

DOT

FTA

U.S. Department of Transportation

Federal Transit Administration

Award

Federal Award Identification Number (FAIN)	CA-2021-104-00
Temporary Application Number	1674-2021-3
Award Name	VTA BART Phase II TOD and Station Access Planning Study
Award Status	Active (Executed)
Award Budget Number	0

Period of Performance Start Date	7/9/2021	
Original Period of Performance End Date	6/1/2025	
Current Period of Performance End Date	6/1/2025	Revision #: 0

Part 1: Recipient Information

Name: SANTA CLARA VALLEY TRANSPORTATION AUTHORITY

Recipient ID	Recipient OST Type	Recipient Alias	Recipient DUNS
1674	Other Government Agency	Santa Clara Valley Transportation Authority	092202837

Location Type	Address	City	State	Zip
Headquarters	3331 NORTH FIRST ST	SAN JOSE	CA	951341906
Physical Address	3331 NORTH FIRST ST	SAN JOSE	CA	95134
Mailing Address	3331 NORTH FIRST STREET	SAN JOSE	CA	95134

Union Information

Union Name	AMALGAMATED TRANSIT UNION, LOCAL 265
Address 1	1590 La Pradera Drive
Address 2	
City	Campbell
State	California
Zipcode	95008
Contact Name	Terry Russell

Telephone	4088740900
Fax	4088740907
E-mail	local265@sbcglobal.net
Website	
Union Name	AMERICAN FEDERATION OF STATE, COUNTY, AND MUNICIPAL EMPLOYEES (AFSCME)
Address 1	80 Swan Way, Suite 110
Address 2	
City	Oakland
State	California
Zipcode	94621
Contact Name	Tina Acree
Telephone	4086905931
Fax	5103639613
E-mail	tina.acree@ca.afscme57.org
Website	www.afscme57.org
Union Name	SERVICE EMPLOYEES INTERNATIONAL UNION (SEIU) LOCAL 521
Address 1	2302 Zanker Road
Address 2	
City	San Jose
State	California
Zipcode	95131
Contact Name	Luisa Blue
Telephone	4086783398
Fax	4089541538
E-mail	seiu521communication@seiu521.org
Website	
Union Name	TRANSPORTATION AUTHORITY ENGINEERS AND ARCHITECTS' ASSOCIATION (TAEA)
Address 1	IFPTE Local 21 - Attn: TAEA
Address 2	4 North Second St., #430
City	San Jose
State	California
Zipcode	95113
Contact Name	Rajwinder Sehdev
Telephone	4089426143
Fax	4082912203
E-mail	rajwinder.sehdev@vta.org
Website	http://www.ifpte21.org/

Part 2: Award Information

Title: VTA BART Phase II TOD and Station Access Planning Study

FAIN	Award Status	Award Type	Date Created	Last Updated Date	From TEAM?
CA-2021-104-00	Active (Executed)	Grant	6/10/2021	6/10/2021	No

Award Executive Summary

This application requests \$1,580,000 in Section 20005(b) TOD Pilot Planning Discretionary funds.

Funding Source:

FY 2019 Section 20005(b): \$1,580,000 (80%)

Local Match (Joint Development Program Fund): \$395,000 (20%)

Total Grant: \$1,975,000 (100%)

This grant provides funds for the following project:

1. VTA BART Phase II TOD and Station Access Planning Study.

The BART Silicon Valley Phase II Station Area Access and TOD Study will develop a unified TOD implementation strategy for the corridor and station areas. The Study will identify multimodal access needs and TOD opportunities to promote redevelopment and accessibility along the 6.5-mile corridor spanning 28th Street/Little Portugal, Downtown San José, Diridon, and Santa Clara.

Frequency of Milestone Progress Reports (MPR)

Annual

Frequency of Federal Financial Reports (FFR)

Annual

Does this application include funds for research and/or development activities?

This award does not include research and development activities.

Pre-Award Authority

This award is using Pre-Award Authority.

Does this application include suballocation funds?

Recipient organization is the Designated Recipient and can apply for and receive these apportioned funds.

Will this Grant be using Lapsing Funds?

No, this Grant does not use Lapsing Funds.

Will indirect costs be applied to this application?

This award is applying an approved Indirect cost rate(s).

Indirect Rate Details: Indirect Rate Details: The cognizant agency, Federal Transit Administration, provisionally approved VTA's FY2021 rate 297.96% for Projects. This rate will be applied to the following activities: Force Account and Project Administration. These rates are recalculated on an annual basis.

Requires E.O. 12372 Review

No, this application does not require E.O. 12372 Review.

Delinquent Federal Debt

No, my organization does not have delinquent federal debt.

Award Point of Contact Information

First Name	Last Name	Title	E-mail Address	Phone
		dominique.kraft@dot.gov	Community Planner	
		susan.ko@dot.gov	General Engineer	
Mike	Tasosa	Senior Transportation Planner	mike.tasosa@vta.org	408-321-5752

Award Budget Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
TOD Planning Pilot Program (20005b)	2005bMAP21	20500	\$1,580,000
Local			\$395,000
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$1,975,000

Award Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
CA-2021-104-01-00	442-00 (442-A1) METROPOLITAN PLANNING	\$1,580,000.00	\$395,000.00	\$1,975,000.00	1
CA-2021-104-01-00	44.22.00 GENERAL DEVELOPMENT/COMPREHENSIVE PLANNING	\$1,580,000.00	\$395,000.00	\$1,975,000.00	1

Discretionary Allocations

Discretionary ID	Project Title	Amount Applied	FAIN	Congressional Release Date
D2020-TODP-005	Santa Clara Valley Transportation Authority - Advanced Planning for Transit-Oriented Communities	\$1,580,000	CA-2021-104-00	7/9/2021

Sources of Federal Financial Assistance

PO Number	Project Number	Scope Name	Scope Number	Scope Suffix	UZA Code	Area Name	Account Class Code	FPC	Description	Amendment Amount	Cumulative Amount
CA-94-2005	CA-2021-104-01-00	METROPOLITAN PLANNING	442-00 (442)	A1	060000	California	2018.25.94.00.2	02	Transit Oriented Development Pilot	\$1,580,000	\$1,580,000

Part 3: Project Information

Project Title: VTA BART Phase II TOD and Station Access Planning Study P-1157

Project Number	Temporary Project Number	Date Created	Start Date	End Date
CA-2021-104-01-00	1674-2021-3-P1	6/10/2021	9/1/2021	6/2/2025

Project Description

The BART Silicon Valley Phase II Station Area Access and TOD Study will develop a unified TOD implementation strategy for the corridor and station areas. The Study will identify multimodal access needs and TOD opportunities to promote redevelopment and accessibility along the 6.5-mile corridor spanning 28th Street/Little Portugal, Downtown San José, Diridon, and Santa Clara.

Project Benefits

This project creates a corridor-wide, multimodal focus for TOD planning that cannot be realized through conventional planning efforts. The attractiveness and convenience of transit will be significantly diminished if access and circulation around each station are not well-planned, thus reducing the effectiveness of TOD. In addition, unified corridor planning is the most effective way to achieve desired reductions in auto trips to destinations in the TOD area.

Additional Information

Funding Source:

FY 2019 Section 20005(b): \$1,580,000 (80%)

Local Match (Joint Development Program Fund): \$395,000 (20%)

Total Grant: \$1,975,000 (100%)

Location Description

Santa Clara County

Project Location (Urbanized Areas)

UZA Code	Area Name
060250	San Jose, CA
063010	Gilroy--Morgan Hill, CA

Congressional District Information

State	District	Representative
California	17	Ro Khanna
California	18	Anna G Eshoo
California	19	Zoe Lofgren
California	20	Jimmy Panetta

Program Plan Information

STIP/TIP

Date: 5/21/2021

Description: TIP Revision 2021-03 Page 33 of 60

UPWP

Date: N/A

Description: N/A

Long Range Plan

Date: 10/2/2014

Description: Valley Transportation Plan 2040 is the countywide long-range transportation plan for Santa Clara County.

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
TOD Planning Pilot Program (20005b)	2005bMAP21	20500	\$1,580,000
Local			\$395,000
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$1,975,000

Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
CA-2021-104-01-00	442-00 (442-A1) METROPOLITAN PLANNING	\$1,580,000.00	\$395,000.00	\$1,975,000.00	1
CA-2021-104-01-00	44.22.00 GENERAL DEVELOPMENT/COMPREHENSIVE PLANNING	\$1,580,000.00	\$395,000.00	\$1,975,000.00	1

Project Budget Activity Line Items

Budget Activity Line Item: 44.22.00 - GENERAL DEVELOPMENT/COMPREHENSIVE PLANNING

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
METROPOLITAN PLANNING (442-00)	44.22.00	GENERAL DEVELOPMENT/COMPREHENSIVE PLANNING	METROPOLITAN PLANNING	1

Extended Budget Description

441-80 44.22.00 TOD and Station Access Planning Study

441-80 METROPOLITAN PLANNING

44.22.00 GENERAL DEVELOPMENT / COMPREHENSIVE PLANNING

This project will generate broad-based consensus among a diverse range of public and private stakeholders in San José and Santa Clara on strategy implementation. Efforts will include public agency coordination to facilitate the following: TOD land assembly and acquisition design guidelines for private buildings and public spaces; and project implementation coordination to stimulate economic development. The project will identify how to leverage and coordinate land use policies, circulation and parking improvements, public space enhancements, and public finance tools to attract and accommodate a larger share of future regional growth. Overall, the project will establish a foundation for sustainable redevelopment along the Phase II corridor to benefit increased transit ridership, economic development, and station areas' vibrancy.

VTA will take the lead on crafting a Design Development Framework (DDF) for VTA-owned property above and adjacent to the 28th Street/Little Portugal Station in San José. The DDF will serve as a guidebook for potential future developers during the TOD proposal stage and beyond, documented in the Design Development Framework Final Documentation. This documentation will be supplemented by a presentation by VTA staff to the VTA Board of Directors on the Design for Development Framework process and outcomes.

VTA will work in partnership with the City of San José to update the Five Wounds Urban Village Plan, the study area of which includes the BART Phase II 28th Street/Little Portugal Station. Key deliverables from that effort will be the Stakeholder Engagement Plan and the Updated Five Wounds Urban Village Plan. At the BART Phase II 28th Santa Clara Station, VTA will partner with the City of Santa Clara as they develop the Santa Clara Station Area Specific Plan. Key deliverables from that effort will be Santa Clara Station Area Specific Plan and the accompanying City Council Presentation and Staff Report.

FSTIP Consistency:
 MTC TIP Project No: SCL170002
 MTC TIP No: 2021-03
 Project Name: VTA BART Phase II TOD and Station Access Planning
 MTC Approval Dates: 04/28/2021
 Caltrans Approval Dates: 05/12/2021
 FTA/FHWA Approval Dates: 05/21/2021
 Project in SRTP: Yes. FY 2010 SRTP, Pg. 40
 Urbanized Area: San Jose

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
TOD Planning Pilot Program (20005b)	2005bMAP21	20500	\$1,580,000
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Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$1,975,000

Milestone Name	Est. Completion Date	Description
Start Date	9/1/2021	Begin Activity
End Date	6/2/2025	End Activity

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	7/7/2017

Part 4: Fleet Details

No fleet data exists for this application.

Part 5: FTA Review Comments

Application Review Comments

Comment By Dominique Kraft

Comment Type Pre-Award Manager Returns Application

Date 6/21/2021

Please see FTA review comments uploaded into Application Documents.

Comment Thanks.

Part 6: Agreement

**UNITED STATES OF AMERICA
DEPARTMENT OF TRANSPORTATION
FEDERAL TRANSIT ADMINISTRATION**

**GRANT AGREEMENT
(FTA G-28, February 9, 2021)**

On the date the authorized U.S. Department of Transportation, Federal Transit Administration (FTA) official signs this Grant Agreement, FTA has obligated and awarded federal assistance as provided below. Upon execution of this Grant Agreement by the Recipient named below, the Recipient affirms this FTA Award, enters into this Grant Agreement with FTA, and binds its compliance with the terms of this Grant Agreement.

The following documents are incorporated by reference and made part of this Grant Agreement:

- (1) "Federal Transit Administration Master Agreement," FTA MA(28), February 9, 2021, <http://www.transit.dot.gov>,
- (2) The Certifications and Assurances applicable to the FTA Award that the Recipient has selected and provided to FTA, and
- (3) Any Award notification containing special conditions or requirements, if issued.

WHEN THE TERM "FTA AWARD" OR "AWARD" IS USED, EITHER IN THIS GRANT AGREEMENT OR THE APPLICABLE MASTER AGREEMENT, "AWARD" ALSO INCLUDES ALL TERMS AND CONDITIONS SET FORTH IN THIS GRANT AGREEMENT.

FTA OR THE FEDERAL GOVERNMENT MAY WITHDRAW ITS OBLIGATION TO PROVIDE FEDERAL

ASSISTANCE IF THE RECIPIENT DOES NOT EXECUTE THIS GRANT AGREEMENT WITHIN 90 DAYS FOLLOWING FTA's AWARD DATE SET FORTH HEREIN.

FTA AWARD

Federal Transit Administration (FTA) hereby awards a Federal Grant as follows:

Recipient Information

Recipient Name: SANTA CLARA VALLEY TRANSPORTATION AUTHORITY

Recipient ID: 1674

DUNS No: 092202837

Award Information

Federal Award Identification Number: CA-2021-104-00

Award Name: VTA BART Phase II TOD and Station Access Planning Study

Award Start Date: 7/9/2021

Original Award End Date: 6/1/2025

Current Award End Date: 6/1/2025

Award Executive Summary: This application requests \$1,580,000 in Section 20005(b) TOD Pilot Planning Discretionary funds.

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Research and Development: This award does not include research and development activities.

Indirect Costs: This award is applying an approved Indirect cost rate(s).

Suballocation Funds: Recipient organization is the Designated Recipient and can apply for and receive these apportioned funds.

Pre-Award Authority: This award is using Pre-Award Authority.

Award Budget

Total Award Budget: \$1,975,000.00

Amount of Federal Assistance Obligated for This FTA Action (in U.S. Dollars): \$1,580,000.00

Amount of Non-Federal Funds Committed to This FTA Action (in U.S. Dollars): \$395,000.00

Total FTA Amount Awarded and Obligated (in U.S. Dollars): \$1,580,000.00

Total Non-Federal Funds Committed to the Overall Award (in U.S. Dollars): \$395,000.00

Award Budget Control Totals

(The Budget includes the individual Project Budgets (Scopes and Activity Line Items) or as attached)

Funding Source	Section of Statute	CFDA Number	Amount
TOD Planning Pilot Program (20005b)	2005bMAP21	20500	\$1,580,000
Local			\$395,000
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State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$1,975,000

(The Transportation Development Credits are not added to the amount of the Total Award Budget.)

U.S. Department of Labor Certification of Public Transportation Employee Protective Arrangements:

Original Certification Date:

Special Conditions

There are no special conditions.

FINDINGS AND DETERMINATIONS

By signing this Award on behalf of FTA, I am making all the determinations and findings required by federal law and regulations before this Award may be made.

FTA AWARD OF THE GRANT AGREEMENT

Awarded By:
Ray Tellis
Regional Administrator
FEDERAL TRANSIT ADMINISTRATION
U.S. DEPARTMENT OF TRANSPORTATION
Contact Info: ray.tellis@dot.gov
Award Date: 7/9/2021

EXECUTION OF THE GRANT AGREEMENT

Upon full execution of this Grant Agreement by the Recipient, the Effective Date will be the date FTA or the Federal Government awarded Federal assistance for this Grant Agreement.

By executing this Grant Agreement, the Recipient intends to enter into a legally binding agreement in which the Recipient:

- (1) Affirms this FTA Award,
- (2) Adopts and ratifies all of the following information it has submitted to FTA:
 - (a) Statements,
 - (b) Representations,
 - (c) Warranties,
 - (d) Covenants, and
 - (e) Materials,
- (3) Consents to comply with the requirements of this FTA Award, and
- (4) Agrees to all terms and conditions set forth in this Grant Agreement.

Executed By:
Elaine Baltao
Board Secretary
SANTA CLARA VALLEY TRANSPORTATION AUTHORITY
8/27/2021