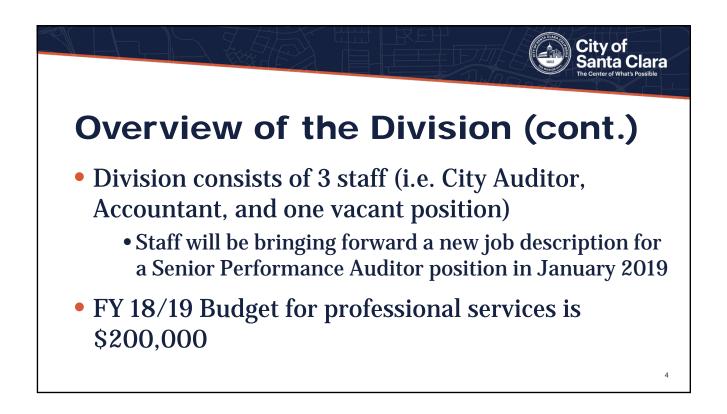


POST MEETING MATERIAL

Overview of the Division

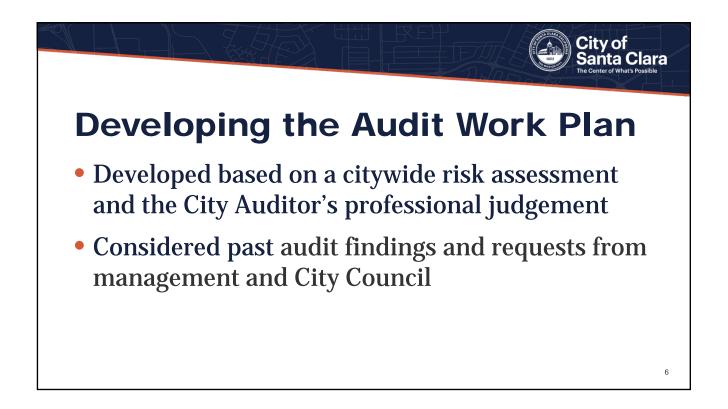
- The mission of the City Auditor's Office is to promote honest, efficient, effective and fully accountable city government through accurate, independent and objective audits.
- In addition to the annual disbursement audit as required by the Charter, we conduct in-depth financial and performance audits for the City.



City of Santa Clara

Deliverables Annual Audit Work Plan Annual Audit Recommendation Status Report Semi-Annual Audit Status Report Completed Audit Reports

• Report on the Whistleblower Hotline

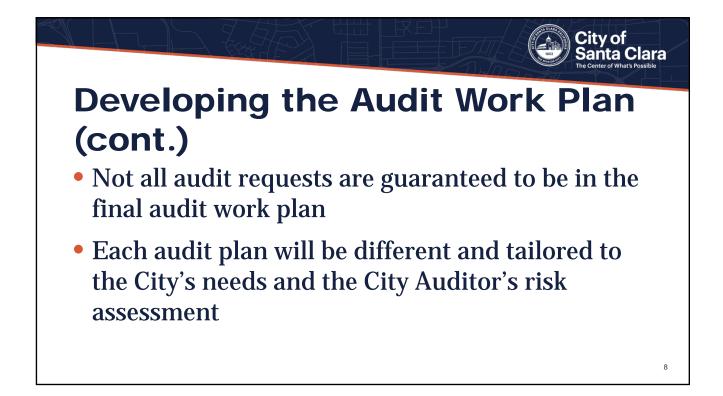


City of Santa Clara

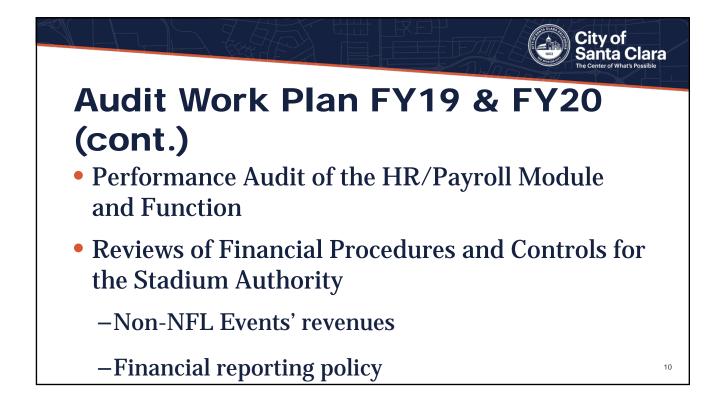
Developing the Audit Work Plan (cont.)

City of Santa Clara

- Top 3 ranked items are moved to the scoping analysis phase to determine the final audit subject:
 - 1. Building/Field Inspection and Permit Services
 - 2. Employee Benefits & Records
 - 3. Purchasing Services



Develop an Audit Framework Develop a Fraud Policy Establish a whistleblower hotline for fraud, waste, abuse, and other unethical incidents Performance Audit of the Vendor Master File and 1099 Reporting



Audit Work Plan FY19 & FY20 (cont.)

City of

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- Annual Cash Disbursement Audit
- Citywide Fraud Risk Assessment (contracted service)
- Manage the annual external financial audit contracts for the City and Stadium Authority (contracted service)

