORATION

STATEMENT 1
FORM 199, PART II, LINE 7
OTHER INCOME

PROGRAM SERVICE REVENUE.....	\$ 2,504,721.
TOTAL	\$ <u>2,504,721.</u>

STATEMENT 2
FORM 199, PART II, LINE 11
COMPENSATION OF OFFICERS, DIRECTORS, TRUSTEES AND KEY EMPLOYEES

CURRENT OFFICERS:

NAME AND ADDRESS	TITLE AND AVERAGE HOURS PER WEEK DEVOTED	TOTAL COMPEN- SATION	CONTRI- BUTION TO EBP & DC	EXPENSE ACCOUNT/ OTHER
KATHY WATANABE 1500 WARBURTON AVENUE SANTA CLARA, CA 95050-3713	BOARD MEMBER 0.50	\$ 0.	\$ 0.	\$ 0.
DEBI DAVIS 1500 WARBURTON AVENUE SANTA CLARA, CA 95050-3713	VICE PRESIDENT 0.50	0.	0.	0.
PATRICK KOLSTAD 1500 WARBURTON AVENUE SANTA CLARA, CA 95050-3713	BOARD MEMBER 0.50	0.	0.	0.
PATRICIA MAHAN 1500 WARBURTON AVENUE SANTA CLARA, CA 95050-3713	BOARD MEMBER 0.50	0.	0.	0.
TERESA O'NEILL 1500 WARBURTON AVENUE SANTA CLARA, CA 95050-3713	BOARD MEMBER 0.50	0.	0.	0.
LISA M. GILLMOR 1500 WARBURTON AVENUE SANTA CLARA, CA 95050-3713	PRESIDENT 0.50	0.	0.	0.
DEANNA SANTANA 1500 WARBURTON AVENUE SANTA CLARA, CA 95050-3713	EXECUTIVE DIR. 0.10	0.	0.	0.
ANGELA KRAETSCH 1500 WARBURTON AVENUE SANTA CLARA, CA 95050-3713	DIR. OF FINANCE 0.10	0.	0.	0.
NORA PIMENTEL 1500 WARBURTON AVENUE SANTA CLARA, CA 95050-3713	SECRETARY 0.10	0.	0.	0.
TOTAL		\$ <u>0.</u>	\$ <u>0.</u>	\$ <u>0.</u>

2017

CALIFORNIA STATEMENTS
CITY OF SANTA CLARA PUBLIC FACILITIES
FINANCING CORPORATION

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STATEMENT 3
FORM 199, PART II, LINE 17
OTHER EXPENSES

BOND ISSUANCE COSTS.....	\$	6,734.
PRINCIPAL DEBT PAYMENTS.....		1,731,000.
TOTAL	\$	<u>1,737,734.</u>

STATEMENT 4
FORM 199, SCHEDULE L, LINE 12
OTHER ASSETS

NET INVESTMENT IN LEASE.....		19,391,581.
TOTAL	\$	<u>19,391,581.</u>

STATEMENT 5
FORM 199, SCHEDULE L, LINE 16
BONDS AND NOTES PAYABLE

<u>TAX-EXEMPT BONDS</u>	<u>BALANCE DUE</u>
PURPOSE OF ISSUE: CENTRAL PARK LIBRARY REFUNDING	
ISSUE DATE: 3/28/2013	
ORIGINAL ISSUE AMOUNT: 19,571,640.	
TYPE OF FORM FILED: FORM 8038-G	
FORM 8038 FILING DATE: 3/28/2013	
OUTSTANDING ISSUE AMT:	15,346,581.
TOTAL TAX-EXEMPT BONDS	\$ 15,346,581.
TOTAL NOTES AND BONDS PAYABLE	<u>\$ 15,346,581.</u>

STATEMENT 6
FORM 199, SCHEDULE L, LINE 18
OTHER LIABILITIES

LEASE AGREEMENT WITH CITY OF SANTA CLARA.....		4,045,000.
TOTAL	\$	<u>4,045,000.</u>

2017

CALIFORNIA FILING INSTRUCTIONS
CITY OF SANTA CLARA PUBLIC FACILITIES
FINANCING CORPORATION

31-1611044

ELECTRONICALLY FILED:

FORM 199 - 2017 CALIFORNIA EXEMPT ORGANIZATION ANNUAL INFORMATION
RETURN WILL BE ELECTRONICALLY FILED UPON RECEIPT OF A SIGNED FORM
8453-E0.

PAYMENT:

THERE IS A BALANCE DUE OF \$10.

FORM TO FILE:

FORM 3586 - PAYMENT VOUCHER FOR E-FILED RETURNS

WHERE TO FILE:

FRANCHISE TAX BOARD
P.O. BOX 942857
SACRAMENTO, CA 94257-0531

WHEN TO FILE:

AS SOON AS POSSIBLE.

CLIENT COPY

Voucher at bottom of page.

**DO NOT MAIL A PAPER COPY OF THE CORPORATE OR EXEMPT ORGANIZATION
TAX RETURN WITH THE PAYMENT VOUCHER.**

If the amount of payment is zero, do not mail this voucher.

WHERE TO FILE:

Using black or blue ink, make check or money order payable to the
'Franchise Tax Board.' Write the corporation number or FEIN and
'2017 FTB 3586' on the check or money order. Detach voucher below.
Enclose, but **do not** staple, payment with voucher and mail to:

**FRANCHISE TAX BOARD
PO BOX 942857
SACRAMENTO CA 94257-0531**

Make all checks or money orders payable in U.S. dollars and drawn against a U.S. financial institution.

WHEN TO FILE: Corporations — File and Pay by the 15th day of the 4th month following the
close of the taxable year.

S corporations — File and Pay by the 15th day of the 3rd month following the
close of the taxable year.

Exempt organizations — File and Pay by the 15th day of the 5th month following
the close of the taxable year.

When the due date falls on a weekend or holiday, the deadline to file and pay without penalty is extended
to the next business day.

Due to the federal Emancipation Day holiday on April 16, 2018, tax returns filed and payments mailed or
submitted on April 17, 2018, will be considered timely.

ONLINE SERVICES:

Corporations can make payments online with Web Pay for Businesses. Corporations
can make an immediate payment or schedule payments up to a year in advance. Go
to ftb.ca.gov/pay for more information.

____ DETACH HERE _____ IF NO PAYMENT IS DUE, DO NOT MAIL THIS VOUCHER

CAUTION: You may be required to pay electronically, see instructions.

____ DETACH HERE ____

TAXABLE YEAR

2017

**Payment Voucher for Corporations and
Exempt Organizations e-filed Returns**

CALIFORNIA FORM

3586 (e-file)

2011023 CITY 31-1611044 000000000000 17 FORM 3
TYB 07-01-17 TYE 06-30-18
CITY OF SANTA CLARA PUBLIC FACILITIES FINANCING CORPORATION
ANGELA KRAETSCH
1500 WARBURTON AVENUE
SANTA CLARA CA 95050-3713

(408) 615-2368

AMOUNT OF PAYMENT

10.